

SOUTH FLORIDA
REGIONAL TRANSPORTATION
AUTHORITY
GOVERNING BOARD

REGULAR MEETING AGENDA
DECEMBER 10, 2010
9:00 a.m.

South Florida Regional Transportation Authority
Board Room
800 NW 33rd Street
Suite 100
Pompano Beach, FL 33064

SFRTA BOARD MEETINGS ARE SCHEDULED ON THE FOURTH FRIDAY OF EACH MONTH AT 9:30 A.M. FOR FURTHER INFORMATION CALL (954)942-RAIL (7245). TIME OF MEETINGS SUBJECT TO CHANGE.

SFRTA Board Members

Commissioner Steven L. Abrams
Marie Horenburger
George Morgan, Jr.

Commissioner Bruno Barreiro, Chair
Commissioner Kristin Jacobs
Gus Pego

James A. Cummings
Felix M. Lasarte
F. Martin Perry

Executive Director

Joseph Giuliatti

GOVERNING BOARD REGULAR MEETING
OF DECEMBER 10, 2010

The meeting will convene at 9:00 a.m., and will be held in the Board Room of the South Florida Regional Transportation Authority, Administrative Offices, 800 NW 33rd Street, Suite 100, Pompano Beach, Florida 33064.

CALL TO ORDER

MOMENT OF SILENCE

PLEDGE OF ALLEGIANCE

AGENDA APPROVAL – Additions, Deletions, Revisions

DISCUSSION ITEM

D1. Agreement Between the South Florida Regional Transportation Authority and the Florida Department of Transportation for the Temporary Relocation of SFRTA’s Miami Airport Station.

MATTERS BY THE PUBLIC – Persons wishing to address the Board are requested to complete an “Appearance Card” and will be limited to three (3) minutes. Please see the Minutes Clerk prior to the meeting.

CONSENT AGENDA

Those matters included under the Consent Agenda are self-explanatory and are not expected to require review or discussion. Items will be enacted by one motion in the form listed below. If discussion is desired by any Board Member, however, that item may be removed from the Consent Agenda and considered separately.

C1. MOTION TO APPROVE: Minutes of Governing Board’s Regular Meeting October 22, 2010.

REGULAR AGENDA

Those matters included under the Regular Agenda differ from the Consent Agenda in that items will be voted on individually. In addition, presentations will be made on each motion, if so desired.

R1. MOTION TO APPROVE: The Subrecipient Agreement between the South Florida Regional Transportation Authority (SFRTA) and the Palm Beach County Board of County Commissioners (Palm Beach County) for JARC grant funding.

Department: Finance and IT
Project Manager: Carla D. McKeever

Finance & IT Director: Edward T. Woods
Procurement Director: Christopher Bross

R2. MOTION TO APPROVE: The Subrecipient Agreement between the South Florida Regional Transportation Authority (SFRTA) and the City of Boca Raton (City).

Department: Finance and IT
Project Manager: Carla D. McKeever

Finance & IT Director: Edward T. Woods
Procurement Director: Christopher Bross

R3. MOTION TO APPROVE: Agreement No. 11-008, between South Florida Regional Transportation Authority (SFRTA) and Gonzalez and Sons Equipment, Inc. for Construction Services at the Hialeah Yard in the lump sum amount of \$1,693,000.

Department: Engineering and Construction
Project Manager: Brandy Creed, P.E.

Department Director: Daniel R. Mazza, P.E.
Procurement Director: Christopher Bross

R4. MOTION TO APPROVE: Agreement No. 10-005 between South Florida Regional Transportation Authority (SFRTA) and Brookville Equipment Corporation for the purchase of ten (10) new commuter rail locomotives in the amount of \$44,788,000.00.

Department: Engineering & Construction
Project Manager: Pat McCammon (BAH)

Department Director: Daniel R. Mazza, P.E.
Procurement Director: Christopher Bross

R5. MOTION TO APPROVE: Time extension letters with the Florida Department of Transportation to extend the following Joint Participation Agreements (“JPA’s”) from their current expiration date of December 31, 2010, to the respective dates mentioned below:

1. JPA No. AOY56 (Fin. Proj. No. 420344-1-94-01) until December 31, 2012;
2. JPA No. AOU80 (FM No. 421871-1-94-01) until December 30, 2011;
3. JPA No. AOH38 (Fin. Proj. No. 236816-1-84-01) until June 30, 2011;
4. JPA No. AOM88 (Fin. Proj. No. 420079-1-84-01) until June 30, 2011; and
5. JPA No. ADP90 (FM No. 423933-1-84-01) until December 31, 2011.

Department: Finance & IT
Project Manager: Elizabeth Walter

Department Director: Ed Woods
Procurement Director: Christopher Bross

INFORMATION / PRESENTATION ITEMS

Action not required, provided for information purposes only. If discussion is desired by any Board Member, however, that item may be considered separately.

I-1 INFORMATION – Planning Technical Advisory Committee (PTAC) Report

COMMITTEE REPORTS / MINUTES

Action not required, provided for information purposes only. If discussion is desired by any Board Member, however, that item may be considered separately.

- A. PROPERTY TASK FORCE
- B. CONSTRUCTION OVERSIGHT COMMITTEE
- C. PLANNING TECHNICAL ADVISORY COMMITTEE
- D. MARKETING COMMITTEE

- E. OPERATIONS TECHNICAL COMMITTEE
- F. CITIZENS ADVISORY COMMITTEE
- G. AUDIT COMMITTEE
- H. LEGISLATIVE COMMITTEE
- I. ADVISORY COMMITTEE FOR PERSONS WITH DISABILITIES
- J. LEGAL SERVICES COMMITTEE

MONTHLY REPORTS

Action not required, provided for information purposes only. If discussion is desired by any Board Member, however, that item may be considered separately.

- A. ENGINEERING & CONSTRUCTION MONTHLY PROGRESS REPORTS – November
- B. RIDERSHIP GRAPHS – November
- C. ON-TIME PERFORMANCE GRAPHS – November
- D. MARKETING MONTHLY SUMMARY – November
- E. BUDGETED INCOME STATEMENT – November
- F. PAYMENTS OVER \$2,500.00 – November
- G. REVENUE AND FARE EVASION REPORTS – November
- H. SOLICITATION SCHEDULE – October/November
- I. CONTRACT ACTIONS EXECUTED UNDER THE EXECUTIVE DIRECTOR'S AUTHORITY - October/November
- J. CONTRACT ACTIONS EXECUTED UNDER THE CONSTRUCTION OVERSIGHT COMMITTEE – October/November
- K. PROPERTY COMMITTEE – PROJECT SCHEDULE - October/November
- L. SECURITY REPORT – October/November
- M. EXPIRING CONTRACTS REPORT - October/November

OTHER BUSINESS

EXECUTIVE DIRECTOR REPORTS/COMMENTS

LEGAL COUNSEL COMMENTS

CHAIR COMMENTS

BOARD MEMBER COMMENTS

ADJOURNMENT

In accordance with the Americans with Disabilities Act and Section 286.26, Florida Statutes, persons with disabilities needing special accommodation to participate in this proceeding, must at least 48 hours prior to the meeting, provide a written request directed to the Executive Office at 800 NW 33rd Street, Suite 100, Pompano Beach, Florida, or telephone (954) 942-RAIL (7245) for assistance; if hearing impaired, telephone (800) 273-7545 (TTY) for assistance.

Any person who decides to appeal any decision made by the Governing Board of the South Florida Regional Transportation Authority with respect to any matter considered at this meeting or hearing, will need a record of the proceedings, and that, for such purpose, he/she may need to ensure that a verbatim record of the proceedings is made, which record includes the testimony and evidence upon which the appeal is to be based.

Persons wishing to address the Board are requested to complete an "Appearance Card" and will be limited to three (3) minutes. Please see the Minutes Clerk prior to the meeting.

MINUTES
SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD REGULAR MEETING
OF OCTOBER 22, 2010

The regular meeting of the South Florida Regional Transportation Authority Governing Board was held at 9:30 a.m. on Friday, October 22, 2010 in the South Florida Regional Transportation Authority Board Room, 800 Northwest 33rd Street, Suite 100, Pompano Beach, Florida 33064.

BOARD MEMBERS PRESENT:

Steven Abrams, Palm Beach County Commissioner
 Bruno Barreiro, Chair, Miami-Dade County Commissioner – *arrived at 9:55 a.m.*
 James A. Cummings, Citizen Representative, Broward County
 Marie Horenburger, Citizen Representative, Palm Beach County
 George Morgan, Governor's Appointee
 F. Martin Perry, Governor's Appointee

BOARD MEMBERS ABSENT:

Felix M. Lasarte, Citizens Representative, Miami-Dade County
 Kristin Jacobs, Vice Chair, Broward County Commissioner
 Gus Pego, Florida Department of Transportation, District VI

ALSO PRESENT:

Joseph Giulietti, Executive Director, SFRTA
 Jack Stephens, Deputy Executive Director, SFRTA
 Bonnie Arnold, Director of Marketing, SFRTA
 Brad Barkman, Director of Operations, SFRTA
 Chris Bross, Director of Procurement, SFRTA
 Diane Hernandez Del Calvo, Director of Administration, SFRTA
 Mary Jane Lear, Director of Human Resources, SFRTA
 Renee Matthews, Special Projects Manager, SFRTA
 Daniel Mazza, P.E., Director of Planning and Engineering, SFRTA
 Teresa Moore, General Counsel, SFRTA
 Jeffrey Olson, Staff Counsel, SFRTA
 Edward Woods, Director of Finance & IT, SFRTA
 Sandra Thompson, Executive Administrative Coordinator, SFRTA

CALL TO ORDER

The Chair pro tem, Marie Horenburger called the meeting to order at 9:41 a.m.

ROLL CALL

MOMENT OF SILENCE

PLEDGE OF ALLEGIANCE

AGENDA APPROVAL

Mr. Joseph Giulietti requested the following addition to the Agenda.

ADDITIONS

The addition of Exhibit 1 to Agenda Item R3. The SunTrust Banking Agreement NO. 11-007 was provided.

Board Member George Morgan moved for approval of the Agenda. The motion was seconded by Commissioner Steven Abrams.

The Chair pro tem called for further discussion and/or opposition to the motion. Upon hearing none, the Chair pro tem declared the motion carried unanimously.

MATTERS BY THE PUBLIC

Mr. Mitchell Bierman of Coral Gables, FL representing Motive Power, Inc. (MPI) addressed the Board. Mr. Bierman expressed concern for the procurement of the locomotives. He stated that MPI was one of two bidders, Brookville was the other bidder. Mr. Bierman expressed concern for the best value for the Agency.

<p>CONSENT AGENDA</p>

<p>Those matters included under the Consent Agenda are self-explanatory and are not expected to require review or discussion. Items will be enacted by one motion in the form listed below. If discussion is desired by any Board Member, however, that item may be removed from the Consent Agenda and considered separately.</p>
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C1. MOTION TO APPROVE: Minutes of Governing Board's Regular Meeting September 24, 2010.

Board Member Marty Perry moved for approval of the Consent Agenda. The motion was seconded by Board Member Jim Cummings.

The Chair pro tem called for further discussion and/or opposition to the motion. Upon hearing none, the Chair pro tem declared the motion carried unanimously.

REGULAR AGENDA

Those matters included under the Regular Agenda differ from the Consent Agenda in that items will be voted on individually. In addition, presentations will be made on each motion, if so desired.

R1. MOTION TO APPROVE: Agreement No's. 10-018 (A-C), between South Florida Regional Transportation Authority (SFRTA) and PB Americas, Inc., Booz Allen Hamilton and Parsons Transportation Group, for General Systems Engineering (GSE) Services, for a period of three (3) years, with the option to renew for two (2) additional one (1)-year option periods, in the maximum not-to-exceed amount of \$5,000,000.00 each for the total term of the contract.

Commissioner Steven Abrams moved for approval. The motion was seconded by Board Member Jim Cummings.

The Chair pro tem called for further discussion and/or opposition to the motion. Upon hearing none, the Chair pro tem declared the motion carried unanimously.

R2. MOTION TO APPROVE: Third (and final) of Three One-Year Options to Agreement No. 06-621 between South Florida Transportation Authority (SFRTA) and the C2 Group, LLC for federal legislative consultant services, to extend contract term through January 31, 2012, in the firm, fixed price amount of One Hundred Forty Four Thousand Dollars (\$144,000) annually, for a term of one year.

Commissioner Steven Abrams moved for approval. The motion was seconded by Board Member Jim Cummings.

Board Member Morgan stated that he was pleased that The C2 Group did not pursue a contract increase. He requested that the federal consultant update the Board on a quarterly basis.

The Chair pro tem called for further discussion and/or opposition to the motion. Upon hearing none, the Chair pro tem declared the motion carried unanimously.

R3. MOTION TO APPROVE: Agreement No. 11-007, for General Banking Services between SFRTA and SunTrust Bank at the not-to-exceed amount of SFRTA's approved budgeted line item for banking services for each fiscal year with banking fees to remain the same for a period of five (5) years.

Board Member Jim Cummings moved for approval. The motion was seconded by Commissioner Steven Abrams.

Board Member Morgan requested an explanation of the process in selecting the successful bidder.

Mr. Giulietti stated that this is the third time the banking services item has come before the Board. A competitive RFP went out and two responses were received. There was a problem regarding conditional language that was unacceptable to the attorneys. The Board permitted negotiations at the last meeting and this contract was the result. He summarized that in the past year the SFRTA paid up to \$40,000 in bank fees due to the bank not giving interest on the checking portion of the agreement. In order to not have bank fees, a minimum balance was required to be held in the account. SFRTA would have made less money if the minimum balance was moved over to the checking account. Last year the SFRTA received \$148,322 in interest and bank charges were \$33,000. It was advantageous to the SFRTA to place the money into interest bearing accounts. A report on banking activity will be presented in the coming year.

Ms. Moore stated that the bank has agreed to a fixed fee.

Board Member Morgan commented on the interest and stated that it is important to be proactive on the cash management due to the daily banking changes.

The Chair pro tem called for further discussion and/or opposition to the motion. Upon hearing none, the Chair declared the motion carried unanimously.

The Chair arrived at 9:55 a.m.

Chair pro tem relinquished the gavel to the Chair.

The Chair commented that government agencies in South Florida, all of the monies are not deposited in local banks and are deposited in big banks. He stated that the local banks have a better record of circulating the monies within the local communities.

Board Member Perry stated that the SFRTA may want to investigate expanding specifications to include more types of banks.

Ms. Moore stated that there are parameters that control and dictate what is required. However, the SFRTA will investigate this issue.

INFORMATION / PRESENTATION ITEMS

Action not required, provided for information purposes only. If discussion is desired by any Board Member, however, that item may be considered separately.

I-1 INFROMATION – Discussion of Upcoming Federal Elections Affecting the South Florida Regional Transportation Authority Projected Outcomes and Consequences

Mr. John Cline representing The C2 Group gave an update on the federal year ahead regarding the upcoming elections.

I-2 INFORMATION – Discussion of Upcoming State Elections Affecting the South Florida Regional Transportation Authority- Projected Outcomes and Consequences

Mr. Dave Ericks representing Ericks Consultants gave an update on the state year ahead regarding the upcoming elections.

COMMITTEE REPORTS / MINUTES

Action not required, provided for information purposes only. If discussion is desired by any Board Member, however, that item may be considered separately.

- A. PROPERTY TASK FORCE
- B. CONSTRUCTION OVERSIGHT COMMITTEE
- C. PLANNING TECHNICAL ADVISORY COMMITTEE
- D. MARKETING COMMITTEE
- E. OPERATIONS TECHNICAL COMMITTEE
- F. CITIZENS ADVISORY COMMITTEE
- Mr. Giulietti reminded the Board Members that it is time to review their appointments to the CAC.
- G. AUDIT COMMITTEE
- H. LEGISLATIVE COMMITTEE
- I. ADVISORY COMMITTEE FOR PERSONS WITH DISABILITIES
- J. LEGAL SERVICES COMMITTEE

MONTHLY REPORTS

Action not required, provided for information purposes only. If discussion is desired by any Board Member, however, that item may be considered separately.

- A. ENGINEERING & CONSTRUCTION MONTHLY PROGRESS REPORTS – September
- B. RIDERSHIP GRAPHS – September
Mr. Giulietti noted that there has been a 5% increase in ridership for the month of September and to date for the month of October, there is a 4% ridership increase.
- C. ON-TIME PERFORMANCE GRAPHS – September
- D. MARKETING MONTHLY SUMMARY – September
- E. BUDGETED INCOME STATEMENT – September
- F. PAYMENTS OVER \$2,500.00 – September
- G. REVENUE AND FARE EVASION REPORTS – September

- H. SOLICITATION SCHEDULE – September
- I. CONTRACT ACTIONS EXECUTED UNDER THE EXECUTIVE DIRECTOR'S AUTHORITY - September
- J. CONTRACT ACTIONS EXECUTED UNDER THE CONSTRUCTION OVERSIGHT COMMITTEE – September
- K. PROPERTY COMMITTEE – PROJECT SCHEDULE - September
- L. SECURITY REPORT – September
- M. EXPIRING CONTRACTS REPORT - Current

EXECUTIVE DIRECTOR REPORTS/COMMENTS

Mr. Giulietti announced that SFRTA had won four marketing awards this week at the Florida Public Transportation Association (FPTA) Conference in Miami. An Award for Excellence for the EDP renewal reminder post cards; an Award of Merit for the quarterly newsletter “Vision;” an Award of Honor for the Super Bowl print add and the Rail Rewards Campaign.

Mr. Giulietti brought to the Board attention that the Customer Service Department has completed the relocation to the east end of the building. He welcomed the Board Members to tour the area after the meeting.

LEGAL COMMENTS

Ms. Moore stated that a summary report regarding the progress on the Miami Intermodal Center (MIC) was distributed to the Board Members earlier in the week.

Mr. Giulietti commented that staff is continuing to work with MIC to expedite the construction. To date, CSXT has rejected all proposals that were outlined in the report. The SFRTA has been assured by FDOT to return to the MIC, yet nothing has been put in writing.

CHAIR COMMENTS

The Chair noted the Miami-Dade Metro Rail Line construction is in full force.

The Chair announced that due to the upcoming holiday schedule, the next SFRTA Governing Board meeting will be on December 10th to begin at 9 a.m.

ADJOURNMENT

The meeting was adjourned at 10:54 a.m.

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: DECEMBER 10, 2010

AGENDA ITEM REPORT

Consent Regular

SUBRECIPIENT AGREEMENT BETWEEN SFRTA AND PALM BEACH COUNTY
FOR JARC GRANT FUNDING

REQUESTED ACTION:

MOTION TO APPROVE: The Subrecipient Agreement between the South Florida Regional Transportation Authority (SFRTA) and the Palm Beach County Board of County Commissioners (Palm Beach County) for JARC grant funding.

SUMMARY EXPLANATION AND BACKGROUND:

The Job Access Reverse Commute (JARC) and New Freedom programs are two grants administered by the Federal Transit Administration (FTA). The JARC program assists individuals in making a successful transition from welfare to work by addressing the unique transportation challenges faced by welfare recipients and low-income persons seeking to get and keep jobs. The New Freedom program was established to support new public transportation services and public transportation alternatives beyond those required by the American with Disabilities Act of 1990.

The FTA notified the Miami Southeast Florida Urbanized Area (UZA) that it would lose funding through these programs unless a formal regional process for JARC and New Freedom programs was established. Per discussions with the FTA, the support of the UZA transit partners and the Metropolitan Planning Organizations (MPOs), SFRTA agreed to become the designated recipient of these funds for the UZA. A designation letter was signed for the Florida Department of Transportation (FDOT), by Marion Hart Jr., State Public Transportation and Modal Administrator, on April 8, 2009, on behalf of the Governor, and submitted to the FTA.

(Continued page 2)

Department: Finance and IT
Project Manager: Carla D. McKeever

Finance & IT Director: Edward T. Woods
Procurement Director: Christopher Bross

FISCAL IMPACT: By administrating this JARC project, SFRTA will receive approximately \$51,000 in administrative cost reimbursements.

EXHIBITS ATTACHED: Exhibit 1 – Palm Beach County Board of County Commissioners (Palm Beach County) Subrecipient Agreement

FEDERAL TRANSIT ADMINISTRATION JOB ACCESS REVERSE COMMUTE (49 U.S.C. 5316) AND NEW FREEDOM PROGRAMS (49 U.S.C. 5317)

SUMMARY EXPLANATION AND BACKGROUND (Contd.):

As the FTA designated recipient for the JARC and New Freedom programs, SFRTA is responsible for the administration of the programs. Up to ten (10) percent of the program funds are available to reimburse SFRTA for its administrative costs. SFRTA completed a very aggressive schedule to secure the funds available through the JARC and New Freedom programs for FY 2008 and 2009. SFRTA was required to conduct a competitive project selection process, review and certify each agency's compliance with the FTA requirements and submit grant applications to the FTA by July 15, 2010.

The SFRTA's Planning Technical Advisory Committee (PTAC), which includes area representatives from three MPOs, three transit providers, two regional planning councils and two FDOT districts, reviewed the applications and made recommendations to the Governing Board. The Governing Board was responsible for final approval and certification of selected JARC and New Freedom applications for the UZA. The Governing Board approved the PTAC funding recommendations for the JARC and New Freedom applications at the April 23, 2010, Governing Board meeting. Palm Beach County was selected as one of the JARC grant recipients.

Staff is requesting Governing Board approval of the Subrecipient Agreement between SFRTA and Palm Beach County to secure \$510,000 in FTA Job Access and Reverse Commute grant funds for the Palm Beach County project, which will extend Palm Tran's Route 94 morning service hours.

FEDERAL TRANSIT ADMINISTRATION JOB ACCESS REVERSE COMMUTE (49 U.S.C. 5316) AND NEW FREEDOM PROGRAMS (49 U.S.C. 5317)

Recommended by: *C. Woods* 12-3-10 Department Director Date
Approved by: *CEZ* 12-3-10 Contracts Director Date

Authorized by: *Joseph Glavin* 12/3/10 Executive Director Date
Approved as to Form by: *J. Brown* 12/3/10 General Counsel Date

Board Action:

Approved: Yes No

Vote: Unanimous

Amended Motion:

Commissioner Steven L. Abrams Yes No
Commissioner Bruno A. Barreiro Yes No
James A. Cummings Yes No
Marie Horenburger Yes No
Commissioner Kristin Jacobs Yes No

Felix M. Lasarte, Esq. Yes No
George A. Morgan, Jr. Yes No
Gus Pego, P.E. Yes No
F. Martin Perry Yes No

SUBRECIPIENT AGREEMENT

Subrecipient: Palm Beach County		Grant Number: FL-37-X052-00
Address: Palm Tran 3201 Electronics Way West Palm Beach, FL 33407		
Project Description: Palm Tran Route 94		
Project Activity Period: <u>January 1, 2011 through January 15, 2014</u>		
Estimated Project Amount:	\$ 1,495,986	SFRTA Action
Section 5316 JARC Funds:	\$ 510,000	Item No:
Local Match:	\$ 985,986	Date:
Federal Grant No:	FL-37-X052-00	
CFDA No. :	20516	

AGREEMENT

THIS AGREEMENT is made and entered into by and between the South Florida Regional Transportation Authority (SFRTA) and Palm Beach County Board of County Commissioners (Palm Beach County), each acting by and through its duly authorized officers.

WHEREAS:

1. SFRTA, acting in its role as the Miami Urbanized Area Designated Recipient, submitted an application to the Federal Transit Administration (FTA) for federal grant funds under the FTA's Job Access and Reverse Commute (JARC) Program pursuant to 49 U.S.C. Section 5316, which included Palm Beach County's project as described herein.
2. The FTA's JARC Program is authorized under the provisions set forth in the Safe, Accountable, Flexible, and Efficient Transportation Equity Act: A Legacy for Users, (SAFETEA-LU), enacted on August 10, 2005, as codified at 49 U.S.C. 5316.
3. The FTA's JARC Program aims to improve access to transportation services to employment and employment related activities for welfare recipients and eligible low-income individuals and to transport residents of urbanized areas and nonurbanized areas to suburban employment opportunities.

4. SFRTA received JARC grant funds from the FTA pursuant to grant number FL-37-X052-00.
5. This Agreement is intended to memorialize the terms under which Palm Beach County is to receive the FTA grant funds.

NOW, THEREFORE, SFRTA and Palm Beach County agree as follows:

ARTICLE I. PALM BEACH COUNTY WORKSCOPE: APPROVED BUDGET AND MATERIAL REPRESENTATIONS

- 1.01 Workscope.** Palm Beach County agrees to perform and complete in a satisfactory and proper manner the Workscope specified in **Exhibit A** (FTA Grant Application) in accordance with the terms and conditions of this Agreement. The Workscope details the activities to be completed by Palm Beach County and a proposed schedule for the completion of the Workscope. All Workscope activities must be consistent with the approved Workscope and the approved budget detailed below. Any proposed change in the scope of work is not effective until Palm Beach County receives written approval from the SFRTA Project Manager.
- 1.02 Approved Budget.** Palm Beach County agrees to complete the Workscope in accordance with the approved budget specified in **Exhibit A**. The approved budget details the cost associated with each scope of work activity. Any request for re-budgeting in excess of twenty percent (20%) of the approved budget must be in writing and approved in writing by SFRTA Project Manager. Re-budgeting of project funds among the existing approved budget items of the Workscope are allowable without prior approval if the amount of project funds to be transferred is less than twenty percent (20%) of the approved budget. However, re-budgeting between operating and capital line items is not allowable due to differing match requirements.
- 1.03 Material Representations.** Palm Beach County agrees that all representations contained in its application for grant assistance are material representations of fact upon which SFRTA relied in awarding this grant and are incorporated by reference into this Agreement.

ARTICLE II. AUTHORIZED USE OF GRANT AND MATCHING FUNDS; ELIGIBILITY OF COST

- 2.01. Authorized Use of Grant and Matching Funds.** Palm Beach County is only authorized to use the grant funds subject to this agreement for costs directly incurred for the performance of the Workscope during the Project Activity Period as specified in section 6.01, and in accordance with the Approved Budget.
- 2.02 Eligibility of costs.** All expenses are subject to FTA regulations including:
 - *FTA Master Agreement* (<http://www.fta.dot.gov/documents/16-Master.pdf>)
 - *Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments*, 49 CFR Part 18 (http://www.access.gpo.gov/nara/cfr/waisidx_06/49cfr18_06.html)

- *Grant Management Requirements*, FTA Circular 5010.1D (http://www.fta.dot.gov/laws/circulars/leg_reg_8640.html)
- *Third Party Contracting Requirements*, FTA Circular 4220.1F, (http://www.fta.dot.gov/laws/circulars/leg_reg_8641.html) (See also paragraph 10.05)
- *Job Access and Reverse Commute Program Guidance*, FTA Circular C 9050.1 (http://www.fta.dot.gov/laws/circulars/leg_reg_6623.html)

Palm Beach County acknowledges that the federal requirements in this Article and throughout this Agreement are subject to change and agrees that the most recent of these requirements shall govern this Agreement at any particular time.

The listed documents are incorporated by reference into this Agreement. Copies of these documents are available at the internet websites indicated or, upon request by Palm Beach County, from SFRTA.

ARTICLE III. GRANT AMOUNT, MATCH AND PAYMENT

3.01 Estimated Project Amount. The total estimated cost of the Workscope is **\$1,495,986**, consisting of the Maximum Federal Grant amount and the Palm Beach County required match.

3.02 Maximum Federal Grant Amount. SFRTA awards to the recipient a grant of up to **\$510,000** for the Workscope. In no event will SFRTA obligation under this Agreement exceed the lesser of the following:

- A. The Maximum Federal Grant Amount; or
- B. The combination of 50% of the total net operating Workscope expenditures plus 80% of capital Workscope expenditures.

SFRTA shall bear no responsibility for cost overruns that may be incurred by Palm Beach County in performance of the Workscope. If it appears likely that additional funds will be needed to complete the Workscope, the parties will meet to discuss the possibility of amending this Agreement.

3.03 Palm Beach County Match. Palm Beach County has an obligation under this Agreement to share in the costs of project by providing a local match from sources other than from FTA funds, i.e. not less than **\$ 985,986** against the Maximum Grant Amount. The eligibility and use of matching funds shall be governed by applicable federal law, regulations and guidance. If the final expenses for the Workscope exceed the Estimated Project Amount, Palm Beach County is responsible for providing the funds to cover the final costs and expenses.

3.04 Reimbursement. Expenses will be reimbursed by SFRTA based on submission of an invoice from Palm Beach County using form attached hereto as **Exhibit B**. Invoices should be submitted in triplicate on the approved form with the following attachments on each copy:

- A. Copies of all receipts for expenses paid during the period; and
- B. Disadvantaged Business Enterprise (DBE) Monthly Subcontractor Utilization report for each third party contract using the approved form attached hereto as **Exhibit C** (see section 5.04).

Palm Beach County shall submit any additional data and information requested by SFRTA to support the Palm Beach County reimbursement request and shall submit any additional data and information that may be required by the federal government for reporting to the FTA.

Upon SFRTA review and approval of the Palm Beach County request, SFRTA will distribute to Palm Beach County the approved reimbursement amount. SFRTA may deny part of any reimbursement request if it reasonably believes that it is not a supportable Workscope expense. If SFRTA intends to deny a reimbursement request, it will first submit the disputed amount to the FTA for its determination as to use of funds along with any supporting documentation provided by Palm Beach County. The FTA's determination will be final. No reimbursement request will be made which would cause the distribution of grant funds to exceed, cumulatively, through such payment, the limits in Article III. Distribution of any funds or approval of any report is not to be construed as SFRTA waiver of any Palm Beach County noncompliance with this Agreement.

- 3.05 Repayment of Unauthorized Use of Grant Funds.** Upon a finding by SFRTA that Palm Beach County has made an unauthorized or undocumented use of grant funds, and upon a written demand for repayment issued by SFRTA, Palm Beach County shall promptly repay such amounts to SFRTA. If Palm Beach County disputes SFRTA's determination, SFRTA will submit the disputed amount to the FTA for its determination as to use of funds along with any supporting documentation provided by Palm Beach County. The FTA's determination will be final.
- 3.06 Reversion of Unexpended Grant Funds.** All funds granted by SFRTA under this Agreement that have not been expended for Workscope activities during the Project Activity Period shall revert to SFRTA.
- 3.07 Grant Contingent on Federal Funding.** Palm Beach County acknowledges and agrees that SFRTA's payment of funds under this Agreement is contingent on SFRTA receiving grant funds from the FTA. If, for any reason, the FTA reduces the amount of SFRTA FTA Grant, or otherwise fails to pay part of the cost or expense of the Workscope in this Agreement, only outstanding incurred costs shall be eligible for reimbursement. Palm Beach County, its contractors, and subcontractors further agree to pay any and all lawful claims arising out of or incidental to the performance of the Workscope covered by this Agreement in the event the FTA does not pay the same, and in all events, agrees to hold SFRTA harmless from those claims and from any claims arising out of this Agreement relating to funding. Notwithstanding any other provisions of the Agreement, in the event the FTA rescinds funding for the FTA Grant, SFRTA may immediately terminate this Agreement by written notice to Palm Beach County.

ARTICLE IV. ACCOUNTING AND RECORDKEEPING REQUIREMENTS

- 4.01 Documentation of Workscope Costs.** All costs charged to the Workscope, whether paid with grant funds or charged as the Palm Beach County match, must be supported by proper documentation, including properly executed payrolls, time records, invoices, contracts, receipts for expenses, vouchers, evidencing in detail the nature and propriety of the charges.
- 4.02 Establishment and Maintenance of Workscope Information.** Palm Beach County agrees to establish and maintain accurate, detailed and complete separate book, accounts, financial records, documentation, and other evidence relating to: (a) the Palm Beach County match under this Agreement, and (b) the receipt and expenditure of all grant funds. These documents shall include the property records required by Article VIII of this Agreement. Palm Beach County shall establish and maintain all such information in accordance with generally accepted accounting principles and practices and shall retain intact all Workscope information until the latest of: (i) six (6) years following the term of this Agreement; or (ii) if any litigation, claims, or audit is commenced during either such period, when all such litigation, claims or audits have resolved.
- 4.03 Audit.** The accounts and records of the parties relating to this Agreement shall be audited in the same manner as all other accounts and records of Palm Beach County are audited. During the time of maintenance of information under paragraph 4.02, authorized representatives of SFRTA, the Legislative Auditor and or State Auditor, the United States Secretary of Transportation, the FTA Administrator, and the United States Comptroller General will have access to all such books, records, documents, accounting practices and procedures, and other information for the purpose of inspection, audit, and copying during normal business hours. The parties will provide facilities for such access and inspection.

ARTICLE V. REPORTING AND MONITORING REQUIREMENTS

- 5.01 Quarterly Milestone Progress Reports.** Palm Beach County shall submit quarterly milestone progress reports to SFRTA. SFRTA shall provide Palm Beach County with an electronic version of the milestone progress report that Palm Beach County must complete. Each quarterly progress report must include a detailed summary of the completed Workscope activities and a report on the Workscope schedule. Both SFRTA and Palm Beach County must approve each quarterly milestone progress report. The Quarterly progress reports are due as follows:
- **January 15 for quarter October 1 – December 31**
 - **April 15 for quarter January 1 – March 31**
 - **July 15 for quarter April 1 – June 30**
 - **October 15 for quarter July 1 – September 30**
- 5.02 Final Reports.** Upon completion of the Workscope and not later than sixty (60) calendar days after the end of the Project Activity Period, Palm Beach County must submit a final progress report and a final financial status report of expenditures for the full Workscope that contains a final accounting of the grant matching expenditures. If outstanding claims related to work occurring during the Project Activity Period still exist sixty (60) days following the end of the

Project Activity Period, the submission of the Final Report shall automatically extend until all outstanding claims have been resolved. The final report must include inventory of Workslope property as required by Article VIII of this Agreement.

- 5.03 Contents of Reports.** Palm Beach County agrees to report completely and to provide SFRTA with any additional or follow-up information as may be requested by SFRTA.
- 5.04 DBE Reporting Requirements.** Palm Beach County shall provide SFRTA with reports on all DBE activity (see section 10.05 E) for each third party agreement in the form attached hereto as **Exhibit C** and based on the procurement requirements set forth in the *FTA Master Agreement (16) Section 15 Procurement* (see section 2.02 for web link).
- 5.05 Other Monitoring Activities.** To assist SFRTA in monitoring compliance with this Agreement, Palm Beach County agrees to attend meetings as requested by SFRTA and to permit site visits by SFRTA staff, during business hours, upon reasonable notice. Palm Beach County agrees to submit to SFRTA a copy of any promotional information regarding the Workslope distributed by Palm Beach County during the term of this Agreement.
- 5.06 Changed Conditions.** Palm Beach County agrees to notify SFRTA immediately of any change in conditions, law, ordinance, or regulation, or any other event that may affect the Palm Beach County ability to perform the Workslope in accordance with the terms of this Agreement.
- 5.07 Special Reporting Requirements.** SFRTA is required to report to the FTA regarding activities. Accordingly, Palm Beach County agrees to provide SFRTA with any additional follow-up information reasonably requested by SFRTA, in order to meet SFRTA FTA reporting requirements. Specific reporting requirements are set forth in the *FTA Master Agreement (16) Section 8 Reporting, Record Retention and Access* (see section 2.02 for web link).

ARTICLE VI. PROJECT ACTIVITY PERIOD; TERM; TERMINATION

- 6.01 Project Activity Period.** Palm Beach County agrees to complete all Workslope activities during the period from January 1, 2011 through January 15, 2014 (Project Activity Period). Grant funds may not be used to reimburse costs for any Workslope activities taking place before the beginning or after the end of the Project Activity Period.
- 6.02 Term.** The term of this Agreement shall extend from the effective date of this Agreement to a date sixty (60) calendar days following the end of the Project Activity Period to permit close out of this Agreement. If outstanding claims related to work occurring during the Project Activity Period still exist sixty (60) calendar days following the end of the Project Activity Period, the Term of this Agreement shall automatically extend for an additional ninety (90) calendar days in order to resolve any and all outstanding claims.

- 6.03 Termination.** Termination of this Agreement by either party shall be governed by the provisions of the *Uniform Administrative Requirements for Grants and Cooperative Agreement, 49 CFR Part 18* (see section 2.02 for web link).
- 6.04 Effect of Workscope Closeout or Termination.** Palm Beach County agrees that Workscope closeout or termination of this Agreement does not invalidate continuing obligations imposed on Palm Beach County by this Agreement. Project closeout or termination of this Agreement does not alter SFRTA authority to disallow costs and recover funds on the basis of a later audit or other review, and does not alter the Palm Beach County obligation to return any funds to SFRTA as a result of later refunds, corrections, or other transactions.

ARTICLE VII. CONTACT PERSONS; PROJECT MANAGER

- 7.01 Contact Persons.** The authorized contact persons for receipts of notices, reports, invoices and approvals under this Agreement are the following:

The SFRTA:

Carla D. McKeever
Grants Administrator
800 NW 33rd St.
Pompano Beach, Florida 33064
954.788.7953
mckeeverc@sfrta.fl.gov

Palm Beach County:

Charles D. Cohen
3201 Electronics Way
West Palm Beach, FL 33407
561.841.4200
CCohen@pbcgov.org

Or such other person as may be designated in writing for itself by either party.

- 7.02 The SFRTA Project Manager.** For purposes of administration of the Agreement, the contact person listed in section 7.01, or such other person as may be designated in writing by the SFRTA Executive Director shall be the Project Manager. Nothing, however, in this Agreement will be deemed to authorize the SFRTA Project Manager to execute amendments to this Agreement on behalf of the SFRTA.
- 7.03 Palm Beach County Project Manager.** For purposes of administration of this Agreement, the contact person listed in section 7.01, or such other person as may be designated in writing by Palm Beach County, shall be the Project Manager. Palm Beach County Project Manager shall coordinate Workscope activity with the SFRTA Project Manager and complete the project manager training provided by the SFRTA to ensure compliance with all federal requirements.

ARTICLE VIII. GRANT PROPERTY

The title, acquisition, use, management, and disposition of all property acquired or constructed with grant funds under this Agreement shall be governed by applicable federal law, rule, and guidance including without limitation, the provisions of:

- *Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments* 49 C.F.R. Parts 18.31, 18.32, and 18.33 (www.access.gpo.gov/nara/cfr/waisidx_98/49cfr18_98.html)
- *Grant Management Requirements*, FTA Circular 5010.ID (http://www.fta.dot.gov/laws/circulars/leg_reg_8640.html)

The listed documents are incorporated by reference into this Agreement. Copies of these documents are available at the internet websites indicated or, upon request by Palm Beach County, from SFRTA.

Palm Beach County acknowledges that the federal requirements in this Article and throughout this Agreement are subject to change and agrees that the most recent requirements shall govern the Agreement at any particular time.

ARTICLE IX. GENERAL CONDITIONS

- 9.01 Amendments.** The terms of this Agreement may be changed only by mutual agreement of the parties. Such changes shall be effective only upon the execution of written amendments signed by authorized officers of the parties to this Agreement.
- 9.02 Assignment Prohibited.** Palm Beach County shall not assign, subgrant or transfer any Workscope activities without receiving the express written consent of SFRTA. SFRTA may condition such consent on compliance by Palm Beach County with terms and conditions specified by SFRTA.
- 9.03 Indemnification.** Palm Beach County assumes liability for and agrees to defend, indemnify and hold harmless SFRTA, its officers, employees and agents from and against all losses, damages, expense, liability, claims, suits, or demands including, without limitation, attorney's fees, arising out of, resulting from, or relating to the performance of the Grant Project by Palm Beach County or the Palm Beach County employees, agents or subcontractors.

Nothing herein is intended to serve as a waiver of sovereign immunity by any party nor shall anything included herein be construed as consent to be sued by third parties in any matter arising out of this Agreement or any other contract. Palm Beach County is a state agency or political subdivision as defined in Chapter 768.28, Florida Statutes, and agrees to be fully responsible for the acts and omissions of its agents or employees to the extent permitted by law.

- 9.04 Workscope Data.** Palm Beach County agrees that the results of the Workscope, the reports submitted, and any new information or technology that is developed with the assistance of this grant is in the public domain and may not be copyrighted or patented by Palm Beach County. Palm Beach County shall allow public access to all documents, records, reports or other material subject to the applicable provisions for Chapter 119 Florida Statutes, and made or received by Palm Beach County in connection with this Agreement. Failure of Palm Beach County to grant such public access may be grounds for termination of the Agreement by the SFRTA.
- 9.05 Nondiscrimination.** Palm Beach County shall agree to comply with all applicable laws relating to nondiscrimination and affirmative action. Palm Beach County agrees to not discriminate against employee, applicant for employment, or participant in the Workscope because of race, color, creed, religion, national origin, sex, marital status with regard to public assistance, membership or activity in a local civil rights commission, disability, or age; and further agrees to take action to ensure that applicants and employees are treated equally with respect to all aspects of employment, including selection for training, rates of pay, and other forms of compensation.
- 9.06 Acknowledgement.** Palm Beach County shall appropriately acknowledge the grant assistance made by SFRTA and the FTA under this agreement in any promotional materials, reports, and publications relating to the Workscope.
- 9.07 Compliance with Law; Obtaining Permits, Licenses, and Authorizations.** Palm Beach County agrees to conduct the Workscope in compliance with all applicable provisions of federal and state laws, rules or regulations. Palm Beach County is responsible for obtaining and complying with all federal or state permits, licenses, and authorizations necessary for performing the Workscope.
- 9.08 Incorporation of Exhibits.** All Exhibits attached to this Agreement will be deemed incorporated into this Agreement.

ARTICLE X. GENERAL FEDERAL REQUIREMENTS

- 10.01 Federal Requirements.** The requirements in this Article X are in addition to and, unless inconsistent and irreconcilable, do not supplant requirements found elsewhere in this Agreement. If any requirement in this Article is inconsistent with a provision found elsewhere in this Agreement and is irreconcilable with such provision, the requirement in this Article shall prevail. When performing work or expanding funds for Project activities, Palm Beach County agrees to comply with all applicable terms and conditions referenced herein. **Palm Beach County acknowledges that the federal requirements in this Article X are subject to change and agrees that the most recent requirements shall govern this Agreement at any particular time.**
- 10.02 Incorporation of Specific Federal Requirements.** Specifically, and without limitation, Palm Beach County agrees to comply with the following federal requirements:

- *FTA Master Agreement* (<http://www.fta.dot.gov/documents/16-Master.pdf>)
- *Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments*, 49 CFR Part 18
(http://www.access.gpo.gov/nara/cfr/waisidx_06/49cfr18_06.html)
- *Grant Management Requirements*, FTA Circular 5010.1D
(http://www.fta.dot.gov/laws/circulars/leg_reg_8640.html)
- *Third Party Contracting Requirements*, FTA Circular 4220.1F,
(http://www.fta.dot.gov/laws/circulars/leg_reg_8641.html) (See also paragraph 10.05)
- *Job Access and Reverse Commute Program Guidance*, FTA Circular C 9050.1
(http://www.fta.dot.gov/laws/circulars/leg_reg_6623.html)

Integrity Certification. By signing this Agreement, Palm Beach County certifies that neither it nor its participants is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in this Agreement by any Federal department or agency. This certification is a material representation of fact upon which the SFRTA relies in entering this Agreement. If it is later determined that Palm Beach County knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment. Palm Beach County shall provide to the SFRTA immediate written notice if at any time Palm Beach County learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

Certification of Restrictions on Lobbying Disclosure. The provisions of this section apply only if the amount of this Agreement (including the value of any amendments thereto) is equal to, or exceeds \$100,000.

Palm Beach County certifies that no federal appropriated funds have been paid or will be paid by or on behalf of Palm Beach County for influencing or attempting to influence an officer or employee of any federal agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant loan, or cooperative agreement. The certification of this compliance (*Lobbying Restriction Certification*) submitted by Palm Beach County in connection with this project is incorporated in, and made a part of, this Agreement.

Palm Beach County further certifies that, if any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee or any federal agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the projects funded by the funds allocated to Palm Beach County in this Agreement, Palm Beach County shall complete and submit to the SFRTA, Standard Form-LLL, *Disclosure Form to Report Lobbying*, in accordance with its instructions.

Palm Beach County certifies that it will require that the language of this certification be included in the award documents for any subcontracts equal to or in excess of \$100,000 under this Agreement, and that all subcontracts shall certify and disclose accordingly to Palm Beach County. All certifications and disclosures shall be forwarded to SFRTA by Palm Beach County.

The certifications referred to in this section (including the *Lobbying Restriction Certification* submitted by Palm Beach County in connection with this project and incorporated in, and made a part of, this Agreement) are material representations of fact upon which SFRTA relies when this contract is made.

- 10.03 Federal Certification and Assurances (C & A); Execution and Incorporation.** Palm Beach County agrees to comply with and to certify compliance with the current Federal *Annual List of Certifications and Assurances for FTA Grants and Cooperative Agreements (C & A)* attached hereto and incorporated herein as **Exhibit D**. Palm Beach County must certify compliance with the applicable provisions by signing the appropriate certification(s) and returning the signed certification(s) as part of the execution of this Agreement. During the terms of this Agreement, Palm Beach County shall annually execute the most current C & A document and provide the same to SFRTA.
- 10.04 Compliance with Federal Procurement Requirements.** Palm Beach County will comply with all applicable federal law, rule, and guidance relating to procurement including, without limitation, the provisions of the *Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments*, 49 C.F.R. Part 18, and *Third Party Contracting Requirements*, FTA Circular 4220.1F, which document is incorporated by reference into this agreement (see section 2.02 for web link). Palm Beach County also shall comply with the following:
- A. Certification of the Palm Beach County Procurement System.** Palm Beach County certifies that its procurement system complies with the standards described in the previous paragraph.
 - B. SFRTA Approval of Contracts.** Palm Beach County shall not execute any third party contract or otherwise enter into a binding agreement until it has first received written approval from the SFRTA Project Manager.
 - C. Inclusion of Provisions in Lower Tier Contracts.** Palm Beach County agrees to include adequate provisions to ensure compliance with applicable federal requirements in each lower tier third party contract financed in whole or in part with financial assistance under this agreement including all applicable provisions of this Agreement.
 - D. Disadvantaged Business Enterprise Requirements.** Palm Beach County agrees to comply with the requirements of 49 C.F.R. Part 26 and the SFRTA U.S. DOT approved Disadvantaged Business Enterprise (DBE) Requirements, which are attached to and incorporated into this Agreement as **Exhibit E**.

E. Debarment and Suspension. Palm Beach County agrees to comply, and assures the compliance of each Palm Beach County, lessee, or third party contractor at any tier, with Executive Order Nos. 12549 and 12689, Debarment and Suspension 31 U.S.C. § 6101 note, and U.S. DOT regulations, Government-wide Debarment and Suspension (Nonprocurement), 49 C.F.R. Part 29. Palm Beach County agrees to and assures that its lessees and third party contractors will review the excluded Parties Listing System at <http://epls.gov/> before entering into any third party subagreement, lease or third party contract [U.S. DOT issued a new amendment to these regulations adopting the optional lower tier coverage for tiers lower than the first tier below a covered nonprocurement transaction]. See, 71 Fed. Reg. 62394, October 25, 2006.

10.05 No Federal Obligation. This grant is financed by federal funds. However, payments to Palm Beach County will be made by SFRTA. The United States is not a party to this Agreement and no reference in this Agreement, to the United States, USDOT, FTA, or any representatives of the federal government makes the United States a party to this Agreement. Palm Beach County shall include this clause in any contracts or agreements under this Agreement.

10.06 Special Provisions. In accordance with Executive Order No. 13513, Federal Leadership on Reducing Text Messaging While Driving, October 1, 2009, 23 U.S.C.A. § 402 note, and DOT Order 3902.10, Text Messaging While December 30, 2009, Palm Beach County is encouraged to comply with the terms of the following Special Provision.

a. Definitions. As used in this Special Provision:

(1) "Driving" means operating a motor vehicle on a roadway, including while temporarily stationary because of traffic, a traffic light, stop sign, or otherwise. "Driving" does not include being in your vehicle (with or without the motor running) in a location off the roadway where it is safe and legal to remain stationary.

(2) "Text Messaging" means reading from or entering data into any handheld or other electronic device, including for the purpose of short message service texting, e-mailing, instant messaging, obtaining navigational information, or engaging in any other form of electronic data retrieval or electronic data communication. The term does not include the use of a cell phone or other electronic device for the limited purpose of entering a telephone number to make an outgoing call or answer an incoming call, unless the practice is prohibited by State or local law.

b. Safety. Palm Beach County is encouraged to:

(1) Adopt and enforce workplace safety policies to decrease crashes caused by distracted drivers including policies to ban text messaging while driving:

(a) County-owned or County-rented vehicles or Government-owned, leased or rented vehicles;

(b) Privately-owned vehicles when on official Project related business or when performing any work for or on behalf of the Project; or

- (c) Any vehicle, on or off duty, and using an employer supplied electronic device.
- (2) Conduct workplace safety initiatives in a manner commensurate with Palm Beach County's size, such as:
 - (a) Establishment of new rules and programs or re-evaluation of existing programs to prohibit text messaging while driving; and
 - (b) Education, awareness, and other outreach to employees about the safety risks associated with texting while driving.

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**SUBRECIPIENT AGREEMENT BETWEEN THE SOUTH FLORIDA REGIONAL
TRANSPORTATION AUTHORITY AND PALM BEACH COUNTY**

IN WITNESS WHEREOF, the parties have caused this agreement to be executed by their duly authorized officers on the dates set forth below. This Subrecipient Agreement is effective upon final execution by both parties.

**PALM BEACH COUNTY BOARD OF
COUNTY COMMISSIONERS**

Date _____

By _____

Chair

**SOUTH FLORIDA REGIONAL
TRANSPORTATION AUTHORITY**

Date _____

By _____

Chair

Attest:

Sharon R. Block, Clerk & Comptroller

Approved as to form:

By: _____

Deputy Clerk

SFRTA General Counsel

Approved as to Form and

Approved as to Terms and Conditions

Legal Sufficiency

Palm Beach County Attorney

Executive Director, Palm Tran

EXHIBITS LIST

Exhibit A	FTA Grant Application - Workscope and Budget
Exhibit B	Expense Reimbursement Form
Exhibit C	DBE Monthly Subcontractor Utilization Report
Exhibit D	Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreement
Exhibit E	The SFRTA USDOT Approved Disadvantaged Business Enterprise Requirements

**EXHIBIT A
WORKSCOPE AND BUDGET**

**Workscope
PALM BEACH COUNTY**

Project description: The subrecipient is proposing to expand the service of Palm Tran’s Route 94 that connects Boca Raton Tri-Rail station and employment centers. The increase in service hours will meet the needs of the riders to connect with transportation modes and allow them to reach work or school in a timely manner.

**Workscope Budget
PALM BEACH COUNTY**

- | | |
|--|--------------------|
| I. OPERATING PROJECT COST: | \$1,495,986 |
| • Expansion of Palm Tran’s Route 94 service | |

II. PARTICIPATION

Maximum Federal Participation	(34%) or	\$510,000
Agency Participation		
In-Kind		
Cash		
Other	(66%) or	\$985,986

TOTAL OPERATING PROJECT COST	\$1,495,986
-------------------------------------	--------------------

In accordance with the requirements of Section 129.07, F.S., Palm Beach County’s approval of this Agreement, its performance and obligations thereunder, and the Agreement’s duration are subject to and contingent upon an annual budgetary appropriation by the Palm Beach County Board of County Commissioners for the purposes of this Agreement.

Notwithstanding anything contained in this Agreement, the County shall not be deemed to have assumed liability for the acts of others nor shall the County be deemed to have assumed liability in excess of the limits established in Section 768.28, F.S, including but not limited to liability for attorney fees and costs. In the event of a conflict between any provision of the Agreement and the provision of this Exhibit A, the latter shall control.

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: DECEMBER 10, 2010

AGENDA ITEM REPORT

Consent Regular

SUBRECIPIENT AGREEMENT BETWEEN SFRTA AND THE CITY OF BOCA RATON
FOR GRANT FUNDING

REQUESTED ACTION:

MOTION TO APPROVE: The Subrecipient Agreement between the South Florida Regional Transportation Authority (SFRTA) and the City of Boca Raton (City).

SUMMARY EXPLANATION AND BACKGROUND:

The City of Boca Raton were selected to receive Federal Transit Administration (FTA) Earmark Funds E2008-BUSP-0727 in the amount of \$343,000 for Shuttle Bus Amenities. As the City is not a designated recipient of Federal funds, the SFRTA was asked to secure and administer the funds on behalf of the City. The SFRTA Governing Board approved an Interlocal Agreement between the SFRTA and the City on April 23, 2010, providing for SFRTA to be the designated recipient of grant funds for the City's project. Upon award of the grant, the City agreed to enter into a Subrecipient Agreement with SFRTA.

As the FTA designated recipient for the Earmark Funds, SFRTA is responsible for the administration of the program. Up to ten (10) percent of the program funds are available to reimburse SFRTA for its administrative costs.

Department: Finance and IT
Project Manager: Carla D. McKeever

Finance & IT Director: Edward T. Woods
Procurement Director: Christopher Bross

FISCAL IMPACT: \$34,300 in anticipated revenue was included in the FY 2010-2011 Budget

EXHIBITS ATTACHED: Exhibit 1 – City of Boca Raton Agreement

FEDERAL TRANSIT ADMINISTRATION SECTION 5309 BUS AND BUS RELATED
FACILITIES AND EQUIPMENT PROGRAM (49 U.S.C. 5309)

Recommended by: *P. Woods* 12-3-10 Approved by: *CE-3* 12/3/10
Department Director Date Contracts Director Date

Authorized by: *[Signature]* 12/3/10 Approved as to Form by: *[Signature]* 12/3/10
Executive Director Date General Counsel Date

Board Action:

Approved: Yes No

Vote: Unanimous

Amended Motion:

Commissioner Steven L. Abrams Yes No
Commissioner Bruno A. Barreiro Yes No
James A. Cummings Yes No
Marie Horenburger Yes No
Commissioner Kristin Jacobs Yes No

Felix M. Lasarte, Esq. Yes No
George A. Morgan, Jr. Yes No
Gus Pego, P.E. Yes No
F. Martin Perry Yes No

SUBRECIPIENT AGREEMENT

Subrecipient: The City of Boca Raton	Grant Number: FL-04-0104-00
Address: 201 W. Palmetto Park Road, Boca Raton, Florida 33064	
Project Description: Multi-Modal Transportation Program Boca Raton	
Project Activity Period: <u>October 1, 2010 through September 30, 2011</u>	
Estimated Project Amount: \$ 404,740	SFRTA Action
Section 5309 : \$ 343,000	Item No:
Local Match: (Toll Revenue Credits) \$ 61,740	Date:
Federal Grant No: FL-04-0104-00	
CFDA No. : 20500	

AGREEMENT

THIS AGREEMENT is made and entered into by and between the South Florida Regional Transportation Authority (“SFRTA”) and the City of Boca Raton (the “City”), each acting by and through its duly authorized officers.

WHEREAS:

1. SFRTA, acting in its role as the Miami Urbanized Area Designated Recipient, submitted an application to the Federal Transit Administration (FTA) for federal grant funds under the FTA’s Fixed Guideway Modernization Program Apportionment for Bus and Bus Related Facilities to 49 U.S.C. Section 5309, which included the City’s Earmark project as described herein.
2. The FTA’s Section 5309 is authorized under the provisions set forth in the Safe, Accountable, Flexible, and Efficient Transportation Equity Act: A Legacy for Users, (SAFETEA-LU), enacted on August 10, 2005, as codified at 49 U.S.C. 5309.
3. The FTA’s Section 5309 for Buses and Bus Related Equipment and Facilities program provides capital assistance for new and replacement buses, related equipment, and facilities
4. SFRTA received Section 5309 Earmark Funds from the FTA pursuant to grant number

FL-04- 0104.

5. This Agreement is intended to memorialize the terms under which the City is to receive the FTA grant funds.

NOW, THEREFORE, SFRTA and the City agree as follows:

ARTICLE I. THE CITY WORKSCOPE: APPROVED BUDGET AND MATERIAL REPRESENTATIONS

- 1.01 Workscope.** The City agrees to perform and complete in a satisfactory and proper manner the Workscope specified on **Exhibit A** (FTA Grant Application) in accordance with the terms and conditions of this Agreement. The Workscope details the activities to be completed by the City and a proposed schedule for the completion of the Workscope. All Workscope activities must be consistent with the approved Workscope and the approved budget detailed below. Any proposed change in the scope of work is not effective until the City receives written approval from SFRTA Project Manager.
- 1.02 Approved Budget.** The City agrees to complete the Workscope in accordance with the approved budget specified on **Exhibit A**. The approved budget details the cost associated with each scope of work activity. Any request for re-budgeting in excess of twenty percent (20%) of the approved budget must be in writing and approved in writing by SFRTA Project Manager. Re-budgeting of project funds among the existing approved budget items of the Workscope are allowable without prior approval if the amount of project funds to be transferred is less than twenty percent (20%) of the approved budget. However, re-budgeting between operating and capital line items is not allowable due to differing match requirements.
- 1.03 Material Representations.** The City agrees that all representations contained in its application for grant assistance are material representations of fact upon which SFRTA relied in awarding this grant and are incorporated by reference into this Agreement.

ARTICLE II. AUTHORIZED USE OF GRANT AND MATCHING FUNDS; ELIGIBILITY OF COST

- 2.01 Authorized Use of Grant and Matching Funds.** The City is only authorized to use the grant funds subject to this agreement for costs directly incurred for the performance of the Workscope during the Project Activity Period as specified in section 6.01, and in accordance with the Approved Budget.
- 2.02 Eligibility of costs.** All expenses are subject to FTA regulations including:
- *FTA Master Agreement* (<http://www.fta.dot.gov/documents/16-Master.pdf>)
 - *Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments*, 49 CFR Part 18 (http://www.access.gpo.gov/nara/cfr/waisidx_06/49cfr18_06.html)
 - *Uniform Administrative Requirements for Grants and Agreements with Institution of Higher Education, Hospitals, and other Non-Profit Organizations*, 49 CFR Part 19 (if applicable)

- (http://www.access.gpo.gov/nara/cfr/waisidx_05/49cfr19_05.html)
- *Grant Management Requirements*, FTA Circular 5010.1D (http://www.fta.dot.gov/laws/circulars/leg_reg_8640.html)
- *Third Party Contracting Requirements*, FTA Circular 4220.1F, (http://www.fta.dot.gov/laws/circulars/leg_reg_8641.html) (See also paragraph 10.05)
- *Capital Investment Program Guidance and Application Instructions*, FTA Circular C 9300.1B (http://www.fta.dot.gov/laws/circulars/leg_reg_8642.html)

The City acknowledges that the federal requirements in this article and throughout this Agreement are subject to change and agrees that the most recent of these requirements shall govern this Agreement at any particular time.

The listed documents are incorporated by reference into this Agreement. Copies of these documents are available at the internet websites indicated or, upon request by the City, from SFRTA.

ARTICLE III. GRANT AMOUNT, MATCH AND PAYMENT

- 3.01 Maximum Federal Grant Amount.** SFRTA awards to the recipient a grant of up to **\$343,000** for the Workslope.

The City agrees to pay SFRTA a fee for the administration of these funds in the amount of 10% of the total amount awarded, or \$34,300. The fee will be subtracted from the total amount of funding available to the City.

SFRTA shall bear no responsibility for cost overruns that may be incurred by the City in performance of the Workslope. If it appears likely that additional funds will be needed to complete the Workslope, the parties will meet to discuss the possibility of amending this Agreement.

- 3.02 Subrecipient Match.** The City has an obligation under this Agreement to share in the costs of project by providing a local match from sources other than from FTA funds, i.e., not less than **\$61,740** against the respective Maximum Grant Amounts. For the purposes of this grant (FTA FL-04-0104-00), the Florida Department of Transportation by memorandum dated May 13, 2010, has authorized the use of State Toll Revenue Credits to be used as the “soft match” for this grant. The State Toll Revenue Credits will be used to fulfill the Town’s local match obligation. If the final expenses for the Workslope are less than the Estimated Project Amount, then local match shall be reduced to twenty percent (20%) of the final Workslope amount as approved by the FTA. If the final expenses for the Workslope exceed the Estimated Project Amount, the Town is responsible for providing the funds to cover the final costs and expenses.
- 3.03 Reimbursement.** Expenses will be reimbursed by SFRTA based on submission of an invoice from the City using form attached hereto as **Exhibit B**. Invoices should be submitted in triplicate on the approved form with the following attachments on each copy:

- A. Copies of all receipts for expenses paid during the period; and
- B. Disadvantaged Business Enterprise (DBE) report for each third party contract using the approved form attached hereto as **Exhibit C** (see section 5.04).

The City shall submit any additional data and information requested by SFRTA to support the City reimbursement request and shall submit any additional data and information that may be required by the federal government for reporting to the FTA.

Upon SFRTA review and approval of the City request, SFRTA will distribute to the City the approved reimbursement amount. SFRTA may deny part of any reimbursement request if it reasonably believes that it is not a supportable Workslope expense. If SFRTA intends to deny a reimbursement request, it will first submit the disputed amount to the FTA for its determination as to the use of funds along with any supporting documentation provided by the City. The FTA's determination will be final. No reimbursement request will be made which would cause the distribution of grant funds to exceed, cumulatively, through such payment, the limits in Article III. Distribution of any funds or approval of any report is not to be construed as SFRTA waiver of any City noncompliance with this Agreement.

- 3.04 Repayment of Unauthorized Use of Grant Funds.** Upon a finding by SFRTA that the City has made an unauthorized or undocumented use of grant funds, and upon a written demand for repayment issued by SFRTA, the City shall promptly repay such amounts to SFRTA. If the City disputes SFRTA's determination, SFRTA will submit the disputed amount to the FTA for its determination as to the use of funds along with any supporting documentation provided by the City. The FTA's determination will be final.
- 3.05 Reversion of Unexpended Grant Funds.** All funds granted by SFRTA under this Agreement that have not been expended for Workslope activities during the Project Activity Period shall revert to SFRTA.
- 3.06 Grant Contingent on Federal Funding.** The City acknowledges and agrees that SFRTA payment of funds under this Agreement is contingent on SFRTA receiving grant funds from the FTA. If, for any reason, the FTA reduces the amount of SFRTA FTA Grant, or otherwise fails to pay part of the cost or expense of the Workslope in this Agreement, only outstanding incurred costs shall be eligible for reimbursement. The City, its contractors, and subcontractors further agree to pay any and all lawful claims arising out of or incidental to the performance of the Workslope covered by this Agreement in the event the FTA does not pay the same, and in all events, agrees to hold SFRTA harmless from those claims and from any claims arising out of this Agreement relating to funding. Notwithstanding any other provisions of the Agreement, in the event the FTA rescinds funding for the FTA Grant, SFRTA may immediately terminate this Agreement by written notice to the City.

ARTICLE IV. ACCOUNTING AND RECORDKEEPING REQUIREMENTS

- 4.01 Documentation of Workslope Costs.** All costs charged to the Workslope, whether paid with grant funds or charged as the City match, if any, must be supported by proper documentation,

including properly executed payrolls, time records, invoices, contracts, receipts for expenses, vouchers, evidencing in detail the nature and propriety of the charges.

4.02 Establishment and Maintenance of Workscope Information. The City agrees to establish and maintain accurate, detailed and complete separate book, accounts, financial records, documentation, and other evidence relating to: (a) the receipt and expenditure of all grant funds. These documents shall include the property records required by Article VIII of this Agreement. The City shall establish and maintain all such information in accordance with generally accepted accounting principles and practices and shall remain intact all Workscope information until the latest of:

- A. Six (6) years following the term of this Agreement; or
- B. If any litigation claims, or audit is commenced during either such period, when all such litigation, claims or audits have resolved.

4.03 Audit. The accounts and records of the parties relating to this Agreement shall be audited in the same manner as all other accounts and records of the City are audited. During the time of maintenance of information under paragraph 4.02, authorized representatives of SFRTA, the Legislative Auditor and or State Auditor, the United States Secretary of Transportation, the FTA Administrator, and the United States Comptroller General will have access to all such books, records, documents, accounting practices and procedures, and other information for the purpose of inspection, audit, and copying during normal business hours. The parties will provide facilities for such access and inspection.

ARTICLE V. REPORTING AND MONITORING REQUIREMENTS

5.01 Quarterly Milestone Progress Reports. The City shall submit quarterly milestone progress reports to SFRTA. SFRTA shall provide the City with an electronic version of the milestone progress report that the City must complete. Each quarterly progress report must include a detailed summary of the completed Workscope activities and a report on the Workscope schedule. Both SFRTA and the City must approve each quarterly milestone progress report. The Quarterly progress reports are due as follows:

- **January 15 for quarter October 1 – December 31**
- **April 15 for quarter January 1 – March 31**
- **July 15 for quarter April 1 – June 30**
- **October 15 for quarter July 1 – September 30**

5.02 Final Reports. Upon completion of the Workscope and not later than sixty (60) calendar days after the end of the Project Activity Period, the City must submit a final progress report and a final financial status report of expenditures for the full Workscope that contains a final accounting of the grant matching expenditures. If outstanding claims related to work occurring during the Project Activity Period still exist sixty (60) days following the end of the Project Activity Period, the submission of the Final Report shall automatically extend until all outstanding claims have been resolved. The final report must include inventory of Workscope property as required by Article VIII of this Agreement.

- 5.03 Contents of Reports.** The City agrees to report completely and to provide SFRTA with any additional or follow-up information as may be requested by SFRTA.
- 5.04 DBE Reporting Requirements.** The City shall provide SFRTA with reports on all DBE activity (see section 10.05 E) for each third party agreement in the form attached hereto as **Exhibit C** and based on the procurement process established for the City in the *Federal Transit Administration Master Agreement Section 15 Procurement*, which is attached hereto as **Exhibit D**.
- 5.05 Other Monitoring Activities.** To assist SFRTA in monitoring compliance with this Agreement, the City agrees to attend meetings as requested by SFRTA and to permit site visits by SFRTA staff, during business hours, upon reasonable notice. The City agrees to submit to SFRTA a copy of any promotional information regarding the Workslope disseminated by the City during the term of this Agreement.
- 5.06 Changed Conditions.** The City agrees to notify SFRTA immediately of any change in conditions, law, ordinance, or regulation, or any other event that may affect the City's ability to perform the Workslope in accordance with the terms of this Agreement.
- 5.07 Special Reporting Requirements.** SFRTA is required to report to the FTA regarding activities. Accordingly, the City agrees to provide SFRTA with any additional follow-up information reasonably requested by SFRTA, in order to meet SFRTA reporting requirements. Specific reporting requirements are included in **Exhibit H**.

ARTICLE VI. PROJECT ACTIVITY PERIOD; TERM; TERMINATION

- 6.01 Project Activity Period.** The City agrees to complete all Workslope activities during the period from October 1, 2010 through September 30, 2011. Grant funds may not be used to reimburse costs for any Workslope activities taking place before the beginning or after the end of the Project Activity Period.
- 6.02 Term.** The term of this Agreement shall extend from the effective date of this Agreement to a date sixty (60) calendar days following the end of the Project Activity Period to permit close out of this Agreement. If outstanding claims related to work occurring during the Project Activity Period still exist sixty (60) calendar days following the end of the Project Activity Period, the Term of this Agreement shall automatically extend for an additional ninety (90) calendar days in order to resolve any and all outstanding claims.
- 6.03 Termination.** Termination of this Agreement by either party shall be governed by the provisions of the Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, 49 CFR Part 18.
- 6.04 Effect of Workslope Closeout or Termination.** The City agrees that Workslope closeout or termination of this Agreement does not invalidate continuing obligations imposed on the City by this Agreement. Project closeout or termination of this Agreement does not alter the authority to disallow costs and recover funds on the basis of a later audit or other review, and

does not alter the City obligation to return any funds to SFRTA as a result of later refunds, corrections, or other transactions.

ARTICLE VII. CONTACT PERSONS; PROJECT MANAGER

7.01 Contact Persons. The authorized contact persons for receipts of notices, reports, invoices and approvals under this Agreement are the following:

SFRTA:

Carla D. McKeever
Grants Administrator
800 NW 33rd St.
Pompano Beach, FL 33064
954.788.7953
mckeeverc@sfrta.fl.gov

City of Boca Raton:

ATTN: Municipal Services Director
201 W. Palmetto Park Road
Boca Raton, Florida 33432
TELEPHONE NUMBER
E-MAIL

ATTN: City Attorney
201 W. Palmetto Park Road
Boca Raton, Florida 33432
TELEPHONE NUMBER
E-MAIL

Or such other person as may be designated in writing for itself by either party.

7.02 SFRTA Project Manager. For purposes of administration of the Agreement, the contact person listed in section 7.01, or such other person as may be designated in writing by SFRTA Executive Director shall be the Project Manager. Nothing, however, in this Agreement will be deemed to authorize SFRTA Project Manager to execute amendments to this Agreement on behalf of SFRTA.

7.03 City of Boca Raton Project Manager. For purposes of administration of this Agreement, the contact person listed in section 7.01, or such other person as may be designated in writing by the City, shall be the Project Manager. The City Project Manager shall coordinate Workslope activity with SFRTA Project Manager and complete the project manager training provided by SFRTA to ensure compliance with all federal requirements.

ARTICLE VIII. GRANT PROPERTY

The title, acquisition, use, management, and disposition of all property acquired or constructed with grant funds under this Agreement shall be governed by applicable federal law, rule, and guidance including without limitation, the provisions of:

- *Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments* 49 C.F.R. Part 18
(www.access.gpo.gov/nara/cfr/waisidx_98/49cfr18_98.html)
- *Grant Management Requirements*, FTA Circular 5010.1D
(http://www.fta.dot.gov/laws/circulars/leg_reg_8640.html)

The listed documents are incorporated by reference into this Agreement. Copies of these documents are available at the internet websites indicated or, upon request by the City, from SFRTA.

The City acknowledges that the federal requirements in this Article and throughout this Agreement are subject to change and agrees that the most recent requirements shall govern the Agreement at any particular time.

ARTICLE IX. GENERAL CONDITIONS

9.01 Amendments. The terms of this Agreement may be changed only by mutual agreement of the parties. Such changes shall be effective only upon the execution of written amendments signed by authorized officers of the parties to this Agreement.

9.02 Assignment Prohibited. The City shall not assign, subgrant or transfer any Workscope activities without receiving the express written consent of SFRTA. SFRTA may condition such consent on compliance by the City with terms and conditions specified by SFRTA.

9.03 Indemnification. The City assumes liability for and agrees to defend, indemnify and hold harmless SFRTA, its officers, employees and agents from and against all losses, damages, expense, liability, claims, suits, or demands including, without limitation, attorney's fees, arising out of, resulting from, or relating to the performance of the Grant Project by the City or the City employees, agents or subcontractors.

Nothing herein is intended to serve as a waiver of sovereign immunity by any party nor shall anything included herein be construed as consent to be sued by third parties in any matter arising out of this Agreement or any other contract. The City is a state agency or political subdivision as defined in Chapter 768.28, Florida Statutes, and agrees to be fully responsible for the acts and omissions of its agents or employees to the extent permitted by law.

9.04 Workscope Data. The City agrees that the results of the Workscope, the reports submitted, and any new information or technology that is developed with the assistance of this grant is in the public domain and may not be copyrighted or patented by the City. The City shall allow public access to all documents, records, reports or other material subject to the applicable provisions for Chapter 119 Florida Statutes, and made or received by the City in connection

with this Agreement. Failure of the City to grant such public access may be grounds for termination of the Agreement by SFRTA.

- 9.05 Nondiscrimination.** The City shall agree to comply with all applicable laws relating to nondiscrimination and affirmative action. The City agrees to not discriminate against employee, applicant for employment, or participant in the Workscope because of race, color, creed, religion, national origin, sex, marital status with regard to public assistance, membership or activity in a local civil rights commission, disability, or age; and further agrees to take action to ensure that applicants and employees are treated equally with respect to all aspects of employment, including selection for training, rates of pay, and other forms of compensation.
- 9.06 Acknowledgement.** The City shall appropriately acknowledge the grant assistance made by SFRTA and the FTA under this agreement in any promotional materials, reports, and publications relating to the Workscope.
- 9.07 Compliance with Law; Obtaining Permits, Licenses, and Authorizations.** The City agrees to conduct the Workscope in compliance with all applicable provisions of federal and state laws, rules or regulations. The City is responsible for obtaining and complying with all federal or state permits, licenses, and authorizations necessary for performing the Workscope.
- 9.08 Incorporation of Exhibits.** All Exhibits attached to this Agreement will be deemed incorporated into this Agreement.

ARTICLE X. GENERAL FEDERAL REQUIREMENTS

- 10.01 Federal Requirements.** The requirements in this Article X are in addition to and, unless inconsistent and irreconcilable, do not supplant requirements found elsewhere in this Agreement. If any requirement in this Article is inconsistent with a provision found elsewhere in this Agreement and is irreconcilable with such provision, the requirement in this Article shall prevail. When performing work or expanding funds for Project activities, the City agrees to comply with all applicable terms and conditions referenced herein. **The City acknowledges that the federal requirements in this Article X are subject to change and agrees that the most recent requirements shall govern this Agreement at any particular time.**
- 10.02 Incorporation of Specific Federal Requirements.** Specifically, and without limitation, the City agrees to comply with the federal requirements set forth in **Exhibit E** and agrees to require, unless specifically exempted, the City (if authorized) and third party contractors at every tier to comply with the same. These requirements include, but are not limited to the following:

Debarment and Suspension. The City agrees to comply, and assures the compliance of each the City, lessee, or third party contractor at any tier, with Executive Order Nos. 12549 and 12689, *Debarment and Suspension* 31 U.S.C. § 6101 note, and U.S. DOT regulations, *Government-wide Debarment and Suspension (Nonprocurement)*, 49 C.F.R. Part 29. The City agrees to and assures that its City, lessees, and third party contractors will review the *excluded Parties Listing System* at <http://epls.gov/> before entering into any third party subagreement,

lease or third party contract [U.S. DOT issued a new amendment to these regulations adopting the optional lower tier coverage for tiers lower than the first tier below a covered nonprocurement transaction]. *See, 71 Fed. Reg. 62394, October 25, 2006.*

Integrity Certification. By signing this Agreement, the City certifies that neither it nor its participants is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in this Agreement by any Federal department or agency. This certification is a material representation of fact upon which SFRTA relies in entering this Agreement. If it is later determined that the City knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment. The City shall provide to SFRTA immediate written notice if at any time the City learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

Certification of Restrictions on Lobbying Disclosure. The provisions of this section apply only if the amount of this Agreement (including the value of any amendments thereto) is equal to, or exceeds \$100,000.

The City certifies that no federal appropriated funds have been paid or will be paid by or on behalf of the City for influencing or attempting to influence an officer or employee of any federal agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant loan, or cooperative agreement. The certification of this compliance (*Lobbying Restriction Certification*) submitted by the City in connection with this project is incorporated in, and made a part of, this Agreement.

The City further certifies that, if any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee or any federal agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the projects funded by the funds allocated to the City in this Agreement, the City shall complete and submit to SFRTA, Standard Form-LLL, *Disclosure Form to Report Lobbying*, in accordance with its instructions.

The City certifies that it will require that the language of this certification be included in the award documents for any subcontracts equal to or in excess of \$100,000 under this Agreement, and that all subcontracts shall certify and disclose accordingly to the City. All certifications and disclosures shall be forwarded to SFRTA by the City.

The certifications referred to in this section (including the *Lobbying Restriction Certification* submitted by the City in connection with this project and incorporated in, and made a part of, this Agreement) are material representations of fact upon which SFRTA relies when this contract is made.

10.03 Federal Certification and Assurances (C & A); Execution and Incorporation. The City agrees to comply with and to certify compliance with the current *Federal Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements (C & A)* attached hereto and incorporated herein as **Exhibit F**. The City must certify compliance with the applicable provisions by signing the appropriate certification(s) and returning the signed certification(s) as part of the execution of this Agreement. During the terms of this Agreement, the City shall annually execute the most current C & A document and provide the same to SFRTA.

10.04 Compliance with Federal Requirements; Incorporation of Specific Documents by Reference. The City agrees to comply with all federal statutes, rules, FTA Circulars, Executive Orders, guidance, and other requirements that may be applicable to this grant. In particular, and without limitation, the City agrees to comply with the terms and conditions of the following documents when performing work or expending funds for Workscope activities.

- *FTA Master Agreement* (<http://www.fta.dot.gov/documents/16-Master.pdf>)
- *Uniform, Administrative Requirements for Grants and Cooperative Agreement to State and Local Government*, 49 CFR Part 18)
www.access.gpo.gov/nara/cfr/waisidx_98/49cfr18_98.html
- *Grant Management Requirements*, FTA Circular 5010.1D,
(http://www.fta.dot.gov/laws/circulars/leg_reg_8640.html)

The listed documents are incorporated by reference into this Agreement. Copies of these documents are available at the internet websites indicated or, upon request by the City, from SFRTA.

10.05 Compliance with Federal Procurement Requirement. The City will comply with all applicable federal law, rule, and guidance relating to procurement including, without limitation, the provisions of *Third Party Contracting Requirements*, FTA Circular 4220.1F, which document is incorporated by reference into this agreement. A copy of this document is available at the FTA internet website, http://www.fta.dot.gov/laws/circulars/leg_reg_8641.html or upon request by the City, from SFRTA. The *Federal Procurement Basics* is attached hereto as **Exhibit D** to provide the City process for procurement under this Agreement.

- A. Certification of the City of Boca Raton Procurement System.** The City certifies that its procurement system complies with the standards described in the previous paragraph.
- B. SFRTA Approval of Contracts.** The City shall not execute any third party contract or otherwise enter into a binding agreement until it has first received written approval from SFRTA Project Manager.
- C. Inclusion of Provisions in Lower Tier Contracts.** The City agrees to include adequate provisions to ensure compliance with applicable federal requirements in each lower tier third party contract financed in whole or in part with financial assistance under this agreement including all applicable provisions of this Agreement.

D. Disadvantaged Business Enterprise Requirements. The City agrees to comply with the requirements of 49 C.F.R. Part 26 and SFRTA U.S. DOT approved Disadvantaged Business Enterprise (DBE) Requirements, which is attached to and incorporated into this Agreement as **Exhibit G**.

10.06 No Federal Obligation. This grant is financed by federal funds. However, payments to the City will be made by SFRTA. The United States is not a party to this Agreement and no reference in this Agreement, to the United States, USDOT, FTA, or any representatives of the federal government makes the United States a party to this Agreement. The City shall include this clause in any contracts or agreements under this Agreement.

10.07 Special Provisions. In accordance with Executive Order No. 13513, Federal Leadership on Reducing Text Messaging While Driving, October 1, 2009, 23 U.S.C.A. § 402 note, and DOT Order 3902.10, Text Messaging While December 30, 2009, the City is encouraged to comply with the terms of the following Special Provision.

a. Definitions. As used in this Special Provision:

(1) "Driving" means operating a motor vehicle on a roadway, including while temporarily stationary because of traffic, a traffic light, stop sign, or otherwise. "Driving" does not include being in your vehicle (with or without the motor running) in a location off the roadway where it is safe and legal to remain stationary.

(2) "Text Messaging" means reading from or entering data into any handheld or other electronic device, including for the purpose of short message service texting, e-mailing, instant messaging, obtaining navigational information, or engaging in any other form of electronic data retrieval or electronic data communication. The term does not include the use of a cell phone or other electronic device for the limited purpose of entering a telephone number to make an outgoing call or answer an incoming call, unless the practice is prohibited by State or local law.

b. Safety. The City is encouraged to:

(1) Adopt and enforce workplace safety policies to decrease crashes caused by distracted drivers including policies to ban text messaging while driving:

(a) City-owned or City-rented vehicles or Government-owned, leased or rented vehicles;

(b) Privately-owned vehicles when on official Project related business or when performing any work for or on behalf of the Project; or

(c) Any vehicle, on or off duty, and using an employer supplied electronic device.

(2) Conduct workplace safety initiatives in a manner commensurate with the City's size, such as:

(a) Establishment of new rules and programs or re-evaluation of existing programs to prohibit text messaging while driving; and

(b) Education, awareness, and other outreach to employees about the safety risks associated with texting while driving.

IN WITNESS WHEREOF, the parties have caused this agreement to be executed by their duly authorized officers on the dates set forth below. This agreement is effective upon final execution by both parties.

ATTEST:

CITY OF BOCA RATON

City Clerk

Date: _____
By: _____
Susan Welchel, Mayor

Approved as to Form and Legal Sufficiency:

City Attorney

**SOUTH FLORIDA REGIONAL
TRANSPORTATION AUTHORITY**

Date _____

By _____
Chair

Approved as to form:

SFRTA General Counsel

Approved by City Council on _____, 20, Item # _____

EXHIBITS LIST

Exhibit A	FTA Grant Application
Exhibit B	Expense Reimbursement Form
Exhibit C	DBE Monthly Subcontractor Utilization Report
Exhibit D	FTA Master Agreement Section 15 Procurement
Exhibit E	Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments
Exhibit F	Annual List of certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreement
Exhibit G	SFRTA USDOT Approved Disadvantaged Business Enterprise Requirements
Exhibit H	FTA Master Agreement Section 8 Reporting, Record Retention and Access

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: DECEMBER 10, 2010

AGENDA ITEM REPORT

Consent Regular

AGREEMENT NO. 11-008
WITH GONZALEZ AND SONS EQUIPMENT, INC.
FOR CONSTRUCTION SERVICES FOR THE
HIALEAH YARD STORAGE TRACKS

REQUESTED ACTION:

MOTION TO APPROVE: Agreement No. 11-008, between South Florida Regional Transportation Authority (SFRTA) and Gonzalez and Sons Equipment, Inc. for Construction Services at the Hialeah Yard in the lump sum amount of \$1,693,000.

SUMMARY EXPLANATION AND BACKGROUND:

In order to accommodate SFRTA's new rolling stock, SFRTA required the services of a Contractor for the partial demolition, upgrade, expansion and construction of 3,300 linear feet of storage tracks (4-track configuration) with a 340-foot inspection pit for the existing SFRTA commuter rail yard located in Hialeah.

On August 29, 2010, SFRTA advertised the Invitation to Bid (ITB) on its website and in three (3) area newspapers and solicitation documents were made available on August 30, 2010. Seventeen firms purchased solicitation documents. A Pre-Bid Conference was held on September 8, 2010. On October 13, 2010, SFRTA received three (3) bids. Staff performed a verification of the bid submittals and deemed all bids non-responsive.

On November 1, 2010, SFRTA distributed solicitation documents to the seventeen (17) previous document holders. On November 23, 2010, SFRTA received three (3) bids. Staff performed a verification of the lowest bid submittal received by Gonzalez and Sons Equipment, Inc. and determined Gonzalez and Sons Equipment, Inc to be the lowest responsive and responsible bid received. Staff recommends Gonzalez and Sons Equipment, Inc. be awarded the Agreement.

Department: Engineering and Construction
Project Manager: Brandy Creed, P.E.

Department Director: Daniel R. Mazza, P.E.
Procurement Director: Christopher Bross

FISCAL IMPACT: Funding for this project is available in the approved SFRTA FY 2010-11 Capital Budget.

EXHIBITS ATTACHED: Exhibit 1 – Agreement No. 11-008



AGREEMENT NO. 11-008

BETWEEN

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY

AND

GONZALEZ AND SONS EQUIPMENT, INC.

FOR

HIALEAH YARD STROAGE TRACKS

AGREEMENT NO. 11-008

BETWEEN

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY

AND

GONZALEZ AND SONS EQUIPMENT, INC.

FOR

HIALEAH YARD STORAGE TRACKS

This is an Agreement, by and between SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY, hereinafter referred to as "SFRTA",

AND

Gonzalez and Sons Equipment, Inc., a Florida corporation, authorized to and doing business in the State of Florida, hereinafter referred to as "CONTRACTOR".

DEFINITIONS

For the purposes of this Agreement and the various covenants, conditions, terms, and provisions which follow, the definitions and identifications set forth below are assumed to be true and correct and are agreed upon by the parties.

- a. Board. The Board and governing body of the SFRTA, created pursuant to Chapter 343, Florida Statutes (2003).
- b. Contract Administrator. The Executive Director for SFRTA or his/her appointed designee. In the administration of this Agreement, all parties may rely upon the instructions or determinations made by the Contract Administrator.
- c. Contractor. The Contractor selected to perform the services pursuant to this Agreement is Gonzalez and Sons Equipment, Inc.
- d. Project. Providing all services described in the Contract Documents.
- e. Project Manager. A person supplied by SFRTA and the single point of contact for Contractor with SFRTA.

PREAMBLE

In order to establish the background, context, and frame of reference for this Agreement and to generally express the objectives and intentions of the respective parties herein, the following statements, representations, and explanations shall be accepted as predicated for the undertakings and commitments included within the provisions which follow and may be relied upon by the parties as essential elements of the mutual consideration upon which this Agreement is based.

- An Invitation to Bid was solicited by SFRTA as ITB No. 11-008, for HIALEAH YARD STORAGE TRACKS, and CONTRACTOR was determined to be the lowest responsive and responsible bidder.
- The South Florida Regional Transportation Authority, during any fiscal year, shall not expend money, incur any liability or enter into any contract which, by its terms, involves the expenditure of money in excess of the amounts budgeted as available for expenditure during such fiscal year. Any contract, verbal or written, made in violation of this subsection is null and void and no money may be paid on such contract.

CONTRACTOR covenants and agrees to perform all obligations of CONTRACTOR set forth in this Agreement and in the documents listed below, which documents are incorporated herein by reference and which documents together with this Agreement are hereinafter called "Contract Documents".

Instructions to Bidders
General Terms and Conditions
Special Terms and Conditions
Scope of Services
Definition of Terms
All Exhibits and Attachments
Addendum No. 1 & 2
Bid Form
Bidder's Qualification Certification
Key Employee Certification
Drugfree Workplace Certification
Debarment & Suspension Certification
Certification of Restriction on Lobbying
Truth in Negotiation Certificate
Declaration of Non-Collusion Certification
Schedule of Subcontractors
Certificate of Disbursement of Previous Periodic Payment to Subcontractors
Subcontractors Certificate of Previous Payment
Monthly Subcontractor Utilization Report
Monthly Employee Utilization Report

TERM

The period of performance shall be for a term of one hundred fifty days (150) from the issuance of a Notice to Proceed.

COMPENSATION

SFRTA agrees to pay the CONTRACTOR compensation as specified in the Contract Documents the maximum not-to-exceed amount of One Million Six Hundred Ninety Three Thousand and no/100 Dollars (\$1,693,000.00).

ADDRESSES

CONTRACTOR:

Gonzalez and Sons Equipment, Inc.
9390 NW 109th Street
Medley, Florida, 33178

Attn: Juan I. Gonzalez

SFRTA:

South Florida Regional Transportation Authority (SFRTA)
800 N.W. 33rd Street
Pompano Beach, FL 33064

Attn: Brandy Creed

IN WITNESS WHEREOF, the parties have made and executed this Agreement on the respective date under each signature: _____, signing by and through its _____, duly authorized to execute same and **SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY**, signing by and through its Chair, authorized to execute same by Board action on the ____ day of _____, 2010.

SFRTA

ATTEST:

SOUTH FLORIDA REGIONAL
TRANSPORTATION AUTHORITY

JOSEPH GIULIETTI
EXECUTIVE DIRECTOR

By _____
COMMISSIONER BRUNO A. BARRERIO, CHAIR

____ DAY OF _____, 2010

(SFRTA SEAL)

Approved as to form by:

CHRIS BROSS, Director
Procurement

GENERAL COUNSEL

ATTEST:

GONZALEZ AND SONS EQUIPMENT, INC.

WITNESS

PRESIDENT OR VICE-PRESIDENT

____ DAY OF _____, 2010

(Corporate Seal)

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: DECEMBER 10, 2010

AGENDA ITEM REPORT

Consent Regular

AGREEMENT NO. 10-005
BROOKVILLE EQUIPMENT CORPORATION
PROCUREMENT OF NEW COMMUTER RAIL LOCOMOTIVES

REQUESTED ACTION:

MOTION TO APPROVE: Agreement No. 10-005 between South Florida Regional Transportation Authority (SFRTA) and Brookville Equipment Corporation for the purchase of ten (10) new commuter rail locomotives in the amount of \$44,788,000.00.

SUMMARY EXPLANATION AND BACKGROUND:

On February 25, 2010, the SFRTA began advertising an Invitation to Bid (ITB) for the purchase of New Commuter Rail Locomotives. Solicitation documents were made available on March 1, 2010, and a Pre-Bid conference was held on March 18, 2010. On July 7, 2010, SFRTA received two (2) bids. Following a complete review of the technical information submitted by each bidder, and following a review of responses to clarification questions, a determination was reached that both bidders were technically responsive and responsible to the requirements as stated in the solicitation and would have their respective price envelopes opened at a public opening on September 17, 2010. The lowest bid was received from Brookville Equipment Corporation. A verification of the bid submittal from Brookville Equipment Corporation was performed, as well as a Pre-Award Buy America audit as required by the Federal Transit Administration, to determine Brookville Equipment Corporation as being the lowest responsive and responsible bidder. The contract is for the procurement of a base order of ten (10) locomotives, with the option to procure up to an additional seventeen (17) locomotives for the unit price of \$3,835,000.00 each. Four (4) of the option locomotives have been included in this procurement for assignment to Sound Transit, Seattle Washington. The contract amount of \$44,788,000.00 includes the ten (10) locomotives, a comprehensive training program, manuals and documentation, special tools, diagnostic and test equipment and an allowance for spare parts.

Department: Engineering & Construction
Project Manager: Pat McCammon (BAH)

Department Director: Daniel R. Mazza, P.E.
Procurement Director: Christopher Bross

FISCAL IMPACT: Funding is available from a combination of the American Recovery and Reinvestment Act (ARRA), and Federal, State and capital budget sources, as identified in the approved FY-10-11 Capital Budget.

EXHIBITS ATTACHED: Exhibit 1 – Agreement No. 10-005



AGREEMENT NO. 10-005

BETWEEN

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY

AND

BROOKVILLE EQUIPMENT CORPORATION

FOR

PROCUREMENT OF NEW LOCOMOTIVES

AGREEMENT NO. 10-005

BETWEEN

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY

AND

BROOKVILLE EQUIPMENT CORPORATION

FOR

PROCUREMENT OF NEW LOCOMOTIVES

This is an Agreement, by and between SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY, hereinafter referred to as "SFRTA",

AND

BROOKVILLE EQUIPMENT CORPORATION, a Pennsylvania Corporation, authorized to and doing business in the State of Florida, hereinafter referred to as "CONTRACTOR".

DEFINITIONS

For the purposes of this Agreement and the various covenants, conditions, terms, and provisions which follow, the definitions and identifications set forth below are assumed to be true and correct and are agreed upon by the parties.

- a. Board. The Board and governing body of the SFRTA, created pursuant to Chapter 343, Florida Statutes (2003).
- b. Contract Administrator. The Executive Director for SFRTA or appointed designee. In the administration of this Agreement, all parties may rely upon the instructions or determinations made by the Contract Administrator.
- c. Contractor. The Contractor selected to perform the services pursuant to this Agreement is Brookville Equipment Corporation.
- d. Project. Providing all services described in the Contract Documents.
- e. Project Manager. A person supplied by SFRTA and the single point of contact for Contractor with SFRTA.

PREAMBLE

In order to establish the background, context, and frame of reference for this Agreement and to generally express the objectives and intentions of the respective parties herein, the following statements, representations, and explanations shall be accepted as predicated for the undertakings and commitments included within the provisions which follow and may be relied upon by the parties as essential elements of the mutual consideration upon which this Agreement is based.

- An Invitation to Bid was advertised by SFRTA as ITB No. 10-005, for PROCUREMENT OF NEW LOCOMOTIVES, and CONTRACTOR was determined to be the lowest responsive and responsible bidder.
- The South Florida Regional Transportation Authority, during any fiscal year, shall not expend money, incur any liability or enter into any contract which, by its terms, involves the expenditure of money in excess of the amounts budgeted as available for expenditure during such fiscal year. Any contract, verbal or written, made in violation of this subsection is null and void and no money may be paid on such contract.

CONTRACTOR covenants and agrees to perform all obligations of CONTRACTOR set forth in this Agreement and in the documents listed below, which documents are incorporated herein by reference and which documents together with this Agreement are hereinafter called "Contract Documents".

Instructions to Bidders
General Terms and Conditions
Special Terms and Conditions
Technical Specifications
Definition of Terms
Advertisement
All Exhibits and Attachments
Addendum Nos. 1 thru 9
Brookville Equipment Corporation Technical Information Package dated 7/7/2010
Brookville Equipment Corporation Response to Request for Clarification dated 9/1/2010
Bid Form
Bidder's Qualification Certification
Key Employee Certification
Drugfree Workplace Certification
Debarment & Suspension Certification
Declaration of Non-Collusion Certification
Buy America Certification
Transit Vehicle Manufacturer Certification
Schedule of Subcontractors
Certificate of Disbursement of Previous Periodic Payment to Subcontractors
Subcontractors Certificate of Previous Payment
Monthly Subcontractor Utilization Report
Monthly Employee Utilization Report
Release and Affidavit

TERM

The period of performance shall be for a term of Seven Hundred Thirty (730) days from the issuance of a Notice to Proceed.

COMPENSATION

SFRTA agrees to pay the CONTRACTOR compensation as specified in the Contract Documents the total contract amount of FORTY FOUR MILLION SEVEN HUNDRED EIGHTY EIGHT THOUSAND DOLLARS and NO CENTS (\$44,788,000.00).

ADDRESSES

CONTRACTOR:

Brookville Equipment Corporation
175 Evans Street
Brookville, PA 15825

Attn: Mr. Larry J. Conrad

SFRTA:

South Florida Regional Transportation Authority (SFRTA)
800 N.W. 33rd Street
Pompano Beach, FL 33064

Attn: Mr. Dan Mazza

IN WITNESS WHEREOF, the parties have made and executed this Agreement on the respective date under each signature: **BROOKVILLE EQUIPMENT CORPORATION**, signing by and through its _____, duly authorized to execute same and **SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY**, signing by and through its Chair, authorized to execute same by Board action on the 10th day of December, 2010.

SFRTA

ATTEST:

SOUTH FLORIDA REGIONAL
TRANSPORTATION AUTHORITY

JOSEPH GIULIETTI
EXECUTIVE DIRECTOR

By _____
COMMISSIONER BRUNO A. BARREIRO
CHAIR

_____ DAY OF _____, 2010

(SFRTA SEAL)

Approved as to form by:

CHRISTOPHER BROSS
Director, Procurement

TERESA MOORE
General Counsel, SFRTA

ATTEST:

BROOKVILLE EQUIPMENT CORPORATION

WITNESS

PRESIDENT OR VICE-PRESIDENT

_____ DAY OF _____, 2010

(Corporate Seal)

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: DECEMBER 10, 2010AGENDA ITEM REPORT Consent RegularTIME EXTENSIONS FOR JOINT PARTICIPATION AGREEMENTS WITH THE FLORIDA
DEPARTMENT OF TRANSPORTATIONREQUESTED ACTION:

MOTION TO APPROVE: Time extension letters with the Florida Department of Transportation to extend the following Joint Participation Agreements (“JPA’s”) from their current expiration date of December 31, 2010, to the respective dates mentioned below:

1. JPA No. AOY56 (Fin. Proj. No. 420344-1-94-01) until December 31, 2012;
2. JPA No. AOU80 (FM No. 421871-1-94-01) until December 30, 2011;
3. JPA No. AOH38 (Fin. Proj. No. 236816-1-84-01) until June 30, 2011;
4. JPA No. AOM88 (Fin. Proj. No. 420079-1-84-01) until June 30, 2011; and
5. JPA No. ADP90 (FM No. 423933-1-84-01) until December 31, 2011.

SUMMARY EXPLANATION AND BACKGROUND:

The joint participation agreement is the mechanism FDOT uses to provide funding to agencies for transportation purposes. The following JPA’s with SFRTA are due to expire on December 31, 2010:

JPA No. AOY56

This JPA is for funding of station improvements at Delray Beach, Ft. Lauderdale Airport and Pompano Beach Stations in the amount of \$5,166,000. It was approved by the Board at its December 7, 2007 meeting.

(Continued on page 2)

Department: Finance & IT
Project Manager: Elizabeth Walter

Department Director: Ed Woods
Procurement Director: Christopher Bross

FISCAL IMPACT: N/AEXHIBITS:

- Exhibit 1 – Time Extension Letter for JPA No. AOY56
- Exhibit 2 – Time Extension Letter for JPA No. AOU80
- Exhibit 3 – Time Extension Letter for JPA No. AOH38
- Exhibit 4 – Time Extension Letter for JPA No. AOM88
- Exhibit 5 -- Time Extension Letter for JPA No. ADP90

TIME EXTENSIONS FOR JOINT PARTICIPATION AGREEMENTS WITH THE FLORIDA
DEPARTMENT OF TRANSPORTATION

SUMMARY EXPLANATION AND BACKGROUND: (continued)

JPA No. AOU80

This JPA is for funding of \$6,000,000 in TRIP funds for the purpose of purchasing additional rolling stock for Tri-Rail operations. It was approved by the Board at its April 27, 2007 meeting. The TRIP funds will match \$6,000,000 from the 3 counties, and together the \$12,000,000 will be matched with \$12,000,000 of federal funds.

JPA No. AOH38

This JPA is the mechanism by which FDOT has granted SFRTA both annual operating funds, as well as the authority to operate a commuter rail service on the South Florida Rail Corridor. As a result of the recent adoption of the legislation providing SFRTA with a dedicated funding source, the provisions of the JPA relating to funding are no longer required. SFRTA and FDOT staff and attorneys are still negotiating the terms of an operating agreement to replace the relevant operations language in the JPA. Although the extension is until June 30, 2011, it is anticipated that the new operating agreement will be completed by early 2011.

JPA No. AOM88

This JPA is for the funding, management, control and dispatching of the New River Bridge. Funding for the New River Bridge has been included as part of the new dedicated funding source. The relevant operating terms of this JPA will be incorporated into the operating agreement referenced above. Although the extension is until June 30, 2011, it is anticipated that the new operating agreement will be completed by early 2011.

JPA No. ADP90

This JPA is for marketing of the new Smart Card/TVM machines for \$300,000. It was approved by the Board at its December 12, 2008 meeting.

TIME EXTENSIONS FOR JOINT PARTICIPATION AGREEMENTS WITH THE FLORIDA
DEPARTMENT OF TRANSPORTATION

Recommended by: *P. Woods* 12/7/10 Approved by: *CE-3* 12/7/10
Department Director Date Contracts Director Date

Authorized by: *[Signature]* 12/7/10 Approved as to Form by: *[Signature]* 12/7/10
Executive Director Date General Counsel Date

Board Action:

Approved: Yes No

Vote: Unanimous

Amended Motion:

Commissioner Steve Abrams	<input type="checkbox"/> Yes <input type="checkbox"/> No	Felix M. Lasarte	<input type="checkbox"/> Yes <input type="checkbox"/> No
Commissioner Bruno Barreiro	<input type="checkbox"/> Yes <input type="checkbox"/> No	George A. Morgan, Jr.	<input type="checkbox"/> Yes <input type="checkbox"/> No
James Cummings	<input type="checkbox"/> Yes <input type="checkbox"/> No	Gus Pego, P.E.	<input type="checkbox"/> Yes <input type="checkbox"/> No
Marie Horenburger	<input type="checkbox"/> Yes <input type="checkbox"/> No	F. Martin Perry	<input type="checkbox"/> Yes <input type="checkbox"/> No
Commissioner Kristin Jacobs	<input type="checkbox"/> Yes <input type="checkbox"/> No		



Florida Department of Transportation

CHARLIE CRIST
GOVERNOR

OFFICE OF MODAL DEVELOPMENT
3400 W. Commercial Blvd.
Ft. Lauderdale, FL 33309-3421
Telephone: (954) 777-4490 Fax: (954) 677-7892

STEPHANIE C. KOPELOUSOS
SECRETARY

MEMORANDUM

DATE: December 6, 2010
TO: Ms. Elizabeth A Walter-Ebersole
Assistant Finance Director
South Florida Regional Transportation Authority
FROM: Thomas P. Keane
Rail Coordinator
Office of Modal Development
SUBJECT: EXTENSION OF TIME - Joint Participation Agreement

Fin. Proj. No.: 420344-1-94-01

Contract No.: AOY56

The time allotted for the completion of services on subject agreement dated December 17, 2007 and any supplemental thereto will expire on December 31, 2010.

Project Description: To provide funding to SFRTA for station improvements at Delray Beach, Ft. Lauderdale Airport and Pompano Beach Stations.

In accordance with Section 18.00 of the Joint Participation Agreement, you may request a time extension. For your convenience, you may complete, sign, date, and return this form to me.

It is requested that the Joint Participation Agreement for the project be extended until December 31, 2012 for the following reason(s): (Use attachment if necessary)

This extension is being requested for continuation of ongoing and additional station improvements at the location mentioned above.

Agency: South Florida Regional Transportation Authority. Date: _____

By: _____ Title: _____

APPROVED: _____ Date: _____

District Project Manager

APPROVED: _____ Date: _____

Director Transportation Development, District 4



Florida Department of Transportation

CHARLIE CRIST GOVERNOR

STEPHANIE C. KOPELOUSOS SECRETARY

MEMORANDUM

DATE: October 26, 2010
TO: Elizabeth Walter-Ebersole
FROM: Nancy A. Ziegler, District Modal Development Administrator
SUBJECT: EXTENSION OF TIME-Joint Participation Agreement -Contract: AOU80

FM No. 421871-1-94-01 Contract No. AOU80

The time allotted for the completion of services on subject agreement dated July 5, 2007 and any supplemental thereto will expire on December 31, 2010.

In accordance with Section 18.00 of the Joint Participation Agreement, you may request a time extension. For your convenience, you may complete, sign, date and return this form to me.

It is requested that the Joint Participation Agreement for the subject project be extended until December 30, 2011 for the following reason(s): (Use attachment if necessary) - see attached documentation.

Agency: South Florida Regional Transportation Authority Date:
By: Title:
APPROVED: Date:
District Project Manager
District Director Transportation Development Date:



Florida Department of Transportation

CHARLIE CRIST
GOVERNOR

OFFICE OF MODAL DEVELOPMENT
3400 W. Commercial Blvd.
Ft. Lauderdale, FL 33309-3421
Telephone: (954) 777-4490 Fax: (954) 677-7892

STEPHANIE C. KOPELOUSOS
SECRETARY

MEMORANDUM

DATE: December 6, 2010
TO: Ms. Elizabeth Walter
Assistant Finance Director
South Florida Regional Transportation Authority
FROM: Thomas P. Keane
Rail Coordinator
Office of Modal Development
SUBJECT: **EXTENSION OF TIME - Joint Participation Agreement**

Fin. Proj. No.: 236816-1-84-01 Contract No.: AOH38

The time allotted for the completion of services on subject agreement dated June 23, 2006 and any supplemental thereto will expire on December 31, 2010.

Project Description: To provide FY 2010/2011 operating funds and transfer of management responsibilities for the Florida Commuter Rail Passenger Services from the Florida Department of Transportation (FDOT) to the South Regional Transportation Authority (SFRTA).

In accordance with Section 18.00 of the Joint Participation Agreement, you may request a time extension. For your convenience, you may complete, sign, date, and return this form to me.

It is requested that the Joint Participation Agreement for the project be extended until June 30, 2011 for the following reason(s):

This extension is requested due to a delay in obtaining an operating agreement between SFRTA and FDOT allowing SFRTA the authority to continue commuter train operations over the South Florida Rail Corridor as stipulated in Exhibit "C" Item # 4 wherein FDOT granted SFRTA the right to operate its trains on the trackage of the SFRC subject to the provisions of the Operating and Maintenance Agreement Phase A between CSXT and FDOT. Expiration of this Agreement will be considered termination of the project.

Agency: South Florida Regional Transportation Authority

Date: _____

By: _____

Title: _____

APPROVED: _____

Date: _____

District Project Manager

APPROVED: _____

Date: _____

Director Transportation Development, District 4



Florida Department of Transportation

CHARLIE CRIST
GOVERNOR

OFFICE OF MODAL DEVELOPMENT
3400 W. Commercial Blvd.
Ft. Lauderdale, FL 33309-3421
Telephone: (954) 777-4490 Fax: (954) 677-7892

STEPHANIE C. KOPELOUSOS
SECRETARY

MEMORANDUM

DATE: December 6, 2010
TO: Ms. Elizabeth Walter
Assistant Finance Director
South Florida Regional Transportation Authority
FROM: Thomas P. Keane
Rail Coordinator
Office of Modal Development
SUBJECT: **EXTENSION OF TIME - Joint Participation Agreement**

Fin. Proj. No.: 420079-1-84-01 Contract No.: AOM88

The time allotted for the completion of services on subject agreement dated November 28 2006 and any supplemental thereto will expire on December 31, 2010.

Project Description: To provide funding and authority for South Florida Regional Transportation Authority (SFRTA) to manage, direct and control all railroad operations over the New River Bridge Corridor. This includes the dispatching and controlling of entry of all trains, locomotives, rail cars and rail equipment using the New River Bridge Corridor; and inspection and maintaining of all structures, tracks, communications and signals, and appurtenances in the New River Bridge Corridor.

In accordance with Section 18.00 of the Joint Participation Agreement, you may request a time extension. For your convenience, you may complete, sign, date, and return this form to me.

It is requested that the Supplemental Joint Participation Agreement or the project be extended until June 30, 2011 for the following reason(s): (Use attachment if necessary)

This extension is requested due to a delay in obtaining an operating agreement between SFRTA and FDOT allowing SFRTA the authority to continue to manage, direct and control all railroad operations over the New River Bridge Corridor. This includes the dispatching and controlling of entry of all trains, locomotives, rail cars and rail equipment using the New River Bridge Corridor; and inspection and maintaining of all structures, tracks, communications and signals, and appurtenances in the New River Bridge Corridor. Expiration of this Agreement will be considered termination of the project.

Agency: South Florida Regional Transportation Authority. Date: _____

By: _____ Title: _____

APPROVED: _____ Date: _____
District Project Manager

APPROVED: _____ Date: _____
Director Transportation Development, District 4



Florida Department of Transportation

CHARLIE CRIST
GOVERNOR

STEPHANIE C. KOPELOUSOS
SECRETARY

MEMORANDUM

DATE: October 27, 2010

TO: Elizabeth Walter-Ebersole
800 NW 33rd Street
Pompano Beach, Florida 33309

FROM: Nancy A. Ziegler, District Modal Development Administrator

SUBJECT: EXTENSION OF TIME--Joint Participation Agreement --Contract: APD90

FM No. 423933-1-84-01 Contract No. APD90

The time allotted for the completion of services on subject agreement dated December 12, 2008 and any supplemental thereto will expire on December 1, 2010.

In accordance with Section 18.00 of the Joint Participation Agreement, you may request a time extension. For your convenience, you may complete, sign, date and return this form to me.

It is requested that the Joint Participation Agreement for the subject project be extended until December 31, 2011 for the following reason(s): (Use attachment if necessary) – see attached documentation.

Agency: South Florida Regional Transportation Authority Date: _____

By: _____ Title: _____
APPROVED: _____

Date: _____

District Project Manager

Date: _____

District Director Transportation Development

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: DECEMBER 10, 2010

AGENDA ITEM REPORT

Information Item Presentation

PLANNING TECHNICAL ADVISORY COMMITTEE (PTAC) REPORT

SUMMARY EXPLANATION AND BACKGROUND:

In response to a request made by the South Florida Regional Transportation Authority (SFRTA) Governing Board at its meeting on August 22, 2008, SFRTA staff has been providing a report regarding the activities of the SFRTA Planning Technical Advisory Committee (PTAC). While early PTAC reports covered basic information such as committee duties and membership, the PTAC Report now includes details such as agenda items and activities of individual PTAC meetings. The following PTAC Report is a summary of the PTAC meeting held on October 13, 2010.

Agenda items at the October 2010 meeting of the SFRTA PTAC included:

- Election of PTAC Chair and Vice-Chair
- South Florida East Coast Corridor (SFECC) Transit Analysis Study
Locally-Preferred Systems Alternative
- Miami River-Miami Intermodal Center Capacity Improvement (MR-MICCI)
- Tri-Rail Northern Layover Facility Study

Elections for PTAC Chair and Vice-Chair were held, as the committee leadership is selected on a fiscal year basis. Mr. Randy Whitfield, Director of the Palm Beach Metropolitan Planning Organization (MPO), was elected as PTAC Chair. Mr. Joseph Quinty, Transportation Planning Manager for SFRTA, was elected Vice-Chair. The votes for both the Chair and Vice-Chair positions were unanimous.

The PTAC heard a presentation from the Florida Department of Transportation (FDOT) project manager of the South Florida East Coast Corridor (SFECC) Transit Analysis Study. FDOT was seeking PTAC endorsement of a Locally-Preferred Systems Alternative for the 85-mile Florida East Coast Railway corridor extending from downtown Miami to Jupiter. The committee discussed how the Southeast Florida Transportation Council (SEFTC) in September had approved regional rail (DMU or push-pull) with the inclusion of Metrorail technology as its preferred alternative.

(Continued on Page 2)

Department: Planning & Capital Development
Project Manager: William L. Cross, P.E.

Department Director: Daniel R. Mazza, P.E.
Procurement Director: Chris Bross

EXHIBITS ATTACHED: None

PLANNING TECHNICAL ADVISORY COMMITTEE (PTAC) REPORT

SUMMARY EXPLANATION AND BACKGROUND: (Continued)

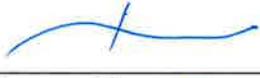
The PTAC was also informed that the Miami-Dade MPO Transportation Planning Council (TPC) had recently approved regional rail without any consideration of Metrorail as its preferred alternative. After brief discussion, the PTAC unanimously endorsed regional rail (without consideration of Metrorail) as its preferred alternative.

As an informational item, SFRTA's project consultant gave a presentation on the Miami River-Miami Intermodal Center Capacity Improvement (MR-MICCI). The MR-MICCI study is examining extra rail capacity on the South Florida Rail Corridor (SFRC) in the vicinity of the Miami River. The consultant explained that there is currently only one track over the river, causing a bottleneck for existing Tri-Rail services. This situation is expected to worsen in future years as the MIC is completed and becomes Amtrak's southern terminus. The project team mentioned the various agencies and other projects in the area that will require coordination. Current study tasks include surveying and geotechnical work, bridge inspection, and data collection. The project is also being entered into FDOT's Efficient Transportation Decision Making (ETDM) screening process. It was noted that SFRTA is funding this study through its environmental phase. Funding is not yet in place for the full PD&E, design, or construction phases. Further updates to the PTAC will continue in the months ahead.

Another informational item followed- a presentation on SFRTA's study that is examining a new layover and light maintenance facility at the northern end of Tri-Rail's service area. Shortcomings of Tri-Rail's existing West Palm Beach layover and light maintenance facility were documented, including the facility's layout, lack of expansion opportunities, and adjacent land uses. It was noted that the situation at the current facility will become even more challenging when additional Tri-Rail rolling stock arrives in the coming years. The limited capacity of the current West Palm Beach facility also serves as an impediment for future Tri-Rail expansion, particularly the integrated regional rail alternative that has been developed as part of FDOT's SFECC Study. The project consultant shared some of the study's key findings to date, which include general space needs for a new facility, a list of essential and desirable features of a new facility, and the determination that heavy maintenance functions should remain at the existing Hialeah Yard and not be moved to a potential new northern facility. The consultant shared information on current and upcoming activities, including the examination of vacant and available sites that would meet the space requirements and the screening of issues such as land use, environmental justice, and right-of-way availability. It was mentioned that further review by the PTAC, review by the SFRTA Governing Board, and extensive outreach with individual cities would be needed before any of the potential sites for a new facility moves forward.

The next PTAC meetings will be held on November 17, 2010 and December 15, 2010.

PLANNING TECHNICAL ADVISORY COMMITTEE (PTAC) REPORT

Recommended by:  12/3/10 Approved by: 
Department Director Date Contracts Director Date

Authorized by:  12/3/10 Approved as to Form by:  12/7/10
Executive Director Date General Counsel Date

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY

CONSTRUCTION OVERSIGHT COMMITTEE

The Construction Oversight Committee did not meet during the Month of November, 2010.

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY

CONSTRUCTION OVERSIGHT COMMITTEE

The Construction Oversight Committee did not meet during the Month of October, 2010.

MINUTES
SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
PLANNING TECHNICAL ADVISORY COMMITTEE (PTAC) MEETING
OCTOBER 13, 2010

The Planning Technical Advisory Committee (PTAC) meeting was held at 10:30 a.m. on Wednesday, October 13, 2010 in the Boardroom of the South Florida Regional Transportation Authority (SFRTA), Administrative Offices located at 800 NW 33rd Street, Suite 100, Pompano Beach, Florida 33064.

COMMITTEE MEMBERS/ALTERNATES PRESENT:

Mr. William Cross, South Florida Regional Transportation Authority (SFRTA)
Mr. Wilson Fernandez, Miami-Dade Metropolitan Planning Organization (MPO)
Mr. Paul Flavien, Broward MPO
Ms. Amie Goddeau, Florida Department of Transportation (FDOT) District 4
Ms. Christine Heshmati, South Florida Regional Planning Council (RPC)
Mr. Tom Keane, FDOT District 4
Mr. Joseph Quinty, SFRTA
Mr. Jonathan Roberson, Broward County Transit (BCT)
Mr. Greg Stuart, Broward MPO
Mr. Fred Stubbs, Palm Tran
Mr. Randy Whitfield, Palm Beach MPO, Chairman

ALSO PRESENT:

Mr. Stephen Anderson, SFRTA
Ms. Loraine Cargill, SFRTA
Mr. Carlos Cruz-Casas, Kimley-Horn
Mr. John Lafferty, PB Americas
Ms. Elaine Magnum, SFRTA
Mr. Dan Mazza, SFRTA
Ms. Lynda Mifsud, Jacobs
Ms. Claudra Russell
Mr. Scott Seeburger, FDOT District 4
Ms. Myrna Valdez, Gannett Fleming
Ms. Eric Zahn, SFRTA
Mr. Enrique Zelaya, Broward County Planning

CALL TO ORDER

The Chair called the meeting to order at 10:40 a.m.

ROLL CALL

The Chair requested the roll call.

PLEDGE OF ALLEGIANCE

AGENDA APPROVAL – Additions, Deletions, Revisions

A motion was made by Mr. Tom Keane to approve the agenda. The motion was seconded by Mr. Greg Stuart. The motion was called to a vote and carried unanimously.

DISCUSSION ITEMS

MATTERS BY THE PUBLIC – None

CONSENT AGENDA

Those matters included under the Consent Agenda are self-explanatory and are not expected to require review or discussion. Items will be enacted by one motion in the form listed below. If discussion is desired by any Committee Member, however, that item may be removed from the Consent Agenda and considered separately.

C1 – MOTION TO APPROVE: Minutes of Planning Technical Advisory Committee Meeting of June 16, 2010.

Mr. Stuart made a motion to approve the meeting minutes. The motion was seconded by Mr. Jonathan Roberson. The motion was called to a vote and carried unanimously.

REGULAR AGENDA

Those matters included under the Regular Agenda differ from the Consent Agenda in that items will be voted on individually. In addition, presentations will be made on each motion, if so desired.

R1. - MOTION TO ELECT: Chair and Vice-Chair of the Planning Technical Advisory Committee

Mr. Joseph Quinty introduced the item, stating that committee by-laws call for the election of the Chair and Vice-Chair on a fiscal year basis. The floor was then opened for nominations. There was some discussion of whether the by-laws called for SFRTA staff to always serve as Vice-Chair. Mr. Quinty said that he has never seen that stated in the by-laws, but it has generally been the practice during the time he’s worked for SFRTA. Mr. Stuart made a motion to elect Mr. Joseph Quinty as Vice Chair of PTAC and the motion was seconded by Ms. Amie Goddeau. The motion was called to a vote and passed unanimously. This was followed by Mr. Wilson Fernandez making a motion to elect Mr. Randy Whitfield as Chair of PTAC. The motion was seconded by Ms. Christine Heshmati. The motion was called to a vote and carried unanimously.

R2. - MOTION TO ENDORSE: South Florida East Coast Corridor (SFECC) Transit Analysis Study Locally-Preferred Systems Alternative

Chairman Whitfield noted that committee members had likely seen this item presented in various other venues. He commented that in September the SEFTC endorsed a Regional Rail alternative with the inclusion of Metrorail, but that the Miami-Dade MPO TPC recently endorsed the standard Regional Rail (DMU or push-pull) alternative without consideration of Metrorail. Noting that the Miami-Dade committee had recommended against the inclusion of Metrorail technology, Mr. Whitfield suggested that

the PTAC do the same. Mr. Wilson Fernandez then made a motion to endorse the Regional Rail (DMU or push-pull) alternative. The motion was seconded by Ms. Heshmati. The motion was called to a vote and passed unanimously.

Mr. Quinty asked Mr. Seeburger if any new issues or challenges had arisen during the presentations across the region. Mr. Seeburger stated that finding an acceptable crossing of the New River in downtown Fort Lauderdale continues to be a technical challenge. He also noted that the project's lack of user benefit figures at this point is an issue in Miami-Dade. He also commented that although there is strong support thus far among elected officials for rail, there are a few who think BRT and a busway in the corridor should be the preferred alternative. Mr. Seeburger said that the FEC Railway's strong opposition to a busway in their corridor is basically a fatal flaw for that alternative. He also noted that Secretary level FDOT staff will attend the upcoming project presentations to the Broward MPO and Miami-Dade MPO.

INFORMATION / PRESENTATION ITEMS
Action not required, provided for information purposes only.

11. - INFORMATION: Miami River-Miami Intermodal Center Capacity Improvement (MR-MICCI)

Ms. Loraine Cargill of SFRTA and Mr. John Lafferty of Parsons Brinckerhoff combined to give a presentation on the MR-MICCI project. Ms. Cargill started by stating that 1.25 miles of single track remains in the vicinity of the Miami River. She said that this causes an operational bottleneck and this project seeks to add capacity to this segment of the South Florida Rail Corridor (SFRC). She noted that the timing is critical because the MIC will be opening in coming years and there are additional planned services such as Amtrak and high-speed rail that are also proposed to terminate at the MIC. Ms. Cargill said that the Miami River also presents challenges, as it is still a navigable waterway at this location.

Mr. Lafferty provided further details on the river and the existing single track rail bridge. He stated that the existing bridge is a Scherzer rolling lift bridge that was constructed in the 1920's. He said that the bridge is an eligible structure, but not on the National Historic Register. He noted that there are limited bridge openings and an on-call bridge tender. Mr. Lafferty also said that the navigable section of Miami River extends approximately 1,000 feet upstream of the SFRC. This causes potential clearance issues, but a precedent has been set by the Airport Link Metrorail Extension, which is building a new fixed bridge with 40-foot vertical clearance just east of the SFRC. He also noted that the proposed alignment for MDX's SR 112 Interconnector Project, which will provide a future direct connection between SR 836 and SR 112, crosses the Miami River just west of SFRC.

Mr. Lafferty then discussed current project tasks and future schedule. He stated that ETDM coordination with FDOT Districts 6 and 4 has begun, with the project entering the ETDM programming screen in the coming weeks. He also said that this work will help determine the environmental class of action required and which federal agency will serve as the lead. Mr. Lafferty explained that we are now in the first of two project phases. The current phase includes surveying and geotechnical work, bridge inspection, and data collection for other projects located in the study area. Ms. Cargill added that this first phase is expected to be completed in January. Ms. Goddeau offered FDOT assistance in conducting the bridge inspection. Mr. Fernandez asked if this project is part of the Jacksonville to Miami Amtrak application. Ms. Goddeau replied that Miami River area capacity improvements were not included in the Amtrak application. Mr. William Cross stated that SFRTA has agreed to fund this study through the

environmental phase. He added that there are no funds currently programmed for the full PD&E study, which would be expected to take approximately two years.

12. - INFORMATION: Tri-Rail Northern Layover Facility Study

Ms. Lynda Mifsud of Jacobs Engineering gave a detailed presentation on SFRTA's study that is examining a new layover and light maintenance facility at the northern end of Tri-Rail's service area. Ms. Mifsud stated that the current layover and light maintenance facility in West Palm Beach has many shortcomings. She noted that it has two tracks, is 55' wide, and can now store an extra train set due to recent fence expansion. However, its layout includes stub end tracks, which presents challenges for maneuvering trains, especially in the event of breakdowns. She commented that the configuration and capacity of the current facility will present more challenges when additional Tri-Rail rolling stock arrives in the coming years and also serves as an impediment for future Tri-Rail expansion. Ms. Mifsud said that tasks performed thus far include review of past studies, interviews with SFRTA staff and their maintenance contractor, analysis of current service plans, and analysis of service plans proposed as part of the FDOT South Florida East Coast Corridor Study's integrated regional rail alternative. She explained that completion of these tasks has resulted in key recommendations. These recommendations are that a new layover & light maintenance facility is needed and that major/heavy maintenance functions should remain at the Hialeah Yard. Ms. Mifsud stated that a new facility would require a 6 to 10 acre site and have a cost of approximately \$12 to \$14 million. A list of essential and desirable facility elements has also been developed. Ms. Mifsud noted that a current task is the examination of vacant and available sites in the study area that would meet these space requirements. She clarified that the study is not yet going through the detailed environment process yet, but rather is a planning level needs and location analysis. She also explained that issues such as land use, environmental justice, and right-of-way availability will be among the criteria used in the upcoming analysis of individual potential sites. Ms. Mifsud also said that extensive coordination with FDOT and FTA would take place once sites and other project specifics are identified.

Mr. Roberson asked if any NIMBY issues have arisen yet. Ms. Mifsud clarified that no formal public outreach has taken place yet. Mr. Quinty added that SFRTA now gets frequent complaints from residents living very close to the existing West Palm Beach layover facility. He also noted that meetings with municipal staff would take place before going to the general public, once the wide range of potential sites is narrowed down. Ms. Goddeau suggested that the FEC's current rail yard in West Palm Beach be considered, especially since integrated SFRC/FEC passenger services are being proposed by the SFECC study.

OTHER BUSINESS: Florida Public Transportation Association (FPTA) Annual Conference

Chairman Whitfield reminded PTAC members of the materials in their agenda packet regarding the upcoming FPTA Annual Conference in Miami, scheduled for October 17 – 19, 2010.

PTAC MEMBER COMMENTS

None

ADJOURNMENT

The meeting was adjourned at 11:45 pm.

MINUTES
SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
MARKETING COMMITTEE MEETING
October 6, 2010

The Marketing Committee Meeting of the South Florida Regional Transportation Authority Governing Board was held at 2:00 P.M. on Tuesday, October 6, 2010 at SFRTA/Tri-Rail, 800 NW 33rd Street, Pompano Beach, FL 33064.

COMMITTEE MEMBERS PRESENT

Robyn Chiarelli, (Chair) FDOT
Lili Finke, Palm Tran (dialed in to meeting)

COMMITTEE MEMBERS NOT PRESENT

Bonnie Arnold, (Vice Chair) SFRTA/Tri-Rail
Phyllis Berry, Broward County Transit
Nestor Morales, Miami-Dade Transit

ALSO PRESENT

Denis Eirikis, FPTA
Robyn Hankerson, Bitner Goodman
Steve Rosenberg, SFRTA/Tri-Rail
Doris Williams, Broward County Transit
Patricia Zeiler, Downtown Ft. Lauderdale TMA

CALL TO ORDER

The meeting was called to order at 2:08 p.m.

ROLL CALL

A quorum was present.

AGENDA APPROVAL

Ms. Chiarelli moved for Agenda approval. Ms. Finke seconded the motion.

MINUTES APPROVAL

Ms. Chiarelli moved for Prior Meeting Minutes approval. Ms. Finke seconded the motion.

MATTERS BY THE PUBLIC

None

REGULAR AGENDA

INFORMATION / PRESENTATION ITEMS

I1 – IM4 TRANSIT CAMPAIGN

(DENIS EIRIKIS, CLEAR LIGHT PUBLIC RELATIONS / FLORIDA PUBLIC TRANSPORTATION ASSOCIATION & AMERICAN PUBLIC TRANSPORTATION ASSOCIATION)

- Mr. Eirikis stated that he is employed by Clear Light Public Relations and is representing the FPTA at this meeting.
- He thanked the Committee for its participation in the “Tell Your Story” campaign and stated that 250 stories were told, one of which was the story Deanna Droira-Garcia told to the U. S. Congress in person about how an illness necessitated her using public transportation extensively, and how well it worked for her needs. He stated that 100 other such stories were identified throughout the state, so there will be other faces who will be telling their stories. MDT placed Tell Your Story posters in every vehicle and this received the greatest response.
- A statewide survey was conducted via an FDOT rideshare database and through newsletter publicity.
- Mr. Eirikis is conducting outreach regarding funding issues by doing presentation for chambers of commerce and economic councils.
- Traffic congestion is the greatest concern of the public. Saving money and energy independence are also issues of great interest to the public.
- 93% of survey-takers responded overwhelmingly that public transportation is important for Florida’s future. 43% of respondents feel that it is so important an issue, they are willing to help spread the word.
- The FPTA and APTA are co-launching a campaign to identify pro-transportation Floridians.
- IM4 Transit was launched with the expected partnership of the 27 member agencies of the FPTA. This program will be announced in Miami next week. Once it is determined that a person is pro-transit, the effort will be made to get their zip code and e-mail address. It will be presented to FPTA’s Board of Directors that the member agencies should have the ability to share databases, but a strict rule of not sharing outside of the network of 27 agencies, must be observed.
- Mr. Eirikis left the Committee with the consideration of thinking of ways to reach employees, riders and vendors.

I2 - EMERGENCY RIDE HOME CAMPAIGN

(JIM UDVARDY, SOUTH FLORIDA COMMUTER SERVICES)

- Ms. Chiarelli spoke on behalf of Mr. Udvardy who was not present. SFCS is preparing to launch an Emergency Ride Home Campaign which is available to anyone who is taking an alternative mode other than driving, and would therefore be entitled to six (6) rides home per year in case of an emergency or unscheduled overtime. The objective is to promote this to all of the transit riders, all car-poolers, van-poolers, bicycle riders, etc. The hope is to have the transit agencies send out e-mail blasts and newsletter announcements in order to get the word out about this campaign.

**13 - REDUCTION OF ROUTES AND INITIATION OF NEW ROUTES
HOW CAN SFCS ASSIST IN SPREADING THE WORD? (JIM UDVARDY, SFCS)**

- Ms. Chiarelli stated this item applies to SFRTA's shuttle routes, but since Ms. Arnold is not present, the issues cannot be addressed. Some of the routes are being reduced and new routes are being introduced.
- Broward County Transit also has service reductions to discuss. Ms. Williams stated that BCT is working with people on an individual basis and is performing trip-planning services for them. Staff will be placing notices where the routes are being eliminated so that people are forewarned while the route is still operating. She stated that she will refer people who need additional solutions to SFCS. Ms. Chiarelli offered to help with collaterals as needed.
- SFCS is available to assist in getting the word out that if a route was eliminated, they will help find an alternative way to get the passengers to their destinations.
- Ms. Zeiler stated she is having a problem with the route taken over by Tri-Rail because it's an early morning route. Some special needs people are having some difficulty with this change. SFRTA has been making adjustments to the routes to accommodate these people.

**14 - ANY ISSUES OR CAMPAIGNS TO COINCIDE WITH UPCOMING HOLIDAYS?
(ROBYN CHIARELLI, FDOT)**

- Ms. Williams stated that sometime between Thanksgiving and Christmas, BCT wants to do a thank you to its passengers in these difficult times. Pollo Tropical has donated some \$5.00 coupons and Regal Cinema has donated some tickets. The way to dispense these items needs to be decided upon. In addition, BCT will promote its annual, "Ride BCT for the Holidays."
- Ms. Zeiler stated that the TMA has a partnership with the Ft. Lauderdale Beach Council on the Chamber of Commerce. This will be the first Beach centennial event of the city's centennial. The trolleys will be decorated and a bus loop will take place on the night of the light-up. There will be some free items will be given out, like cookies, and there will be carolers on hand. It is being called a "gingerbread tour," because there will be holiday displays along the trolley's route. The season will be called "mistletoes and martinis," further promoting the route and the holidays. BCT's Routes 40 and 11, regularly run along this route.
- Ms. Zeiler further stated that public transit will be used. They will be using the trolley to get to Tri-Rail to get to the MPO for the big December meeting. Both boards' advisory and executive members, including the commissioners will be sharing this experience.

15 - REVIEW QUARTERLY GOALS AND OBTAIN EVERYONE'S CONCURRENCE:

The following goals were proposed by Ms. Chiarelli:

- OCT – DEC = To be decided (FIELD TRIP?)
- JAN – APR = REGIONAL CALL CENTER CHALLENGE

- Ms. Arnold will lead this initiative as a goal. It was originally considered for November, but due to the upcoming APTA Conference, it was moved to the January through April timeframe. It will be South Florida's regional answer to APTA's Call Center Challenge which is on a national level.
- MAY – JULY = DUMP THE PUMP
To be discussed at a future meeting.
- AUG – OCT = RECIPROCAL AGREEMENTS (OR TBD?)
To be discussed at a future meeting.
- Ms. Hankerson stated that each year, the SFRTA/Tri-Rail Marketing Plan is used as the basis for specific goals and related participation in various events and initiatives. Bitner Goodman would be available to plan a workshop at which these ideas can be discussed, documented and put into action so that these goals can be achieved. The Committee as represented, liked this proposed approach. All members of the Committee need to be present so that their opinions can be considered therefore this item will be brought forward to the next meeting. Ms. Chiarelli suggested that if a workshop with Bitner Goodman were to take place, the goals can be agreed upon and they can be vetted out at that Workshop. Ms. Chiarelli clarified by stating that for the first quarter, the focus can be a field trip and vetting out the goals. She moved to table this item until the next meeting. Ms. Finke seconded.

**16 - NEW PARK & RIDE LOTS AT FT. LAUDERDALE AIRPORT STATION AND CYPRESS CREEK STATION
HOW DOES SFRTA INTEND TO PROMOTE? ANY ISSUES? (ROBYN CHIARELLI, FDOT)**

- Tri-Rail now has a new park and ride lot at the Cypress Creek Station on Andrews Avenue and a new garage, off Griffin Road in Dania Beach at the Ft. Lauderdale Airport Station near the International Game Fish Association, which the public has already become accustomed to using.
- The question has arisen whether BCT's buses will enter the lot at Cypress Creek or drop off and pick up outside of the lot. Once this decision is made, Ms. Chiarelli stated that SFCS can help to educate the passengers.

**17 - 95 EXPRESS PROMOTIONAL BLITZ – INCLUDING 3+ CARPOOLS, VANPOOLS, AND TRANSIT
(ROBYN CHIARELLI, FDOT)**

- A large commercial blitz will take place in January/February and will include the Downtown Express Bus as well as carpooling and vanpooling.
- BCT has plans to revamp its 95 Express Service planned in January 2011.
- Sun Trolley will be picking up at 95 Express which is the new Tri-Rail service and needs some publicity which Ms. Chiarelli said that SFCS would be available to participate in promoting, as needed.
- BCT is able to do a :30 second spot of WSFL due to trade with Direct Media. This will be used to promote 95 Express.

I8 - AGENCY UPDATES

Broward County Transit

- Executive Director Tim Garland at Pinellas SunCoast, will be joining BCT as the Transit Division Director in October.
- 95 Express will be extended to Miami Civic Center
- New fares effective October 1
- Service eliminations and reductions will take place on October 31. Routes 3, 17, 56 and 57 have been eliminated. Route 23 remains but only for morning and afternoon peak hours. Weekend and late night service has been cut. Time between buses has been increased. Some routes have been routing of discontinued routes.

Downtown Ft. Lauderdale Transit Management Association

- Sun Trolley's route will be expanded to include Galleria Mall.
- Still awaiting word from BCT involving the Galt Ocean Mile.

Florida Department of Transportation

- Working with BCT and the cities of Margate, Pompano Beach and Coral Springs and Coconut Creek to initiate a trunk route that will run along Coconut Creek Parkway. Studies are being conducted by an operational subcommittee to determine how much such a route will be used.
- Ms. Chiarelli stated that she is working with Commuter Express regarding Juno Beach's bus stop. FPL is asking that the bus stop be located in front of their facility to Juno Beach's specifications. Ms. Chiarelli is trying to work out a solution with Palm Tran and Juno Beach.

Miami-Dade Transit

No Representation at this meeting

Palm Tran

- Jessica Dumars no longer works for Palm Tran and has accepted employment in the private sector. At this time, it appears that the budget will allow for a replacement.
- SFCS has been a great help.
- Getting ready for FPTA's Annual Conference
- Palm Tran's new guidebook will be introduced by the end of the month.
- No fare increase will take place at this time.
- Transportation Disadvantaged has been increased from \$5.00 to \$10.00 and from \$10.00 to \$15.00 – depending on the person's poverty level.
- No routes, times or weekend service have been cut.

SFRTA/Tri-Rail

No representation at this meeting

Bitner Goodman

No additional comments

OLD BUSINESS

NEW BUSINESS

With no further comments, the meeting adjourned at 3:30 p.m.

**Engineering & Construction
Monthly Progress Report
December 10, 2010**

Cypress Creek Station:

345-space surface parking lot to be constructed on west side of the Cypress Creek Station. Contract awarded to MBR Construction at the December 11, 2009 Board meeting. Notice-to-Proceed was given on January 12, 2010. Construction time was approximately 10 months. The new parking lot was substantially completed on October 26, 2010 and the Certificate of Completion was issued by the City of Ft. Lauderdale on November 8, 2010. Contract amount: \$1.4M.

Hialeah Yard Storage Tracks and Inspection Pit:

Construction of 3300' of storage tracks (4-track configuration) with a 340' inspection pit. 100% design documents have been completed. Bid package was advertised on August 27, 2010 and the Pre-Bid conference was held on September 8, 2010 at the Hialeah Yard. Three (3) bids were opened on October 13, 2010. Bid package was re-issued on November 1, 2010 and Bid opening is currently scheduled for November 23, 2010. Estimated construction completion in June 2011.

Pompano Beach Station Improvements:

Upgrade of existing Pompano Beach Station to Segment 5 station standards. Improvements consist of widening existing platforms to 25' width, new full-length canopies, solar paneling, pedestrian overpass with stairs and elevators, bus circulation improvements, and parking lot reconfiguration. Development of 30% design-build procurement package is presently underway. The design-build package will include specifications to obtain Silver LEED certification. Contract award expected by January/February 2011. Estimated construction start is November 2011.

**Engineering & Construction
Monthly Progress Report
December 10, 2010**

Cab and Trailer Car Procurement:

Procurement of 10 Cab Cars and 14 Trailer Cars. Delivery of the first of two (2) cab cars is expected by the end of December 2010, with the second cab car being delivered by the middle of January 2011. The 4 trailer cars are expected to be delivered by the end of September 2011, and the delivery of the remaining eight (8) cab cars is expected by the end of October 2011. The schedule for the delivery of the final ten (10) cab coaches is due shortly from the car manufacture (Hyundai-Rotem). Contract amount: \$41,189,180.00.

ARRA Locomotive Procurement:

Procurement of 10 locomotives with an option for up to 13 additional locomotives for SFRTA and 4 for Sound Transit. Procurement package was advertised on February 25, 2010. Two bids and one no-bid were received on July 7, 2010. The two (2) Price Bids were opened on September 17, 2010. The contract requires a twenty-six (26) month delivery.

Bike Lockers:

Procurement of 350 new bike lockers, with current installation of 253 (8 already owned) lockers, with each locker holding 2 bikes, at sixteen (16) SFRTA stations. The remaining one hundred five (105) additional lockers are being procured for later installation at the remaining stations. Procurement package was advertised on February 22, 2010, with seven (7) bids opened on March 29, 2010. Award for \$502,361.00 was approved at the April Board meeting. As a result of completion of construction activities at two stations, a change order for \$4,500 was issued in September for the installation of lockers at the Cypress Creek and Ft. Lauderdale Airport Stations. Due to delays in production of the lockers, estimated installation completion by the end of December 2010 is now anticipated. Lockers have been installed at Mangonia Park, West Palm Beach, Lake Worth, Boynton Beach, Delray Beach, Boca Raton and Deerfield Beach.

AGENDA REPORT
 SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
 GOVERNING BOARD MEETING
 December 10, 2010

OCTOBER RIDERSHIP

Total monthly ridership for October has increased 1.6 % when compared to October of last year. Weekday ridership has decreased by 2.4% for October, while the average weekday ridership in October 2010 was 13,066 per day versus 12,785 per day for 2009. Total weekend ridership has increased by 11.31% when compared to last year. Total Fiscal Year ridership is up by 2.9%.

Revenue is shown in Chart 3. Chart 2 shows ridership month-to-month and Chart 1 combines revenue and ridership month-to-month.

<u>Riders</u>	Actual October 2010	Actual October 2009	October '10 vs. '09 %	FY 11 Rider ship To Date	FY 10 Rider ship To Date	FYTD 11 vs '10 %
M-F	274,395	281,268	-2.4%	1,042,082	1,025,185	1.6%
Saturday	26,950	21,881	23.2%	90,678	77,484	17.0%
Sunday	22,680	15,676	44.7%	75,626	65,943	14.7%
Holidays	0	0	0.0%	5,332	10,774	-50.5%
	324,025	318,825	1.6%	1,213,718	1,179,386	2.9%

Note: Ridership figures are based on daily reports from Veolia.

Chart 1 - SFRTA Riders and Revenue Trends

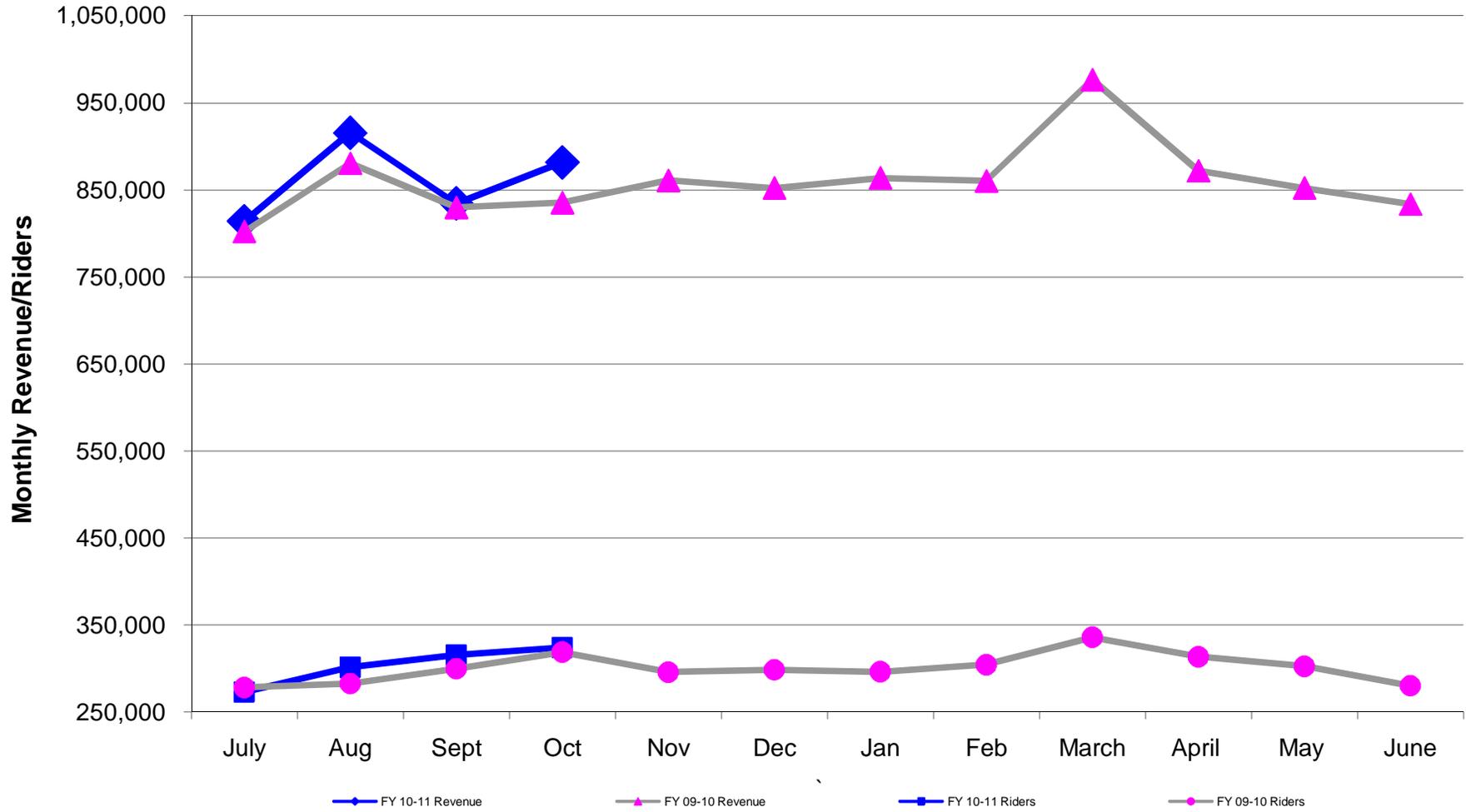


Chart 2 - SFRTA Riders

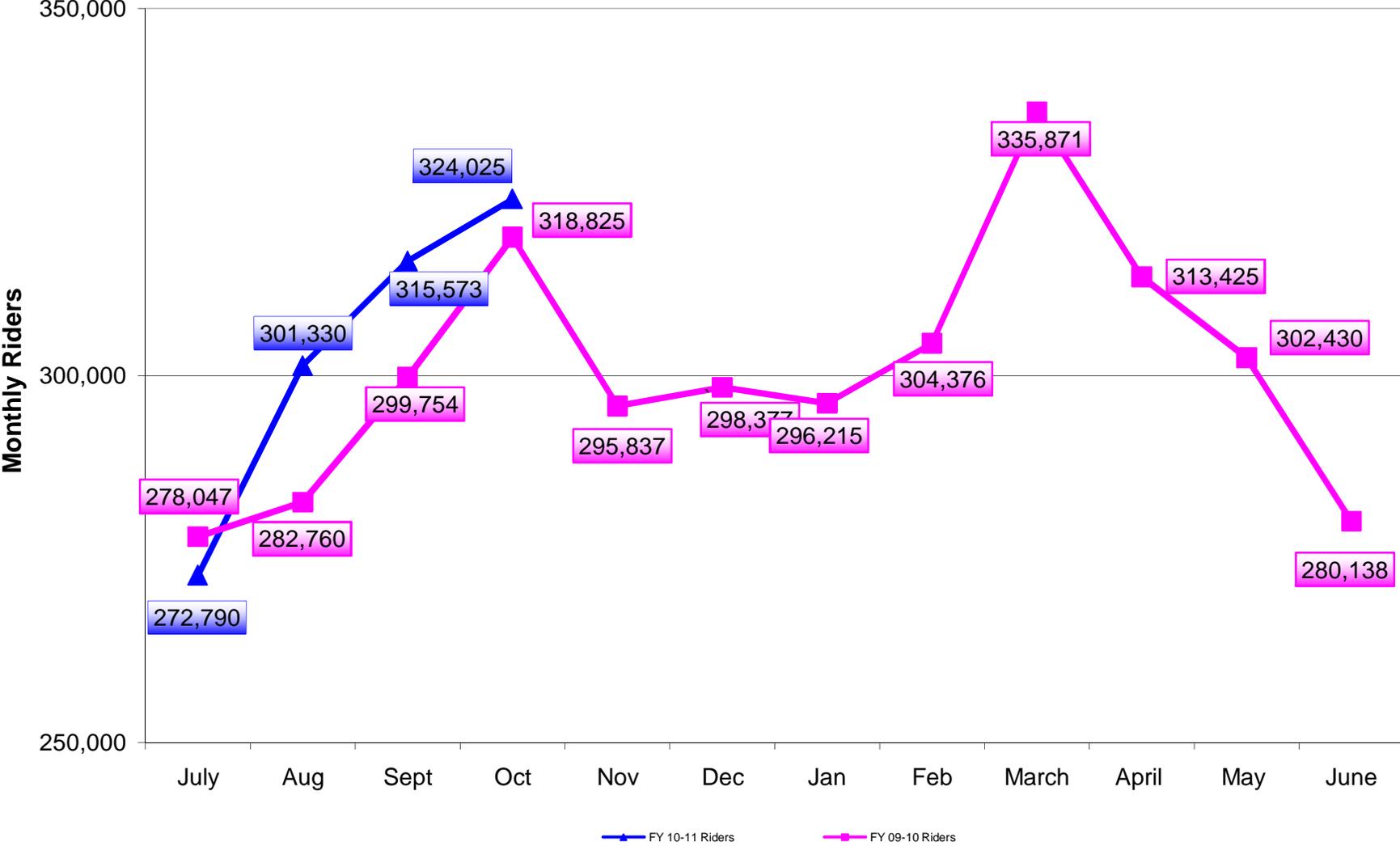
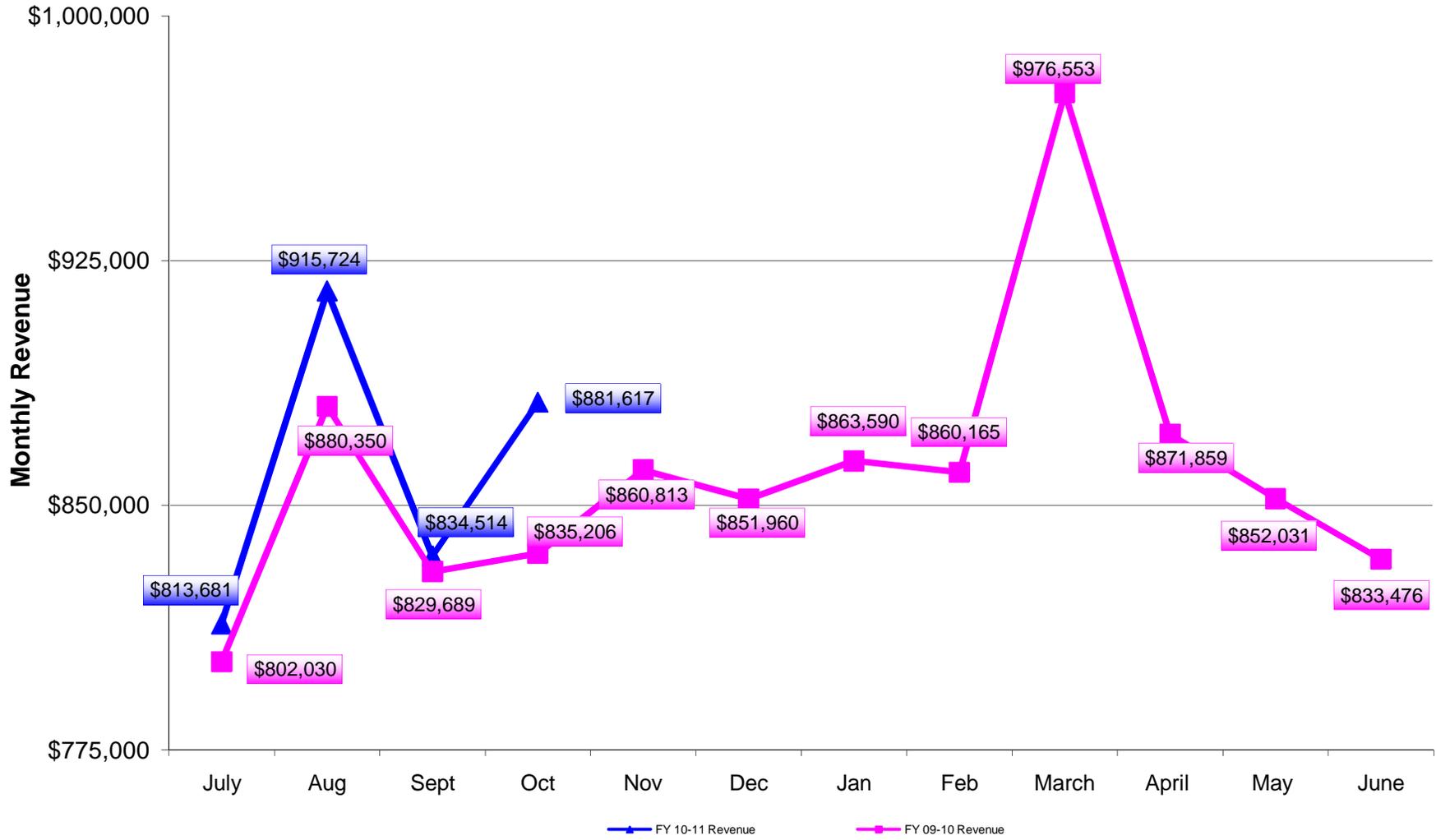


Chart 3 - SFRTA Revenue

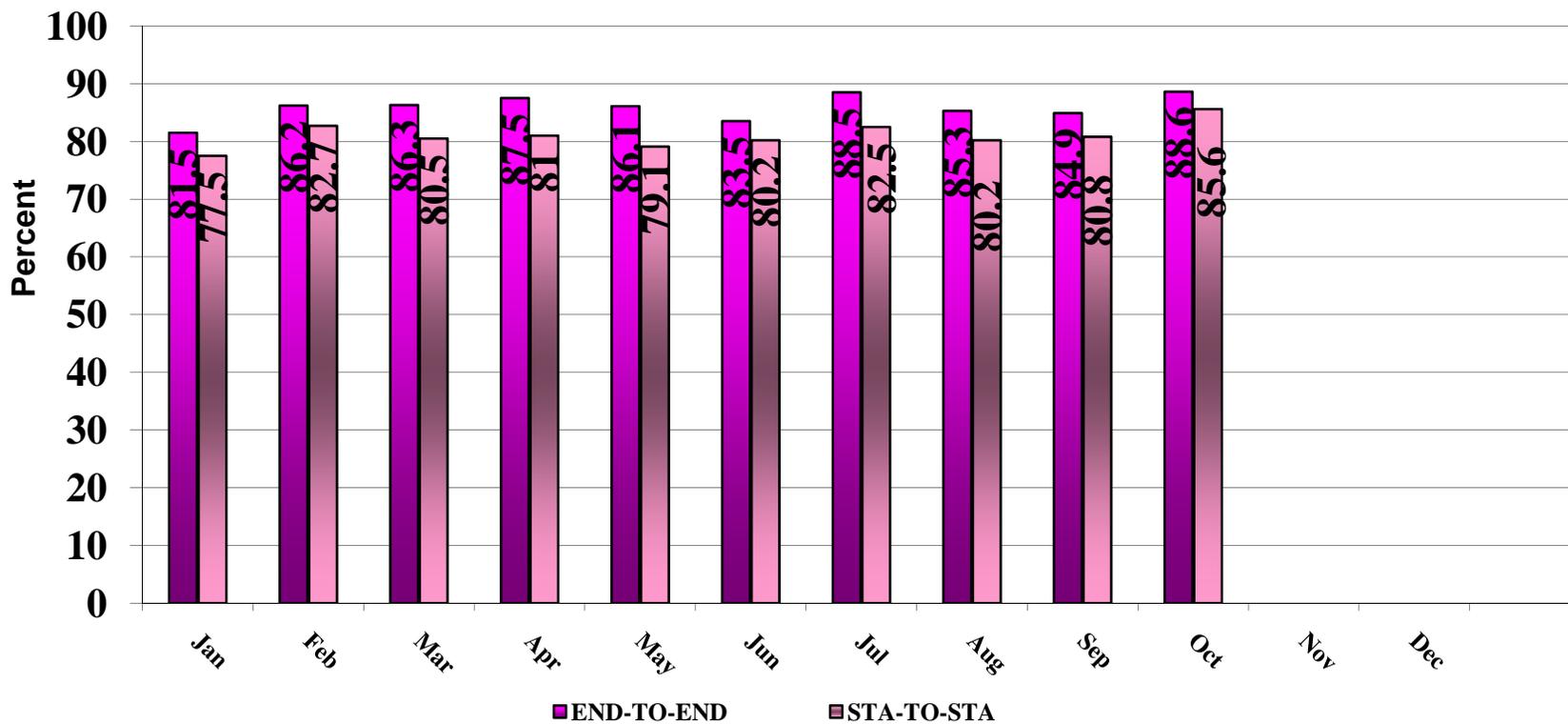



OCTOBER 2010 ON TIME PERFORMANCE - CAUSAL ANALYSIS SUMMARY

OTP End To End			88.6%
OTP Station To Station			85.6%
	NUMBER OF INCIDENTS	NUMBER OF LATE TRAINS	PERCENT OF TOTAL TRAINS
DELAY CAUSES			
PD/FD Activity	2	4	0.3%
SUB-TOTAL	2	4	0.3%
CSX AGREEMENT			
CSX FRIEIGHT	2	2	0.2%
LOCAL SWITCHER	2	0	0.0%
JAX DISPATCHER	0	1	0.1%
MOW	9	9	0.7%
SUB-TOTAL	13	12	1.0%
OUTSIDE CSX			
COMMUNICATIONS	2	0	0.0%
SIGNALS-COMP.	7	33	2.7%
CSX RULE 100J	0	0	0.0%
SUB-TOTAL	9	33	2.7%
BOMBARDIER MECHANICAL	9	14	1.2%
VEOLIA TRANSPORTATION	0	0	0.0%
AMTRAK	2	2	0.2%
FEC DELAY	5	6	0.5%
WEATHER	0	0	0.0%
ROW FOUL	0	0	0.0%
SFRTA TRANSPORTATION	5	8	0.7%
OTHER	9	27	2.2%
3rd PARTY	5	21	1.7%
DMU MECHANICAL	2	2	0.2%
BRIDGE SIGNAL	0	0	0.0%
NBC MOW	0	0	0.0%
NBC DISPATCHER	0	0	0.0%
NBC OTHER	0	0	0.0%
ADA	3	3	0.2%
EFFICIENCY TESTING	1	1	0.1%
SUB-TOTAL	41	84	6.9%
TRAINS DELAYED		133	11.0%
TERMINATED / ANNULLED		5	0.4%
TRAINS ON TIME		1072	88.6%
TOTAL		1210	100.0%

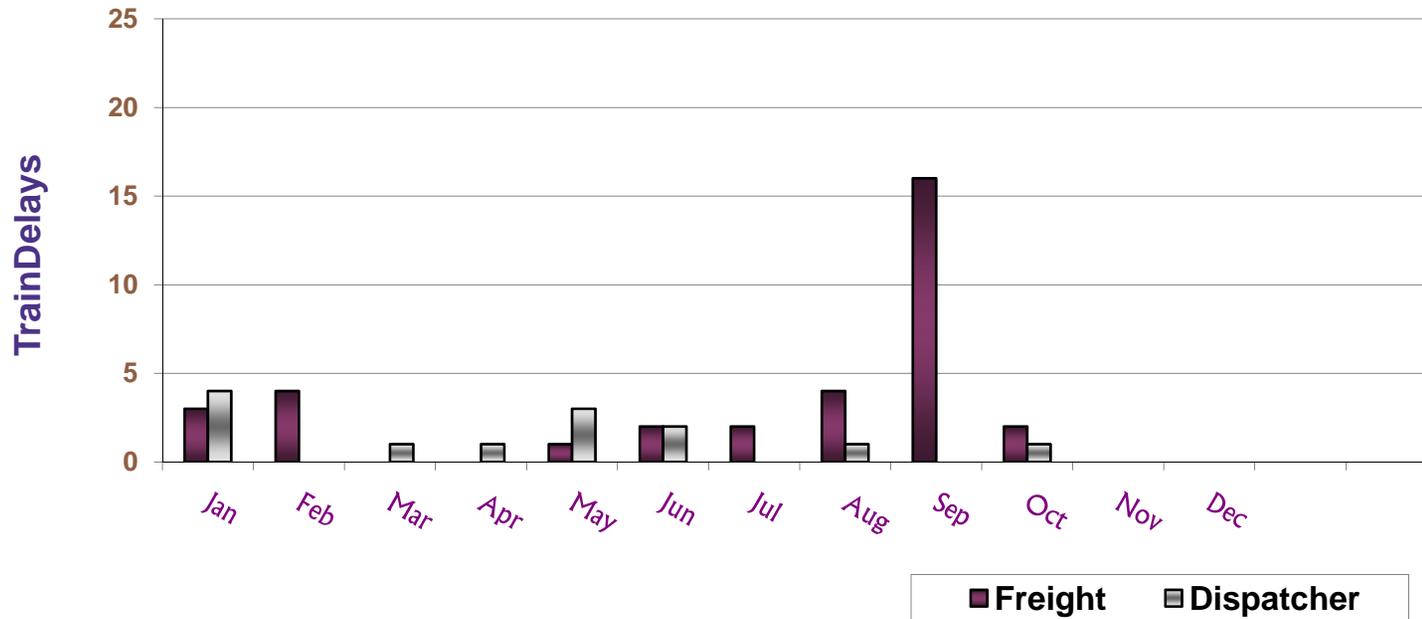


On-Time Performance Calendar Year 2010



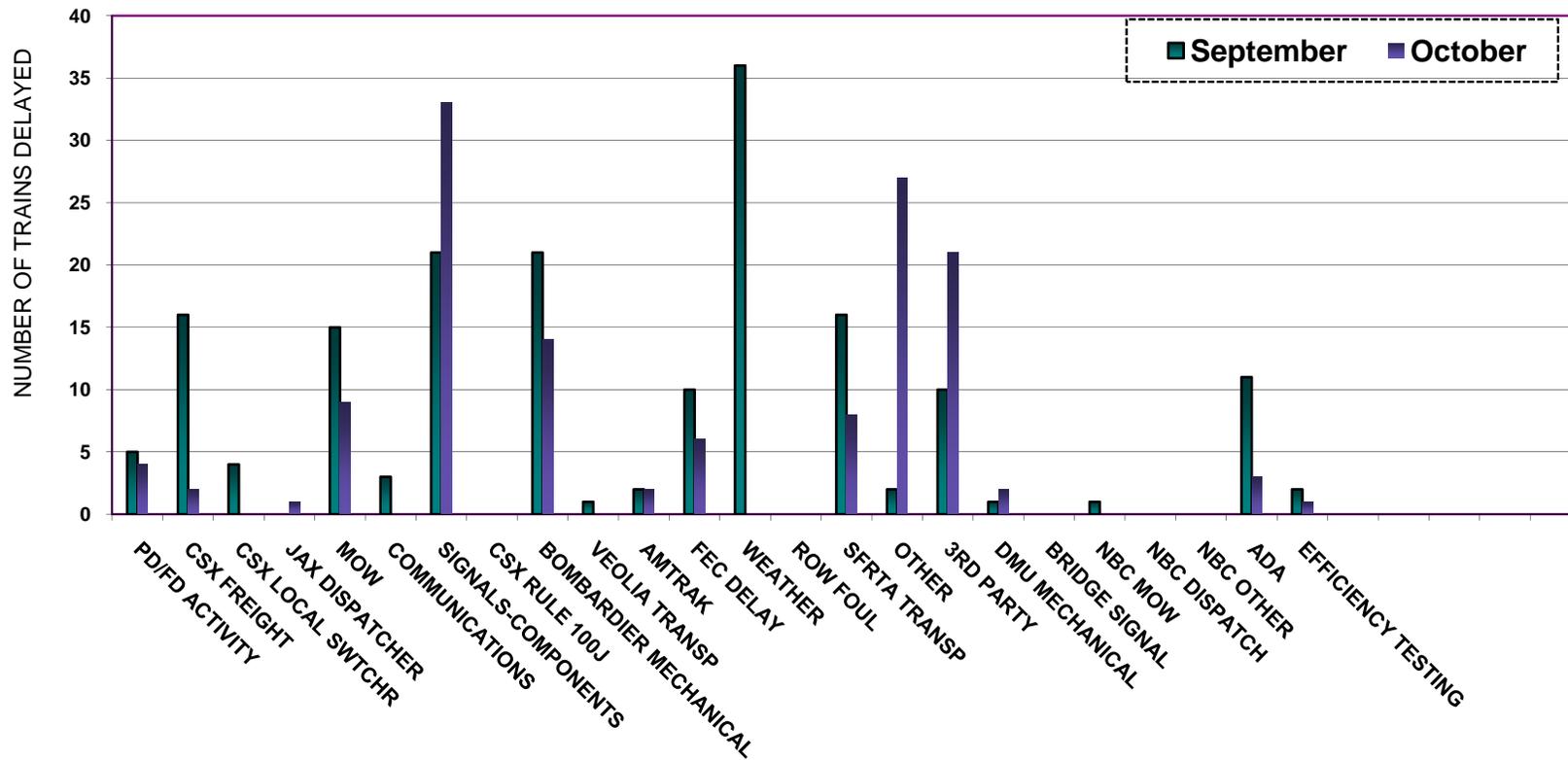


CSXT JAX Dispatcher & Freight Delays 2010



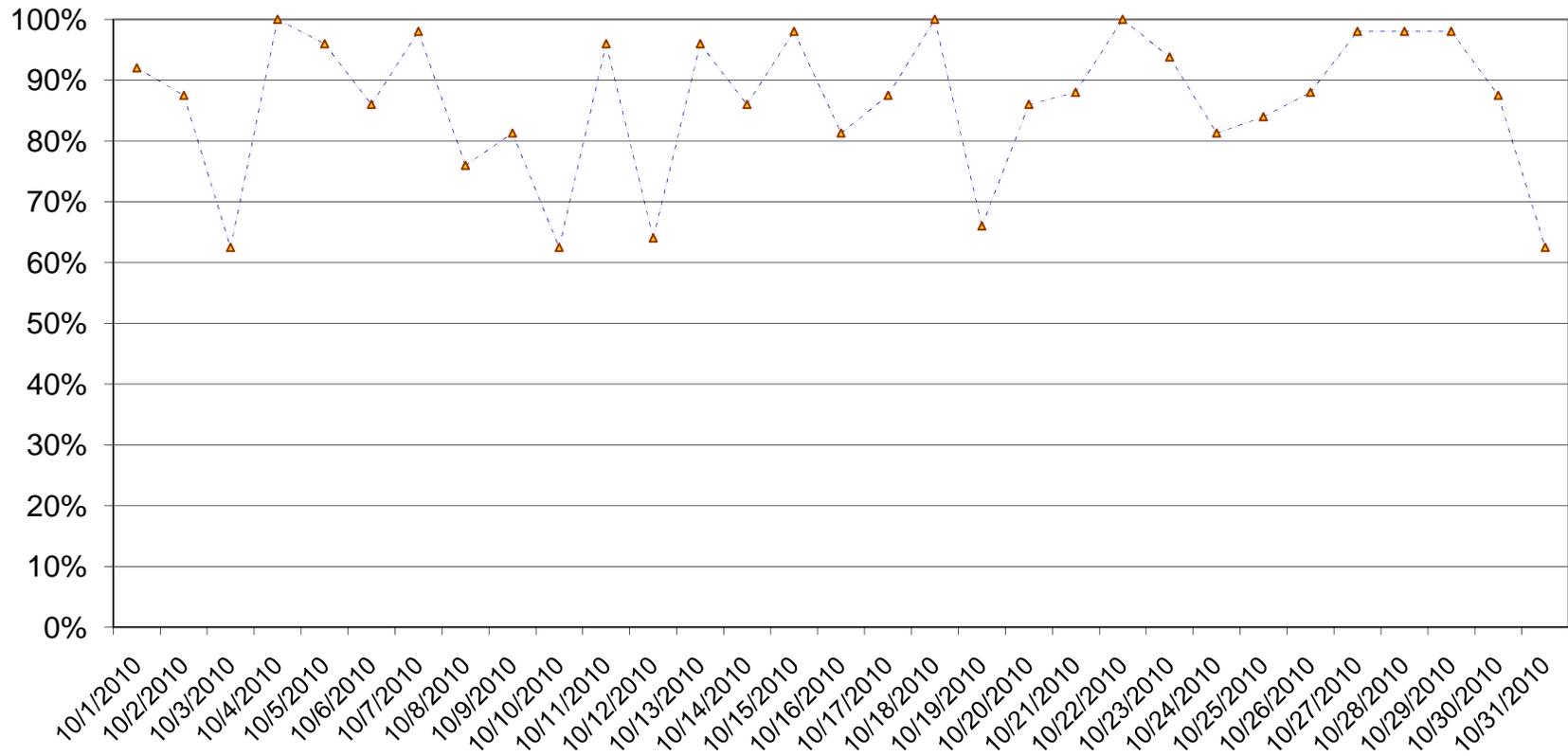


TRAIN DELAYS-2010

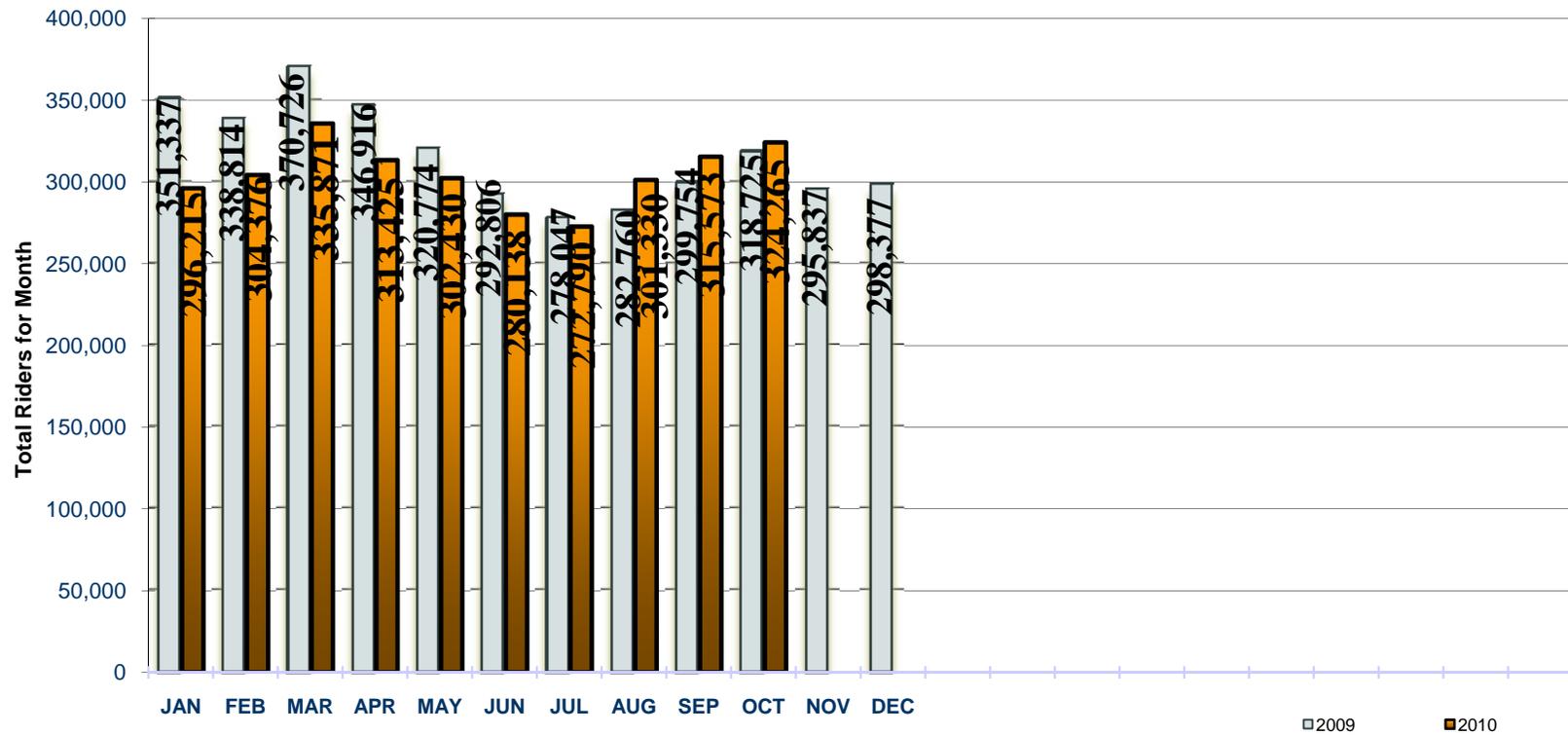




ON TIME PERFORMANCE END TO END OCTOBER - 2010



SFRTA Tri-Rail Monthly Ridership 2010



**SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
MARKETING DEPARTMENT MONTHLY SUMMARY FOR OCTOBER 2010
GOVERNING BOARD MEETING**

EMPLOYER DISCOUNT PROGRAM

The Employer Discount Program (EDP) added 23 new employers and 176 new employees during the month of October.

The total number of EDP tickets recorded as sold in October was 2,687 and the total revenue generated was reported as \$153,484.25.

The following companies joined the EDP in October:

Employer	Enrollment Date	City
ACT Janitorial Services Company, Inc.	10/15/2010	Pompano Beach
Bell Painting, Inc.	10/28/2010	Pompano Beach
Bikram Yoga College of India	10/28/2010	West Palm Beach
Chapman Freeborn, Inc.	10/28/2010	Fort Lauderdale
Charter Schools, USA	10/05/2010	Fort Lauderdale
Coram Speciality Infusion	10/26/2010	Miramar
Criminal Conflict and Civil Regional Counsel	10/28/2010	West Palm Beach
Diabetic Specialist Only Corp.	10/25/2010	Deerfield Beach
Direct Mail Impressions, Inc.	10/12/2010	Boca Raton
Distinctive Millwork	10/01/2010	Boca Raton
Education Dynamics	10/19/2010	Boca Raton
Greystar – Mizner Court at Broken Sound	10/28/2010	Boca Raton
IDEC Elevator Products	10/22/2010	Hollywood
Jamie Delivery, Inc.	10/22/2010	Pompano Beach
Lukie Games, Inc.	10/28/2010	Miami Gardens
Massey, Colcan, Shuster, Riedhammer & King, LLC	10/22/2010	Fort Lauderdale
Miller, Legg & Associates, Inc.	10/12/2010	Fort Lauderdale
Panama Express, Inc.	10/05/2010	Miami
RJS Logistics, Inc.	10/08/2010	Monroe, N.C.
South Florida Bandits	10/19/2010	Boynton Beach
Tower Group Companies	10/20/2010	Maitland
USAA Realty Company	10/01/2010	Coral Gables

EDP SALES MISSIONS

Employer	City
Appointment Depot	Coral Springs
Bikram College of India	West Palm Beach
Hallyndale Tags & Tile	Hallandale Beach
HCA Healthcare in Miramar	Miramar
Hilton Fort Lauderdale Airport	Dania Beach
Lowe's Home Improvement	Pembroke Pines
Plaza Health Care Solution	Coral Springs

MARKETING DEPARTMENT – OCTOBER ACTIVITIES:

10 FOR 10 WEBINAR

The South Florida Regional Transportation Authority (SFRTA) / Tri-Rail Marketing Department hosted its first-ever live webinar on October 14, during which participants were able to learn about the Employer Discount Program (EDP). Eleven of thirty-four registrants participated in the 10-minute webinar, which provided a Q&A portion where all their particular concerns were addressed. Every participant was entered to win a 16GB iPad Wi-Fi + 3, and the selected winner will be featured in the next OnBoard Newsletter.

APTA

SFRTA Director of Marketing Bonnie Arnold, chaired the American Public Transportation Association's (APTA) Marketing and Communications Committee, beginning the second year of her term at APTA's Annual Meeting held in San Antonio. As Chair of the committee, she now serves on APTA's Board of Directors. The SFRTA won three first place awards in the 2010 AdWheel Awards Competition, which recognizes excellence in marketing efforts and initiatives.

BENEFIT FAIRS

Marketing Department staff members were able to promote the EDP during the following benefit fairs in Miami-Dade and Palm Beach counties. The Mandarin Oriental – Miami, Palm Beach State College at Boca Raton, and the annual Florida State Benefits Fair at Florida Atlantic University's Boca Raton Campus.

CUSTOMER SERVICE RELOCATION

The relocation of the Customer Service Call Center was successfully completed during the weekend of October 16 and 17. All Marketing Department staff members were cross-trained to answer calls and to use the new equipment to assist the department during emergency and short-staffed circumstances.

FPTA CONFERENCE

The 2010 Florida Public Transportation Association (FPTA) Annual Conference & Indoor Expo was held in the Downtown Hilton Hotel in Miami. The SFRTA was well represented by staff from the Executive, Planning and Marketing departments, and also won four marketing awards; including, top place for the EDP renewal reminder post cards, an award of merit for the quarterly EDP "Visions" newsletter, and awards of honor for the Super Bowl and Pro Bowl print ads, as well as the Rail Rewards campaign.

GREEN EVENT

Marketing staff members were present at the 2010 Annual Green Expo hosted by the Palm Beach State College in Lake Worth on October 9th. The event provided the opportunity to promote Tri-Rail as an environmentally safe transportation option and 'green' partner.

EASY CARD MEETINGS

Marketing, IT and Operations' staff members continue to meet with the Special Projects Director and consultants from Booz Allen Hamilton and Jones Worley, to discuss the details that will go into the implementation of the new Tri-Rail EASY Card, and how the new system will integrate with the EDP database.

SAFETY FAIR

Members of the Marketing and Executive staff worked together to provide Operation Lifesaver safety information, as well as, Tri-Rail information to members of the public who attended the City of Boca Raton's Safety Fair at the Town Center Mall.

RAIL FUN DAY

More than 2,500 people turned out for Tri-Rail's third "Rail Fun Day" event held at the Tri-Rail Fort Lauderdale/Hollywood International Airport Station at Dania Beach on October 30. The popular children's event promises to become an annual signature affair for the SFRTA. Once again, Conductor George Green was present to take pictures with families, as was Billy the Marlin from the Florida Marlins. Some of the activities enjoyed by the children included live shows, balloon art, cookie decorations and seed-planting. Plus, having the event scheduled the day before Halloween helped produce hundreds of participants for the costume contest, in which everyone walked away a winner. Product samplings of Blue Bell Ice Cream and soup from Pollo Tropical were also available, and \$5 Pollo Tropical Gift Certificates were provided to passengers who took advantage of the special-added train service and presented their train tickets at the event. The event was made possible by the partnership with South Florida Parenting Magazine, as well as the participation of many vendors, including the support of SFRTA/Tri-Rail Marketing and Customer Service staff.

TRANSPORTATION DAY

Palm Tran, South Florida Commuter Services, South Florida Vanpools and SFRTA staff hosted a transportation day at the Department of Children and Families center located in Palm Beach County, only a few yards away from the Tri-Rail West Palm Beach Station.

ONGOING COMMUNITY OUTREACH ACTIVITIES

- Center for Urban Transportation Research – Weekly Webinar
- Florida Marlins Sustainable Transit at Marlins Ballpark – Bi-weekly Meeting
- Greater Fort Lauderdale Convention and Visitor's Bureau Marketing Plan Presentation – Annual Luncheon
- Public Involvement Management Meeting – Quarterly Meeting



EXECUTIVE SUMMARY BUDGETED INCOME STATEMENT

October 2010

Revenue:

Train Revenue

For October 2010 year-to-date (YTD) actual revenue is up \$152,515 or 4% when compared to the FY 2010/11 YTD budgeted revenue. FY 2010-11, YTD actual revenue has increased by \$219,461 when compared to the FY 2009/10 YTD actual revenue. This is an increase of 7%.

Expenses:

Currently, expenses are \$2,523,693 or 11% below budget. All expenses are well within budget for the year.

- Train Operations expense are currently 10% below budget when compared to the FY 2010/11 YTD budget.
- Train fuel expense is currently 5% below budget when compared to the FY 2010/11 YTD budget.
- Security expense is 11% below budget when compared to the FY 2010/11 YTD budget.
- Feeder bus expense is 12% below budget when compared to the FY 2010/11 YTD budget.
- Professional Services when compared to the FY 2010/11 YTD budget are 10% below budget.
- General and Administrative expenses when compared to the FY 2010/11 YTD budget are 18% below budget.

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
BUDGETED INCOME STATEMENT
10/01/10 TO 10/31/10

REVENUE	OCTOBER 2010 ACTUAL REVENUES	YTD ACTUAL REVENUES	YTD BUDGETED REVENUES	OVER (UNDER) BUDGET	2010-11 ANNUAL BUDGET	BUDGET AVAILABLE
Train Revenue	\$881,617	\$3,566,736	\$3,414,221	\$152,515	\$10,426,659	\$6,859,923
Interest Income / Other Income	8,990	60,505	57,333	3,172	172,000	111,495
TOTAL TRAIN REVENUE	\$890,607	\$3,627,241	\$3,471,554	\$155,687	\$10,598,659	\$6,971,418
OPERATING ASSISTANCE						
FDOT Operating JPA	1,185,441	6,001,631	6,679,902	(678,271)	\$12,705,000	6,703,369
FDOT-Transportation Trust Fund	1,755,750	6,301,045	7,026,278	(725,233)	13,300,000	6,998,955
FDOT Feeder Service JPA	369,500	1,402,350	1,764,738	(362,388)	3,135,150	1,732,800
FDOT Contracted Dispatch Service	178,450	1,079,691	1,259,564	(179,873)	2,200,000	1,120,309
FDOT Marketing	-	-	-	-	250,000	250,000
FHWA	174,133	773,607	1,333,333	(559,726)	4,000,000	3,226,393
FTA Assistance	-	-	-	-	11,876,001	11,876,001
FTA-Designated Recipient Fees	-	-	-	-	63,700	63,700
FTA-JARC/New Freedom Administration	-	-	-	-	1,084,839	1,084,839
FTA-JARC/New Freedom Match	-	-	-	-	425,425	425,425
Counties Contribution	347,778	347,778	521,667	(173,889)	4,695,000	4,347,222
Other Local Funding	-	-	-	-	190,000	190,000
TOTAL ASSISTANCE	4,011,052	15,906,102	18,585,482	(2,679,380)	53,925,115	38,019,013
TOTAL REVENUE	\$4,901,659	\$19,533,343	\$22,057,036	(\$2,523,693)	\$64,523,774	\$44,990,431

EXPENSES	OCTOBER 2010 ACTUAL EXPENSES	YTD ACTUAL EXPENSES	YTD BUDGETED EXPENSES	(OVER) UNDER BUDGET	2010-11 ANNUAL BUDGET	BUDGET AVAILABLE
Train Operations	2,414,235	9,643,312	10,729,897	1,086,585	30,933,022	21,289,710
Train and Station Maintenance	1,528,412	5,819,521	6,361,852	542,331	19,222,227	13,402,706
Personnel Expenses	696,052	2,988,260	3,564,285	576,025	10,692,849	7,704,589
Professional Fees	106,462	321,364	358,690	37,326	823,737	502,373
General & Administrative Expenses	153,768	670,627	820,672	150,045	2,340,351	1,669,724
Marketing Expenses	90,230	343,459	379,975	36,516	986,588	643,129
Reserve	-	-	166,667	166,667	500,000	500,000
Expenses Transferred to Capital	(87,500)	(253,200)	(325,000)	(71,800)	(975,000)	(721,800)
TOTAL EXPENSES	4,901,659	19,533,343	22,057,036	2,523,693	\$64,523,774	\$44,990,431

**SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
ACTUAL VS BUDGET REPORT
OCTOBER 31, 2010**

	<u>October 2010 Actual</u>	<u>October 2010 Budget</u>	<u>Variances</u>	<u>%</u>	<u>October YTD Actual</u>	<u>October YTD Budget</u>	<u>Variances</u>	<u>%</u>
Revenues:								
Train Revenue	\$ 881,617	\$ 851,910	\$ 29,707	3.49%	\$ 3,566,736	\$ 3,414,221	\$ 152,515	4.47%
Interest/Dividend Income	8,990	14,333	(5,343)	-37.28%	60,505	57,333	3,172	5.53%
Total Train Revenue	890,607	866,243	24,364	2.81%	3,627,241	3,471,554	155,687	4.48%
Operating Assistance:								
FDOT Operating JPA	1,185,441	1,355,031	(169,590)	-12.52%	6,001,631	6,679,902	(678,271)	-10.15%
FDOT-Transportation Trust Fund	1,755,750	1,785,222	(29,472)	-1.65%	6,301,045	7,026,278	(725,233)	-10.32%
FDOT Feeder Service JPA	369,500	396,263	(26,763)	-6.75%	1,402,350	1,764,738	(362,388)	-20.53%
FDOT Contracted Dispatch Service	178,450	208,333	(29,883)	-14.34%	1,079,691	1,259,564	(179,873)	-14.28%
FDOT Marketing	-	-	-	0.00%	-	-	-	0.00%
FHWA	174,133	333,333	(159,200)	-47.76%	773,607	1,333,333	(559,726)	-41.98%
FTA Assistance	-	-	-	0.00%	-	-	-	0.00%
FTA-Designated Recipient Fees	-	-	-	0.00%	-	-	-	0.00%
FTA-JARC/New Freedom Administration	-	-	-	0.00%	-	-	-	0.00%
FTA-JARC/New Freedom Match	-	-	-	0.00%	-	-	-	0.00%
Counties Contribution	347,778	521,667	(173,889)	0.00%	347,778	521,667	(173,889)	0.00%
Other Local Funding	-	-	-	0.00%	-	-	-	0.00%
Total Operating Assistance	4,011,052	4,599,849	(588,797)	-12.80%	15,906,102	18,585,482	(2,679,380)	-14.42%
Total Revenue	\$ 4,901,659	5,466,093	\$ (564,434)	-10.33%	\$ 19,533,343	\$ 22,057,036	\$ (2,523,693)	-11.44%

**SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
ACTUAL VS BUDGET REPORT
OCTOBER 31, 2010**

	<u>October 2010 Actual</u>	<u>October 2010 Budget</u>	<u>Variiances</u>	<u>%</u>	<u>October YTD Actual</u>	<u>October YTD Budget</u>	<u>Variiances</u>	<u>%</u>
Expenses:								
Train Operations								
Train Operations Contract	\$ 954,503	\$ 961,467	(6,964)	-0.72%	\$ 3,818,214	\$ 4,223,251	(405,037)	-9.59%
Train Operation - Fuel	516,628	535,269	(18,641)	-3.48%	2,036,977	2,141,077	(104,100)	-4.86%
Emergency Bus Service	-	2,500	(2,500)	-100.00%	-	10,000	(10,000)	-100.00%
Security Contract	470,069	480,875	(10,806)	-2.25%	1,704,246	1,923,500	(219,254)	-11.40%
Feeder Bus	363,883	448,051	(84,168)	-18.79%	1,401,159	1,592,202	(191,043)	-12.00%
Station Utilities	54,815	59,167	(4,352)	-7.36%	212,135	246,667	(34,532)	-14.00%
EMS Boards	10,097	11,667	(1,570)	-13.46%	30,935	46,667	(15,732)	-33.71%
Special Trains	-	300	(300)	-100.00%	-	1,200	(1,200)	-100.00%
Insurance	38,042	69,444	(31,402)	-45.22%	416,443	500,000	(83,557)	-16.71%
Toll Free Numbers	5,992	8,000	(2,008)	-25.10%	22,594	32,000	(9,406)	-29.39%
Alarm System	206	1,750	(1,544)	-88.23%	609	7,000	(6,391)	-91.30%
APTA Dues	-	1,583	(1,583)	-100.00%	-	6,332	(6,332)	-100.00%
Total Train Operations	2,414,235	2,580,073	(165,838)	-6.43%	9,643,312	10,729,897	(1,086,585)	-10.13%
Train and Station Maintenance								
Train Maintenance	870,066	889,294	(19,228)	-2.16%	3,684,543	3,772,043	(87,500)	-2.32%
Station Maintenance	405,846	417,683	(11,837)	-2.83%	1,094,985	1,326,268	(231,283)	-17.44%
ROW Maintenance	30,911	38,667	(7,756)	-20.06%	151,911	190,667	(38,756)	-20.33%
TVM Maintenance	30,635	36,417	(5,782)	-15.88%	99,266	145,667	(46,401)	-31.85%
Dispatch	190,954	240,802	(49,848)	-20.70%	788,816	927,207	(138,391)	-14.93%
Total Train and Station Maintenance	1,528,412	1,622,863	(94,451)	-5.82%	5,819,521	6,361,852	(542,331)	-8.52%
Personnel Expenses								
Salaries and Wages	518,481	659,518	(141,037)	-21.38%	2,268,205	2,638,072	(369,867)	-14.02%
Taxes	35,663	51,078	(15,415)	-30.18%	148,739	204,312	(55,573)	-27.20%
Group Insurance	83,046	101,667	(18,621)	-18.32%	337,620	406,668	(69,048)	-16.98%
Pension	58,862	78,809	(19,947)	-25.31%	233,696	315,234	(81,538)	-25.87%
Total Personnel Expenses	696,052	891,072	(195,020)	-21.89%	2,988,260	3,564,285	(576,025)	-16.16%
Professional Services								
Auditing Services	7,462	9,453	(1,991)	-21.06%	35,700	40,612	(4,912)	-12.09%
Legal Services	20,000	23,750	(3,750)	-15.79%	42,664	50,000	(7,336)	-14.67%
Professional Services	79,000	84,553	(5,553)	-6.57%	243,000	268,078	(25,078)	-9.35%
Total Professional Services	106,462	117,756	(11,294)	-9.59%	321,364	358,690	(37,326)	-10.41%

**SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
ACTUAL VS BUDGET REPORT
OCTOBER 31, 2010**

	<u>October 2010 Actual</u>	<u>October 2010 Budget</u>	<u>Variiances</u>	<u>%</u>	<u>October YTD Actual</u>	<u>October YTD Budget</u>	<u>Variiances</u>	<u>%</u>
General and Administrative Expenses								
Bank & Credits Cards Fees	29,526	32,230	(2,704)	-8.39%	94,197	100,165	(5,968)	-5.96%
Building Maintenance	5,426	9,583	(4,157)	-43.38%	34,875	53,332	(18,457)	-34.61%
Business Travel	4,585	19,133	(14,548)	-76.04%	31,497	76,533	(45,036)	-58.85%
Materials & Supplies	3,594	7,500	(3,906)	-52.08%	28,893	45,000	(16,107)	-35.79%
Membership/Dues/Subscriptions	11,865	13,111	(1,246)	-9.50%	83,902	90,778	(6,876)	-7.57%
Office Rent	59,211	60,736	(1,525)	-2.51%	236,844	242,944	(6,100)	-2.51%
Printing & Advertising	3,425	3,992	(567)	-14.20%	19,979	21,968	(1,989)	-9.05%
Seminars and Training	2,290	10,063	(7,773)	-77.24%	8,029	40,253	(32,224)	-80.05%
Telecommunications	27,920	29,491	(1,571)	-5.33%	112,099	114,916	(2,817)	-2.45%
Vehicle Operations & Maintenance	4,856	8,158	(3,302)	-40.48%	18,067	28,432	(10,365)	-36.46%
Miscellaneous Personnel Expenses	1,070	1,587	(517)	-32.58%	2,245	6,350	(4,105)	-64.65%
Total General and Administrative Expenses	153,768	195,584	(41,816)	-21.38%	670,627	820,672	(150,045)	-18.28%
Marketing Expenses								
Advertising	2,413	3,333	(920)	-27.60%	11,347	13,332	(1,985)	-14.89%
Special Programs	3,750	4,167	(417)	-10.01%	10,016	16,668	(6,652)	-39.91%
Customer Service/Information	6,906	8,835	(1,929)	-21.83%	36,920	43,208	(6,288)	-14.55%
Marketing Contract	53,325	56,578	(3,253)	-5.75%	216,999	227,379	(10,380)	-4.56%
Promotional Materials	1,380	1,667	(287)	-17.22%	5,537	6,668	(1,131)	-16.96%
Smart Card/Easy Card Campaign	21,500	22,689	(1,189)	-5.24%	59,500	68,488	(8,988)	-13.12%
Marketing Supplies	956	1,058	(102)	-9.64%	3,140	4,232	(1,092)	-25.81%
Total Marketing Expenses	90,230	98,327	(8,097)	-8.23%	343,459	379,975	(36,516)	-9.61%
Reserves and Transfers								
Reserve		41,667	(41,667)	-100.00%	-	166,667	(166,667)	-100.00%
Expenses Transferred to Capital	(87,500)	(81,250)	(6,250)	7.69%	(253,200)	(325,000)	71,800	-22.09%
Total Reserves and Transfers	(87,500)	(39,583)	(47,917)	121.05%	(253,200)	(158,333)	(94,867)	59.92%
Total Expenses	\$ 4,901,659	5,466,093	\$ (564,434)	-10.33%	\$ 19,533,343	\$ 22,057,036	\$ (2,523,693)	-11.44%

**SFRTA
FARE RECOVERY RECOVERY RATIO**

	<u>OCTOBER 2010</u>	<u>OCTOBER 2010 YEAR TO DATE</u>
TRAIN REVENUE	881,617	3,566,736
TOTAL EXPENSES*	4,901,659	19,533,343
LESS:		
FEEDER SERVICE	363,883	1,401,159
DISPATCH	190,954	788,816
PLANNING	63,732	240,114
TOTAL PASS-THROUGH EXPENSES	618,569	2,430,089
TOTAL EXPENSES LESS PASS-THROUGH EXPENSES*	4,283,090	17,103,254
FARE BOX RECOVERY RATIO **	21%	21%

*Based on estimates (accruals) and actual figures.

**Based on estimates and actual figures. At year - end, the ratio will be a function of actual expenses

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
STATEMENTS OF NET ASSETS
OCTOBER 31, 2010

ASSETS

Current assets:

Cash and cash equivalents	\$ 67,888,397
Accounts receivable:	
State Grants	12,506,583
Federal Grants	4,297,350
Other	80,090
Prepaid expenses	10,025
Deposits	14,499
Total current assets	84,796,944

Noncurrent assets:

Capital assets (net of accumulated depreciation)	559,274,895
Total noncurrent assets	559,274,895
Total assets	\$ 644,071,839

LIABILITIES

Current liabilities:

Accounts payable	\$ 1,506,569
Accruals	6,042,018
Compensated absences	342,279
Deferred revenue	9,816,834
Due to other governmental units	11,505
Total current liabilities	17,719,205

Noncurrent liabilities:

Compensated absences	513,418
Deposits	1,602,225
Advances from FDOT	2,000,000
Total noncurrent liabilities	4,115,643
Total liabilities	\$ 21,834,848

NET ASSETS

Invested in Capital Assets	\$ 559,274,895
Reserved for Capital Projects	43,903,869
Unrestricted	19,058,227
Total net assets	622,236,991
Total liabilities and net assets	\$ 644,071,839



FINANCE & INFORMATION TECHNOLOGY EXECUTIVE SUMMARY

INVOICES OVER \$2,500

During October 2010, the SFRTA's Accounts Payable division processed 354 invoices totaling \$4,179,454.73 and disbursed 205 checks, excluding payroll, totaling \$4,862,231.18.

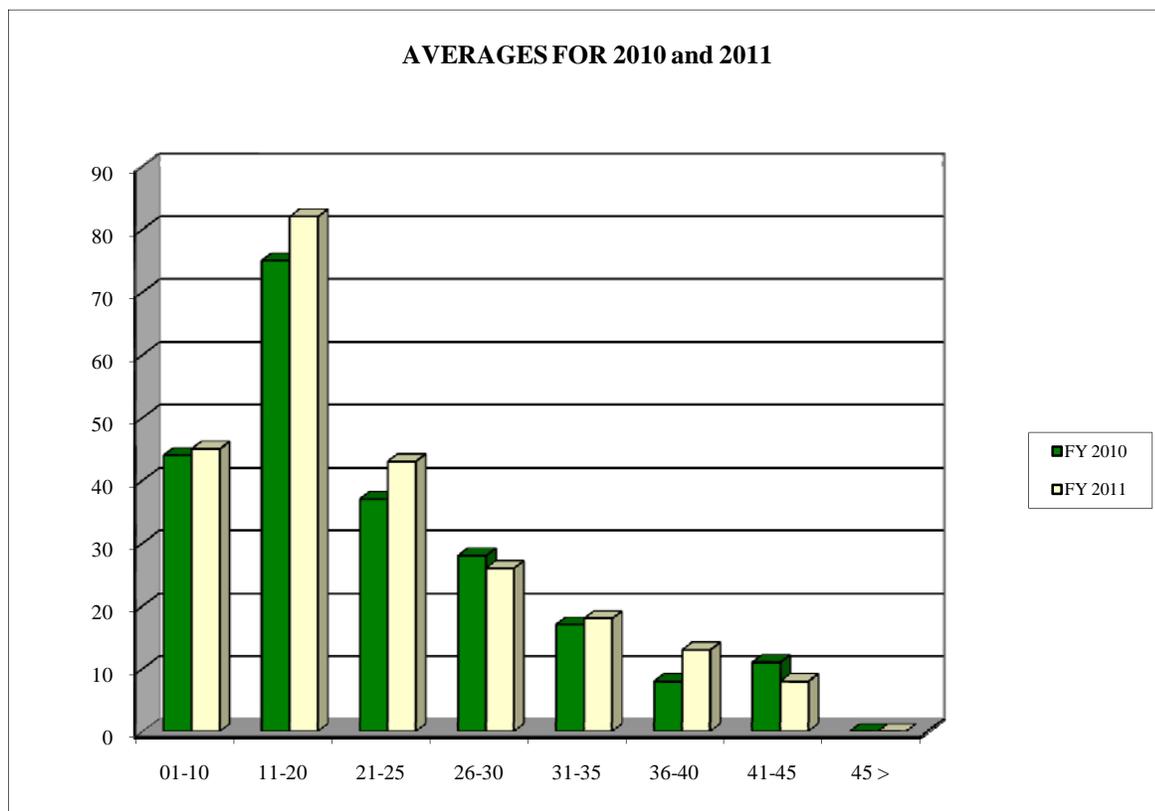
Invoices over \$2,500 represent 27.0% (54 checks) of all invoices processed in the month of October, and represent 96.0% of the value (\$4,656,581.11) of all checks processed in October 2010.

Accounts Payable processed 77.8% (42 checks) of the checks over \$2,500 within the 21-25 days, with 90.7% (49 checks) of the checks over \$2,500 processed within 30 days.

**SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
PAYMENT CYCLE REPORT - OCTOBER 2010**

FOR INVOICES \$2,500 AND OVER

MONTHLY AVERAGE JULY 2010 TO JUNE 2011		MONTHLY AVERAGE JULY 2009 TO JUNE 2010	
INVOICE CYCLE	% OF TOTAL	INVOICE CYCLE	% OF TOTAL
0 -10 Days	19.1%	0 -10 Days	20.0%
11-20 Days	34.9%	11-20 Days	34.1%
21-25 Days	18.3%	21-25 Days	16.8%
26-30 Days	11.1%	26-30 Days	12.7%
31-35 Days	7.7%	31-35 Days	7.7%
36-40 Days	5.5%	36-40 Days	3.6%
41-45 Days	3.4%	41-45 Days	5.0%
Over 45 Days	0.0%	Over 45 Days	0.0%



**SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: DECEMBER 10, 2010
INFORMATION ITEM: PAYMENTS OVER \$2,500
OCTOBER 1 THRU OCTOBER 31, 2010**

RCVD DATE	APPRVD DATE	CHECK DATE	MAILED CHECK	DAYS PROCESS	VENDOR	DESCRIPTION	AMOUNT
9/30/2010	10/1/2010	10/6/2010	10/7/2010	7	NATIONAL RAILROAD PASSENGER	Base Compensation -09/10	190,954.00
9/28/2010	10/1/2010	10/6/2010	10/7/2010	9	BOMBARDIER MASS TRANSIT	On Board Service -08/10	13,026.38
9/17/2010	9/28/2010	10/6/2010	10/7/2010	20	MACMILLAN OIL COMPANY	Train Fuel -09/12/10	204,430.49
9/12/2010	9/20/2010	10/6/2010	10/7/2010	25	BANK OF AMERICA	Corp Cards -08/10	16,298.58
10/4/2010	10/11/2010	10/13/2010	10/14/2010	10	MACMILLAN OIL COMPANY	Train Fuel -09/26/10	213,513.49
9/17/2010	9/20/2010	10/13/2010	10/14/2010	27	BOMBARDIER MASS TRANSIT	On Board Services -07/2010	1,690,801.67
10/12/2010	10/28/2010	10/27/2010	10/28/2010	16	BOMBARDIER MASS TRANSIT	On Board Service -09/10	8,610.22
10/15/2010	10/25/2010	10/27/2010	10/28/2010	13	MACMILLAN OIL COMPANY	Train Fuel -10/04/10	102,315.01
9/29/2010	10/6/2010	10/27/2010	10/28/2010	29	BOMBARDIER MASS TRANSIT	Air Pump Line for Tube Oil	3,160.86
9/4/2010	10/7/2010	10/7/2010	10/11/2010	37	AGN RISK SERVICES INC	Travelers Casualty & Surety Company of American	58,070.94
9/23/2010	10/6/2010	10/7/2010	10/11/2010	18	BITNER GOODMAN INC	Marketing Public Relations and Advertising Servies -08/10	19,614.00
9/23/2010	10/6/2010	10/7/2010	10/11/2010	18	FLORIDA MUNICIPAL INSURANCE	Workers Compensation Insurance- Florida Municipal Insurance Trust	9,994.00
10/4/2010	10/4/2010	10/7/2010	10/11/2010	7	FLORIDA POWER & LIGHT	Station Utilities	5,758.37
9/28/2010	10/1/2010	10/7/2010	10/11/2010	13	JET PAVEMENT	Contractor shall number parking spaces located in parking garage at SFRTA's Ft Laud. Airport station	3,482.00
9/17/2010	10/1/2010	10/7/2010	10/11/2010	24	PALMTRAN	PalmTran BCC Agenda -07/10	19,454.00
9/3/2010	10/1/2010	10/7/2010	10/11/2010	38	RESPECT OF FLORIDA	Janitorial services -08/01-31/10	5,547.27
9/30/2010	10/4/2010	10/7/2010	10/11/2010	11	WACKENHUT CORPORATION	Wackenhut W/E -09/20-26/10	92,264.55
9/23/2010	10/6/2010	10/18/2010	10/20/2010	27	BITNER GOODMAN INC	Marketing Public Relations and Advertising Servies -08/10	3,015.00
10/12/2010	10/12/2010	10/18/2010	10/20/2010	8	FLORIDA OPERATION LIFESAVER	Contribution to Florida Lifesaver -10/10	3,124.00
10/13/2010	10/13/2010	10/18/2010	10/20/2010	7	FLORIDA POWER & LIGHT	Station Utilities	3,735.91
9/28/2010	10/12/2010	10/18/2010	10/20/2010	22	COMMUNICATION SPECIALIST	LED Panels for PIS Signs	6,698.00
9/20/2010	10/11/2010	10/18/2010	10/20/2010	30	PALMTRAN	PalmTran BCC Agenda -08/10	19,454.00
10/4/2010	10/12/2010	10/18/2010	10/20/2010	16	RAIL TECH CONSULTANTS	PIS Parts and Labor -09/10	2,688.00
9/28/2010	10/11/2010	10/18/2010	10/20/2010	22	RESPECT OF FLORIDA	Janitorial services -09/01-30/10	5,122.77
9/21/2010	10/8/2010	10/18/2010	10/20/2010	29	RITTERS PRINTING	Blank custom watermarked paper for letterheads	2,953.00
9/13/2010	10/1/2010	10/18/2010	10/20/2010	37	SFEC TMA	SFEC TMA Feeder Svcs -08/10	8,219.86
10/6/2010	10/8/2010	10/18/2010	10/20/2010	14	VEOLIA TRANSPORTATION	Commuter Rail Opertions -09/01-30/10	753,285.12
10/6/2010	10/7/2010	10/18/2010	10/20/2010	14	WACKENHUT CORPORATION	Wackenhut W/E -09/27-10/03/10	199,290.77
9/23/2010	9/24/2010	10/19/2010	10/20/2010	27	C2 GROUP LLC	Fed/Leg Consult -10/2010	10,000.00
10/19/2010	10/19/2010	10/25/2010	10/27/2010	8	PROLOGIS TRUST	Prologis Trust- Office Rent -11/10	58,454.56
10/26/2010	10/26/2010	10/29/2010	11/4/2010	9	AT&T	Tel Charges -10/10	2,917.68
10/25/2010	10/25/2010	10/29/2010	11/4/2010	10	COMTECH 21	800 Svc -10/10	3,988.15
10/26/2010	10/26/2010	10/29/2010	11/4/2010	9	FLORIDA POWER & LIGHT	Station Utilities	19,152.98
10/18/2010	10/25/2010	10/29/2010	11/4/2010	17	HDR ENGINEERING INC	Commuter Rail Track & Signal Field Support Services.	54,515.31
9/24/2010	9/27/2010	10/29/2010	11/4/2010	41	MERIDIAN MANAGEMENT	Station Maintenance -08/10	328,377.51
10/12/2010	10/27/2010	10/29/2010	11/4/2010	23	WATSON RICE LLP	Professional Auditing Services -10/10	7,462.03
9/28/2010	10/6/2010	10/29/2010	11/4/2010	37	TROPIC OIL COMPANY	Lubricant For Use In Detroit Diesel Propulsion Engines	3,561.63
				37	TOTAL OPERATING EXPENDITURES		\$ 4,153,312.11

**SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: DECEMBER 10, 2010
INFORMATION ITEM: PAYMENTS OVER \$2,500
OCTOBER 1 THRU OCTOBER 31, 2010**

RCVD DATE	APPRVD DATE	CHECK DATE	MAILED CHECK	DAYS PROCESS	VENDOR	DESCRIPTION	AMOUNT
CAPITAL EXPENDITURES							
9/21/2010	10/6/2010	10/7/2010	10/11/2010	20	BOOZ ALLEN & HAMILTON	Continue technical and program management of the UAFC System for a period of 19mths	131,288.49
9/21/2010	10/7/2010	10/7/2010	10/11/2010	20	GOVCONNECTION INC	SuperLoader 3, LTO-4, 8 Slots, SCSI Quantum	3,253.36
9/26/2010	10/4/2010	10/7/2010	10/11/2010	15	KIMLEY HORN AND ASSOCIATES	Retainage release	9,178.00
9/17/2010	10/4/2010	10/7/2010	10/11/2010	24	KIMLEY HORN AND ASSOCIATES	Provide professional planning services	14,487.26
9/30/2010	10/6/2010	10/7/2010	10/11/2010	11	PAINTSMART USA, INC.	Retainage release	17,980.00
10/1/2010	10/8/2010	10/15/2010	10/19/2010	18	CARTER AND BURGESS INC	Retainage release	77,441.94
9/30/2010	10/12/2010	10/15/2010	10/19/2010	19	CSX TRANSPORTATION	Csx to modify our clean cab radios to the narrow band standard	4,985.18
9/30/2010	10/12/2010	10/15/2010	10/19/2010	19	FINFROCK CONSTRUCTION	For additional change to the scope and plans for infrastructure for back-up generator	35,401.93
9/28/2010	10/8/2010	10/15/2010	10/19/2010	21	MILLS ELECTRIC SERVOCE	Electrical work for new Customer Service Center. See attached specifications.	2,500.00
9/20/2010	10/8/2010	10/15/2010	10/19/2010	29	PB AMERICAS, INC	To assist SFRTA in implementing the Public Involvement (PI) and Education	9,166.54
10/4/2010	10/6/2010	10/15/2010	10/19/2010	15	S.W. MARLOW GENERAL	For the purchase and installation of Bike Lockers at Tri-Rail Stations.	48,031.00
10/4/2010	10/7/2010	10/15/2010	10/19/2010	15	THE SIGNAL GROUP INC	Construction of Parking Lot Lighting at the Tri-Rail Lake Worth Station	39,203.00
10/18/2010	10/18/2010	10/19/2010	10/20/2010	2	COALITION FOR IND LIVING	Reimbursement for 1/2 of expenses through JARC/NF Grants for the month of July 2010	2,838.88
10/18/2010	10/21/2010	10/29/2010	11/4/2010	17	JACOBS ENGINEERING GROUP	To assist SFRTA in developing a needs assessment, conduct a real property search and alternative site	4,513.41
10/17/2010	10/19/2010	10/29/2010	11/4/2010	18	KIMLEY HORN AND ASSOCIATES	Retainage release	17,704.20
10/22/2010	10/27/2010	10/29/2010	11/4/2010	13	S.W. MARLOW GENERAL	For the purchase and installation of Bike Lockers at Tri-Rail Stations.	81,995.81
10/28/2010	10/28/2010	10/29/2010	11/4/2010	7	TEM SYSTEMS INC.	Preventative Maintenance -09/10	3,300.00
				17	TOTAL CAPITAL EXPENDITURES		\$ 503,269.00
	Item Total			54	TOTAL OPERATING EXPENSES AND CAPITAL EXPENDITURES		\$ 4,656,581.11

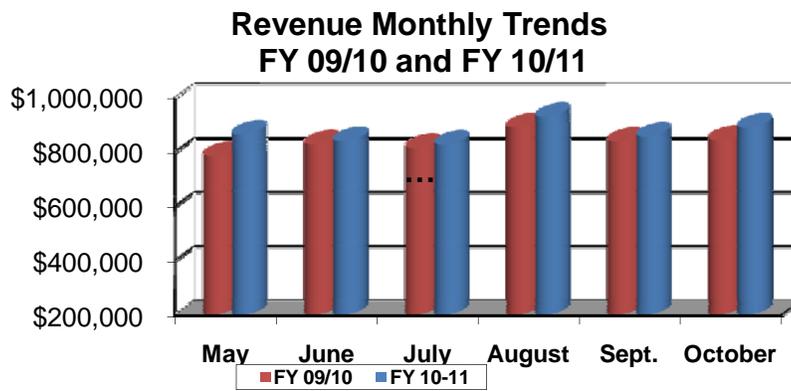
**SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: DECEMBER 10, 2010
INFORMATION ITEM:
SUMMARY OF PAYMENTS OVER \$2,500
OCTOBER 1, 2010 TO OCTOBER 31, 2010**

INVOICE CYCLE	NO. CHECKS	PERCENT OF TOTAL	ACCUM %
0-10 days	12	22.2%	22.2%
11-20 days	23	42.6%	64.8%
21-25 days	7	13.0%	77.8%
26-30 days	7	13.0%	90.7%
31-35 days	0	0.0%	90.7%
36-40 days	4	7.4%	98.1%
41-45 days	1	1.9%	100.0%
Over 45 days	0	0.0%	100.0%
TOTAL CHECKS	54	100.0%	

**SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
REVENUE REPORT- OCTOBER 2010**

REVENUE - OCTOBER 2010

DESCRIPTION	Oct-09	Oct-10	VARIANCE	%
Weekday Sales	714,045	730,498	16,453	2.3%
Weekend Sales	121,161	151,120	29,959	24.7%
Other Income	10,243	8,989	(1,254)	-12.2%
Total Revenue	845,449	890,607	45,158	5.3%

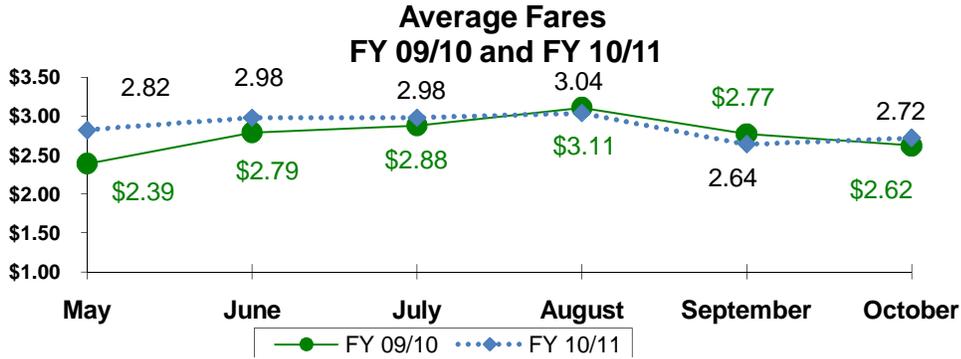


**SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
REVENUE REPORT- OCTOBER 2010**

SALES BY TICKET TYPE	OCTOBER 2009	OCTOBER 2010	PERCENT ⁽¹⁾ CHANGE
Palm Beach Schools	35,000	32,450	-7%
Employer Disc. Program	150,211	153,484	2%
Group Tour Sales	431	200	-53.6%
Station Sales:			
One-Way	275,169	292,380	6.3%
Roundtrip	146,094	148,075	1.4%
12 Trips	31,578	31,417	-0.5%
Monthly	50,350	54,300	7.8%
One-Way Discount	61,948	66,047	6.6%
Roundtrip Discount	40,724	44,565	9.4%
Monthly Discount	43,700	58,700	34.3%
Total Station Sales	649,563	695,484	
Total Sales	835,206	881,618	5.6%

(1) Percent increase or decrease from previous year

AVERAGE FARE 2.62 2.72



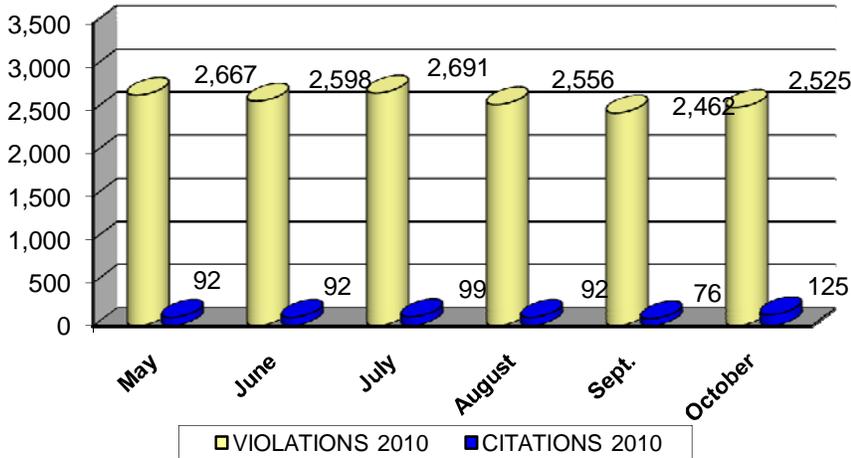
**SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
FARE EVASION REPORT
MAY 2010 THROUGH OCTOBER 2010**

MONTH	TOTAL INSPECTED	TOTAL VIOLATIONS	# OF CITATIONS	# OF WARNINGS	% RIDERS INSPECTED
MAY 2010	349,353	2,667	92	2,571	114%
JUNE 2010	324,975	2,598	92	2,505	116%
JULY 2010	304,665	2,691	99	2,590	112%
AUGUST 2010	349,453	2,556	92	2,462	117%
SEPTEMBER 2010	366,391	2,462	76	2,382	116%
OCTOBER 2010	364,612	2,525	125	2,398	112%
AVERAGE	343,242	2,583	96	2,485	115%

FARE EVASION % **0.69%**

FINES **\$** **1,334**

**Fare Violations / Citations
2010**





Solicitation Status Report November 2010

AGENDA ITEM: H

Solicitation Number	Solicitation Type	Description of Services	Advertise Date	Document Available	Pre-Submittal Conference	Due Date Bids/Proposals	Award Contract
10-005	ITB	New Locomotives Contract Admin.: P. McCammon Proj. Mgr.: D. Mazza	2/26/2010	3/1/2010	3/18/2010	6/24/2010	12/10/2010
11-008	ITB	Hialeah Yard Storage Tracks Contract Admin.: B. Kohlberg Proj. Mgr.: B. Creed	N/A	11/1/2010	N/A	11/23/2010	12/10/2010



Solicitation Status Report October 2010

AGENDA ITEM: H

Solicitation Number	Solicitation Type	Description of Services	Advertise Date	Document Available	Pre-Submittal Conference	Due Date Bids/Proposals	Award Contract
10-005	ITB	New Locomotives Contract Admin.: P. McCammon Proj. Mgr.: D. Mazza	2/26/2010	3/1/2010	3/18/2010	6/24/2010	12/10/2010
10-012	ITB	Hialeah Yard Storage Tracks Contract Admin.: B. Kohlberg Proj. Mgr.: B. Creed	8/29/2010	8/30/2010	9/8/2010	10/13/2010	All Bids Rejected



**Contract Actions Executed
Under The Executive Director's Authority
For The Month of November 2010**

AGENDA ITEM NO: I

Date Signed	Contract /Purchase Order No.	Contract Action	Amount \$	Term
Contractor Project Justification	<p align="center">11-000218</p> GRABER FORD MERCURY, INC. New Ford F150 4X2 for Operations New Operations vehicle. FORD F150 4X2, including long bed, HD tow package, payload package upgrade, linex, toolbox, engine upgrade with payload configuration.	Purchase Order	18,714.00	N/A
Contractor Project Justification	<p align="center">11-000219</p> GRABER FORD MERCURY, INC. New Ford F250 for Operations New Operations FORD F250 3/4 Ton Pick Up 4X2	Purchase Order	16,292.00	N/A
Contractor Project Justification	<p align="center">11-000220</p> MINNESOTA LIFE Basic Life and AD&D Insurance Minnesota Life Basic Life & AD&D for SFRTA Employees 1/1/2011 - 6/30/2011	Purchase Order	12,500.00	N/A



**Contract Actions Executed
Under The Executive Director's Authority
For The Month of October 2010**

AGENDA ITEM NO: I

Date Signed	Contract /Purchase Order No.	Contract Action	Amount \$	Term
Contractor Project Justification	11-000172 LEVERAGE INFORMATION SYSTEMS PIS (Passenger Information System) repair components at Tri-Rail stations Led Panels for PIS Signs, and Adaptive LED Sign Controller (Driver) Boards	Purchase Order	11,497.00	N/A
Contractor Project Justification	11-000204 CANON BUSINESS SOLUTIONS Copier Maintenance Full coverage maintenance and supplies for Procurement, Executive and Mail Room Copy Machines from 10/1/10 - 6/30/11	Purchase Order	17,400.00	N/A
Contractor Project Justification	11-000216 PALMTRAN Palm Tran Transportation - Demand Service Palm Tran Purchased Transportation - Demand Service: Commuter Rail Emergency Support Service	Purchase Order	10,000.00	N/A



**Contract Actions Executed
Under The
Construction Oversight Committee's Authority
For The Month of November 2010**

AGENDA ITEM: J

Date Signed	Contract No. 10-002	Contract Action	Amount \$	Term
N/A	No Contract Actions were executed by the Construction Oversight Committee For The Month of November 2010	N/A	N/A	N/A



**Contract Actions Executed
Under The
Construction Oversight Committee's Authority
For The Month of October 2010**

AGENDA ITEM: J

Date Signed	Contract No. 10-002	Contract Action	Amount \$	Term
N/A	No Contract Actions were executed by the Construction Oversight Committee For The Month of October 2010	N/A	N/A	N/A



**SOUTH FLORIDA
REGIONAL
TRANSPORTATION
AUTHORITY**

***MONTHLY CRIME ANALYSIS SUMMARY
AND FARE EVASION REPORT***

NOVEMBER 2010

PRESENTED BY



TIM CATES
PROJECT MANAGER

**SOUTH FLORIDA REGIONAL
TRANSPORTATION AUTHORITY
MONTHLY CRIME ANALYSIS SUMMARY**

NOVEMBER 2010

During the month of NOVEMBER 2010, 3081 incidents were reported to, or by Wackenhut Custom Protection Officers®, of these, one (1) Train Vs. Pedestrian (fatality), one (1) Auto Thefts(s), two (2) Auto Thefts Attempt(s), four (4) Burglary Auto(s), four (4) Thefts, one (1) Battery on a Transit Agent (C.P.O.), and one (1) Aggravated Assault on Transit Agent. A total of six (6) arrests were made during this month.

MAJOR INCIDENTS

DISORDERLY CONDUCT-RESISTING

ARREST

Case # 11-10-1077

Occurred on 11/10/10, Wednesday, at 0649 hours. The subject became loud and disorderly when asked to see her ticket. When police arrived, she resisted arrest. Boynton Beach Police Department Case # 10-50394.

Train Vs Pedestrian (fatality-possible suicide)

Case # 11-10-1546

Occurred on 11/14/10, Sunday, at 1425 hours. The P668 struck a subject at MM 1023.0 (Ives Dairy Road). The subject ran in front of the P668 from a hidden spot in the bushes. Miami Dade Police Department Case # 101114475660.

MANGONIA PARK STATION

AUTO THEFT

Case # 11-10-095

Occurred on 11/01/10, Monday, between 0350 and 1545 hours. Unknown person(s) broke into the victim's vehicle (2005 Dodge Caravan Silver) from the station parking lot. Palm Beach Sheriff's Office Case # 143899.

AUTO THEFT -attempt

Case # 11-10-933

Occurred on 11/08/10, Monday, at unknown time. Unknown person(s) broke into the victim's vehicle (2003 Dodge Black pick up truck) and caused damage to the ignition in an attempt to start the vehicle. Palm Beach Sheriff's Office Case # 10146846.

SHERIDAN STREET STATION

BURGLARY AUTO(S)

Case # 11-10-002, 11-10-003, AND 11-10-006

Occurred between 10/31/10 and 11/01/10. Unknown person(s) broke out windows on three vehicles (Nissan Sentra 4 door gold, Honda CRV black 5 doors, Chevy Trailblazer) and gained entry into the vehicles. The vehicles were parked overnight. No reported loss. Hollywood Police Department Case # 33-1011-172094.

AUTO THEFT -attempt

Case # 11-10-914

Occurred on 11/08/10, Monday, at 1853 hours. An unknown subject was observed in the victim's vehicle (1999 Chrysler Sebring white) attempting to start the vehicle by causing damage to the ignition. Hollywood Police Department Case # 3310-11-176045.

WEST PALM BEACH STATION

AGGRAVATED ASSAULT ON TRANSIT AGENT

ARREST

Case # 11-10-749

Occurred 11/06/10, Saturday, 1530 hours. The subject was made aware of an altercation on the west side platform. The on duty C.P.O. confronted the subject at which time the subject produced a knife and threatened to stab the officer. The subject fled on foot and was later apprehended. West Palm Beach Police Department Case # 10-18611.

OPA LOCKA STATION

BURGLARY AUTO

Case # 11-10-821

Occurred between 11/05/10, Friday, 2200 hours and 11/08/10, Monday at 0600 hours. Unknown person(s) broke into the victim's vehicle (Toyota Corolla Red) and took a 12-volt auto battery from the back seat. Opa Locka Police Department Case # 10-11-0804.

GOLDEN GLADES STATION**THEFT (FIRE EXTINGUISHER)**

Case # 11-10-1007

Occurred 11/09/10, Tuesday, at unknown time. Unknown person(s) took the station fire extinguisher. No police report.

FT LAUDERDALE STATION**THEFT (AUTO PARTS)**

Case # 11-10-1852

Occurred 11/17/10, Wednesday, between 0850-1615 hours. Unknown person(s) took the victims muffler from her vehicle (1995 Tan Toyota Previa) while parked in the station NW lot. Ft Lauderdale Police Department Case # 10-124935.

METRORAIL STATION**BATTERY ON A TRANSIT AGENT (C.P.O.)**

Case # 11-10-1892

Occurred 11/18/10, Thursday, at 1640 hours. The subject was causing a disturbance and upon being confronted by the station C.P.O., the subject came up with both hands and pushed the C.P.O. backwards. Hialeah Police Department Case # 10-38892.

THEFT (C.P.O. clipboard)

Case # 11-10-2963

Occurred 11/29/10, Monday, at 1340 hours. The subject, after committing an Assault on the C.P.O., ran after the officer's clipboard, picked it up, and fled with the clipboard on his bike. Hialeah Police Department Case # 201009095.

BOYNTON BEACH STATION**THEFT (BOOKBAG)**

Case # 11-10-2453

Occurred 11/23/10, Tuesday, between 1755-1819 hours. Unknown person(s) took the victims book bag, which was placed on the ground next to the bike lockers. Boynton Beach Police Department Case # 10-055603.

OUTSTANDING JOB PERFORMANCES

NOVEMBER 1, 2010, C.P.O. Lanspery was at the Golden Glades Station when subject approached the officer and stated that his three juvenile girls boarded the train without him. The girls could not be located on the trains. C.P.O. Lanspery thought it would be a good idea to check with the shuttle bus drivers, at the Ft. Lauderdale Station. While at the station, checking with the shuttle bus drivers, the girls disembarked at the Ft. Lauderdale Station. C.P.O. Lanspery confirmed their identity and released them without further incident to their father.

NOVEMBER 12, 2010, C.P.O. Wilson assisted a man who had trouble leaving the station. The letter stated that C.P.O. Wilson remembered the passengers name, made him feel safe, and carried himself very attentive, noticing everyone and everything. The letter stated he was an excellent employee.

NOVEMBER 18, 2010, C.P.O. Dickerson found a black wallet at the Cypress Creek Station. C.P.O. Dickerson inventoried the wallet and submitted the wallet to be returned to the owner. The owner received the wallet the next morning without any of the contents missing.

NOVEMBER 19, 2010, C.P.O. Miranda while on board the P619 located a T-Mobile Blackberry Phone. The phone was returned to the owner at a station an hour later without further incident.

THE WACKENHUT CORPORATION

MONTHLY FARE EVASION REPORT

MONTH: November 2010

Date	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. §12.016	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
11/1/2010	13,138	16,207	156	7	149	0	123%	1.0%	4%	96%	0%
11/2/2010	11,436	14,181	129	2	127	0	124%	0.9%	2%	98%	0%
11/3/2010	12,895	15,151	124	4	120	0	117%	0.8%	3%	97%	0%
11/4/2010	13,721	16,068	128	5	123	0	117%	0.8%	4%	96%	0%
11/5/2010	13,177	15,430	105	3	102	0	117%	0.7%	3%	97%	0%
11/6/2010	4,925	5,755	38	1	37	0	117%	0.7%	3%	97%	0%
11/7/2010	4,530	4,635	46	2	44	0	102%	1.0%	4%	96%	0%
11/8/2010	12,864	16,725	122	4	118	0	130%	0.7%	3%	97%	0%
11/9/2010	12,688	16,316	97	2	95	0	129%	0.59%	2%	98%	0%
11/10/2010	13,020	15,233	91	4	86	1	117%	0.60%	4%	95%	1%
11/11/2010	11,500	14,340	89	1	86	2	125%	0.62%	1%	97%	2%
11/12/2010	12,598	14,538	144	7	137	0	115%	0.99%	5%	95%	0%
11/13/2010	4,831	6,088	56	2	54	0	126%	0.92%	4%	96%	0%
11/14/2010	4,214	4,847	30	0	30	0	115%	0.62%	0%	100%	0%
11/15/2010	12,830	15,873	103	9	94	0	124%	0.65%	9%	91%	0%
11/16/2010	12,664	16,292	108	3	105	0	129%	0.66%	3%	97%	0%
11/17/2010	13,180	16,550	102	4	98	0	126%	0.62%	4%	96%	0%
11/18/2010	12,802	15,983	105	5	100	0	125%	0.66%	5%	95%	0%
11/19/2010	12,940	17,483	109	4	105	0	135%	0.62%	4%	96%	0%
11/20/2010	5,081	5,921	57	2	55	0	117%	0.96%	4%	96%	0%
11/21/2010	4,389	4,644	42	1	41	0	106%	0.90%	2%	98%	0%
11/22/2010	13,703	15,751	101	3	98	0	115%	0.64%	3%	97%	0%
11/23/2010	12,766	16,198	86	2	84	0	127%	0.53%	2%	98%	0%
11/24/2010	11,104	13,356	91	2	89	0	120%	0.68%	2%	98%	0%
11/25/2010	4,258	5,610	26	0	26	0	132%	0.46%	0%	100%	0%
11/26/2010	6,857	9,741	83	4	79	0	142%	0.85%	5%	95%	0%
11/27/2010	4,523	5,153	46	0	46	0	114%	0.89%	0%	100%	0%
11/28/2010	4,014	5,591	64	0	64	0	139%	1.14%	0%	100%	0%
11/29/2010	13,002	13,324	74	3	71	0	102%	0.56%	4%	96%	0%
11/30/2010	12,979	15,928	100	5	95	0	123%	0.63%	5%	95%	0%
Totals	302,629	368,912	2,652	91	2558	3	122%	0.72%	3%	96%	0%

THE WACKENHUT CORPORATION

Weekly/Monthly Fare Inspection Report

Month: November 2010

Week	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
11/01/10-11/07/10	73,822	87,427	726	24	702	0	118%	0.83%	3%	97%	0%
11/08/10-11/14/10	71,715	88,087	629	20	606	3	123%	0.71%	3%	96%	0%
11/15/10-11/21/10	73,886	92,746	626	28	598	0	126%	0.67%	4%	96%	0%
11/22/10-11/28/10	57,225	71,400	497	11	486	0	125%	0.70%	2%	98%	0%
11/29/10-11/30/10	25,981	29,252	174	8	166	0	113%	0.59%	5%	95%	0%
Totals	302,629	368,912	2,652	91	2,558	3	122%	0.72%	3%	96%	0%



FARE EVASION REPORT MONTHLY COMPARISON

MONTH	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
April-98	284,380	170,853	541	495	27	19	60%	0.32%	91%	5%	4%
May-98	180,788	118,150	395	350	28	18	65%	0.33%	89%	7%	5%
June-98	167,931	119,333	605	538	39	28	71%	0.51%	89%	6%	5%
July-98	164,028	114,160	753	675	56	22	70%	0.66%	90%	7%	3%
August-98	175,944	126,223	643	598	29	16	72%	0.51%	93%	5%	2%
September-98	169,522	118,346	442	419	17	6	70%	0.37%	95%	4%	1%
October-98	194,241	137,885	636	613	13	10	71%	0.46%	96%	2%	2%
November-98	172,782	123,556	564	540	11	13	72%	0.46%	96%	2%	2%
December-98	177,662	129,428	533	517	6	10	73%	0.41%	97%	1%	2%
January-99	182,432	140,296	531	509	10	12	77%	0.38%	96%	2%	2%
February-99	184,533	137,924	531	518	8	5	75%	0.38%	98%	2%	1%
March-99	206,134	161,833	710	696	6	8	79%	0.44%	98%	1%	1%
April-99	195,182	146,649	565	555	9	1	75%	0.39%	98%	2%	0%
May-99	185,160	138,211	608	594	8	6	75%	0.44%	98%	1%	1%
June-99	165,130	126,263	533	511	21	1	76%	0.42%	96%	4%	0%
July-99	157,020	124,754	470	373	93	4	79%	0.38%	79%	20%	1%
August-99	183,578	140,002	475	447	23	5	76%	0.34%	94%	5%	1%
September-99	170,632	138,267	407	397	6	4	81%	0.29%	98%	1%	1%
October-99	181,774	155,633	508	484	20	4	86%	0.33%	95%	4%	1%
November-99	186,616	147,986	560	549	7	4	79%	0.38%	98%	1%	1%
December-99	182,591	145,524	563	547	11	5	80%	0.39%	97%	2%	1%
January-00	187,154	144,403	529	520	5	4	77%	0.37%	98%	1%	1%
February-00	198,944	158,269	582	573	5	4	80%	0.37%	98%	1%	1%
March-00	210,339	166,800	519	507	9	3	79%	0.31%	98%	2%	1%
April-00	193,414	170,365	509	500	5	4	88%	0.30%	98%	1%	1%
May-00	207,042	180,112	587	572	9	6	87%	0.33%	97%	2%	1%
June-00	173,063	141,554	680	670	7	3	82%	0.48%	99%	1%	0%
July-00	171,438	131,316	622	613	4	5	77%	0.47%	99%	1%	1%
August-00	204,722	153,674	512	497	9	6	75%	0.33%	97%	2%	1%
September-00	207,322	144,675	491	470	11	10	70%	0.34%	96%	2%	2%
*October-00	227,112	156,845	651	216	425	10	69%	0.42%	33%	65%	0%
November-00	219,669	157,151	670	221	437	12	72%	0.43%	33%	65%	2%
December-00	198,383	153,327	626	235	383	8	77%	0.41%	38%	61%	0%
Totals	6,266,662	4,719,767	18,551	16,519	1757	276	75%	0.39%	89%	9%	1%

Note: Hurricane George Warning on Wednesday, 9/23/98.
 Per Tri-Rail ticket checks/citations were stopped at 1200 hrs on 9/23/98.
 Holiday: 11/26/98, 12/25/98, 1/1/99 - No Train Service.
 Note: Hurricane Floyd Warning on Tuesday 09/14/99 and Wednesday, 9/15/99.
 * October 2000 MODIFIED FARE EVASION BEGINS

Per Tri-Rail trains were canceled due to hurricane on 9/24/98 & 9/25/98.
 Per Tri-Rail ticket checks/citations were started at PG46 on 9/26/98.
 January 22-24, 1999 - Friends Ride Free.
 Holiday: 11/25/99, 12/25/99, 1/1/00 - No Train Service.



FARE EVASION REPORT MONTHLY COMPARISON

MONTH	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
January-01	217,992	158,234	595	201	387	7	73%	0.38%	34%	65%	1%
February-01	218,815	155,774	500	144	351	5	71%	0.32%	29%	70%	1%
March-01	236,192	187,706	546	130	412	4	79%	0.29%	24%	75%	0%
April-01	224,941	205,730	581	129	444	8	91%	0.28%	22%	76%	1%
May-01	227,874	213,360	620	135	485	0	94%	0.29%	22%	78%	0%
June-01	188,375	191,285	664	174	487	3	102%	0.35%	26%	73%	0%
July-01	187,923	193,992	690	219	469	2	103%	0.36%	32%	68%	0%
August-01	220,792	228,613	659	170	488	1	104%	0.29%	26%	74%	0%
September-01	197,084	199,546	557	157	398	2	101%	0.28%	28%	71%	0%
October-01	224,865	226,566	642	221	417	4	101%	0.28%	34%	65%	0%
November-01	196,902	190,162	640	240	400	0	97%	0.34%	38%	63%	0%
December-01	197,396	181,718	666	276	381	9	92%	0.37%	41%	57%	1%
January-02	215,010	199,904	627	208	417	2	93%	0.31%	33%	67%	0%
February-02	209,444	213,042	589	172	414	3	102%	0.28%	29%	70%	1%
March-02	227,971	224,847	650	270	377	3	99%	0.29%	42%	58%	0%
April-02	239,345	225,965	661	238	420	3	94%	0.29%	36%	64%	0%
May-02	231,330	217,200	643	195	445	3	94%	0.30%	30%	69%	0%
June-02	181,749	174,081	658	259	395	4	96%	0.38%	39%	60%	1%
July-02	195,723	195,531	865	38	817	10	100%	0.44%	4%	94%	1%
August-02	225,555	231,376	880	38	841	1	103%	0.38%	4%	96%	0%
September-02	216,671	227,528	883	37	843	3	105%	0.39%	4%	96%	0%
October-02	243,867	250,859	954	48	903	3	103%	0.38%	5%	95%	0%
November-02	221,892	219,181	955	49	903	3	99%	0.44%	5%	95%	0%
December-02	220,225	215,762	995	34	961	0	98%	0.46%	3%	97%	0%
January-03	238,953	239,334	963	28	881	2	100%	0.40%	3%	97%	0%
February-03	233,620	233,517	910	27	881	2	100%	0.39%	3%	97%	0%
March-03	247,918	247,702	801	44	756	1	100%	0.32%	5%	94%	0%
April-03	241,294	251,377	833	36	795	2	104%	0.33%	4%	95%	0%
May-03	239,967	248,639	769	53	714	2	104%	0.31%	7%	93%	0%
June-03	198,394	201,188	794	52	738	4	101%	0.39%	7%	93%	1%
July-03	203,815	211,409	881	46	828	7	104%	0.42%	5%	94%	1%
Page 1 Total	6,266,662	4,719,767	18,551	16,519	1,757	276	75%	0.39%	96%	9%	1%
Totals	13,038,556	11,280,895	41,222	20,587	20,257	379	87%	0.37%	50%	49%	1%

* October 2000 MODIFIED FARE EVASION BEGINS 09/11/01 TERRORIST ATTACK

JULY 1, 2002-NEW WARNING POLICY ONE WARNING TO ALL-NEW ENFORCEMENT GUIDE



FARE EVASION REPORT MONTHLY COMPARISON

MONTH	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.016	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
August-03	225,003	233,605	732	27	703	2	104%	0.31%	4%	96%	0%
September-03	231,637	247,620	779	43	736	0	107%	0.31%	6%	94%	0%
October-03	252,722	257,883	1035	64	970	1	102%	0.40%	6%	94%	0%
November-03	216,440	225,123	1014	63	950	1	104%	0.45%	6%	94%	0%
December-03	223,791	222,530	1089	71	1014	4	99%	0.49%	7%	93%	0%
January-04	237,635	233,086	1038	77	958	3	98%	0.45%	7%	92%	0%
February-04	242,576	222,543	1000	73	926	1	92%	0.45%	7%	93%	0%
March-04	261,974	227,616	1006	63	941	2	87%	0.44%	6%	94%	3%
April-04	254,585	229,216	740	37	702	1	90%	0.32%	5%	95%	0%
May-04	248,924	228,334	688	31	657	0	92%	0.30%	5%	95%	0%
June-04	220,646	195,551	1046	38	1007	1	89%	0.53%	4%	96%	0%
July-04	217,550	197,139	968	49	917	2	91%	0.49%	5%	95%	0%
August-04	244,841	215,845	1066	37	1029	0	88%	0.49%	3%	97%	0%
September-04	134,259	127,913	524	18	505	1	95%	0.41%	3%	96%	0%
October-04	250,254	216,532	906	33	871	2	87%	0.42%	4%	96%	0%
November-04	247,676	235,871	947	41	904	2	95%	0.40%	4%	95%	0%
December-04	232,664	231,754	931	58	873	0	100%	0.40%	6%	94%	0%
January-05	233,079	240,361	1244	91	1147	6	103%	0.52%	7%	92%	0%
February-05	234,939	242,323	1149	90	1055	4	103%	0.47%	8%	92%	0%
March-05	271,374	268,833	1230	89	1140	1	99%	0.46%	7%	93%	0%
April-05	261,406	260,144	1500	77	1420	3	100%	0.58%	5%	95%	0%
May-05	249,519	248,817	1421	116	1297	8	100%	0.57%	8%	91%	0%
June-05	200,482	194,500	1360	107	1237	16	97%	0.70%	8%	91%	1%
July-05	186,245	183,463	1295	103	1181	11	99%	0.71%	8%	91%	1%
August-05	207,320	206,156	1224	112	1108	4	99%	0.59%	9%	91%	0%
September-05	227,227	206,899	1213	93	1118	2	91%	0.59%	8%	92%	0%
October-05	161,615	143,769	963	63	898	2	89%	0.67%	7%	93%	0%
November-05	178,032	114,184	745	39	702	4	64%	0.65%	5%	94%	1%
December-05	207,734	172,526	1347	59	1284	4	83%	0.78%	4%	95%	0%
January-06	224,188	175,605	1555	95	1457	3	78%	0.89%	6%	94%	0%
February-06	217,412	173,825	1447	74	1368	5	80%	0.83%	5%	95%	0%
March-06	248,631	199,736	1800	93	1698	9	80%	0.90%	5%	94%	1%
April-06	257,607	192,193	1776	93	1680	3	75%	0.92%	5%	95%	0%
Page 2 Total	13,038,556	11,280,895	41,222	20,587	20,257	379	87%	0.37%	50%	48%	1%
Totals	20,548,543	18,252,390	78,000	22,804	54,710	487	89%	0.43%	29%	70%	1%

LIMITED OR NO TRAIN SERVICE DUE TO HURRICANE FRANCES-SEPTEMBER 2-12, 2004

LIMITED OR NO TRAIN SERVICE DUE TO HURRICANE JEANNE- SEPTEMBER 25-29, 2004

LIMITED OR NO TRAIN SERVICE DUE TO HURRICANE KATRINA -AUGUST 25-28, 2005

NO TRAIN SERVICE SEPTEMBER 20, 2005 HURRICANE RITA

NO TRAIN SERVICE OCTOBER 22-31, 2005 DUE TO HURRICANE WILMA

NO TRAIN SERVICE NOVEMBER 1-3, 2005 DUE TO HURRICANE WILMA

NO TICKET CHECKS NOVEMBER 4-11, 2005 DUE TO HURRICANE WILMA



FARE EVASION REPORT MONTHLY COMPARISON

MONTH	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. \$12,015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
May-06	291,543	208,697	1966	93	1868	5	72%	0.94%	5%	95%	0%
June-06	263,417	188,847	1754	77	1675	2	72%	0.93%	4%	95%	0%
July-06	250,659	178,368	1828	86	1738	4	71%	1.02%	5%	95%	0%
August-06	269,197	197,985	1915	106	1802	7	74%	0.97%	6%	94%	0%
September-06	287,529	212,380	1842	109	1729	4	74%	0.87%	6%	94%	0%
October-06	309,013	232,544	2096	105	1986	5	75%	0.90%	5%	95%	0%
November-06	281,711	219,411	1894	97	1794	3	78%	0.86%	5%	95%	0%
December-06	271,530	220,247	2143	94	2045	4	81%	0.97%	4%	95%	0%
January-07	294,795	250,522	2201	92	2103	6	85%	0.88%	4%	96%	0%
February-07	287,357	247,655	2354	130	2220	4	86%	0.95%	6%	94%	0%
March-07	306,651	316,244	2473	129	2335	9	103%	0.78%	5%	94%	0%
April-07	274,767	316,493	2634	116	2509	9	115%	0.83%	4%	95%	0%
May-07	303,896	353,284	2874	128	2741	5	116%	0.81%	4%	95%	0%
June-07	268,097	308,831	2645	121	2519	5	115%	0.86%	5%	95%	0%
July-07	266,694	308,224	2613	117	2493	3	116%	0.85%	4%	95%	0%
August-07	295,771	331,353	2754	130	2614	10	112%	0.83%	5%	95%	0%
September-07	275,035	309,849	2385	109	2273	3	113%	0.77%	5%	95%	0%
October-07	326,094	360,151	2816	112	2698	7	110%	0.78%	4%	96%	0%
November-07	306,116	325,178	2586	133	2448	7	106%	0.80%	5%	95%	0%
December-07	294,709	308,632	2531	107	2419	5	105%	0.82%	4%	96%	0%
January-08	324,570	342,578	2655	102	2545	8	106%	0.78%	4%	96%	0%
February-08	331,830	336,290	2272	84	2179	9	101%	0.68%	4%	96%	0%
March-08	348,437	357,954	2571	77	2478	16	103%	0.72%	3%	96%	1%
April-08	352,304	374,861	2614	118	2482	14	106%	0.70%	5%	95%	1%
May-08	371,527	385,360	2893	161	2723	9	104%	0.75%	6%	94%	0%
June-08	367,215	384,174	2915	166	2743	6	105%	0.76%	6%	94%	0%
July-08	378,471	387,641	2667	123	2558	6	102%	0.69%	5%	95%	0%
August-08	353,045	367,779	2335	101	2229	5	104%	0.64%	4%	95%	0%
September-08	363,320	430,263	2452	104	2339	9	112%	0.57%	4%	95%	0%
October-08	399,891	446,825	2628	112	2507	9	112%	0.59%	4%	95%	0%
November-08	346,597	361,181	2133	90	2041	2	104%	0.59%	4%	96%	0%
December-08	344,245	363,553	2626	132	2491	3	106%	0.72%	5%	95%	0%
Page 3 Total	20,548,543	18,252,390	78,000	22,804	54,710	487	89%	0.43%	29%	70%	1%
Totals	30,574,576	28,185,744	155,087	26,365	128,034	690	92%	0.55%	17%	83%	0%

08/29/06-08/30/06 No train service due to Tropical Storm Ernesto

3/9/2007 TICKET CHECKS SUSPENDED BY SFRTA FOR THE ENTIRE DAY-MAJOR TRAIN DELAY/TRACK WORK

3/15/2007 SFRTA SUSPENDED TICKET CHECKS DUE TO PASSENGER SURVEY

03/28/07-03/30/07 P.605, P.609, P.630 (3 TRAINS ANNULLED)

03/28/07 -P614-P619 (6 TRAINS)REDUCED TICKET CHECK DUE TO TRAIN BEING IN EXCESS OF 45 MINUTES LATE

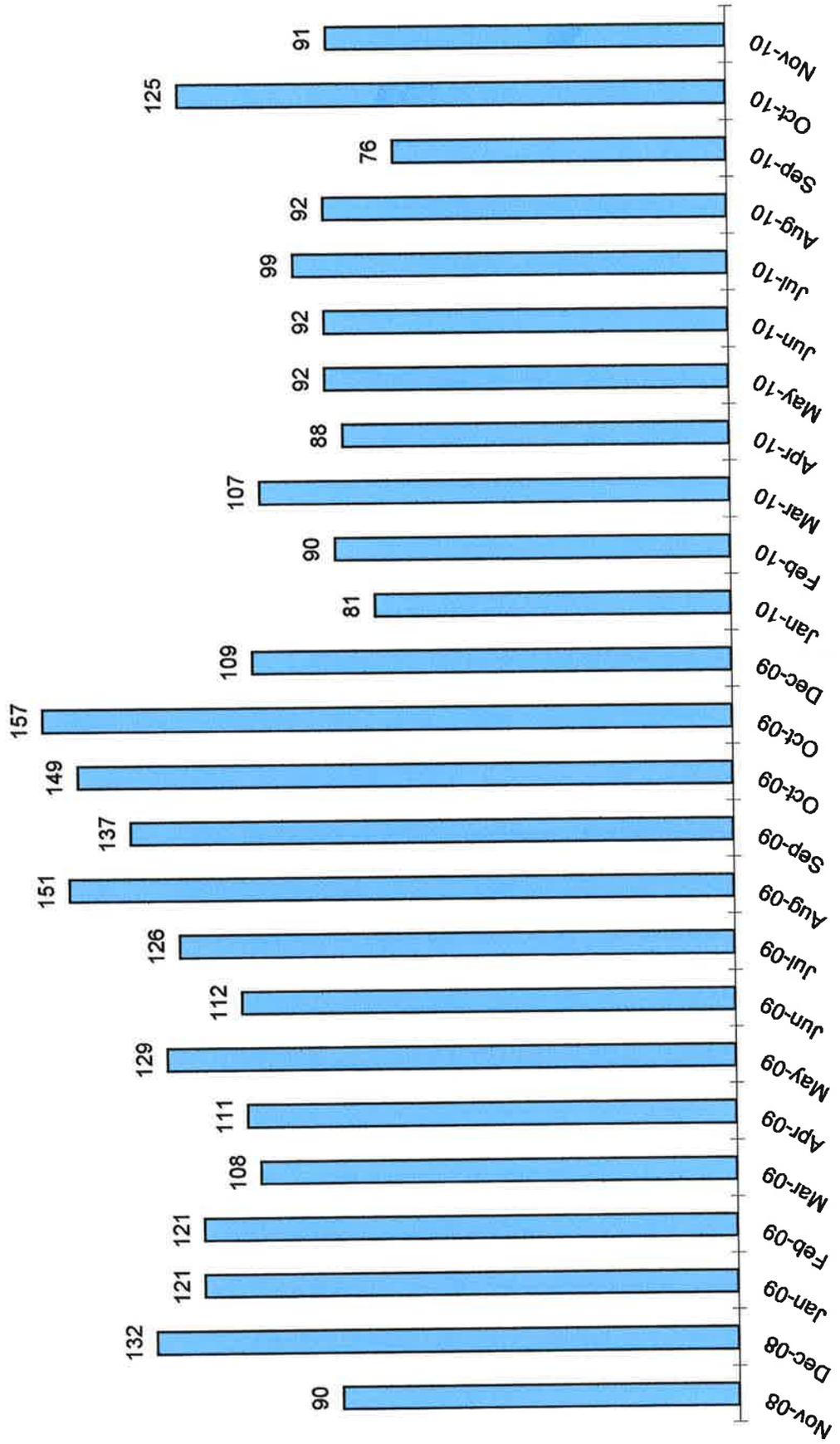
08/18/08 AND 08/19/08 TICKET CHECKS SUSPENDED DUE TO TROPICAL STORM KAY



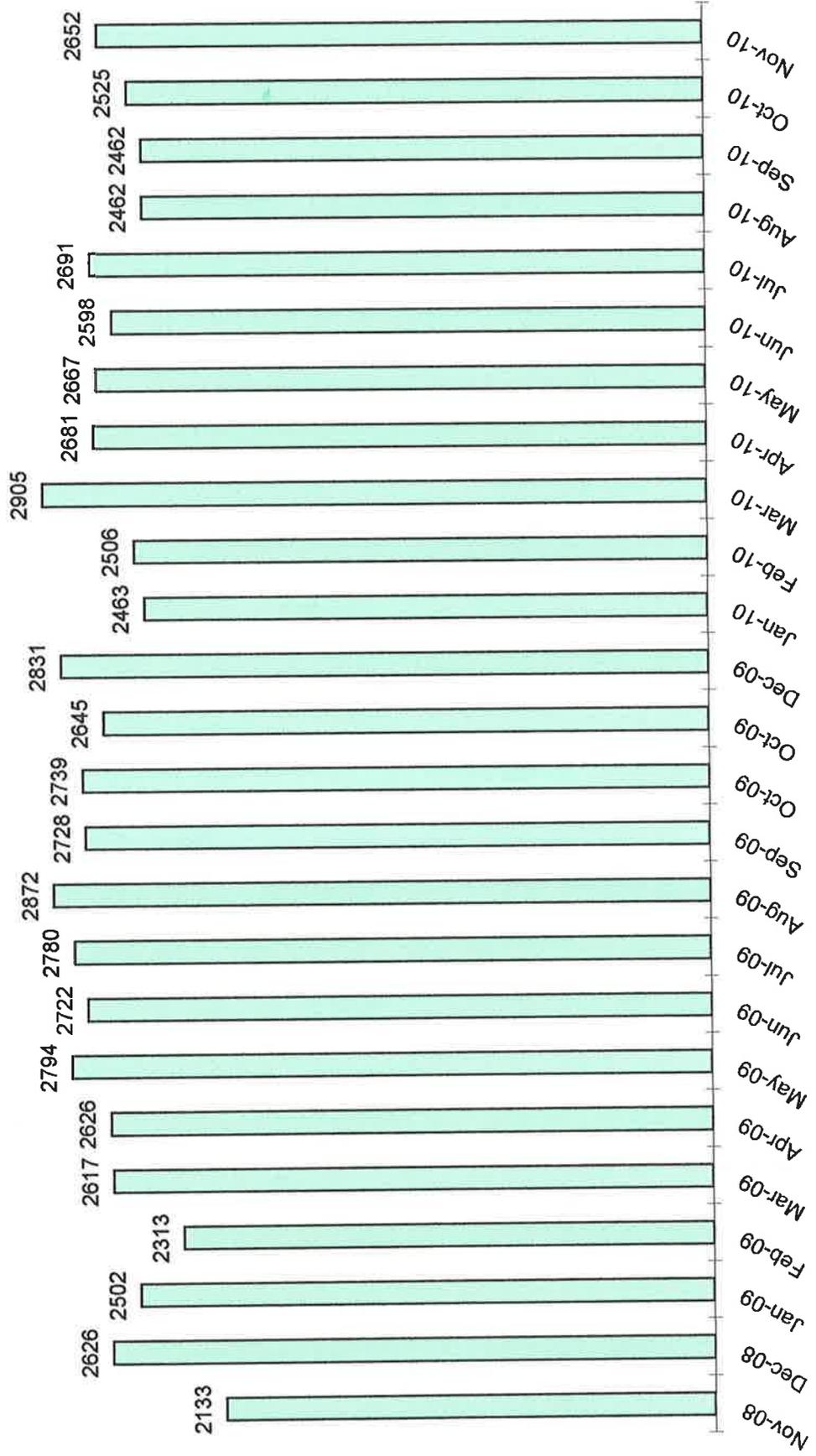
FARE EVASION REPORT MONTHLY COMPARISON

MONTH	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. §12.016	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
January-09	350,903	361,145	2,502	121	2377	4	103%	0.69%	5%	95%	0%
February-09	333,804	366,692	2313	121	2185	7	110%	0.63%	5%	94%	6%
March-09	370,606	418,383	2617	108	2500	9	113%	0.63%	4%	96%	0%
April-09	346,865	383,624	2626	111	2513	2	111%	0.68%	4%	96%	0%
May-09	320,894	383,817	2794	129	2658	7	120%	0.73%	5%	95%	0%
June-09	292,806	342,385	2722	112	2601	9	117%	0.80%	4%	96%	0%
July-09	278,565	329,393	2780	126	2646	8	118%	0.84%	5%	95%	0%
August-09	282,760	345,823	2872	151	2716	5	122%	0.83%	5%	95%	0%
September-09	299,754	363,056	2728	137	2586	5	121%	0.75%	5%	95%	0%
October-09	318,259	386,124	2739	149	2581	9	121%	0.71%	5%	94%	0%
November-09	295,581	347,253	2645	157	2480	8	117%	0.76%	6%	94%	0%
December-09	298,378	347,863	2831	109	2716	6	117%	0.81%	4%	96%	0%
January-10	295,333	336,698	2463	81	2381	1	114%	0.73%	3%	97%	0%
February-10	304,376	338,819	2506	90	2415	1	111%	0.74%	4%	96%	0%
March-10	335,992	385,533	2905	107	2795	3	115%	0.75%	4%	96%	0%
April-10	313,425	371,127	2681	88	2590	3	118%	0.72%	3%	97%	0%
May-10	305,996	349,353	2667	92	2571	4	114%	0.76%	3%	96%	0%
June-10	280,138	324,975	2598	92	2505	1	116%	0.80%	4%	96%	0%
July-10	272,790	304,665	2691	99	2590	2	112%	0.88%	4%	96%	0%
August-10	299,919	349,453	2556	92	2462	2	117%	0.73%	4%	96%	0%
September-10	315,579	366,391	2462	76	2382	4	116%	0.67%	3%	97%	0%
October-10	324,265	364,612	2525	125	2398	2	112%	0.69%	5%	95%	0%
November-10	302,629	368,912	2652	91	2558	3	122%	0.72%	3%	96%	0%
page 4-total	30,574,576	28,185,744	155,087	26,365	128,034	690	92%	0.55%	17%	83%	0%
Totals	37,714,193	36,421,840	215,962	28,929	186,240	795	97%	0.59%	13%	86%	0%

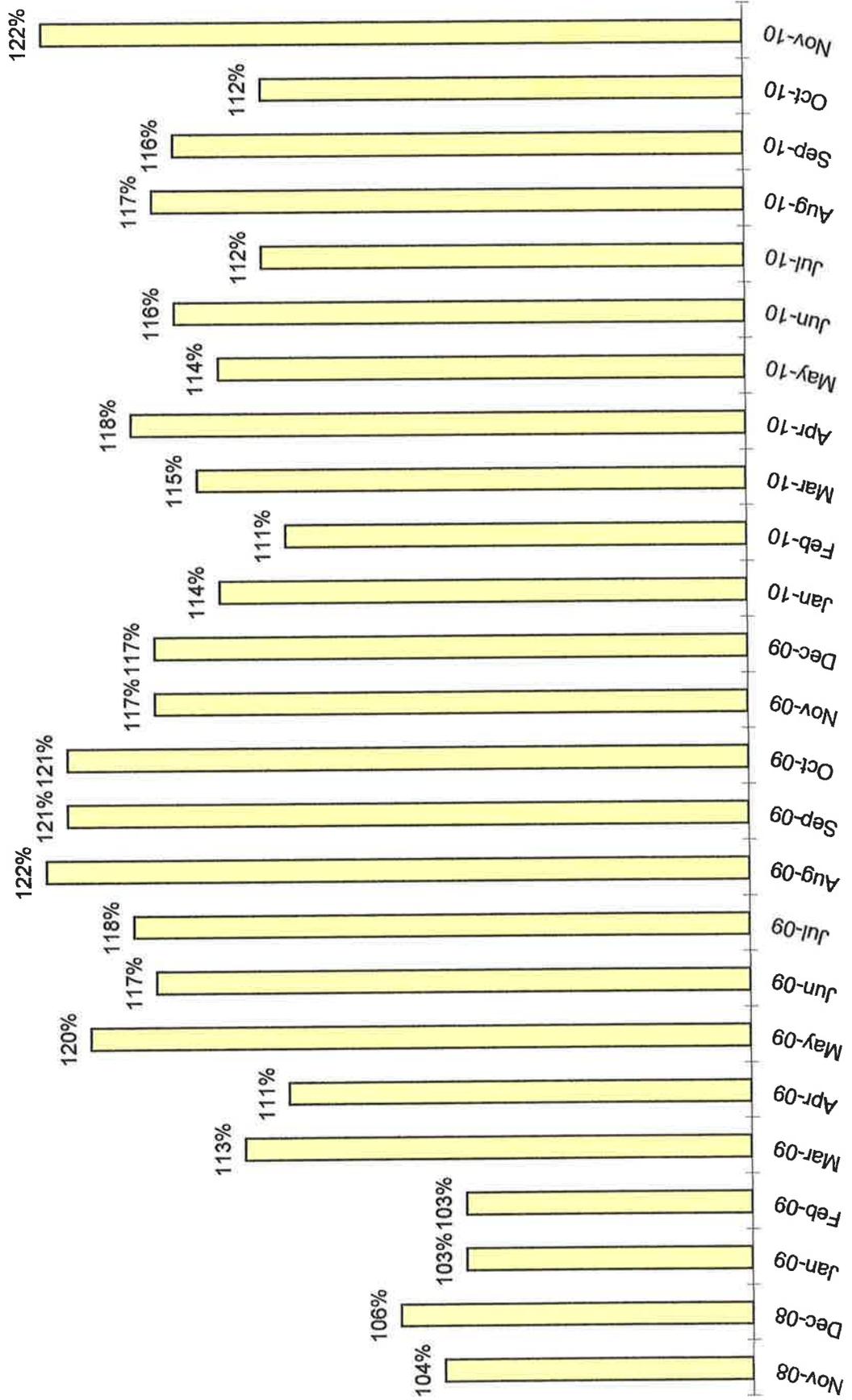
CITATIONS ISSUED



FARE EVASION VIOLATIONS



PERCENTAGE OF RIDERS INSPECTED



**CLASSIFICATIONS BREAKDOWN
NOVEMBER 2010**

CLASSIFICATION			
ABANDONED VEHICLE			
ALARMS			
ALARMS-TVM			
ALCOHOLIC BEVERAGE			
ARSON			
ASSAULT AGGRAVATED TRANSIT AGENT / CPO			1
ASSAULT-AGGRAVATED			
ASSAULT TRANSIT AGENT / CPO			1
ASSAULT			
ASSIST PASSENGER			1
ASSIST OTHER AGENCY			
AUTO THEFT			1
AUTO THEFT - ATTEMPT			2
AUTO THEFT - RECOVERY			1
BATTERY - AGGRAVATED TRANSIT AGENT / CPO			
BATTERY - AGGRAVATED			
BATTERY TRANSIT AGENT / CPO			1
BATTERY			
BICYCLE VIOLATIONS			
BIOLOGICAL RELEASE			
BOMBING			
BOMB THREAT			
BRUSH FIRE			
BURGLARY			
BURGLARY-AUTO			4
BURGLARY-ATTEMPT AUTO			
CHEMICAL RELEASE			
CIVIL DISTURBANCE			
CREDIT CARD FRAUD			
CRIMINAL MISCHIEF	GRAFFITI	8	8
CROSSING W/ GATE DOWN			
CYBER INCIDENT			
DAMAGED PROPERTY			
DEBRIS ON TRACK			
DISORDERLY CONDUCT			3
DISTURBANCE			3
DOMESTIC VIOLENCE			
DRUG OFFENSE			
EMS BOARD MALFUNCTION			1
ELEVATOR MALFUNCTION			23
ELEVATOR PHONE MALFUNCTION			3
ESCALATOR MALFUNCTION			1
FALSE IDENTIFICATION			
FARE EVASION			

**CLASSIFICATIONS BREAKDOWN
NOVEMBER 2010**

CLASSIFICATION

	CITATION	WARNED	ARREST	
12 TRIP	0	38	0	2652
COMPLIMENTARY TICKET VIOLATION	0	0	0	
INELIGIBLE DISCOUNT	8	414	1	
NO TICKET	73	1745	2	
ZONE OVERRIDE	4	102	0	
NO TRANSFER SLIP	3	204	0	
OUTDATED TICKET	2	49	0	
EDP TICKET	1	6	0	
TOTAL	91	2558	3	

COUNTERFEIT/ALTERED TICKET

FIRE	1
GRADE CROSSING PROBLEM	1
HIJACKING	
ILLNESS	5
INJURY	2
INJURY-CPO	
INTERFERING W/ TRAIN	
INTOXICATED PERSON	
INVESTIGATION	
KIOSK PROBLEM	1
LOST/FOUND PROPERTY	103
LOUD MUSIC	
LUGGAGE	
MISCELLANEOUS/INFORMATION	50
MISSING PERSON	1
MISSING PERSON-LOCATED	
MOTOR VEHICLE ACCIDENT	2
NUCLEAR RELEASE	
PARKING PROBLEM	11
PARKING LOT ACCIDENTS	
PARKING LOT VIOLATIONS	
PAYPHONE MALFUNCTION	
PULLED EMERGENCY STOP	
RECOVERED STOLEN PROPERTY	
RIDING ON OUTSIDE OF TRAIN	
ROBBERY	
ROBBERY-ATTEMPT	
ROBBERY ARMED	
SABOTAGE	
SAFETY HAZARD	17
SEXUAL BATTERY	
SEX OFFENSE (OTHER)	
SIGN PROBLEM	
SLIP AND FALL	4

CLASSIFICATIONS BREAKDOWN NOVEMBER 2010

CLASSIFICATION					
SMOKING ON TRAIN					
SOLICITATION					1
STUDENT INCIDENT					3
BAK MIDDLE SCHOOL					
DREYFOOS HIGH SCHOOL			3		
G STAR					
LAKE WORTH					
ROOSEVELT MIDDLE SCHOOL					
BOYNTON BEACH					
OTHER					
SURFBOARDS ON TRAIN					
SUSPICIOUS INCIDENT					8
SUSPICIOUS PERSON					1
SUSPICIOUS VEHICLE					1
TELEPHONIC THREAT					
THEFT					4
THEFT-ATTEMPTED					
THROWING OBJECT AT TRAIN					
TRAIN VS ANIMAL					
TRAIN VS BICYCLE					
TRAIN VS PEDESTRIAN					1
TRAIN VS FIXED OBJECT					
TRAIN VS VEHICLE					
TRESPASS					10
TVM GATE MALFUNCTION					2
TVM MALFUNCTION					100
UNAUTHORIZED ANIMAL					
VALIDATOR MALFUNCTION					46
WEAPON-COMPLAINT					
WEAPON-CONCEALED					
SPECIAL ASSIGNMENT:MONITOR CHECKS					
TOTAL INCIDENTS					3081

**SIX MONTH CRIME ANALYSIS
2010**

CLASSIFICATION	JUN	JULY	AUG	SEPT	OCT	NOV
ABANDONED VEHICLE			1		1	
ALARMS	2					
ALARMS-TVM						
ALCOHOLIC BEVERAGE/TRAIN	1					
ARSON						
ASSAULT-AGGRAVATED TRANSIT AGENT / CPO						1
ASSAULT-AGGRAVATED	1					
ASSAULT TRANSIT AGENT / CPO						1
ASSAULT						
ASSIST PASSENGER	4	6	1	5	1	1
ASSIST OTHER AGENCY	1	2	1			
AUTO THEFT		2	2	4	3	1
AUTO THEFT - ATTEMPT		1	2	2	2	2
AUTO THEFT - RECOVERY	1		1	1		1
BATTERY - AGGRAVATED TRANSIT AGENT/ CPO						
BATTERY - AGGRAVATED						
BATTERY - TRANSIT AGENT / CPO		1				1
BATTERY						
BICYCLE VIOLATIONS						
BIOLOGICAL RELEASE						
BOMBING						
BOMB THREAT						
BRUSH FIRE						
BURGLARY						
BURGLARY-STRUCTURE						
BURGLARY-AUTO		16	1	3	9	4
BURGLARY-ATTEMPT AUTO	4					
CHEMICAL RELEASE						
CIVIL DISTURBANCE						
COUNTERFEIT/ALTERED TICKET						
CREDIT CARD FRAUD						
CRIMINAL MISCHIEF	12	17	6	8	9	8
CROSSING W/ GATE DOWN						
CYBER INCIDENT						
DAMAGED PROPERTY	1	2	1	3	3	
DEBRIS ON TRACK					1	
DISORDERLY CONDUCT	3	3	6	5	4	3
DISTURBANCE	3	2	2	1	5	3
DRUG OFFENSE					1	
DOMESTIC VIOLENCE						
EMS BOARD MALFUNCTION	2					1
ELEVATOR MALFUNCTION	19	18	14	15	10	23
ESCALATOR MALFUNCTION	6	7	4	3	3	1
ELEVATOR PHONE MALFUNCTION	3	1	3	3	4	3
FARE EVASION- CITATIONS	92	99	92	76	125	91
FARE EVASION-WARNINGS	2505	2590	2462	2382	2398	2558
FARE EVASION-ARREST	1	2	2	4	2	3
FALSE IDENTIFICATION						
FIRE		1	1			1
GRADE CROSSING PROBLEM	6	1	5	1	3	1
HIJACKING						
ILLNESS	5	6	9	4	1	5
SUB-TOTAL	2672	2777	2616	2520	2585	2713

SIX MONTH CRIME ANALYSIS 2010

CLASSIFICATION	JUN	JULY	AUG	SEPT	OCT	NOV
INJURY						2
INJURY-CPO						
INTERFERING W/ TRAIN	2	1	1			
INTOXICATED PERSON						
INVESTIGATION						
KIOSK PROBLEM						1
LUGGAGE						
LOST/FOUND PROPERTY	100	90	92	112	117	103
LOUD MUSIC	1					
MISCELLANEOUS/INFORMATION	50	44	47	49	47	50
MISSING PERSON			1			1
MISSING PERSON-LOCATED				1	1	
MOTOR VEHICLE ACCIDENT	5	4	1	2	4	2
PARKING PROBLEM	7	14	5	12	28	11
PARKING LOT ACCIDENTS						
PARKING LOT VIOLATIONS						
PAYPHONE MALFUNCTION						
PULLED EMERGENCY STOP			1		1	
RECOVERED STOLEN PROPERTY						
RIDIDNG ON OUTSIDE OF TRAIN						
ROBBERY						
ROBBERY ARMED						
ROBBERY ATTEMPT						
SAFETY HAZARD	22	26	34	25	18	17
SEXUAL BATTERY						
SEX OFFENSE (OTHER)						
SIGN PROBLEM						
SLIP AND FALL	6	3	1	4	2	4
SMOKING ON TRAIN	1		7			
SOLICITATION	2	2		3	1	1
STUDENT INCIDENT			2	1	12	3
SURFBOARDS ON TRAIN						
SUSPICIOUS INCIDENT	5	8	7	8	2	8
SUSPICIOUS PERSON	1	3	2	3		1
SUSPICIOUS VEHICLE	3	2	2	1		1
TELEPHONIC THREAT						
THEFT		4		6	5	4
THEFT-ATTEMPTED						
THROWING OBJECT AT TRAIN			1			
TRAIN VS ANIMAL		1				
TRAIN VS BICYCLE						
TRAIN VS PEDESTRIAN	2					1
TRAIN VS VEHICLE			2		2	
TRAIN VS FIXED OBJECT						
TRESPASS	14	15	10	6	7	10
TVM GATE MALFUNCTION	5		4	2	5	2
TVM MALFUNCTION	75	73	50	65	95	100
UNAUTHORIZED ANIMAL						
VALIDATOR MALFUNCTION	23	35	19	24	20	46
WEAPON CONCEALED						
SUB-TOTAL	2672	2777	2616	2520	2585	2713
TOTAL REPORTS	2996	3102	2905	2844	2952	3081



**TWC/SFRTA
FEDERAL (TSA) DIRECTIVES VIOLATIONS
FOR NOVEMBER 2010**

Date	Incident Report #	Location	TSA Directive Violation #	Remarks		CPO ID#
11/16/2010	1797	FT LAUDERDALE AIRPORT STATION	13	CAMERA /PICTURES NEXT TO TRACKS	SUSPICIOUS INCIDENT	593

TSA Directive #13 "Inspect each passenger rail car for suspicious persons, items, or any unattended items."

TSA Directive #15 "If equipped with locking mechanisms, lock all doors which allow access to the Engineers or Train Operators cab or compartment."



G4S Secure Solutions USA
6499 Powerline Road Suite 300
Fort Lauderdale FL 33309

Telephone: 954.771.5005
Fax: 954.771.5408
www.g4s.com/us



MEMORANDUM

To: Mr. Timothy L. Cates, Project Manager, TWC/SFRTA

From: Richard D. Cannon, Jr. Investigator, ID #276

SUBJECT: MONTHLY ACTIVITY REPORT – NOVEMBER 2010

Date: Thursday, December 2nd 2010

I was assigned the following tasks for the month of **NOVEMBER 2010**:

As the Court Liaison Officer: I maintained contact with the Clerk of the Court, North County Regional Courthouse, Deerfield Beach, FL. I also handled the filing, service and return of subpoenas served to Custom Protection Officers assigned to the TWC/SFRTA Project. I attended all court hearings involving SFRTA/Tri-Rail Fare Evasion matters and tracked criminal cases.

As the Citation Coordinator: I managed the review, sorting and filing of Citations. I filed **91** citations at the North County Regional Courthouse. I also processed any voided citations and conducted inquiries as directed by the County Court Judge, related to Fare Evasion Citations. I conducted CPR/AED training for personnel assigned to the TWC/SFRTA Project, as well as entry-level training to newly assigned Transit Agents.

As the Investigator: I investigated or conducted inquiries into **7** incidents:

- SFRTA IR #: 11-10-0759 – Lack of Appropriate Action by P.D. (Resolved)
- SFRTA IR #: 11-10-1546 – Train vs. Pedestrian-(Pending P.D./M.E. Rpts)
- SFRTA IR #: 11-10-1701 – Discourtesy – (Unfounded)
- SFRTA IR #: 11-10-1797 – Discourtesy – (Sustained)
- SFRTA IR #: 11-10-2211 – Fraudulent SFRTA Ticket Sales
- COMPLAINT #: 11151 – Discourtesy – (Unfounded)
- SFRTA IR #: 11-10-2898 – Lack of Appropriate Action by P.D. (Pending)

In addition, I obtained various police reports pertaining to incidents involving the SFRTA. Refresher training regarding interpersonal relations and conflict management were conducted. In addition, I conducted CPR/AED Re-Certification Training. Lastly, I continued to provide support, logistical and troubleshooting services to the Safety & Security Administrator, as well as the Project Manager.

rdc/

cc: Mr. Allen R. Yoder

NON-MAJOR SECURITY PART I

03/20/2010

INCIDENTS ONLY

	Transit Passengers	Transit Facility Occupants	Transit Employees	Other Workers	Trespasser	Other	Total Incidents
Forcible Rape							0
In Vehicle							0
In Revenue Facility							
Non Revenue Facility							
Right Of Way/Roadway							
Robbery							
In Vehicle							
In Revenue Facility							
Non Revenue Facility							
Right Of Way/Roadway							
Aggravated Assaults							
In Vehicle							
In Revenue Facility			1				0100
Non Revenue Facility							
Right Of Way/Roadway							
Burglary							0
In Vehicle							
In Revenue Facility							
Non Revenue Facility							
Right Of Way/Roadway							
Larceny/Theft Offenses							
In Vehicle							
In Revenue Facility							
Non Revenue Facility			1				0800
Right Of Way/Roadway							
Motor Vehicle Theft							
In Vehicle							
In Revenue Facility							
Non Revenue Facility							
Right Of Way/Roadway							
Arson							
In Vehicle							
In Revenue Facility							
Non Revenue Facility							
Right Of Way/Roadway							

Structure

Includes Burglary Vehicle

Includes Attempts

Part II

N 2010
 03 MAR 20

- Fare Evasion (citations)
- Other Assaults (arrests)
- Trespassing (arrests)
- Vandalism (arrests)

In Vehicle	In Revenue	In Non-Revenue Facility	On Right of Way
91	11		

Total Incidents
91
2
0
0

Other Security Issues

- Bomb Threats
- Bombing
- Chemical / Biological / Nuclear Release
- Cyber Incident
- Hijacking
- Non-Violent Civil Disturbances
- Sabotage

In Vehicle	In Revenue	In Non-Revenue Facility	On Right of Way

Total Incidents
0

Total Property Damage (\$)

--

TOTAL ARREST (5)
SIX (6)

FARE EVASION (3)

AGGRAVATED ASSAULT ON C.P.O. (1)

ASSAULT ON C.P.O. (1)

DISORDERLY CONDUCT (1)



**SOUTH FLORIDA
REGIONAL
TRANSPORTATION
AUTHORITY**

***MONTHLY CRIME ANALYSIS SUMMARY
AND FARE EVASION REPORT***

OCTOBER 2010

PRESENTED BY

G+S Wackenhut

TIM CATES
PROJECT MANAGER

**SOUTH FLORIDA REGIONAL
TRANSPORTATION AUTHORITY
MONTHLY CRIME ANALYSIS SUMMARY**

OCTOBER 2010

During the month of OCTOBER 2010, 2952 incidents were reported to, or by Wackenhut Custom Protection Officers®, of these, three (3) Auto Thefts(s), two (2) Auto Thefts Attempt(s), nine (9) Burglary Auto(s), one (1) Drug Offense, one (1) Pulled Emergency Cord, and five (5) Theft(s). A total of six (6) arrests were made during this month.

MAJOR INCIDENTS

Trespass After Warning

Case # 10-10-580

Occurred on 10/07/10, Thursday, 0805 hours. The subject was found riding on the P613 after receiving a previous Trespass warning. Ft. Lauderdale Police Department Case # 10-108387.

Train Vs Vehicle-no injuries

Case # 10-10-775

Occurred on 10/08/10, Friday, at 1850 hours. The P643 struck a vehicle that was abandoned at MM 988.6 (SW 10 Ave, Delray Beach) with no reported injuries. Delray Beach Police Department Case # 10-28047.

Train Vs. Vehicle-no injuries

Case # 10-10-1757

Occurred on 10/19/10, Tuesday, at 1038 hours. The P620 struck a vehicle at MM 1034.0 (NW 79th Street Crossing) with no reported injuries. Hialeah Police Department Case # 10-35331.

Theft (bicycle on board P670)

Case # 10-10-163

Occurred on 10/02/10, Saturday, at 1600 hours. Unknown person(s) took the victim's bicycle (Red Huffy Mountain Bike) from car # 1005 on train P670. No police report.

BOYNTON BEACH STATION

Disturbance

Case # 10-10-273

Occurred on 10/04/10, Monday, at 1600 hours. The subject was involved in a heated argument and was detained for Police Department. Records check revealed and outstanding warrant. Boynton Beach Police Department Case # 10-46709.

Arrest-outstanding warrants

FT LAUDERDALE AIRPORT STATION

GARAGE

Auto Theft Attempt

Case # 10-10-283

Occurred on 10/04/10, Monday, between 1210-1530 hours. Unknown person(s) gained entry into the victim's vehicle (Chrysler Sebring 2006-Blue in color) and caused damage to the ignition switch in an attempt to start the vehicle. The vehicle was not started. Broward Sheriff's Office case # DN 10-10-0284.

Trespass After Warning

Case # 10-10-2035

Occurred 10/22/10, Friday, at 0420 hours. The subject was found again sleeping on a bench. The subject was previously given a Trespass Warning. Broward Sheriff's Office Case # DN 10-10-1669.

Arrest

HOLLYWOOD STATION

Burglary Auto's-overnight

Case # 10-10-703, 705, 706, 707, 708, 709, and 710

Occurred on 10/07/10, Thursday and 10/08/10, Friday, at 0345 hours. Seven vehicles were broken into overnight with the auto batteries being taken. Hollywood Police Department Case # 33-10-10-158999, 158945, 158986, 158959, 158966, 158958, and 158963.

LAKE WORTH STATION

Theft-bicycle

Case # 10-10-891

Occurred on 10/10/10, Sunday, between 1000-2205 hours. Unknown person(s) took the victim's bicycle (girly bike dark blue, unknown make and model). Palm Beach Sheriff's Office Case # 10-135336.

Theft-bicycle

Case # 10-10-2225

Occurred on 10/15/10, Friday, 0415 hours and 10/18/10, Monday, at 1500 hours. Unknown person(s) took the victim's bicycle (Mongoose, Modgx-9 Men's bike, gray-blue and yellow) from the station locked bike racks. Palm Beach Sheriff's Office Case # unknown.

Theft-bicycle

Case # 10-10-2417

Occurred on 10/26/10, Tuesday, between 0750-1616 hours. Unknown person(s) took the victim's bicycle (Blue, BMX, Dyno unknown serial #) from the north end west platform locked bike rack. No police report.

SHERIDAN STREET STATION

Auto Theft Attempt

Case # 10-10-60

Occurred on 10/01/10, Friday, between 0730-1700 hours. Unknown person(s) gained entry into the victim's vehicle (2006 Chrysler Sebring silver in color). The ignition switch was taken out of the steering column in an attempt to start the vehicle with negative results. Hollywood Police Department Case # 331010-155597.

Auto Theft

Case # 10-10-659

Occurred on 10/07/10, Thursday, between 0815-1900 hours. Unknown person(s) took the victim's vehicle (2004 White Chrysler Sebring). The vehicle was parked in the far east parking area. Hollywood Police Department Case # 331010-158645.

Burglary Auto's-overnight

Case # 10-10-701 and 10-10-702

Occurred between 10/07/10, Thursday at 1455 hours and 10/08/10, Friday, at 0344 hours. Two vehicles were broken into over the night with the auto batteries being taken. Hollywood Police Department Case # 331010-158950 and 331010-158952.

MANGONIA PARK STATION

Auto Theft

Case # 10-10-1393

Occurred between 10/14/10 and 10/15/10. Unknown person(s) took the victim's vehicle (Intrepid 4 door white 2002 Dodge) which had been parked at the station for 5 days. Palm Beach Sheriff's Office Case # 10-137054.

Auto Theft-overnight

Case # 10-10-2238

Occurred between 10/22/10, Friday, at 2345 hours and 10/23/10, Saturday, at 0535 hours. Unknown person(s) took the victim's vehicle (2001 Silver Dodge Intrepid) from the station parking lot. Palm Beach Sheriff's Office Case # 10-140785.

Trespass After Warning

Case # 10-10-2836

Occurred on 10/29/10, Friday, at 2011 hours. The subject was found again trespassing at the station. The subject had been given 2 previous Trespass Warnings. Palm Beach Sheriff's Office Case # 10-140890.

Arrest

DEERFIELD BEACH STATION

Theft-bicycle

Case # 10-10-1779

Occurred on 10/18/10, Monday, between 0545-2200 hours. Unknown person(s) took the victim's bicycle (blue tracker Mountain bike) from the station bike rack. No police report.

OUTSTANDING JOB PERFORMANCES

OCTOBER 4, 2010, on a routine check of the platform, C.P.O. Smith observed what appeared to be an intense argument between two subjects. The female subject accused the male subject of stealing money from her on the sidewalk leading to the west platform and she requested that the police department be notified. Upon investigation, it was discovered that the incident was unfounded and that the female subject had outstanding warrants against her and was arrested by the police department.

OCTOBER 4, 2010, C.P.O. Figueroa, while traveling on the P649, encounters a male passenger acting suspiciously. The passenger was walking up and down the train watching the other passengers closely. Passengers made complaints against the male passenger. C.P.O. Figueroa approached the passenger and made sure he left the train and platform without incident.

OCTOBER 7, 2010, C.P.O. Stern was on the platform at the West Palm Beach Station watching the students being picked up from the station. One middle school student was stranded at the station. The bus company from FOR THE CHILDREN did not see her the first time and returned to pick her up. C.P.O. Dean assisted by staying with the middle school student until the bus returned.

OCTOBER 22, 2010, a passenger stating his three daughters had boarded the train without him approached C.P.O. Majauskas, while at the Golden Glades Station. The C.P.O. immediately notified the train personnel, his supervisor, and police department. The girls were located at another station and their father went and retrieved the girls safely at that station.

THE WACKENHUT CORPORATION

MONTHLY FARE EVASION REPORT

MONTH: October 2010

Date	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
10/1/2010	13,221	16,033	106	5	101	0	121%	0.7%	5%	95%	0%
10/2/2010	5162	5537	43	5	38	0	107%	0.8%	12%	88%	0%
10/3/2010	4430	4355	39	1	38	0	98%	0.9%	3%	97%	0%
10/4/2010	13,524	16,667	110	4	106	0	123%	0.7%	4%	96%	0%
10/5/2010	13,507	16,662	91	8	83	0	123%	0.5%	9%	91%	0%
10/6/2010	12,973	15,690	108	4	104	0	121%	0.7%	4%	96%	0%
10/7/2010	12,699	14,315	95	4	91	0	113%	0.6%	4%	96%	0%
10/8/2010	13,327	15,673	96	6	90	0	118%	0.6%	6%	94%	0%
10/9/2010	5,602	4,737	33	0	33	0	85%	0.59%	0%	100%	0%
10/10/2010	4,894	3,273	31	5	26	0	67%	0.63%	16%	84%	0%
10/11/2010	12,637	15,716	115	8	107	0	124%	0.73%	7%	93%	0%
10/12/2010	13,374	16,619	101	7	94	0	124%	0.61%	7%	93%	0%
10/13/2010	12,805	14,097	102	6	94	2	110%	0.72%	6%	92%	2%
10/14/2010	13,105	13,100	103	5	98	0	100%	0.79%	5%	95%	0%
10/15/2010	12,275	12,074	119	4	115	0	98%	0.99%	3%	97%	0%
10/16/2010	5,028	5,188	49	4	45	0	103%	0.97%	8%	92%	0%
10/17/2010	4,624	3,900	29	3	26	0	84%	0.63%	10%	90%	0%
10/18/2010	13,428	15,437	110	3	107	0	115%	0.71%	3%	97%	0%
10/19/2010	12,753	15,700	72	1	71	0	123%	0.46%	1%	99%	0%
10/20/2010	12,777	13,925	87	5	82	0	109%	0.62%	6%	94%	0%
10/21/2010	13,061	15,076	90	2	88	0	115%	0.60%	2%	98%	0%
10/22/2010	13,433	14,723	104	2	102	0	110%	0.71%	2%	98%	0%
10/23/2010	4,789	4,601	44	3	41	0	96%	0.92%	7%	93%	0%
10/24/2010	4,038	3,566	34	3	31	0	88%	0.84%	9%	91%	0%
10/25/2010	13,763	16,852	109	8	101	0	122%	0.65%	7%	93%	0%
10/26/2010	13,160	15,920	90	2	88	0	121%	0.57%	2%	98%	0%
10/27/2010	12,989	14,699	117	4	113	0	113%	0.80%	3%	97%	0%
10/28/2010	12,995	14,892	105	5	100	0	115%	0.71%	5%	95%	0%
10/29/2010	12,829	16,037	110	5	105	0	110%	0.71%	2%	98%	0%
10/30/2010	6,369	5,522	32	1	31	0	96%	0.92%	7%	93%	0%
10/31/2010	4,694	4,026	51	2	49	0	88%	0.84%	9%	91%	0%
Totals	324,265	364,612	2,525	125	2398	2	112%	0.69%	5%	95%	0%

THE WACKENHUT CORPORATION

Weekly/Monthly Fare Inspection Report

Month: October 2010

Week	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
10/01/10-10/03/10	22,813	25,925	188	11	177	0	114%	0.73%	6%	94%	0%
10/04/10-10/10/10	76,526	87,017	564	31	533	0	114%	0.65%	5%	95%	0%
10/11/10-10/17/10	73,848	80,694	618	37	579	2	109%	0.77%	6%	94%	0%
10/18/10-10/24/10	74,279	83,028	541	19	522	0	112%	0.65%	4%	96%	0%
10/25/10-10/31/10	76,799	87,948	614	27	587	0	115%	0.70%	4%	96%	0%
Totals	324,265	364,612	2,525	125	2,398	2	112%	0.69%	5%	95%	0%



FARE EVASION REPORT MONTHLY COMPARISON

MONTH	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
April-98	284,380	170,853	541	495	27	19	60%	0.32%	91%	5%	4%
May-98	180,788	118,150	395	350	28	18	65%	0.33%	89%	7%	5%
June-98	167,931	119,333	605	538	39	28	71%	0.51%	89%	6%	5%
July-98	164,028	114,160	753	675	56	22	70%	0.66%	90%	7%	3%
August-98	175,944	126,223	643	598	29	16	72%	0.51%	93%	5%	2%
September-98	169,522	118,346	442	419	17	6	70%	0.37%	95%	4%	1%
October-98	194,241	137,885	636	613	13	10	71%	0.46%	96%	2%	2%
November-98	172,782	123,556	564	540	11	13	72%	0.46%	96%	2%	2%
December-98	177,662	129,428	533	517	6	10	73%	0.41%	97%	1%	2%
January-99	182,432	140,296	531	509	10	12	77%	0.38%	96%	2%	2%
February-99	184,533	137,924	531	518	8	5	75%	0.38%	98%	2%	1%
March-99	206,134	161,833	710	696	6	8	79%	0.44%	98%	1%	1%
April-99	195,182	146,649	565	555	9	1	75%	0.39%	98%	2%	0%
May-99	185,160	138,211	608	594	8	6	79%	0.44%	98%	1%	1%
June-99	185,130	126,263	533	511	21	1	76%	0.42%	96%	4%	0%
July-99	157,020	124,754	470	373	93	4	79%	0.38%	79%	20%	1%
August-99	183,578	140,002	475	447	23	5	76%	0.34%	94%	5%	1%
September-99	170,632	138,267	407	397	6	4	81%	0.29%	98%	1%	1%
October-99	181,774	155,633	508	484	20	4	86%	0.33%	95%	4%	1%
November-99	186,616	147,986	560	549	7	4	79%	0.38%	98%	1%	1%
December-99	182,591	145,524	563	547	11	5	80%	0.39%	97%	2%	1%
January-00	187,154	144,403	529	520	5	4	77%	0.37%	98%	1%	1%
February-00	198,944	158,269	582	573	5	4	80%	0.37%	98%	1%	1%
March-00	210,339	166,800	519	507	9	3	79%	0.31%	98%	2%	1%
April-00	193,414	170,365	509	500	5	4	88%	0.30%	98%	1%	1%
May-00	207,042	180,112	587	572	9	6	87%	0.33%	97%	2%	1%
June-00	173,063	141,554	680	670	7	3	82%	0.48%	99%	1%	0%
July-00	171,438	131,316	622	613	4	5	77%	0.47%	99%	1%	1%
August-00	204,722	153,674	512	497	9	6	75%	0.33%	97%	2%	1%
September-00	207,322	144,675	491	470	11	10	70%	0.34%	96%	2%	2%
*October-00	227,112	156,845	651	216	425	10	69%	0.42%	33%	65%	0%
November-00	219,669	157,151	670	221	437	12	72%	0.43%	33%	65%	2%
December-00	198,383	153,327	626	235	383	8	77%	0.41%	38%	61%	0%
Totals	6,266,662	4,719,767	18,551	16,519	1757	276	75%	0.39%	89%	9%	1%

Note: Hurricane George Warning on Wednesday, 9/23/98.
 Per Tri-Rail ticket checks/citations were stopped at 1200 hrs on 9/23/98.
 Holiday: 11/26/98, 12/25/98, 1/1/99 - No Train Service.
 Note: Hurricane Floyd Warning on Tuesday 09/14/99 and Wednesday, 9/15/99.
 * October 2000 MODIFIED FARE EVASION BEGINS

Per Tri-Rail trains were canceled due to hurricane on 9/24/98 & 9/25/98.
 Per Tri-Rail ticket checks/citations were started at P6:46 on 9/26/98.
 January 22-24, 1999 - Friends Ride Free.
 Holiday: 11/25/99, 12/25/99, 1/1/00 - No Train Service.



FARE EVASION REPORT MONTHLY COMPARISON

MONTH	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. \$12,015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
January-01	217,992	158,234	595	201	387	7	73%	0.38%	34%	65%	1%
February-01	218,815	155,774	500	144	351	5	71%	0.32%	29%	70%	1%
March-01	236,192	187,706	546	130	412	4	79%	0.29%	24%	75%	0%
April-01	224,941	205,730	581	129	444	8	91%	0.28%	22%	76%	1%
May-01	227,874	213,360	620	135	485	0	94%	0.29%	22%	78%	0%
June-01	188,375	191,285	664	174	487	3	102%	0.35%	26%	73%	0%
July-01	187,923	193,992	690	219	469	2	103%	0.36%	32%	68%	0%
August-01	220,792	228,613	659	170	488	1	104%	0.29%	26%	74%	0%
September-01	197,084	199,546	557	157	398	2	101%	0.28%	28%	71%	0%
October-01	224,865	226,566	642	221	417	4	101%	0.28%	34%	65%	0%
November-01	196,902	190,162	640	240	400	0	97%	0.34%	38%	63%	0%
December-01	197,396	181,718	666	276	381	9	92%	0.37%	41%	57%	1%
January-02	215,010	199,904	627	208	417	2	93%	0.31%	33%	67%	0%
February-02	209,444	213,042	589	172	414	3	102%	0.28%	29%	70%	1%
March-02	227,971	224,847	650	270	377	3	99%	0.29%	42%	58%	0%
April-02	239,345	225,965	661	238	420	3	94%	0.29%	36%	64%	0%
May-02	231,330	217,200	643	195	445	3	94%	0.30%	30%	69%	0%
June-02	181,749	174,081	658	259	395	4	96%	0.38%	39%	60%	1%
July-02	195,723	195,531	865	38	817	10	100%	0.44%	4%	94%	1%
August-02	225,555	231,376	880	38	841	1	103%	0.38%	4%	96%	0%
September-02	216,671	227,528	883	37	843	3	105%	0.39%	4%	96%	0%
October-02	243,867	250,859	954	48	903	3	103%	0.38%	5%	95%	0%
November-02	221,892	219,181	955	49	903	3	99%	0.44%	5%	95%	0%
December-02	220,225	215,762	995	34	961	0	98%	0.46%	3%	97%	0%
January-03	238,953	239,334	983	28	933	2	100%	0.40%	3%	97%	0%
February-03	233,620	233,517	910	27	881	2	100%	0.39%	3%	97%	0%
March-03	247,918	247,702	801	44	756	1	100%	0.32%	5%	94%	0%
April-03	241,294	251,377	833	36	795	2	104%	0.33%	4%	95%	0%
May-03	239,967	248,639	769	53	714	2	104%	0.31%	7%	93%	0%
June-03	198,394	201,188	794	52	738	4	101%	0.39%	7%	93%	1%
July-03	203,815	211,409	881	46	828	7	104%	0.42%	5%	94%	1%
Page 1 Total	6,266,662	4,719,767	18,551	16,519	1,757	276	75%	0.39%	96%	9%	1%
Totals	13,038,556	11,280,895	41,222	20,587	20,257	379	87%	0.37%	50%	49%	1%

* October 2000 MODIFIED FARE EVASION BEGINS 09/11/01 TERRORIST ATTACK

JULY 1, 2002-NEW WARNING POLICY-ONE WARNING TO ALL-NEW ENFORCEMENT GUIDE

**FARE EVASION REPORT
MONTHLY COMPARISON**

MONTH	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.016	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
August-03	225,003	233,605	732	27	703	2	104%	0.31%	4%	96%	0%
September-03	231,637	247,620	779	43	736	0	107%	0.31%	6%	94%	0%
October-03	252,722	257,883	1035	64	970	1	102%	0.40%	6%	94%	0%
November-03	216,440	225,123	1014	63	950	1	104%	0.45%	6%	94%	0%
December-03	223,791	222,530	1089	71	1014	4	99%	0.49%	7%	93%	0%
January-04	237,635	233,086	1038	77	958	3	98%	0.45%	7%	92%	0%
February-04	242,576	222,543	1000	73	926	1	92%	0.45%	7%	93%	0%
March-04	261,974	227,616	1006	63	941	2	87%	0.44%	6%	94%	3%
April-04	254,585	229,216	740	37	702	1	90%	0.32%	5%	95%	0%
May-04	248,924	228,334	688	31	657	0	92%	0.30%	5%	95%	0%
June-04	220,646	195,551	1046	38	1007	1	89%	0.53%	4%	96%	0%
July-04	217,550	197,139	968	49	917	2	91%	0.49%	5%	95%	0%
August-04	244,841	215,845	1066	37	1029	0	88%	0.49%	3%	97%	0%
September-04	134,259	127,913	524	18	505	1	95%	0.41%	3%	96%	0%
October-04	250,254	216,532	906	33	871	2	87%	0.42%	4%	96%	0%
November-04	247,676	235,871	947	41	904	2	95%	0.40%	4%	95%	0%
December-04	232,664	231,754	931	58	873	0	100%	0.40%	6%	94%	0%
January-05	233,079	240,361	1244	91	1147	6	103%	0.52%	7%	92%	0%
February-05	234,939	242,323	1149	90	1055	4	103%	0.47%	8%	92%	0%
March-05	271,374	268,833	1230	89	1140	1	99%	0.46%	7%	93%	0%
April-05	261,406	260,144	1500	77	1420	3	100%	0.58%	5%	95%	0%
May-05	249,519	248,817	1421	116	1297	8	100%	0.57%	8%	91%	0%
June-05	200,482	194,500	1360	107	1237	16	97%	0.70%	8%	91%	1%
July-05	186,245	183,463	1295	103	1181	11	99%	0.71%	8%	91%	1%
August-05	207,320	206,156	1224	112	1108	4	99%	0.59%	9%	91%	0%
September-05	227,227	206,899	1213	93	1118	2	91%	0.59%	8%	92%	0%
October-05	161,615	143,769	963	63	898	2	89%	0.67%	7%	93%	0%
November-05	178,032	114,184	745	39	702	4	64%	0.65%	5%	94%	1%
December-05	207,734	172,526	1347	59	1284	4	83%	0.78%	4%	95%	0%
January-06	224,188	175,605	1555	95	1457	3	78%	0.89%	6%	94%	0%
February-06	217,412	173,825	1447	74	1368	5	80%	0.83%	5%	95%	0%
March-06	248,631	199,736	1800	93	1698	9	80%	0.90%	5%	94%	1%
April-06	257,607	192,193	1776	93	1680	3	75%	0.92%	5%	95%	0%
Page 2 Total	13,038,556	11,280,895	41,222	20,567	20,257	379	87%	0.37%	50%	49%	1%
Totals	20,548,543	18,252,390	78,000	22,804	54,710	487	89%	0.43%	29%	70%	1%

LIMITED OR NO TRAIN SERVICE DUE TO HURRICANE FRANCES-SEPTEMBER 2-12, 2004

LIMITED OR NO TRAIN SERVICE DUE TO HURRICANE JEANNE- SEPTEMBER 25-29, 2004

LIMITED OR NO TRAIN SERVICE DUE TO HURRICANE KATRINA -AUGUST 25-28, 2005

NO TRAIN SERVICE SEPTEMBER 20, 2005 HURRICANE RITA

NO TRAIN SERVICE OCTOBER 22-31, 2005 DUE TO HURRICANE WILMA

NO TRAIN SERVICE NOVEMBER 1-3, 2005 DUE TO HURRICANE WILMA

NO TICKET CHECKS NOVEMBER 4-11, 2005 DUE TO HURRICANE WILMA



FARE EVASION REPORT MONTHLY COMPARISON

MONTH	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.016	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
May-06	291,543	208,697	1966	93	1868	5	72%	0.94%	5%	95%	0%
June-06	263,417	188,847	1754	77	1675	2	72%	0.93%	4%	95%	0%
July-06	250,659	178,368	1828	86	1738	4	71%	1.02%	5%	95%	0%
August-06	269,197	197,985	1915	106	1802	7	74%	0.97%	6%	94%	0%
September-06	287,529	212,380	1842	109	1729	4	74%	0.87%	6%	94%	0%
October-06	309,013	232,544	2096	105	1986	5	75%	0.90%	5%	95%	0%
November-06	281,711	219,411	1894	97	1794	3	78%	0.86%	5%	95%	0%
December-06	271,530	220,247	2143	94	2045	4	81%	0.97%	4%	95%	0%
January-07	294,795	250,522	2201	92	2103	6	85%	0.88%	4%	96%	0%
February-07	287,357	247,655	2354	130	2220	4	86%	0.95%	6%	94%	0%
March-07	306,651	316,244	2473	129	2335	9	103%	0.78%	5%	94%	0%
April-07	274,767	316,493	2634	116	2509	9	115%	0.83%	4%	95%	0%
May-07	303,896	353,284	2874	128	2741	5	116%	0.81%	4%	95%	0%
June-07	268,097	308,831	2645	121	2519	5	115%	0.86%	5%	95%	0%
July-07	266,694	308,224	2613	117	2493	3	116%	0.85%	4%	95%	0%
August-07	295,771	331,353	2754	130	2614	10	112%	0.83%	5%	95%	0%
September-07	275,035	309,849	2385	109	2273	3	113%	0.77%	5%	95%	0%
October-07	326,094	360,151	2816	112	2698	7	110%	0.78%	4%	96%	0%
November-07	306,116	325,178	2588	133	2448	7	106%	0.80%	5%	95%	0%
December-07	294,709	308,632	2531	107	2419	5	105%	0.82%	4%	96%	0%
January-08	324,570	342,578	2655	102	2545	8	106%	0.78%	4%	96%	0%
February-08	331,830	336,290	2272	84	2179	9	101%	0.68%	4%	96%	0%
March-08	348,437	357,954	2571	77	2478	16	103%	0.72%	3%	96%	1%
April-08	352,304	374,861	2614	118	2482	14	106%	0.70%	5%	95%	1%
May-08	371,527	385,360	2893	161	2723	9	104%	0.75%	6%	94%	0%
June-08	367,215	384,174	2915	166	2743	6	105%	0.76%	6%	94%	0%
July-08	378,471	387,641	2687	123	2558	6	102%	0.69%	5%	95%	0%
August-08	353,045	367,779	2335	101	2229	5	104%	0.64%	4%	95%	0%
September-08	363,320	430,263	2452	104	2339	9	112%	0.57%	4%	95%	0%
October-08	399,891	446,825	2628	112	2507	9	112%	0.59%	4%	95%	0%
November-08	346,597	361,181	2133	90	2041	2	104%	0.59%	4%	96%	0%
December-08	344,245	363,553	2626	132	2491	3	106%	0.72%	5%	95%	0%
Page 3 Total	20,548,543	18,252,390	78,000	22,804	54,710	487	89%	0.43%	29%	70%	1%
Totals	30,574,576	28,185,744	155,087	26,365	128,034	690	92%	0.55%	17%	83%	0%

08/29/06-08/30/06 No train service due to Tropical Storm Ernesto

3/9/2007 TICKET CHECKS SUSPENDED BY SFRTA FOR THE ENTIRE DAY-MAJOR TRAIN DELAY/TRACK WORK

3/15/2007 SFRTA SUSPENDED TICKET CHECKS DUE TO PASSENGER SURVEY

03/28/07-03/30/07 P605, P609, P630 (3 TRAINS ANNULLED)

03/28/07 -P614-P619 (6 TRAINS)REDUCED TICKET CHECK DUE TO TRAIN BEING IN EXCESS OF 45 MINUTES LATE

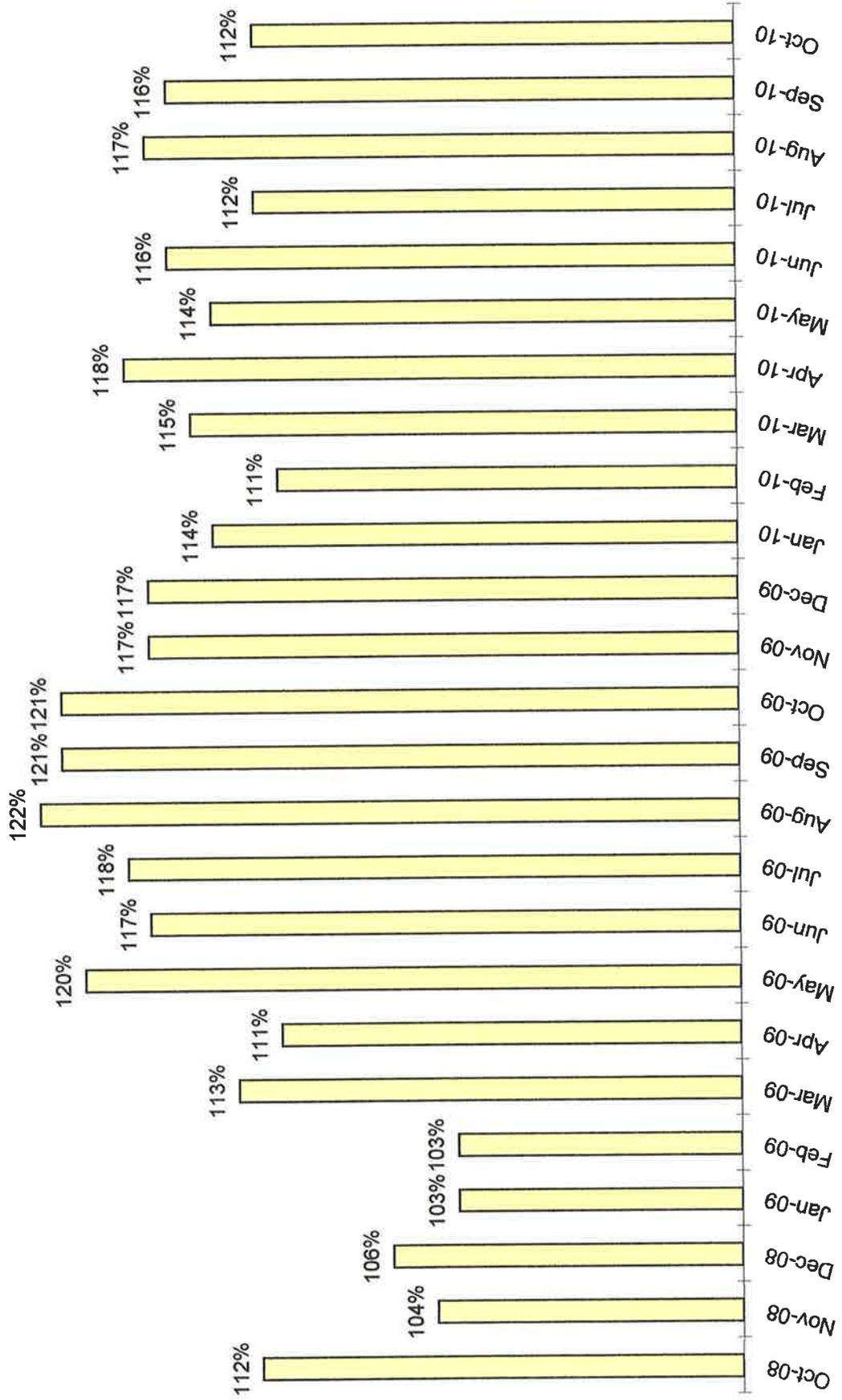
08/18/08 AND 08/19/08 TICKET CHECKS SUSPENDED DUE TO TROPICAL STORM KAY



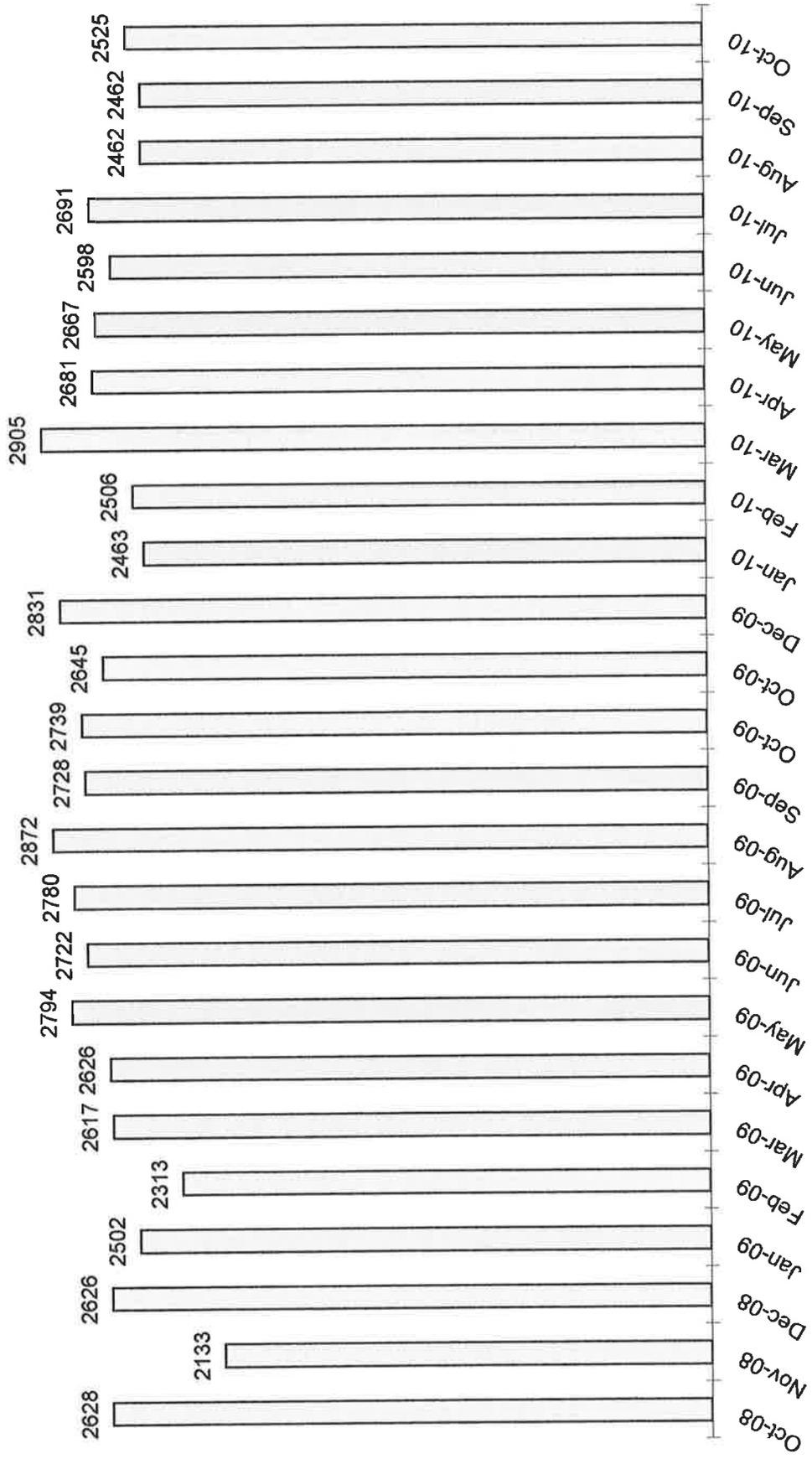
FARE EVASION REPORT MONTHLY COMPARISON

MONTH	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
January-09	350,903	361,145	2,502	121	2377	4	103%	0.69%	5%	95%	0%
February-09	333,804	366,692	2313	121	2185	7	110%	0.63%	5%	94%	6%
March-09	370,606	418,383	2617	108	2500	9	113%	0.63%	4%	96%	0%
April-09	346,865	383,624	2626	111	2513	2	111%	0.68%	4%	96%	0%
May-09	320,894	383,817	2794	129	2658	7	120%	0.73%	5%	95%	0%
June-09	292,806	342,385	2722	112	2601	9	117%	0.80%	4%	96%	0%
July-09	278,565	329,393	2780	126	2646	8	118%	0.84%	5%	95%	0%
August-09	282,760	345,823	2872	151	2716	5	122%	0.83%	5%	95%	0%
September-09	299,754	363,056	2728	137	2586	5	121%	0.75%	5%	95%	0%
October-09	318,259	386,124	2739	149	2581	9	121%	0.71%	5%	94%	0%
November-09	295,581	347,253	2645	157	2480	8	117%	0.76%	6%	94%	0%
December-09	298,378	347,863	2831	109	2716	6	117%	0.81%	4%	96%	0%
January-10	295,333	336,698	2463	81	2381	1	114%	0.73%	3%	97%	0%
February-10	304,376	338,819	2506	90	2415	1	111%	0.74%	4%	96%	0%
March-10	335,992	385,533	2905	107	2795	3	115%	0.75%	4%	96%	0%
April-10	313,425	371,127	2681	88	2590	3	118%	0.72%	3%	97%	0%
May-10	305,996	349,353	2667	92	2571	4	114%	0.76%	3%	96%	0%
June-10	280,138	324,975	2598	92	2505	1	116%	0.80%	4%	96%	0%
July-10	272,790	304,665	2691	99	2590	2	112%	0.88%	4%	96%	0%
August-10	299,919	349,453	2556	92	2462	2	117%	0.73%	4%	96%	0%
September-10	315,579	366,391	2462	76	2382	4	116%	0.67%	3%	97%	0%
October-10	324,265	364,612	2525	125	2398	2	112%	0.69%	5%	95%	0%
Totals	37,411,564	36,052,928	213,310	28,838	183,682	792	96%	0.59%	14%	86%	0%

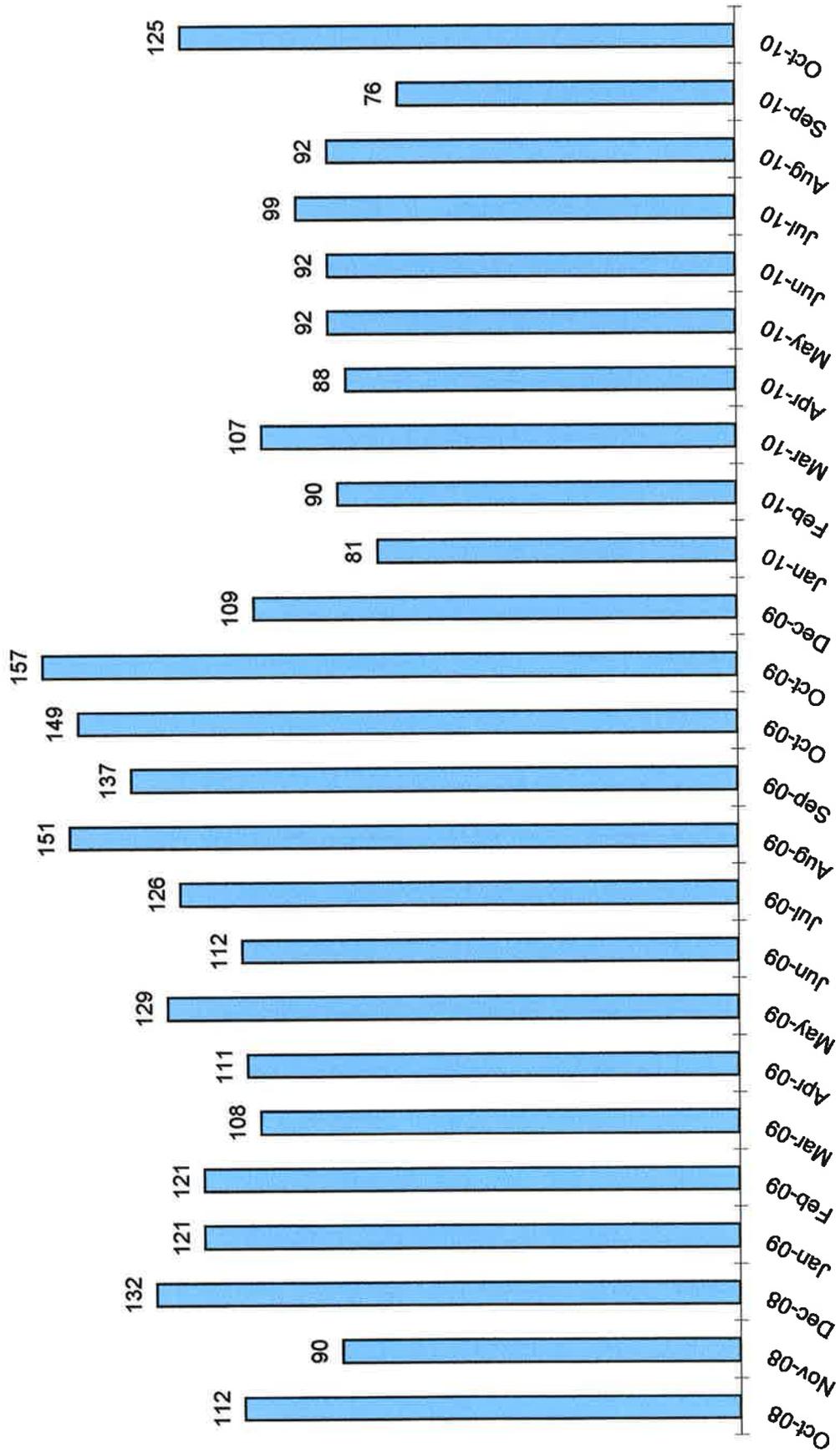
PERCENTAGE OF RIDERS INSPECTED



FARE EVASION VIOLATIONS



CITATIONS ISSUED



MONTHLY BREAKDOWN OF CITATIONS

Month	12 Trip Validation	Comp. Ticket Violation	Ineligible Discount	No Ticket	Zone Override	No Transfer	Out Dated Ticket	EDP Ticket W/O Tri-Rail ID	Total
Apr-98	14	0	259	215	3	0	4	0	495
May-98	12	0	116	174	11	37	0	0	350
Jun-98	14	0	186	259	9	62	8	0	538
Jul-98	13	0	241	316	25	68	12	0	675
Aug-98	9	0	183	293	22	78	13	0	598
Sep-98	4	0	137	211	10	44	13	0	419
Oct-98	13	0	239	270	12	65	14	0	613
Nov-98	4	0	216	253	8	45	14	0	540
Dec-98	4	0	198	257	7	32	19	0	517
Jan-99	7	0	197	212	22	60	11	0	509
Feb-99	6	0	213	208	8	69	14	0	518
Mar-99	5	0	335	273	10	55	18	0	696
Apr-99	3	0	217	280	16	23	16	0	555
May-99	6	0	297	245	17	18	11	0	594
Jun-99	7	0	185	257	25	28	9	0	511
Jul-99	8	0	133	201	15	10	6	0	373
Aug-99	7	0	132	245	21	23	19	0	447
Sep-99	5	0	136	202	21	23	10	0	397
Oct-99	6	0	153	251	25	39	10	0	484
Nov-99	7	0	131	324	16	56	15	0	549
Dec-99	5	0	125	308	23	60	26	0	547
Jan-00	9	0	87	298	16	85	25	0	520
Feb-00	5	0	124	298	28	85	33	0	573
Mar-00	6	0	95	301	18	71	16	0	507
Apr-00	5	0	81	293	36	62	23	0	500
May-00	11	0	116	324	84	12	25	0	572
Jun-00	6	0	184	352	23	87	18	0	670
Jul-00	15	0	177	314	17	85	5	0	613
Aug-00	6	0	117	283	21	66	4	0	497
Sep-00	15	0	132	251	16	51	5	0	470
(A)OCT-00	0	0	3	201	8	4	0	0	216
Nov-00	1	0	2	194	19	2	2	1	221
Dec-00	0	0	6	217	6	2	3	1	235
Jan-01	0	0	3	172	18	3	5	0	201
Feb-01	1	0	4	129	8	2	0	0	144
Mar-01	2	0	2	118	7	0	1	0	130
Apr-01	3	0	2	105	11	6	1	1	129
May-01	2	0	1	126	3	1	2	0	135
Jun-01	0	0	4	157	10	0	2	1	174
Jul-01	1	0	5	196	10	2	5	0	219
Aug-01	1	0	3	160	1	0	5	0	170
Sep-01	3	0	0	152	0	0	2	0	157
Oct-01	3	0	2	195	16	1	4	0	221
Nov-01	3	0	2	184	37	4	10	0	240
Dec-01	1	0	5	228	23	3	16	0	276
Total	258	0	5,186	10,502	762	1,529	474	4	18,715

*Hurricane Georges: No Train Services 9/24/98, 9/25/98, & 9/26/98 (1/2 day).
NO CITATIONS ISSUED ON 11/5/98 DUE TO TROPICAL STORM MITC

*Hurricane Floyd: No Train Services 9/14/99 & 9/15/99.

(A)MODIFIED FARE EVASION BEGINS

MONTHLY BREAKDOWN OF CITATIONS

Month	12 Trip Validation	Comp. Ticket Violation	Ineligible Discount	No Ticket	Zone Override	No Transfer	Out Dated Ticket	EDP Ticket W/O Tri-Rail ID	Total
Jan-02	2	0	2	163	32	5	4	0	208
Feb-02	2	0	7	140	20	2	1	0	172
Mar-02	3	0	5	238	17	5	2	0	270
Apr-02	2	0	3	200	23	3	7	0	238
May-02	2	0	6	154	29	0	4	0	195
Jun-02	6	0	3	212	26	5	7	0	259
(B) JUL-02	0	0	5	24	2	5	2	0	38
Aug-02	1	0	3	27	3	2	2	0	38
Sep-02	1	0	4	29	2	1	0	0	37
Oct-02	4	0	11	27	2	2	2	0	48
Nov-02	4	0	8	28	4	4	1	0	49
Dec-02	2	0	3	23	3	3	0	0	34
Jan-03	0	0	4	20	4	0	0	0	28
Feb-03	2	0	7	13	4	1	0	0	27
Mar-03	1	0	6	31	4	1	1	0	44
Apr-03	0	0	5	26	1	3	1	0	36
May-03	0	0	5	43	3	2	0	0	53
Jun-03	2	0	2	40	7	1	0	0	52
Jul-03	1	0	6	30	3	3	0	3	46
Aug-03	1	0	5	12	3	3	2	1	27
Sep-03	1	0	3	29	6	2	2	0	43
Oct-03	3	0	10	37	6	5	3	0	64
Nov-03	0	0	20	30	7	6	0	0	63
DEC-03	2	0	16	42	3	6	2	0	71
Jan-04	2	0	27	31	4	9	4	0	77
Feb-04	3	0	14	42	3	10	1	0	73
Mar-04	1	0	14	30	2	15	1	0	63
Apr-04	0	0	5	21	6	4	1	0	37
May-04	0	0	3	19	7	2	0	0	31
Jun-04	1	0	7	23	4	1	2	0	38
Jul-04	1	0	13	29	1	4	1	0	49
Aug-04	1	0	7	19	3	3	3	1	37
(C) SEPT-04	3	0	2	8	2	1	2	0	18
Oct-04	2	0	6	13	6	4	1	1	33
NOV-04	5	0	3	27	2	2	2	0	41
Dec-04	3	0	5	41	3	4	2	0	58
Jan-05	8	0	9	59	5	9	1	0	91
Total	330	0	5,450	12,482	1,024	1,667	538	10	21,501

(A) MODIFIED FARE EVASION BEGINS

(B) NEW WARNING POLICY-ONE WARNING TO ALL-NEW ENFORCEMENT GUIDE

(C) LIMITED OR NO TRAIN SERVICE DUE TO HURRICANE FRANCES-SEPTEMBER 2-12, 2004

LIMITED OR NO TRAIN SERVICE DUE TO HURRICANE JEANNE- SEPTEMBER 25-29,2004

MONTHLY BREAKDOWN OF CITATIONS

Month	12 Trip Validation	Comp. Ticket Violation	Ineligible Discount	No Ticket	Zone Override	No Transfer	Out Dated Ticket	EDP Ticket W/O ID	Total
Feb-05	10	0	9	55	5	9	2	0	90
Mar-05	3	0	8	57	5	12	4	0	89
Apr-05	8	0	11	47	5	5	1	0	77
May-05	6	0	28	71	4	6	1	0	116
Jun-05	6	0	14	70	6	7	3	1	107
Jul-05	9	0	15	52	9	13	4	1	103
(D) AUG-05	4	0	14	63	12	16	3	0	112
(E) SEP-05	7	0	19	48	10	7	2	0	93
(F) OCT-05	3	0	7	42	3	6	2	0	63
(G)NOV-05	1	0	6	24	6	2	0	0	39
Dec-05	4	0	8	35	2	8	2	0	59
Jan-06	2	0	19	52	9	11	1	1	95
Feb-06	4	0	20	40	3	6	1	0	74
Mar-06	3	0	16	56	10	5	2	1	93
Apr-06	4	0	18	50	8	10	2	1	93
May-06	3	0	19	55	5	6	1	4	93
Jun-06	6	0	14	48	2	4	0	3	77
Jul-06	0	0	20	48	8	6	0	4	86
(H) AUG -06	6	0	18	72	2	6	1	1	106
Sep-06	11	0	25	62	5	4	2	0	109
Oct-06	11	0	20	62	3	5	2	2	105
Nov-06	3	0	26	47	3	9	7	2	97
Dec-06	5	0	26	49	7	1	6	0	94
JAN-07	11	0	20	50	2	9	0	0	92
Feb-07	8	0	30	67	4	15	0	6	130
(I)MAR-2007	8	0	34	66	9	9	3	0	129
Apr-07	11	0	22	57	9	15	2	0	116
May-07	8	0	32	65	7	13	2	1	128
Jun-07	8	0	27	61	5	10	4	6	121
Jul-07	10	0	21	56	10	12	4	4	117
Aug-07	8	0	25	73	9	14	0	1	130
Sep-07	6	0	14	63	12	10	3	1	109
Oct-07	5	0	22	66	3	9	5	2	112
Nov-07	10	0	20	75	8	11	8	1	133
Dec-07	6	0	24	57	9	7	3	1	107
Jan-08	8	0	19	46	12	12	3	2	102
Feb-08	9	0	17	46	6	5	1	0	84
TOTAL	565	0	6,157	14,535	1,261	1,982	625	56	25,181

(D) LIMITED OR NO TRAIN SERVICE DUE TO HURRICANE KATRINA AUGUST 25-28, 2005

(E) NO TRAIN SERVICE DUE TO HURRICANE RITA 09/20/05

(F) NO TRAIN SERVICE DUE TO HURRICANE WILMA 10/22/05-10/31/05

(G) NO TRAIN SERVICE DUE TO HURRICANE WILMA 11/01/05-11/03/05

(G) NO TICKET CHECKS DUE TO HURRICANE WILMA 11/04/05-11/11/05

MONTHLY BREAKDOWN OF CITATIONS

Month	12 Trip Validation	Comp. Ticket Violation	Ineligible Discount	No Ticket	Zone Override	No Transfer	Out Dated Ticket	EDP Ticket W/O ID	Total
Mar-08	6	0	16	45	1	3	2	4	77
Apr-08	8	0	29	53	6	11	6	5	118
May-08	7	0	42	97	5	5	3	2	161
Jun-08	12	0	34	93	8	8	6	5	166
Jul-08	4	0	27	71	8	10	2	1	123
(H) AUG-08	3	0	14	71	3	3	6	1	101
Sep-08	3	0	17	68	4	4	7	1	104
Oct-08	5	0	24	74	4	3	1	1	112
Nov-08	1	0	17	61	2	1	8	0	90
Dec-08	2	0	25	77	2	14	10	2	132
Jan-09	6	0	22	64	14	6	7	2	121
Feb-09	4	0	36	65	5	6	3	2	121
Mar-09	10	0	26	51	11	10	0	0	108
Apr-09	8	0	20	62	9	9	2	1	111
May-09	9	0	23	76	10	7	3	1	129
Jun-09	6	0	21	74	6	4	1	0	112
Jul-09	4	0	26	77	9	4	5	1	126
Aug-09	4	0	25	107	9	1	4	1	151
Sep-09	3	0	16	93	12	10	3	0	137
Oct-09	6	0	32	97	4	6	4	0	149
Nov-09	6	0	31	99	6	11	4	0	157
Dec-09	2	0	22	63	11	3	8	0	109
Jan-10	2	0	9	57	5	5	3	0	81
Feb-10	4	0	19	58	8	1	0	0	90
Mar-10	1	0	20	72	11	2	0	1	107
Apr-10	0	0	13	66	7	2	0	0	88
May-10	2	0	21	62	7	0	0	0	92
Jun-10	2	0	14	63	9	2	2	0	92
Jul-10	2	0	21	59	10	6	1	0	99
Aug-10	2	0	9	69	9	1	1	1	92
Sep-10	1	0	8	55	10	2	0	0	76
Oct-10	1	0	18	87	14	3	2	0	125
TOTAL	701	0	6,854	16,821	1,500	2,145	729	88	28,838

(H)08/18/08 AND 08/19/08 TICKET CHECKS SUSPENDED DUE TO TROPICAL STORM FAY

MONTHLY BREAKDOWN OF WARNINGS

Date	12 Trip Validation	Comp. Ticket Violation	Ineligible Discount	No Ticket	Zone Override	No Transfer	Out Dated Ticket	EDP Ticket W/O Tri-Rail ID	Total
Oct-00	27	0	214	8	1	171	0	4	425
Nov-00	33	0	220	5	1	173	1	4	437
Dec-00	31	0	191	8	0	150	0	3	383
Jan-01	40	0	205	6	1	132	2	1	387
Feb-01	27	0	164	13	1	143	0	3	351
Mar-01	51	0	196	15	1	140	0	9	412
Apr-01	42	0	207	1	1	171	0	22	444
May-01	40	0	272	4	0	153	0	16	485
Jun-01	57	0	211	5	2	207	0	5	487
Jul-01	92	0	173	6	0	186	0	12	469
Aug-01	97	0	175	3	0	189	0	24	488
Sep-01	86	0	148	2	4	131	0	27	398
Oct-01	51	0	189	0	0	168	0	9	417
Nov-01	37	0	167	4	0	181	0	11	400
Dec-01	40	0	186	0	0	152	0	3	381
Jan-02	49	0	218	2	0	144	0	4	417
Feb-02	35	0	218	7	0	152	0	2	414
Mar-02	28	0	217	4	0	126	0	2	377
Apr-02	23	0	231	2	1	163	0	0	420
May-02	29	0	263	0	1	148	0	4	445
Jun-02	29	0	215	2	1	143	1	4	395
(A) JUL-02	18	0	206	392	43	134	18	6	817
Aug-02	33	0	234	398	43	104	28	1	841
Sep-02	30	0	204	391	44	148	24	2	843
Oct-02	28	0	280	376	43	155	20	1	903
Nov-02	35	0	287	424	40	93	19	5	903
Dec-02	16	0	282	494	40	117	11	1	961
Jan-03	22	0	289	470	45	93	13	1	933
Feb-03	14	0	310	401	52	84	20	0	881
Mar-03	10	0	252	384	31	68	8	3	756
Apr-03	5	0	224	407	25	124	9	1	795
May-03	5	0	214	382	25	79	9	0	714
Jun-03	6	0	223	386	31	73	14	5	738
Jul-03	4	0	212	436	25	112	17	22	828
Aug-03	6	0	161	370	23	113	21	9	703
Sep-03	10	0	167	382	21	143	7	6	736
Oct-03	11	0	282	478	33	141	24	1	970
Nov-03	9	0	329	422	25	149	16	0	950
DEC-03	8	0	327	473	22	170	14	0	1014
Jan-04	12	0	304	455	23	152	11	1	958
Feb-04	5	0	275	455	22	144	24	1	926
Mar-04	9	0	272	478	24	132	26	0	941
Apr-04	9	0	114	442	24	91	21	1	702
May-04	6	0	134	389	24	89	15	0	657
Jun-04	5	0	232	558	29	156	22	5	1007
Jul-04	3	0	213	520	28	128	24	1	917
SUBTOTAL	1,263	0	10,307	10,860	800	6,315	439	242	30,226

(A) NEW WARNING POLICY-ONE WARNING TO ALL-NEW ENFORCEMENT GUIDE

(A) NEW WARNING POLICY-ONE WARNING TO ALL-NEW ENFORCEMENT GUIDE

MONTHLY BREAKDOWN OF WARNINGS

Date	12 Trip Validation	Comp. Ticket Violation	Ineligible Discount	No Ticket	Zone Override	No Transfer	Out Dated Ticket	EDP Ticket W/O Tri-Rail ID	Total
Aug-04	20	0	250	557	37	143	13	9	1029
(B) SEPT -04	34	0	102	285	16	51	11	6	505
Oct-04	36	0	190	476	26	118	11	14	871
Nov-04	66	0	145	535	29	112	9	8	904
Dec-04	45	0	125	526	22	125	24	6	873
Jan-05	75	0	210	639	25	165	24	9	1147
Feb-05	61	0	168	608	29	154	34	1	1055
Mar-05	36	0	220	681	32	150	20	1	1140
Apr-05	53	0	289	763	47	234	29	5	1420
May-05	48	0	297	681	51	199	17	4	1297
Jun-05	65	0	223	727	47	147	22	6	1237
Jul-05	41	0	250	651	44	155	36	4	1181
(C) AUG-05	38	0	237	584	49	165	32	3	1108
(D) SEPT-05	28	0	236	645	44	143	16	6	1118
(E) OCT-05	32	0	208	502	27	112	12	5	898
(F) NOV-05	26	0	128	442	27	72	6	1	702
Dec-05	28	0	315	731	48	129	24	9	1284
Jan-06	29	0	378	773	37	192	34	14	1457
Feb-06	37	0	340	756	54	142	21	18	1368
Mar-06	54	0	338	1042	49	182	24	9	1698
Apr-06	65	0	451	888	57	175	28	16	1680
May-06	61	0	486	981	53	240	25	22	1868
Jun-06	36	0	471	903	21	208	23	13	1675
Jul-06	57	0	476	988	23	150	29	15	1738
(G) Aug-06	65	0	508	969	28	198	26	8	1802
Sep-06	63	0	468	909	31	214	36	8	1729
Oct-06	76	0	524	1079	36	220	40	11	1986
Nov-06	56	0	461	969	21	235	41	11	1794
Dec-06	62	0	612	1048	37	235	36	15	2045
Jan-07	80	0	637	1009	52	284	21	20	2103
Feb-07	94	0	632	1055	52	316	37	34	2220
(H) MAR-07	105	0	681	1080	60	350	45	14	2335
Apr-07	106	0	749	1164	75	351	42	22	2509
May-07	107	0	849	1218	73	421	53	20	2741
Jun-07	128	0	840	1043	48	388	40	32	2519
Jul-07	93	0	808	1040	74	399	51	28	2493
Aug-07	73	0	819	1240	79	364	23	16	2614
Sep-07	85	0	708	1062	53	318	35	12	2273
Oct-07	97	0	776	1295	83	372	58	16	2697
Nov-07	95	0	690	1215	109	264	57	18	2448
Dec-07	91	0	694	1226	71	261	55	21	2419
SUBTOTAL	3,810	0	28,296	45,845	2,676	15,168	1,659	752	98,206

(B) LIMITED/NO TRAIN SERVICE -HURRICANE FRANCES-9/ 2-12/04

(C) LIMITED OR NO TRAIN SERVICE-HURRICANE KATRINA 8/25-28/05

(E) NO TRAIN SERVICE -HURRICANE WILMA 10/22/05-10/31/05

(G) NO TRAIN SERVICE -TROPICAL STORM ERNESTO 08/28-08/29/06

(H)3/9/2007 TICKET CHECKS SUSPENDED BY SFRTA -TRACK WORK

(H)03/28/07-03/30/07 P605, P609, P630 (3 TRAINS ANNULLED)

(H)03/28/07-P614-P619 (6 TRAINS)REDUCED TICKET CHECK-TRAINS 45 MINUTES LATE OR MORE

LIMITED/NO TRAIN SERVICE-HURRICANE JEANNE-9/25-29/04

(D) NO TRAIN SERVICE DUE TO HURRICANE RITA 09/20/05

(F) NO TICKET CHECKS-HURRICANE WILMA 11/01/05-11/11/05

03/15/07 SFRTA PASSENGER SURVEY

MONTHLY BREAKDOWN OF WARNINGS

Date	12 Trip Validation	Comp. Ticket Violation	Ineligible Discount	No Ticket	Zone Override	No Transfer	Out Dated Ticket	EDP Ticket W/O Tri-Rail ID	Total
Jan-08	105	0	846	1229	69	233	44	19	2545
Feb-08	83	0	641	1106	82	209	42	16	2179
Mar-08	98	0	772	1273	62	211	43	19	2478
Apr-08	103	0	823	1230	68	208	35	15	2482
May-08	96	0	908	1400	54	192	54	19	2723
Jun-08	116	0	1025	1270	42	192	62	36	2743
Jul-08	103	0	799	1285	49	240	56	26	2558
(H) AUG-08	62	0	616	1192	55	219	63	22	2229
Sep-08	84	0	518	1360	66	242	53	16	2339
Oct-08	61	0	579	1422	101	241	82	21	2507
Nov-08	50	0	460	1210	71	186	57	7	2041
Dec-08	71	0	618	1376	100	228	88	10	2491
Jan-09	79	0	538	1332	119	249	39	21	2377
Feb-09	76	0	534	1194	99	208	60	14	2185
Mar-09	54	0	633	1392	120	230	57	14	2500
Apr-09	72	0	655	1334	128	239	66	19	2513
May-09	99	0	684	1420	117	266	53	19	2658
Jun-09	80	0	583	1460	131	256	65	26	2601
Jul-09	58	0	563	1608	133	218	48	18	2646
Aug-09	67	0	544	1604	146	269	66	20	2716
Sep-09	62	0	536	1512	141	259	61	15	2586
Oct-09	61	0	560	1499	126	262	60	13	2581
Nov-09	63	0	532	1462	136	206	67	14	2480
Dec-09	62	0	592	1634	132	239	43	14	2716
Jan-10	42	0	459	1505	119	203	44	9	2381
Feb-10	42	0	504	1451	167	196	40	15	2415
Mar-10	52	0	524	1737	172	255	38	17	2795
Apr-10	46	0	516	1629	121	227	41	10	2590
May-10	40	0	542	1646	119	176	33	15	2571
Jun-10	53	2	530	1520	152	185	50	13	2505
Jul-10	45	0	549	1648	139	160	34	15	2590
Aug-10	45	0	475	1626	136	151	20	9	2462
Sep-10	26	0	413	1628	110	166	26	13	2382
Oct-10	35	0	423	1610	145	140	31	14	2398
SUBTOTAL	6,101	2	48,790	94,649	6,403	22,529	3,380	1,315	183,169

(H)08/18/08 AND 08/19/08 TICKET CHECKS SUSPENDED DUE TO TROPICAL STORM FAY

**CLASSIFICATIONS BREAKDOWN
OCTOBER 2010**

CLASSIFICATION		
ABANDONED VEHICLE		1
ALARMS		
ALARMS-TVM		
ALCOHOLIC BEVERAGE		
ARSON		
ASSAULT AGGRAVATED TRANSIT AGENT / CPO		
ASSAULT-AGGRAVATED		
ASSAULT TRANSIT AGENT / CPO		
ASSAULT		
ASSIST PASSENGER		1
ASSIST OTHER AGENCY		
AUTO THEFT		3
AUTO THEFT - ATTEMPT		2
AUTO THEFT - RECOVERY		
BATTERY - AGGRAVATED TRANSIT AGENT / CPO		
BATTERY - AGGRAVATED		
BATTERY TRANSIT AGENT / CPO		
BATTERY		
BICYCLE VIOLATIONS		
BIOLOGICAL RELEASE		
BOMBING		
BOMB THREAT		
BRUSH FIRE		
BURGLARY		
BURGLARY-AUTO		9
BURGLARY-ATTEMPT AUTO		
CHEMICAL RELEASE		
CIVIL DISTURBANCE		
CREDIT CARD FRAUD		
CRIMINAL MISCHIEF	<i>GRAFFITTI</i>	6
CROSSING W/ GATE DOWN		9
CYBER INCIDENT		
DAMAGED PROPERTY		3
DEBRIS ON TRACK		1
DISORDERLY CONDUCT		4
DISTURBANCE		5
DOMESTIC VIOLENCE		
DRUG OFFENSE		1
EMS BOARD MALFUNCTION		
ELEVATOR MALFUNCTION		10
ELEVATOR PHONE MALFUNCTION		4
ESCALATOR MALFUNCTION		3
FALSE IDENTIFICATION		
FARE EVASION		2525

**CLASSIFICATIONS BREAKDOWN
OCTOBER 2010**

CLASSIFICATION

	CITATION	WARNED	ARREST
12 TRIP	1	35	1
COMPLIMENTARY TICKET VIOLATION	0	0	0
INELIGIBLE DISCOUNT	18	423	0
NO TICKET	87	1610	1
ZONE OVERRIDE	14	145	0
NO TRANSFER SLIP	3	140	0
OUTDATED TICKET	2	31	0
EDP TICKET	0	14	0
TOTAL	125	2398	2

COUNTERFEIT/ALTERED TICKET	2
FIRE	
GRADE CROSSING PROBLEM	3
HIJACKING	
ILLNESS	1
INJURY	
INJURY-CPO	
INTERFERING W/ TRAIN	
INTOXICATED PERSON	
INVESTIGATION	
KIOSK PROBLEM	
LOST/FOUND PROPERTY	117
LOUD MUSIC	
LUGGAGE	
MISCELLANEOUS/INFORMATION	47
MISSING PERSON	
MISSING PERSON-LOCATED	1
MOTOR VEHICLE ACCIDENT	4
NUCLEAR RELEASE	
PARKING PROBLEM	28
PARKING LOT ACCIDENTS	
PARKING LOT VIOLATIONS	
PAYPHONE MALFUNCTION	
PULLED EMERGENCY STOP	1
RECOVERED STOLEN PROPERTY	
RIDING ON OUTSIDE OF TRAIN	
ROBBERY-ATTEMPT	
ROBBERY ARMED	
SABOTAGE	
SAFETY HAZARD	18
SEXUAL BATTERY	
SEX OFFENSE (OTHER)	
SIGN PROBLEM	
SLIP AND FALL	2
SMOKING ON TRAIN	

**CLASSIFICATIONS BREAKDOWN
OCTOBER 2010**

CLASSIFICATION					
SOLICITATION					1
STUDENT INCIDENT					12
BAK MIDDLE SCHOOL					
DREYFOOS HIGH SCHOOL	12				
G STAR					
LAKE WORTH					
ROOSEVELT MIDDLE SCHOOL					
BOYNTON BEACH					
OTHER					
SURFBOARDS ON TRAIN					
SUSPICIOUS INCIDENT					2
SUSPICIOUS PERSON					
SUSPICIOUS VEHICLE					
TELEPHONIC THREAT					
THEFT					5
THEFT-ATTEMPTED					
THROWING OBJECT AT TRAIN					
TRAIN VS ANIMAL					
TRAIN VS BICYCLE					
TRAIN VS PEDESTRIAN					
TRAIN VS FIXED OBJECT					
TRAIN VS VEHICLE					2
TRESPASS					7
TVM GATE MALFUNCTION					5
TVM MALFUNCTION					95
UNAUTHORIZED ANIMAL					
VALIDATOR MALFUNCTION					20
WEAPON-COMPLAINT					
WEAPON-CONCEALED					
SPECIAL ASSIGNMENT:MONITOR CHECKS					
TOTAL INCIDENTS					2952

**SIX MONTH CRIME ANALYSIS
2010**

CLASSIFICATION	MAY	JUN	JULY	AUG	SEPT	OCT
ABANDONED VEHICLE				1		1
ALARMS	1	2				
ALARMS-TVM						
ALCOHOLIC BEVERAGE/TRAIN		1				
ARSON						
ASSAULT-AGGRAVATED TRANSIT AGENT / CPO						
ASSAULT-AGGRAVATED		1				
ASSAULT TRANSIT AGENT / CPO						
ASSAULT						
ASSIST PASSENGER	1	4	6	1	5	1
ASSIST OTHER AGENCY	2	1	2	1		
AUTO THEFT			2	2	4	3
AUTO THEFT - ATTEMPT			1	2	2	2
AUTO THEFT - RECOVERY		1		1	1	
BATTERY - AGGRAVATED TRANSIT AGENT/ CPO						
BATTERY - AGGRAVATED						
BATTERY - TRANSIT AGENT / CPO			1			
BATTERY						
BICYCLE VIOLATIONS						
BIOLOGICAL RELEASE						
BOMBING						
BOMB THREAT						
BRUSH FIRE						
BURGLARY						
BURGLARY-STRUCTURE						
BURGLARY-AUTO	3		16	1	3	9
BURGLARY-ATTEMPT AUTO		4				
CHEMICAL RELEASE						
CIVIL DISTURBANCE						
COUNTERFEIT/ALTERED TICKET						
CREDIT CARD FRAUD						
CRIMINAL MISCHIEF	11	12	17	6	8	9
CROSSING W/ GATE DOWN						
CYBER INCIDENT						
DAMAGED PROPERTY	3	1	2	1	3	3
DEBRIS ON TRACK						1
DISORDERLY CONDUCT	6	3	3	6	5	4
DISTURBANCE		3	2	2	1	5
DRUG OFFENSE						1
DOMESTIC VIOLENCE						
EMS BOARD MALFUNCTION		2				
ELEVATOR MALFUNCTION	23	19	18	14	15	10
ESCALATOR MALFUNCTION	3	6	7	4	3	3
ELEVATOR PHONE MALFUNCTION		3	1	3	3	4
FARE EVASION- CITATIONS	92	92	99	92	76	125
FARE EVASION-WARNINGS	2571	2505	2590	2462	2382	2398
FARE EVASION-ARREST	4	1	2	2	4	2
FALSE IDENTIFICATION						
FIRE			1	1		
GRADE CROSSING PROBLEM	1	6	1	5	1	3
HIJACKING						
ILLNESS	8	5	6	9	4	1
SUB-TOTAL	2729	2672	2777	2616	2520	2585

SIX MONTH CRIME ANALYSIS 2010

CLASSIFICATION	MAY	JUN	JULY	AUG	SEPT	OCT
INJURY	1					
INJURY-CPO						
INTERFERING W/ TRAIN	1	2	1	1		
INTOXICATED PERSON						
INVESTIGATION						
KIOSK PROBLEM	1					
LUGGAGE						
LOST/FOUND PROPERTY	100	100	90	92	112	117
LOUD MUSIC		1				
MISCELLANEOUS/INFORMATION	39	50	44	47	49	47
MISSING PERSON				1		
MISSING PERSON-LOCATED					1	1
MOTOR VEHICLE ACCIDENT	3	5	4	1	2	4
PARKING PROBLEM	7	7	14	5	12	28
PARKING LOT ACCIDENTS						
PARKING LOT VIOLATIONS						
PAYPHONE MALFUNCTION						
PULLED EMERGENCY STOP	1			1		1
RECOVERED STOLEN PROPERTY						
RIDIDNG ON OUTSIDE OF TRAIN						
ROBBERY						
ROBBERY ARMED						
ROBBERY ATTEMPT						
SAFETY HAZARD	23	22	26	34	25	18
SEXUAL BATTERY						
SEX OFFENSE (OTHER)						
SIGN PROBLEM						
SLIP AND FALL	3	6	3	1	4	2
SMOKING ON TRAIN		1		7		
SOLICITATION		2	2		3	1
STUDENT INCIDENT	2			2	1	12
SURFBOARDS ON TRAIN	1					
SUSPICIOUS INCIDENT	9	5	8	7	8	2
SUSPICIOUS PERSON	4	1	3	2	3	
SUSPICIOUS VEHICLE	3	3	2	2	1	
TELEPHONIC THREAT						
THEFT	2		4		6	5
THEFT-ATTEMPTED						
THROWING OBJECT AT TRAIN				1		
TRAIN VS ANIMAL			1			
TRAIN VS BICYCLE						
TRAIN VS PEDESTRIAN		2				
TRAIN VS VEHICLE	1			2		2
TRAIN VS FIXED OBJECT						
TRESPASS	15	14	15	10	6	7
TVM GATE MALFUNCTION		5		4	2	5
TVM MALFUNCTION	55	75	73	50	65	95
UNAUTHORIZED ANIMAL						
VALIDATOR MALFUNCTION	21	23	35	19	24	20
WEAPON CONCEALED						
SUB-TOTAL	2729	2672	2777	2616	2520	2585
TOTAL REPORTS	3021	2996	3102	2905	2844	2952

**TWC/SFRTA
FEDERAL (TSA) DIRECTIVES VIOLATIONS
FOR THE MONTH OF : OCTOBER 2010**

Date	Incident Report #	Location	TSA Directive Violation #	Remarks	CPO ID#
10/14/2010	1334	MM 972.3	13	3-MALES ACTING SUSPICIOUS	583

TSA Directive #13 "Inspect each passenger rail car for suspicious persons, items, or any unattended items."

TSA Directive #15 "If equipped with locking mechanisms, lock all doors which allow access to the Engineers or Train Operators cab or compartment."

NTD INTERNET REPORTING

NON-MAJOR SECURITY PART I

INCIDENTS ONLY

0209030

	Transit Passengers	Transit Facility Occupants	Transit Employees	Other Workers	Trespasser	Other	Total Incidents
Forcible Rape							0
In Vehicle							0
In Revenue Facility							0
Non Revenue Facility							0
Right Of Way/Roadway							0
Robbery							0
In Vehicle							0
In Revenue Facility							0
Non Revenue Facility							0
Right Of Way/Roadway							0
Aggravated Assaults							0
In Vehicle							0
In Revenue Facility							0
Non Revenue Facility							0
Right Of Way/Roadway							0
Burglary							0
In Vehicle							0
In Revenue Facility							0
Non Revenue Facility							0
Right Of Way/Roadway							0
Larceny/Theft Offenses							0
In Vehicle	1						1
In Revenue Facility							13
Non Revenue Facility							0
Right Of Way/Roadway							0
Motor Vehicle Theft							0
In Vehicle							5
In Revenue Facility							0
Non Revenue Facility							0
Right Of Way/Roadway							0
Arson							0
In Vehicle							0
In Revenue Facility							0
Non Revenue Facility							0
Right Of Way/Roadway							0

Structure

Includes Burglary Vehicle

Includes Attempts

05 2010

- Fare Evasion (citations)
- Other Assaults (arrests)
- Trespassing (arrests)
- Vandalism (arrests)

Part II

In Vehicle	In Revenue	In Non-Revenue Facility	On Right of Way
125	11		

Other Security Issues

Total Incidents
125
0
3
0



G4S Secure Solutions USA
6499 Powerline Road Suite 300
Fort Lauderdale FL 33309

Telephone: 954.771.5005
Fax: 954.771.5408
www.g4s.com/us



MEMORANDUM

To: Mr. Timothy L. Cates, Project Manager, TWC/SFRTA
From: Richard D. Cannon, Jr. Investigator, ID #276 *ra*
SUBJECT: MONTHLY ACTIVITY REPORT – OCTOBER 2010
Date: Tuesday, November 2nd 2010

I was assigned the following tasks for the month of **OCTOBER 2010**:

As the Court Liaison Officer: I maintained contact with the Clerk of the Court, North County Regional Courthouse, Deerfield Beach, FL. I also handled the filing, service and return of subpoenas served to Custom Protection Officers assigned to the TWC/SFRTA Project. I attended all court hearings involving SFRTA/Tri-Rail Fare Evasion matters and tracked criminal cases.

As the Citation Coordinator: I managed the review, sorting and filing of Citations. I filed **125** citations at the North County Regional Courthouse. I also processed any voided citations and conducted inquiries as directed by the County Court Judge, related to Fare Evasion Citations. I conducted CPR/AED training for personnel assigned to the TWC/SFRTA Project, as well as entry-level training to newly assigned Transit Agents.

As the Investigator: I investigated or conducted inquiries into **7 incidents**:

- SFRTA IR #: 09-10-2385 – Failure to Issue NTA – (Boca Raton, FL, P.D.)
- SFRTA IR #: 09-10-2774 – Strong-armed Robbery – (Unfounded)
- SFRTA IR #: 10-10-1266 – Discourtesy – (Unfounded)
- SFRTA IR #: 10-10-979 – Discourtesy – (Pending)
- COMPLAINT #: 11074 – Allegation of Discrimination – (Unfounded)
- COMPLAINT #: 11153 – Discourtesy – (Not Sustained)
- UNNUMBERED – Allegation of CPO Misconduct – (Unfounded)

In addition, I obtained various police reports pertaining to incidents involving the SFRTA. Refresher training regarding interpersonal relations and conflict management were conducted. In addition, I conducted CPR/AED Re-Certification Training. Lastly, I continued to provide support, logistical and troubleshooting services to the Safety & Security Administrator, as well as the Project Manager.

rdc/

cc: Mr. Allen R. Yoder

**Expiring Contract Report
S FL Regional Transportation Authority
Expiring Date (11/1/2010) thru (11/1/2011)**

Project Manager Contract # Contract Administrator	Contract Title Contract Name	Start Date Expiration Date	Contract Duration Renewal
<u>projectmgr</u>			
Contract Class: Payables			
Diane Hernandez Del Cal	LEGAL CONSULTING SERVICES-FEDERAL ISSUES	07/17/2006	5 Year Term
06-515	010364 THOMPSON COBURN LLP	07/31/2011	2 - 1 year option renewals. No options remain.
BOBBY BECKER			
Laura Thezine	PROFESSIONAL AUDITING SERVICES	07/03/2007	4 Year Term
07-723	010878 WATSON RICE LLP	07/02/2011	2-1 year options. One option remaining.
BRYAN KOHLBERG			
Michael Kanefsky	TVM MAINTENANCE AGREEMENT	03/01/2008	3 Year Term
08-002	010033 ACS TRANSPORT SOLUTIONS, INC.	02/28/2011	4-1year renewal options. 2 options remaining.
BOBBY BECKER			
James DeVaughn	SFEC TMA BUS SERVICE	07/01/2010	1 Year Term
10-014	010338 SFEC TMA	06/30/2011	4 - 1 year renewal options. All 4 options remaining.
BOBBY BECKER			

Contract Class: Receivables

**Expiring Contract Report
S FL Regional Transportation Authority**

Expiring Date (11/1/2010) thru (11/1/2011)

Project Manager Contract # Contract Administrator	Contract Title Contract Name	Start Date Expiration Date	Contract Duration Renewal
<u>projectmgr</u> Lauran Mehalik 08-001 BOBBY BECKER	PAYPHONE SERVICE 17 STERLING PAYPHONES LLC	03/14/2008 03/13/2011	3 Year Term 4 - 1 year renewal options. 2 options remaining.

Expiring Contract Report S FL Regional Transportation Authority

Expiring Date (10/1/2010) thru (10/1/2011)

Project Manager Contract # Contract Administrator	Contract Title Contract Name	Start Date Expiration Date	Contract Duration Renewal
<u>projectmgr</u>			
Contract Class: Payables			
Allen Yoder	ARMED SEC/REV COLLECTION & FARE INSPECT	11/01/2005	5 Year Term
05-102	010385 WACKENHUT CORPORATION	10/31/2010	No renewal options
BOBBY BECKER			
Diane Hernandez Del Cal	LEGAL CONSULTING SERVICES-FEDERAL ISSUES	07/17/2006	5 Year Term
06-515	010364 THOMPSON COBURN LLP	07/31/2011	2 - 1 year option renewals. No options remain.
BOBBY BECKER			
Laura Thezine	PROFESSIONAL AUDITING SERVICES	07/03/2007	4 Year Term
07-723	010878 WATSON RICE LLP	07/02/2011	2-1 year options. One option remaining.
BRYAN KOHLBERG			
Michael Kanefsky	TVM MAINTENANCE AGREEMENT	03/01/2008	3 Year Term
08-002	010033 ACS TRANSPORT SOLUTIONS, INC.	02/28/2011	4-1year renewal options. 2 options remaining.
BOBBY BECKER			
James DeV Vaughn	SFEC TMA BUS SERVICE	07/01/2010	1 Year Term
10-014	010338 SFEC TMA	06/30/2011	4 - 1 year renewal options. All 4 options remaining.
BOBBY BECKER			

Expiring Contract Report S FL Regional Transportation Authority

Expiring Date (10/1/2010) thru (10/1/2011)

Project Manager Contract # Contract Administrator	Contract Title Contract Name	Start Date Expiration Date	Contract Duration Renewal
<u>projectmgr</u>			
Contract Class: Receivables			
Lauran Mehalik 08-001 BOBBY BECKER	PAYPHONE SERVICE 17 STERLING PAYPHONES LLC	03/14/2008 03/13/2011	3 Year Term 4 - 1 year renewal options. 2 options remaining,