SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY

GOVERNING BOARD

REGULAR MEETING AGENDA
FEBRUARY 25, 2011
9:30 a.m.

South Florida Regional Transportation Authority
Board Room
800 NW 33rd Street
Pompano Beach, FL 33064

SFRTA BOARD MEETINGS ARE SCHEDULED ON THE FOURTH FRIDAY OF EACH MONTH AT 9:30 A.M. FOR FURTHER INFORMATION CALL (954)942-RAIL (7245). TIME OF MEETINGS SUBJECT TO CHANGE.

SFRTA Board Members

Commissioner Steven L. Abrams
Marie Horenburger
George Morgan, Jr.
Commissioner Bruno Barreiro, Chair
Commissioner Kristin Jacobs
Gus Pego
James A. Cummings
Felix M. Lasarte
F. Martin Perry

Executive Director

Joseph Giulietti

GOVERNING BOARD REGULAR MEETING
OF FEBRUARY 25, 2011

The meeting will convene at 9:30 a.m., and will be held in the Board Room of the South Florida Regional Transportation Authority, Administrative Offices, 800 NW 33rd Street, Suite 100, Pompano Beach, Florida 33064.

CALL TO ORDER

MOMENT OF SILENCE

PLEDGE OF ALLEGIANCE

AGENDA APPROVAL – Additions, Deletions, Revisions

MATTERS BY THE PUBLIC – Persons wishing to address the Board are requested to complete an "Appearance Card" and will be limited to three (3) minutes. Please see the Minutes Clerk prior to the meeting.

CONSENT AGENDA

Those matters included under the Consent Agenda are self-explanatory and are not expected to require review or discussion. Items will be enacted by one motion in the form listed below. If discussion is desired by any Board Member, however, that item may be removed from the Consent Agenda and considered separately.

C1. MOTION TO APPROVE: Minutes of Governing Board's Regular Meeting January 28, 2011.

C2. MOTION TO APPROVE: The following business travel for the Governing Board and/or the Executive Director for calendar year 2011: Meeting with Insurance Underwriters (May): APTA Conferences: Legislative Conference (March), Commuter Rail/Rail Transit Conference (June), Annual Meeting (October); FPTA: Florida Public Transportation Association's Annual Conference (October).

C3. MOTION TO APPROVE: The following business travel for the General Counsel for calendar year 2011: APTA Conferences:Legal Affairs Seminar (February); Commuter Rail/Rail Transit Conference (June); Annual Meeting (October).

REGULAR AGENDA

Those matters included under the Regular Agenda differ from the Consent Agenda in that items will be voted on individually. In addition, presentations will be made on each motion, if so desired.

R1. MOTION TO APPROVE: Extension of Time to Joint Participation Agreement (JPA), FM #416399-1-94-01 Contract #APB13, between the South Florida Regional Transportation Authority (SFRTA) and the State of Florida Department of Transportation (FDOT), changing the expiration of the JPA from March 31, 2011 to March 31, 2012.

Department: Engineering
Project Manager: Michael Lulo
Department Director: Daniel R. Mazza, P.E.
Procurement Director: Chris Bross

R2. MOTION TO APPROVE: Joint Participation Agreement between the State of Florida Department of Transportation and the South Florida Regional Transportation Authority for Security and Marketing Costs Associated with SFRTA's Temporary Relocation to its Hialeah Market Station.

Department: Finance & I/T
Project Manager: Edward Woods
Department Director: Edward Woods
Procurement Director: Christopher Bross

R3. MOTION TO APPROVE: Resolution No. 11-02, expressing support for the Fort Lauderdale Streetcar, The WAVE; South Florida Regional Transportation Authority's (SFRTA's) intent to participate as Project Sponsor under the Federal Transit Administration's (FTA) Small Starts discretionary grant program; and SFRTA's intent to manage the design, vehicle procurement, and construction of said system.

Department: Planning & Capital Development
Project Manager: William L. Cross, P.E.
Department Director: Daniel R. Mazza P.E.
Procurement Director: Chris Bross

R4. MOTION TO APPROVE: Amendment No. 5 to Agreement No. 09-002, between the South Florida Regional Transportation Authority (SFRTA) and Booz Allen Hamilton, Inc (BAH) for technical and program support of the Automated Fare Collection System and Regional EASY Card Program, in the maximum not-to-exceed amount of $672,818.00, increasing the total not to exceed contract amount to $2,144,811.00.

Department: Executive
Project Manager: Renee Matthews
Department Director: Jack Stephens
Procurement Director: Christopher Bross

R5. MOTION TO APPROVE: Change Order No. 3 to Agreement No. 09-004 between the South Florida Regional Transportation Authority (SFRTA) and Cubic Transportation Systems, Inc. (Cubic) to (1) upgrade the software to allow the fare system to dispense a paper bus transfer ticket, (2) relocate or add station platform validators, and (3) procure additional revenue servicing components for the Automated Fare Collection System (AFCS), all in the maximum not-to-exceed amount of $XXX,XXX.

Department: Executive
Project Manager: Renee Matthews
Department Director: Jack Stephens
Procurement Director: Christopher Bross

R6. MOTION TO APPROVE: Agreement No. 10-005 between South Florida Regional Transportation Authority (SFRTA) and Brookville Equipment Corporation for the purchase of ten (10) new commuter rail locomotives in the amount of $44,788,000.00 and the option to procure up to an additional seventeen (17) locomotives for the unit price of $3,835,000.00 each.

Department: Engineering & Construction
Project Manager: Pat McCammon (BAH)
Department Director: Daniel R. Mazza, P.E.
Procurement Director: Christopher Bross

R7. MOTION TO APPROVE: FY2011 Federal Reauthorization and Appropriations Submissions.

Department: Executive
Project Manager: Vicki Wooldridge
Department Director: Joseph Giulietti
Procurement Director: N/A

R8. MOTION TO APPROVE:

A. South Florida Regional Transportation Authority's (SFRTA) State Legislative Plan for Fiscal Year 2010-11 and direct staff to work with the appropriate agencies and elected local and state representatives to implement the recommended initiatives.

B. Delegate authority to a person to be selected by the SFRTA Governing Board to make changes to the FY 2010-11 State Legislative Plan during the legislative session and to report any changes to the Board at each regularly-scheduled Board meeting.

Department: Executive
Project Manager: Vicki A. Wooldridge
Department Director: Joseph Giulietti
Contracts Director: N/A

R9. MOTION TO APPROVE: The Federally-Funded Subgrant Agreement No. 08DS-66-13-00-20 between the South Florida Regional Transportation Authority (SFRTA) and the State of Florida, Division of Emergency Management for financial assistance for Security Initiatives in the amount of $946,462.

Department: Finance and IT
Project Manager: Carla D. McKeever
Finance & IT Director: Edward T. Woods
Procurement Director: Christopher Bross

COMMITTEE REPORTS / MINUTES

Action not required, provided for information purposes only. If discussion is desired by any Board Member, however, that item may be considered separately.

A. PROPERTY TASK FORCE
B. CONSTRUCTION OVERSIGHT COMMITTEE
C. PLANNING TECHNICAL ADVISORY COMMITTEE
D. MARKETING COMMITTEE
E. OPERATIONS TECHNICAL COMMITTEE
F. CITIZENS ADVISORY COMMITTEE
G. AUDIT COMMITTEE
H. LEGISLATIVE COMMITTEE
I. ADVISORY COMMITTEE FOR PERSONS WITH DISABILITIES
J. LEGAL SERVICES COMMITTEE

MONTHLY REPORTS

Action not required, provided for information purposes only. If discussion is desired by any Board Member, however, that item may be considered separately.

A. ENGINEERING & CONSTRUCTION MONTHLY PROGRESS REPORTS – January

B. RIDERSHIP GRAPHS – January

C. ON-TIME PERFORMANCE GRAPHS – January

D. MARKETING MONTHLY SUMMARY – January

E. BUDGETED INCOME STATEMENT – January

F. PAYMENTS OVER $2,500.00 – January

G. REVENUE AND FARE EVASION REPORTS – January

H. SOLICITATION SCHEDULE – January

I. CONTRACT ACTIONS EXECUTED UNDER THE EXECUTIVE DIRECTOR'S AUTHORITY - January

J. CONTRACT ACTIONS EXECUTED UNDER THE CONSTRUCTION OVERSIGHT COMMITTEE – January

K. PROPERTY COMMITTEE – PROJECT SCHEDULE - Current

L. SECURITY REPORT – January

M. EXPIRING CONTRACTS REPORT - January

OTHER BUSINESS

EXECUTIVE DIRECTOR REPORTS/COMMENTS

LEGAL COUNSEL COMMENTS

CHAIR COMMENTS

BOARD MEMBER COMMENTS

ADJOURNMENT

In accordance with the Americans with Disabilities Act and Section 286.26, Florida Statutes, persons with disabilities needing special accommodation to participate in this proceeding, must at least 48 hours prior to the meeting, provide a written request directed to the Executive Office at 800 NW 33rd Street, Suite 100, Pompano Beach, Florida, or telephone (954) 942-RAIL (7245) for assistance; if hearing impaired, telephone (800) 273-7545 (TTY) for assistance.

Any person who decides to appeal any decision made by the Governing Board of the South Florida Regional Transportation Authority with respect to any matter considered at this meeting or hearing, will need a record of the proceedings, and that, for such purpose, he/she may need to ensure that a verbatim record of the proceedings is made, which record includes the testimony and evidence upon which the appeal is to be based.

Persons wishing to address the Board are requested to complete an “Appearance Card” and will be limited to three (3) minutes. Please see the Minutes Clerk prior to the meeting.

MINUTES
SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD REGULAR MEETING
OF JANUARY 28, 2011

The regular meeting of the South Florida Regional Transportation Authority Governing Board was held at 9:30 a.m. on Friday, January 28, 2011 in the South Florida Regional Transportation Authority Board Room, 800 Northwest 33rd Street, Suite 100, Pompano Beach, Florida 33064.

BOARD MEMBERS PRESENT:
Steven Abrams, Palm Beach County Commissioner
Bruno Barreiro, Chair, Miami-Dade County Commissioner
James A. Cummings, Citizen Representative, Broward County
Marie Horenburger, Citizen Representative, Palm Beach County
Kristin Jacobs, Vice Chair, Broward County Commissioner
Felix M. Lasarte, Citizens Representative, Miami-Dade County
George Morgan, Governor's Appointee
Gus Pego, Florida Department of Transportation, District VI
F. Martin Perry, Governor's Appointee

ALSO PRESENT:
Joseph Giulietti, Executive Director, SFRTA
Jack Stephens, Deputy Executive Director, SFRTA
Bonnie Arnold, Director of Marketing, SFRTA
Brad Barkman, Director of Operations, SFRTA
Chris Bross, Director of Procurement, SFRTA
Diane Hernandez Del Calvo, Director of Administration, SFRTA
Mary Jane Lear, Director of Human Resources, SFRTA
Renee Matthews, Director of Special Projects, SFRTA
Daniel Mazza, P.E., Director of Planning and Engineering, SFRTA
Teresa Moore, General Counsel, SFRTA
Jeffrey Olson, Staff Counsel, SFRTA
Edward Woods, Director of Finance & IT, SFRTA
Sandra Thompson, Executive Administrative Coordinator, SFRTA

CALL TO ORDER

The Chair called the meeting to order at 9:45 a.m.

ROLL CALL

PLEDGE OF ALLEGIANCE

MOMENT OF SILENCE

AGENDA APPROVAL

Mr. Joseph Giulietti requested the following additions and revision to the Agenda.

ADDITION

R6. MOTION TO APPROVE: Agreement between SFRTA and FDOT for the temporary relocation of SFRTA's Miami Airport Station. Exhibits 1 and 2 were added.

R8. MOTION TO APPROVE:

A. A Locally-Preferred Systems Alternative from the short list of alternatives currently being considered for implementing transit in the Florida East Coast (FEC) Railway corridor.

B. Resolution No. 11-01, expressing support for the South Florida East Coast Corridor (SFECC) Transit Analysis Study and a Locally-Preferred Systems Alternative.

REVISION

R8. Exhibit 1, Resolution 11-01, expressing support for the South Florida East Coast Corridor (SFECC) Transit Analysis Study and a Locally-Preferred Systems Alternative was revised.

Board Member Marie Horenburger moved for approval of the Agenda as revised. The motion was seconded by Board Member Gus Pego.

The Chair called for further discussion and/or opposition to the motion. Upon hearing none, the Chair declared the motion carried unanimously.

INFORMATION / PRESENTATION ITEMS

Action not required, provided for information purposes only. If discussion is desired by any Board Member, however, that item may be considered separately.

I-1 PRESENTATION - Fiscal Year 2009-10 Annual Audit Reports

Mr. Ronald Tompkins, Partner with TCBA Watson Rice LLP of Ft. Lauderdale, Florida addressed the Board. Mr. Tompkins gave an Audit Presentation on the Comprehensive Annual Financial Report (CAFR) for the fiscal year ended June 30, 2010. Mr. Tompkins introduced Ms. Rhea Rivera, Senior Associate who conducted the field work for this audit and was pleased to report no findings or exceptions in the audit. Mr. Tompkins stated that all Board Members received a copy of the audit and the Independent Auditors' Report under separate cover.

I-2 PRESENTATION - Ethics Workshop

Ms. Teresa Moore, General Counsel, SFRTA introduced the panelists for the workshop. Mr. Robert Meyers, Executive Director for the Miami-Dade Commission on Ethics and Public Trust; Ms. Jan Jacobowitz, Associate Director of the Center for Ethics Program at the University of Miami's School of Law; Ms. Annika Ashton, Assistant Broward county Attorney; and Mr. Alan Johnson, Executive Director for the Palm Beach County Commission on Ethics.

There was Board discussion.

Commissioner Jacobs requested a registration list of lobbyists for the Board.

I-3 INFORMATION – Planning Technical Advisory Committee (PTAC) Report.

DISCUSSION ITEMS

D-1 DISCUSSION – Board Room Renovations

Mr. Giulietti stated that Board Member Cummings has been given authority to sign off on the lease. At last discussion all parties were in agreement with the terms. The Board Room renovations have been priced out at over $60,000 and the landlord, Prologis has agreed to cover up to $55,000 budget. Any overage would be paid for by the Agency.

Board Member Morgan commented that the objective of this renovation was to increase the seating capacity for the public.

Board Member Cummings confirmed that the increase public seating needs would be met and stated that the potential to include other counties in the SFRTA system were considered for future growth.

CONSENT AGENDA

Those matters included under the Consent Agenda are self-explanatory and are not expected to require review or discussion. Items will be enacted by one motion in the form listed below. If discussion is desired by any Board Member, however, that item may be removed from the Consent Agenda and considered separately.

C1. MOTION TO APPROVE: Minutes of Governing Board's Regular Meeting December 10, 2010.

Board Member Marie Horenburger moved for approval of the Consent Agenda. The motion was seconded by Board Member Jim Cummings.

The Chair called for further discussion and/or opposition to the motion. Upon hearing none, the Chair declared the motion carried unanimously.

REGULAR AGENDA

Those matters included under the Regular Agenda differ from the Consent Agenda in that items will be voted on individually. In addition, presentations will be made on each motion, if so desired.

R1. MOTION TO APPROVE: Modification to Purchase Order No. 11-000061, between the South Florida Regional Transportation Authority (SFRTA) and RAIL TECH CONSULTANTS INC., in an amount not to exceed $24,500, for a total purchase order amount of $49,000, for the repairs of the existing Passenger Information System components at all SFRTA stations.

Board Member Marie Horenburger moved for approval. The motion was seconded by Board Member Felix Lasarte.

The Chair called for further discussion and/or opposition to the motion. Upon hearing none, the Chair declared the motion carried unanimously.

R2. MOTION TO APPROVE: The Sub-Recipient Agreement between the South Florida Regional Transportation Authority (SFRTA) and the City of Opa-locka (City).

Board Member Marie Horenburger moved for approval. The motion was seconded by Board Member Marty Perry.

The Chair called for further discussion and/or opposition to the motion. Upon hearing none, the Chair declared the motion carried unanimously.

R3. MOTION TO APPROVE: The Funding Agreement between the SFRTA and the City of Opa-locka for Community Shuttle Bus Transportation Services.

Board Member Marie Horenburger moved for approval. The motion was seconded by Board Member Marty Perry.

The Chair called for further discussion and/or opposition to the motion. Upon hearing none, the Chair declared the motion carried unanimously.

R4. MOTION TO APPROVE: Third Amendment to Agreement No. 08-004 to provide an additional not-to-exceed amount of $1,937,500 to the existing Limousines of South Florida contract to fund JARC bus demonstration routes and the City of Opa-locka Community Shuttle Bus Service. The proposed Amendment is attached to this report as Exhibit 1.

Board Member Marie Horenburger moved for approval. The motion was seconded by Board Member Marty Perry.

The Chair called for further discussion and/or opposition to the motion. Upon hearing none, the Chair declared the motion carried unanimously.

R5. MOTION TO APPROVE:

(1) Interlocal Agreement between the South Florida Regional Transportation Authority (SFRTA) and the City of Doral (City) where the SFRTA will be the recipient grantee of funds for the City to provide operating and capital funding for the new Doral Trolley routes; and
(2) Delegated Authority to the Executive Director to enter into the Grant Agreement with the Federal Transit Administration (FTA) and the Subrecipient Agreement with the City (both as referenced in the Interlocal Agreement)

Board Member George Morgan moved for approval of (1) and (2). The motion was seconded by Board Member Jim Cummings.

The Chair called for further discussion and/or opposition to the motion. Upon hearing none, the Chair declared the motion carried unanimously.

R6. MOTION TO APPROVE: Agreement between SFRTA and FDOT for the temporary relocation of SFRTA's Miami Airport Station.

Board Member Jim Cummings moved for approval. The motion was seconded by Board Member Marty Perry.

Board Member Morgan commented that this subject deserves more comments.

Commissioner Jacobs stated that this issue has been going back and forth. She stated her appreciation to the state for the cooperation efforts made to bring this issue to an agreement.

Board Member Cummings stated his appreciation for the professionalism of the staff for their part in bringing this to an agreement.

Ms. Moore summarized the key points of the agreement as shown in Exhibit 2.

Mr. Giulietti commented that this issue has gone back eight years. SFRTA has agreed to allow the use of SFRTA property and thanked FDOT for their cooperation to come to this point. He emphasized that this temporary relocation is for the public benefit purpose. To shorten the construction process by two years would also benefit the public and is good public policy.

Board Member Morgan commented that the Board agrees that this is an equitable solution and the SFRTA owes debt of gratitude to Secretary Gus Pego of FDOT District VI and Secretary Jim Wolfe of FDOT District IV and their staff for making this all happen.

The Chair called for further discussion and/or opposition to the motion. Upon hearing none, the Chair declared the motion carried unanimously.

R7.

A. MOTION TO RATIFY: the issuance of a purchase order for the purchase of EASY card and EASY ticket stock from Miami-Dade County for use in the fare collection equipment, in accordance with terms as defined in the Participation Agreement between the South Florida Regional Transportation Authority and Miami-Dade County, in the maximum not-to-exceed amount of $175,000.

B. MOTION TO APPROVE: delegation of authority to the Executive Director for the future acquisitions of EASY cards and EASY tickets from Miami Dade County to maintain ticket and card inventory in the maximum not-to-exceed amount of $200,000.

Board Member Jim Cummings moved for approval. The motion was seconded by Board Member Marty Perry.

The Chair called for further discussion and/or opposition to the motion. Upon hearing none, the Chair declared the motion carried unanimously.

R8. MOTION TO APPROVE:

A. A Locally-Preferred Systems Alternative from the short list of alternatives currently being considered for implementing transit in the Florida East Coast (FEC) Railway corridor.

B. Resolution No. 11-01, expressing support for the South Florida East Coast Corridor (SFECC) Transit Analysis Study and a Locally-Preferred Systems Alternative.

Commissioner Kristin Jacobs moved for approval. The motion was seconded by Board Member Marty Perry.

The Chair thanked FDOT for continuing to look at the alternatives.

The Chair called for further discussion and/or opposition to the motion. Upon hearing none, the Chair declared the motion carried unanimously.

COMMITTEE REPORTS / MINUTES

Action not required, provided for information purposes only. If discussion is desired by any Board Member, however, that item may be considered separately.

A. PROPERTY TASK FORCE
B. CONSTRUCTION OVERSIGHT COMMITTEE
C. PLANNING TECHNICAL ADVISORY COMMITTEE
D. MARKETING COMMITTEE
E. OPERATIONS TECHNICAL COMMITTEE
F. CITIZENS ADVISORY COMMITTEE
G. AUDIT COMMITTEE
H. LEGISLATIVE COMMITTEE
I. ADVISORY COMMITTEE FOR PERSONS WITH DISABILITIES
J. LEGAL SERVICES COMMITTEE

MONTHLY REPORTS

Action not required, provided for information purposes only. If discussion is desired by any Board Member, however, that item may be considered separately.

A. ENGINEERING & CONSTRUCTION MONTHLY PROGRESS REPORTS – January

B. RIDERSHIP GRAPHS – November / December

C. ON-TIME PERFORMANCE GRAPHS – November / December

Board Member Cummings commented on the 90.5% on-time performance. This has improved and continues to improve.

D. MARKETING MONTHLY SUMMARY – November / December

E. BUDGETED INCOME STATEMENT – November / December

F. PAYMENTS OVER $2,500.00 – November / December

G. REVENUE AND FARE EVASION REPORTS – November / December

H. SOLICITATION SCHEDULE – December

I. CONTRACT ACTIONS EXECUTED UNDER THE EXECUTIVE DIRECTOR'S AUTHORITY - December

J. CONTRACT ACTIONS EXECUTED UNDER THE CONSTRUCTION OVERSIGHT COMMITTEE – December

K. PROPERTY COMMITTEE – PROJECT SCHEDULE - Current

L. SECURITY REPORT – December

M. EXPIRING CONTRACTS REPORT - December

OTHER BUSINESS

EXECUTIVE DIRECTOR REPORTS/COMMENTS

Mr. Giulietti stated that he had received a letter from Senator JD Alexander and has met with the Chair in regards to the locomotive procurement. A company (GE) that did not bid on the procurement continues to try to influence decisions. The attorneys will address these issues of the procurement process, point to point and the Board will receive the response as it becomes available.

LEGAL COUNSEL COMMENTS

Ms. Moore commented that should the Board Members wish to take the assigned I-pad with them, they are required to complete a form and the box and charger is available.

CHAIR COMMENTS

BOARD MEMBER COMMENTS

ADJOURNMENT

There being no further business the meeting adjourned at 12:05 p.m.

Tracking No. 02251109

AGENDA ITEM NO. C2

SOUTH FLORIDA REGIONAL TRANSPORTATON AUTHORITY
GOVERNING BOARD MEETING: FEBRUARY 25, 2011

AGENDA ITEM REPORT

☒ Consent ☐ Regular

BUSINESS TRAVEL

REQUESTED ACTION:

MOTION TO APPROVE: The following business travel for the Governing Board and/or the Executive Director for calendar year 2011:

Meeting with Insurance Underwriters (May) – Location TBD

APTA Conferences: Legislative Conference (March)
Commuter Rail/Rail Transit Conference (June)
Annual Meeting (October)

FPTA: Florida Public Transportation Association's Annual Conference (October)

SUMMARY EXPLANATION AND BACKGROUND:

This travel will provide an opportunity for public transportation board members and management to attend educational seminars and workshops, as well as meet with similar personnel from other organizations to share information, discuss common concerns, and keep abreast of the latest developments in transportation.

Department:	Executive	Department Director:	Joseph Giulietti
Project Manager:	N/A	Procurement Director:	N/A

FISCAL IMPACT: Funding for these travel expenses will be available in the FY 2011-12 Operating Budget Travel Line Item.

EXHIBITS ATTACHED: None

BUSINESS TRAVEL

Recommended by: _____
Department Director Date

Approved by: _____
Procurement Director Date

Authorized by: _____ 2-16-11
Executive Director Date

Approved as to Form by: _____
General Counsel Date

Board Action:

Approved: _____Yes _____No

Vote: _____ Unanimous

Amended Motion:

Commissioner Steven Abrams	_____Yes _____No		
Commissioner Bruno Barreiro	_____Yes _____No	Felix Lasarte	_____Yes _____No
James A. Cummings	_____Yes _____No	George Morgan	_____Yes _____No
Marie Horenburger	_____Yes _____No	Gus Pego, P.E.	_____Yes _____No
Commissioner Kristin Jacobs	_____Yes _____No	F. Martin Perry	_____Yes _____No

Tracking No. 02251110 AGENDA ITEM NO. C3

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: FEBRUARY 25, 2011

AGENDA ITEM REPORT

☒ Consent ☐ Regular

BUSINESS TRAVEL

REQUESTED ACTION:

MOTION TO APPROVE: The following business travel for the General Counsel for calendar year 2011:

APTA Conferences: Legal Affairs Seminar (February)
Commuter Rail/Rail Transit Conference (June)
Annual Meeting (October)

SUMMARY EXPLANATION AND BACKGROUND:

This travel will provide an opportunity for the General Counsel to attend educational seminars and workshops, as well as meet with similar personnel from other organizations to share information, discuss common concerns, and keep abreast of the latest legal issues that affect transportation and SFRTA.

Department: Legal Department Director: Teresa Moore

Project Manager: N/A Procurement Director: N/A

FISCAL IMPACT: Funding for these travel expenses will be available in the FY 2011-12 Legal Budget Travel Line Item.

EXHIBITS ATTACHED: None

AGENDA ITEM NO. C3

BUSINESS TRAVEL

Recommended by: _____ Approved by: _____
Department Director Date Procurement Director Date

Authorized by: _____ Approved as to Form by: _____ 2-18-11
Executive Director Date General Counsel Date

Board Action:

Approved: _____Yes _____No

Vote: _____ Unanimous

Amended Motion:

Commissioner Steven Abrams	_____Yes _____No		
Commissioner Bruno Barreiro	_____Yes _____No	Felix Lasarte	_____Yes _____No
James A. Cummings	_____Yes _____No	George Morgan	_____Yes _____No
Marie Horenburger	_____Yes _____No	Gus Pego, P.E.	_____Yes _____No
Commissioner Kristin Jacobs	_____Yes _____No	F. Martin Perry	_____Yes _____No

Tracking No. 02251111 AGENDA ITEM NO. R1

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: FEBRUARY 25, 2011

AGENDA ITEM REPORT

☐ Consent ☒ Regular

EXTENSION OF TIME TO JOINT PARTICIPATION AGREEMENT
FM #416399-1-94-01 CONTRACT # APB13 WITH THE STATE OF FLORIDA
DEPARTMENT OF TRANSPORTATION FOR CONSTRUCTION IMPROVEMENTS
AT 79TH STREET TRI-RAIL/METRORAIL STATION

REQUESTED ACTION:

MOTION TO APPROVE: Extension of Time to Joint Participation Agreement (JPA), FM #416399-1-94-01 Contract #APB13, between the South Florida Regional Transportation Authority (SFRTA) and the State of Florida Department of Transportation (FDOT), changing the expiration of the JPA from March 31, 2011 to March 31, 2012.

SUMMARY EXPLANATION AND BACKGROUND:

On December 18, 2008, the SFRTA Governing Board approved a JPA between SFRTA and FDOT for $1,933,602.00 of State funds, to help fund the anticipated construction expenses of approximately $4.5 million associated with the proposed patron access/mobility improvements at the 79th Street Tri-Rail/Metrorail Transfer Station.

As part of SFRTA's double tracking project, a new northbound platform was added to the 79th Street Metrorail Transfer Station to accommodate the double tracking. In order to improve vertical and horizontal traffic movement between the two major modes of transit (Tri-Rail and Metrorail), additional station enhancements consisting of an elevator and stairway are required to provide direct access between the existing northbound Metrorail platform and the new northbound Tri-Rail platform. The proposed improvements will decrease travel time, increase reliability, facilitate access, and improve ADA accessibility.

Staff is requesting Board approval of the Extension of Time to the JPA (Exhibit 1) until March 31, 2012 to secure the $1,933,602.00 in State funds currently available to partially fund construction of improvements to the 79th Street Tri-Rail/Metrorail Transfer Station.

Department: Engineering
Project Manager: Michael Lulo

Department Director: Daniel R. Mazza, P.E.
Procurement Director: Chris Bross

FISCAL IMPACT: N/A

EXHIBITS ATTACHED: Exhibit 1 - Extension of Time to Joint Participation Agreement

AGENDA ITEM NO. R1

EXTENSION OF TIME TO JOINT PARTICIPATION AGREEMENT
FM #416399-1-94-01 CONTRACT # APB13 WITH THE STATE OF FLORIDA
DEPARTMENT OF TRANSPORTATION FOR CONSTRUCTION IMPROVEMENTS
AT 79TH STREET TRI-RAIL/METRORAIL STATION

Recommended by: _____ 2/18/11
Department Director Date

Approved by: _____ 2/18/11
Contracts Director Date

Authorized by: _____ 2/18/11
Executive Director Date

Approved as to Form by: _____ 2-18-11
General Counsel Date

Board Action:

Approved: _____Yes _____No

Vote: _____ Unanimous

Amended Motion:

Commissioner Steven Abrams	____Yes ____ No	Felix M. Lasarte	_____ Yes ____ No
Commissioner Bruno Barreiro	____Yes ____No	George A. Morgan, Jr.	_____Yes _____No
James A. Cummings	____Yes ____No	Gus Pego, P.E.	_____Yes _____No
Marie Horenburger	____Yes ____No	F. Martin Perry	_____Yes _____No
Commissioner Kristin Jacobs	____Yes _____No		

EXHIBIT 1

Florida Department of Transportation

CHARLIE CRIST
GOVERNOR

1000 NW 111th Avenue
Miami, Florida 33172

STEPHANIE C. KOPELOUSOS
SECRETARY

JAN 04 REC'D

DATE: December 29, 2010

TO: Mr. William L. Cross, PE, Manager
SFRTA- Planning & Engineering
800 NW 33rd Street, Suite 100
Pompano Beach, FL 33064

FROM: L. Carl Filer, PE, District Six Public Transportation Manager

SUBJECT: EXTENSION OF TIME – Joint Participation Agreement
FM No.:**416399-1-94-01** Contract No.: APB 13
FM No.:422422-1-94-01
FM No.:418742-1-57-01
(Pedestrian Overpass @ 79th Street Tri-Rail/Metrorail Transfer Station)

The time allotted for the completion of services on subject agreement dated December 31, 2008 and any supplemental thereto will expire on **MARCH 31, 2011.**

In accordance with Section 18.00 of the Joint Participation Agreement, you may request a time extension. For your convenience, you may complete, sign, date and return this form to me.

It is requested that the Joint Participation Agreement for the subject project be extended until _**MARCH 31, 2012**_____ for the following reasons (s): (Use attachment if necessary)

Agency: ____SFRTA_____

By:/s/ _____
(Agency Head or Representative)

Name: _____
(Type or Printed)

Title: _____

Date: _____

APPROVED: *Florida Department of Transportation*

_____
Harold Desdunes, PE.
District Six Director of Transportation Development

Date: _____

Tracking No. 02251112 AGENDA ITEM NO. R2

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: FEBRUARY 25, 2011

AGENDA ITEM REPORT

☐ Consent ☒ Regular

JOINT PARTICIPATION AGREEMENT BETWEEN FDOT AND SFRTA FOR SECURITY AND MARKETING COSTS ASSOCIATED WITH TEMPORARY RELOCATION OF THE HIALEAH MARKET STATION

REQUESTED ACTION:

MOTION TO APPROVE: Joint Participation Agreement between the State of Florida Department of Transportation and the South Florida Regional Transportation Authority for Security and Marketing Costs Associated with SFRTA's Temporary Relocation to its Hialeah Market Station.

SUMMARY EXPLANATION AND BACKGROUND:

The Joint Participation Agreement ("JPA") from FDOT funds SFRTA's costs of marketing and security at the Hialeah Market Station during SFRTA's temporary relocation of its Miami Airport Station (see Exhibit 1). FDOT agreed to pay these costs in the MIC Temporary Relocation Agreement approved by the Governing Board at its January 28, 2011 meeting. The estimated two year costs of these items are provided on Exhibit B (Financial Summary) to the JPA. The marketing costs were originally estimated to be approximately $118,000, which included approximately $10,000 for the opening of the Central Station. After further review with FDOT, it was determined that this was duplicative since they already have a consultant that will be providing the same services for the opening. The marketing cost estimate was amended, accordingly to the $107, 910.00 shown in the JPA.

Department: Finance & I/T
Project Manager: Edward Woods

Department Director: Edward Woods
Procurement Director: Christopher Bross

FISCAL IMPACT:

EXHIBITS ATTACHED: Exhibit 1: JPA for Security and Marketing Costs Associated with SFRTA's Temporary Relocation to its Hialeah Market Station.
(To be provided under separate cover)

AGENDA ITEM NO. R2

JOINT PARTICIPATION AGREEMENT BETWEEN FDOT AND SFRTA FOR SECURITY AND MARKETING COSTS ASSOCIATED WITH TEMPORARY RELOCATION OF THE HIALEAH MARKET STATION

Recommended by: E T Woods 2-18-11
Department Director Date

Approved by: 2/18/11
Contracts Director Date

Authorized by: 2/18/11
Executive Director Date

Approved as to Form by: 2-18-11
General Counsel Date

Board Action:

Approved: _____Yes _____No

Vote: _____ Unanimous

Amended Motion:

Commissioner Steven L. Abrams	____Yes ____ No	Felix M. Lasarte	_____ Yes ____ No
Commissioner Bruno Barreiro	____Yes ____No	George A. Morgan, Jr.	_____Yes _____No
James A. Cummings	____Yes ____No	Gus Pego, P.E.	_____Yes _____No
Marie Horenburger	____Yes ____No	F. Martin Perry	_____Yes _____No
Commissioner Kristin Jacobs	____Yes ____No		

Tracking No. 02251113 AGENDA ITEM NO. R3

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: FEBRUARY 25, 2011

AGENDA ITEM REPORT

☐ Consent ☒ Regular

FORT LAUDERDALE STREETCAR, THE WAVE

REQUESTED ACTION:

MOTION TO APPROVE: Resolution No. 11-02, expressing support for the Fort Lauderdale Streetcar, The WAVE; South Florida Regional Transportation Authority's (SFRTA's) intent to participate as Project Sponsor under the Federal Transit Administration's (FTA) Small Starts discretionary grant program; and SFRTA's intent to manage the design, vehicle procurement, and construction of said system.

SUMMARY EXPLANATION AND BACKGROUND:

The Fort Lauderdale Downtown Development Authority (DDA) is working with the City of Fort Lauderdale, Florida Department of Transportation (FDOT), Broward MPO, Broward County, and SFRTA (the "Partners") to develop a Fort Lauderdale streetcar, known as "The WAVE." The specific route is shown in Exhibits 1 and 2. The WAVE is a 2.7-mile modern streetcar system that will serve the highest concentration of activity-generating uses in the Fort Lauderdale Downtown area, including Flagler Village, the Downtown Core, Southside Neighborhood, and the North Broward Hospital District (See Exhibits 3 and 4). The WAVE will not only enhance mobility between key destinations, but is expected to spur economic development along the corridor. The WAVE is seen by many of the Partners as the lynchpin project to implementation of future regional rail projects.

(Continued on Page 2)

Department: Planning & Capital Development
Project Manager: William L. Cross, P.E.

Department Director: Daniel R. Mazza P.E.
Procurement Director: Chris Bross

FISCAL IMPACT: None

EXHIBITS ATTACHED:
Exhibit 1- WAVE Regional Context
Exhibit 2- WAVE Streetcar Alignment (replace w/ new version)
Exhibit 3- Downtown Fort Lauderdale
Exhibit 4- Downtown Streetcar Influence Zone
Exhibit 5- Making the Case Report
Exhibit 6- Small Starts Fact Sheet
Exhibit 7- Resolution No. 11-02

DOWNTOWN FORT LAUDERDALE STREETCAR, THE WAVE

SUMMARY EXPLANATION AND BACKGROUND: (Continued)

A recent report, "Making the Case," prepared on October 28, 2010 (see Exhibit 5), is an excellent summary and overview of the proposed WAVE Streetcar.

Perhaps most critical to the SFRTA, the WAVE could become the first phase of a streetcar system that ultimately connects the Broward Boulevard Tri-Rail Station into Downtown Fort Lauderdale and then circulates people to their ultimate destination. This connection has been identified in SFRTA's Strategic Regional Transit Plan and Transit Development Plan, adopted by the Board in June, 2010, as SFRTA's highest Broward County priority. Exhibit 1 shows the WAVE's regional context and future premium transit connection to Tri-Rail.

The WAVE is anticipated to progress through the FTA "Small Starts" program, a competitive national funding program for projects requesting up to $75 million of Federal Section 5309 funding (See Exhibit 6). The FTA process requires the identification of a Project Sponsor, who will act as the key point of contact with FTA and the project manager throughout the planning, design and construction of the project. The Project Sponsor is often the ultimate operator of the system. For example, SFRTA was the Project Sponsor for the South Florida Rail Corridor Double Tracking Full Funding Grant Agreement with FTA.

The DDA retained the services of professional consulting firms that specialize in transit and the FTA Small Starts process to move the project from concept through planning. The DDA and their consultants are ready to finalize their submittal to the FTA for review and approval. Two key elements of the FTA submittal are finance (both capital and long-term operating and maintenance) and governance of the transit system.

The DDA has a solid capital funding plan in place with both FDOT and City of Ft. Lauderdale commitments place. Capital funding is anticipated as 60% Federal, 20% State 20% local match, pending FTA approval. The FTA also requires a 20-year operating and maintenance (O&M) funding plan for the Small Starts program, just as they did for SFRTA's Double Track grant. The DDA has obtained commitments from the Broward County Commission, on two separate occasions, to fund the WAVE up to $2.5 million dollars annually.

Governance is made up of a few key elements including: Owner; Project Sponsor and Developer; and Operator. The Partners have held a series of meetings to discuss governance and were provided the results of the consultant team's research on national "best practices," Partner strengths and likely impact on a favorable FTA review. The consensus of the Partners is that Broward County should own and operate the WAVE, while SFRTA will be the FTA Project Sponsor and Developer.

DOWNTOWN FORT LAUDERDALE STREETCAR, THE WAVE

SUMMARY EXPLANATION AND BACKGROUND: (Continued)

SFRTA was chosen as project sponsor largely based upon the agency's previous experience with an FTA grant (Double Tracking Full Funding Grant Agreement). This previous experience is anticipated to be viewed favorably by FTA staff. Agency experience in rail car procurement and rail construction should also strengthen the viability of the WAVE in FTA's eyes. SFRTA costs associated with this role are generally eligible reimbursable costs under FTA grants.

The DDA hopes to submit its WAVE Small Starts application to FTA by April 2011.

The DDA and its consultant will be available to provide the Board a brief presentation, project status update and/or answer questions.

AGENDA ITEM NO. R3

DOWNTOWN FORT LAUDERDALE STREETCAR, THE WAVE

Recommended by: _____ 2/8/11
Department Director Date

Approved by: _____ 2/18/11
Contracts Director Date

Authorized by: _____ 2/8/11
Executive Director Date

Approved as to Form by: _____ 2-18-11
General Counsel Date

Board Action:

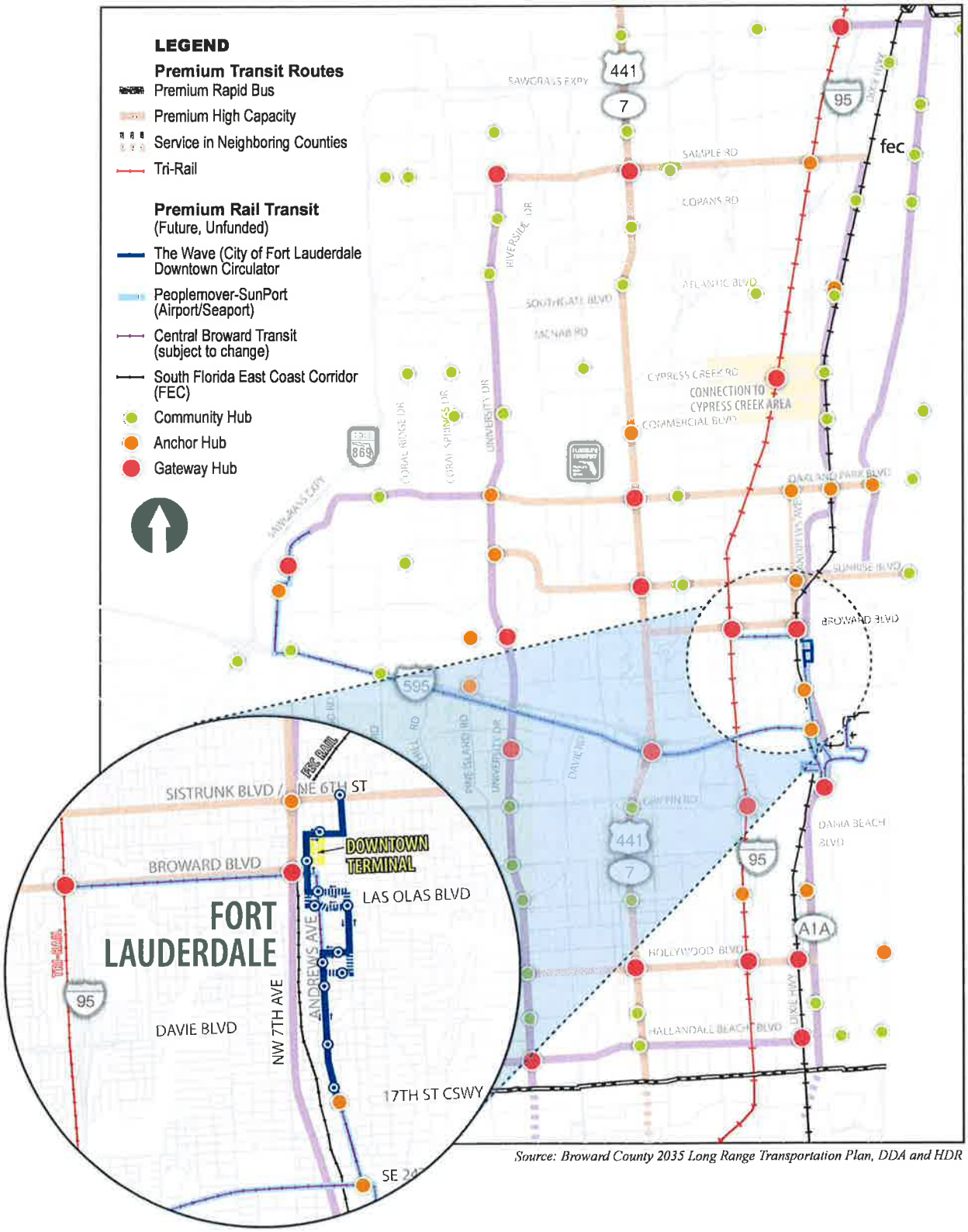
Approved: _____Yes _____No

Vote: _____ Unanimous

Amended Motion:

Commissioner Steven L. Abrams	_____Yes _____ No	Felix M. Lasarte	_____Yes _____No
Commissioner Bruno Barreiro	_____Yes _____No	George A. Morgan, Jr.	_____ Yes _____ No
James A. Cummings	_____Yes _____No	Gus Pego, P.E.	_____Yes _____No
Marie Horenburger	_____Yes _____No	F. Martin Perry	_____Yes _____No
Commissioner Kristin Jacobs	_____Yes _____No		

WAVE Regional Context



Source: Broward County 2035 Long Range Transportation Plan, DDA and HDR

Exhibit 2

WAVE ALIGNMENT

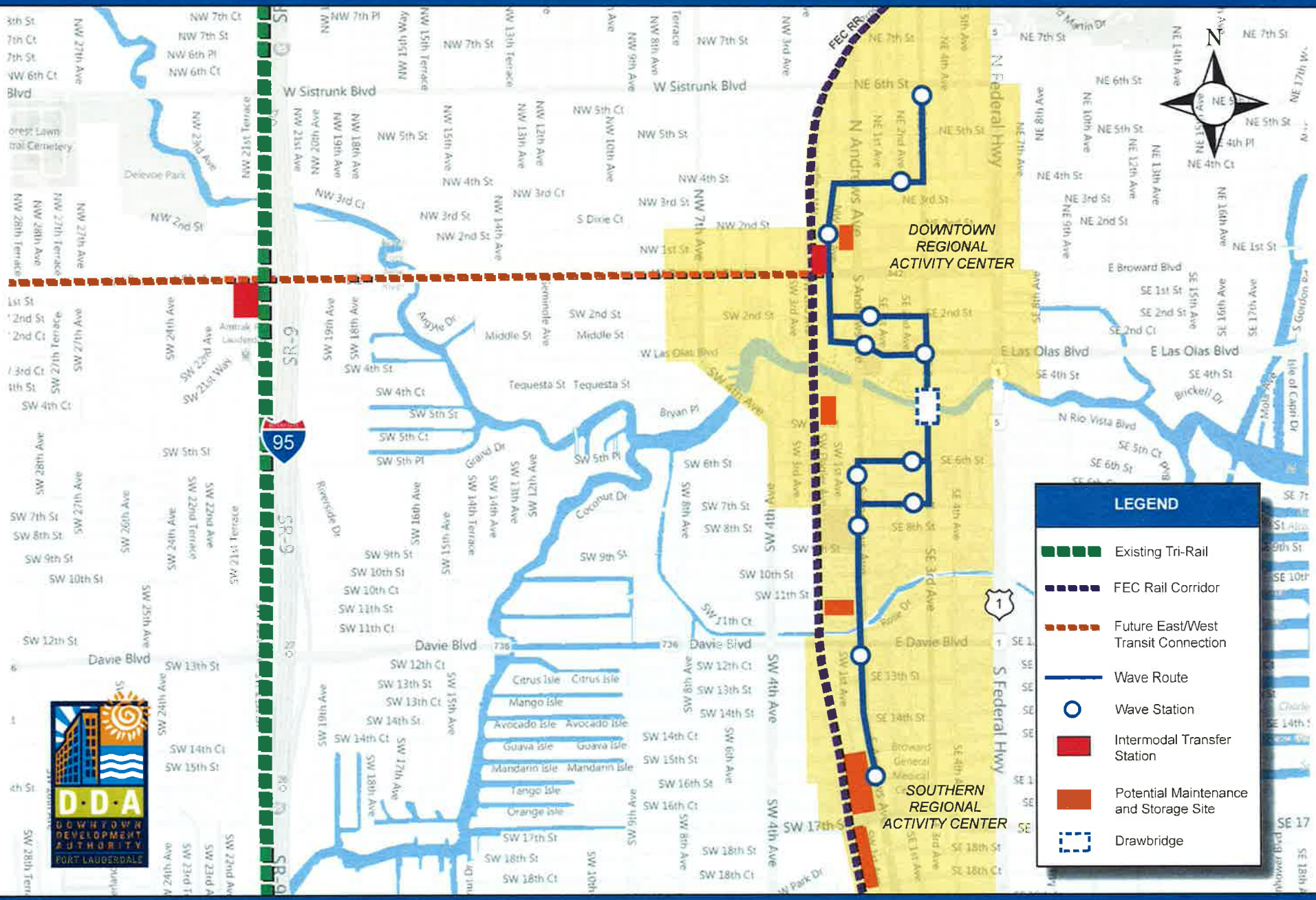


Exhibit 3

DOWNTOWN TRANSIT CORRIDOR PROGRAM

Aerial View of the Downtown Core Section of the Streetcar Influence Zone (SIZ)

Exhibit 5

MAKING THE CASE REPORT

DOWNTOWN TRANSIT CIRCULATOR PROJECT

Prepared for:

Fort Lauderdale Downtown Development Authority

OCTOBER 28, 2010

HDR

1.0 PROJECT DESCRIPTION

The proposed Downtown Fort Lauderdale Circulator is a 2.7-mile modern streetcar system commonly referred to as "The WAVE Streetcar." The project area contains a high-rise core flanked by mixed-use, near-Downtown neighborhoods, generally bounded by Federal Highway (US 1) on the east, SE 17th Street on the south, the Florida East Coast Railway (FEC) NW 7th Avenue on the west, and the FEC/ Sunrise Boulevard to the north.

The WAVE Streetcar will serve the area of densest development in Fort Lauderdale, and will act as a spine running through the highest concentration of activity-generating uses Downtown, including Flagler Village, the Downtown Core, Southside Neighborhood, and the North Broward Hospital District. The WAVE Streetcar will also help forge connections between Downtown Fort Lauderdale's newest areas of transit-oriented development and existing neighborhoods that are targeted for revitalization and in-fill development programs.

2.0 SETTING

The WAVE Streetcar is a project borne from a transit-supportive environment wherein local and regional planning practice, policies, and land use development trends have paved the way for implementation of an effective, land use-supportive local area transit circulator for Downtown Fort Lauderdale.

Several adopted plans contain policies, goals and objectives that support implementation of premium rail transit as a way to encourage more compact and sustainable development patterns, and create safer, cleaner more livable communities across Broward County.

In the early part of the decade, the adoption of several planning documents laid the groundwork for a local-area transit circulator, beginning with the 2003 City of Fort Lauderdale Comprehensive Plan, which encouraged transit-oriented development in the downtown core. A key provision of the Comprehensive Plan is the large amount of land downtown that is zoned for mixed-use development without height limits or parking requirements for the area.

Adoption of the Broward MPO 2035 Long-Range Transportation Plan (LRTP) in December 2009 was a watershed event that announced a new transportation future with transit at its core. This Plan highlights the distinct social, political and economic benefits in encouraging multi-modal travel and the development of diverse and geographically dispersed *Mobility Hubs*. The Plan's vision is to "*Transform transportation in Broward County to achieve optimum mobility with emphasis on mass transit while promoting economic vitality, protecting the environment, and enhancing quality of life*".

The 2035 LRTP encourages *Mobility Hubs* as high-density places where readily-available and high-quality transit service intersects with dense mixed-use development as well as bicycle and pedestrian-friendly urban design. *Mobility Hubs* are seen as a logical, incremental approach to creating more sustainable, cost-efficient, compact, transit-oriented "urbanized" nodes throughout Broward County.

A *Mobility Hub* is planned at the northern end of The WAVE Streetcar, which connects with the FEC corridor and the Downtown Bus Terminal. The southern end of The WAVE Streetcar connects to another *Mobility Hub*, the Broward General Hospital. Another significant *Mobility Hub* is located less than three miles west, where Tri-Rail connects with Broward Boulevard. The bridge between these two *Gateway* hubs forms a central spine of the future Central Broward East-West Transit project.

The community expressed strong preference for promotion of transit over roadway, and for public investment in construction of transit-supportive bicycle and pedestrian facilities.

The SFRTA's September 2008 Strategic Regional Transit Plan for South Florida speaks to the realization of a "bold vision" for transit and mobility, to promote economic development and quality of life improvements. This plan also presents findings that demonstrate how transit supportive land use in future years can have a positive impact on transit ridership and operating costs in South Florida.

The Broward County Transit Development Plan 2009 – 2018 calls for the creation of clean, safe and reliable transit service that is "responsive to changing needs and focusing on customer service as our highest priority". Some of the supporting goals and objectives are designed to encourage environmental sustainability, increase ridership, improve "image" and heighten "awareness", enhance economic development and quality of life considerations through "Intergovernmental and Regional Transportation Coordination".

As part of this local desire to encourage transit–supportive development, a 2004 mobility study identified the need for enhanced pedestrian movements in the core and led to the pursuit of federal approval for the WAVE Streetcar. In subsequent years, a host of more detailed neighborhood plans and policies furthered the City vision for a Downtown that is mixed-use, pedestrian-friendly, transit-rich, and well-designed. Over the last decade, growth in Downtown Fort Lauderdale has continued to trend towards mixed-use, higher-density development, as a slate of new residential projects broke ground alongside major institutional, office and commercial uses. Both the City and the County are large landowners in the project area and both began planning ways to redevelop their land holdings in the core, to make way for a more environmentally and economically sustainable land use framework.

In 2008, the Alternatives Analysis study produced what is termed a recommendation for a Locally Preferred Alternative (LPA) for the WAVE Streetcar. The LPA was endorsed by Broward County and the Broward MPO, Florida Department of Transportation, the City of Fort Lauderdale, and the Downtown Development Authority (DDA). Broward County committed to be the owner and operator of the system and the City of Fort Lauderdale pledged a capital contribution of $10.5 million, with plans for a local City assessment to raise the remaining local share.

Firm plans for the WAVE Streetcar cemented Downtown Fort Lauderdale as the area of choice for new development; local agencies, business groups, homeowners' associations and advocacy organizations issued letters of support for a streetcar system that would serve as the transit centerpiece for Downtown, improving immediate and regional connectivity, supporting increased density and growth, spurring mixed-used development, and anchoring sustained economic growth.

3.0 PURPOSE OF THE PROPOSED PROJECT

The purpose of the WAVE Streetcar is to create a livable community by integrating land use with economic development and mobility, while being environmentally sustainable. The WAVE Streetcar will:

- Improve access to and circulation within the core by improving the connectivity between major activity centers and major destinations.
- Establish an efficient permanent circulator that will provide connectivity to planned regional rail and rapid bus projects that will serve the Downtown.

- Be consistent with the themes of specific importance in the context of the HUD/EPA/FTA "Livable Communities" initiative criteria, such as the need to develop a more sustainable community;
- Develop a transportation system able to support the upward growth trends in residential and commercial density Downtown;
- Develop a transportation system able to stimulate future increases in residential and commercial density Downtown, as forecast in land use plans;
- Support land use incentives to increase sustainable economic investment;
- Focus growth in the urban core, revitalize public investments, and encourage additional private investment;
- Improve mobility and meet increased capacity in the project area through an alternative to the automobile;
- Increase transportation options, especially to transit-dependent populations, by increasing transit services in the Downtown.

4.0 CURRENT CONDITIONS IN THE CORRIDOR

Fort Lauderdale's concerted effort to develop its central area over the past 15 years via transit supportive, high-density and mixed-use land use plans, policies and zoning has increasingly helped shape the Downtown as an attraction center for people, businesses, and events. The streetcar corridor is approximately 1/2 mile wide and 3 miles long. The Streetcar Influence Zone (SIZ) includes over 6,200 residential units and in excess of 5 million square feet of commercial development. The 2010 SIZ area population is 11,000 persons; employment is in excess of 30,000. A total of 80,000 work trips are made to the downtown daily. Based on 7,880 daily transit boardings, the study area transit share is 2.4 percent.

In the last ten years, the downtown has added 4,300 new housing units at transit-supportive densities, many with ground-floor retail and commercial uses. This represents more than a tripling of the downtown housing stock. Recent residential projects have been built to 30 story heights and at densities of 150 units/acre. Nearly 75 percent of the project area consists of land designated for development, or redevelopment in a transit oriented high density mixed use form. A high percentage of land is either vacant or considered highly desirable for redevelopment given the high density capacity of local zoning. The capacity of the developable sites under existing zoning is sufficient to accommodate up to 18,000 additional units and 10,000,000 more square feet of non-residential development, assuming densities and allocations between residential and non-residential uses that are similar to what have occurred over the past ten years. Virtually all of this developable land is served by city streets on a normal rectangular small block scale street grid, with utilities available, that is conducive to pedestrian movement.

From 2000 – 2006, travel speeds during the a.m. peak have decreased by 25 percent, from 20 miles per hour (mph) to 15 mph. Today the major north/south and east/west streets operate at a level of service (LOS) of E or F during the a.m. peak hour, with the highest volumes and slowest travel speeds at NE 3rd Avenue and Broward Boulevard. The traffic analysis shows a steady decline in travel times that will reduce the capacity of the street system to a point were it can only accommodate 65 percent of the projected demand by 2025.

Issues contributing to the traffic and mobility issues include limited north/south streets. Both

Andrews and 3rd Avenues cross the New River on drawbridges that must open to accommodate river traffic. Other factors include: the I-95 ramps north of the project area that back up on to Broward Boulevard during the p.m. peak period; no grade-separated streets over or under the FEC alignment, where 24 two-mile long freight trains pass daily; the peak period traffic, estimated at 25 percent of the peak volume, that passes through Fort Lauderdale headed north in the morning and south in the evening; and the abundance of inexpensive parking that continues to attract drivers and encourage automobile usage, despite the lack of minimum parking standards in land use regulations.

Existing transit users are battling current conditions as well. Over 70 percent of existing Broward County Transit (BCT) transit riders are transit-dependent and many are economically disadvantaged. Approximately 36 percent are minorities and 22 percent live below the poverty level. BCT serves 410 square miles of Broward County, with 260 buses on 15- to 30-minute weekday headways. Routes 1, 11, 30, 40 and 60, which primarily affect the project area, operate on 20- to 30- minute headways. A transfer from one of these routes to another Downtown route is necessary to access many of the activity centers.

Currently, there are five intermodal connections between the BCT buses and Downtown. They include the Amtrak/Tri-Rail facility; the Greyhound bus facility; Fort Lauderdale Executive Airport; Fort Lauderdale International Airport; and Port Everglades. In the future, these facilities will depend on the WAVE Streetcar for Downtown circulation and potentially three new regional transit services: The proposed Broward East/West Light Rail System and the proposed Florida East Coast (FEC) Railroad Corridor high capacity system, and the Central Broward East-West Transit project. These services will connect to the WAVE Streetcar at the future Grand Terminal, which will be constructed near an existing BCT Transfer Facility. The riders that could be attributed to the WAVE Streetcar as transfers from these new services are not included in the ridership forecast because they come online after the 2014 service date for the DTC.

Based on a 2006 on-board survey performed on all of the routes serving Downtown Fort-Lauderdale, approximately 5,700 riders start and/or complete their trips within the study area. Sixty-four percent of the transit riders walk to the transit route and 27 percent are transfers from other transit service or routes. Most of the riders (42 percent) use the system from their home to their place of employment and almost an equal amount (38 percent) use transit from home to a location other than work. Close to half of the riders depend on transit as their mode of travel since 46 percent of the trips are made by riders living in zero-car households. Thirty percent of the riders are from a one-car household, while 24 percent are from a 1+-car household.

5.0 Anticipated No-Build Conditions

Between 2000 and 2030, households and population in the study area are projected to increase by approximately 470 percent. Between 2012 and 2030, households and population are expected to almost double, with increases of about 95 percent, according to data and projections obtained from the Southeast Florida Regional Planning Model (SERPM). Project area employment is projected to increase by more moderate percentages: between 2000 and 2012, employment is expected to grow by almost 5 percent, and between 2012 and 2030, by approximately 7 percent.

By 2013, morning peak period delays for those traveling by automobile with an average speed of 11 miles per hour will be 35 percent higher than today. Most major streets will operate at LOS E or F with the highest volumes at N.E. 3rd Avenue and Broward Boulevard. The average auto

speeds will be reduced by 5 miles per hour in 2030 and the roadway network will only be able to accommodate approximately 65 percent of the projected demand.

6.0 Merits of the proposed project

The WAVE Streetcar will help to:

Spur economic development
As seen in other systems, the WAVE Streetcar is anticipated to create new job opportunities with the development and construction of the system, as well as permanent operating jobs. In addition, it is anticipated to bring new housing, retail and commercial developments providing huge economic return to the region including new tax base.

Improve mobility
The WAVE Streetcar will enhance mobility within the City of Fort Lauderdale by providing a streetcar rail option. The capacity of the streetcars will accommodate higher numbers of people than single occupant cars. In 2014, the WAVE Streetcar is projected to generate 4,000 riders per day and 1.2 million riders annually. Approximately 1,670 or 42 percent of total daily riders will be new riders. By 2030, ridership will increase to 10,000 daily riders or 3.1 million annual riders. The system will save almost 1.4 million hours in travel time annually. Based on previous industry experience and studies, an increase of 5,000 residential units in the RAC could reduce the average vehicle miles of travel (VMT) in the study area by 17 million miles annually, or 76.8 million miles annually for the proposed 22,600 new residential units in the study area in 2030. Transit ridership in the study area is projected to increase from 2.4 percent of daily work trips to almost 10.0 percent by 2030.

Facilitate access to and within the core by improving connectivity
The WAVE Streetcar will greatly improve local area circulation within the project area, and facilitate connectivity among established activity centers and growing neighborhoods. Today nearly 80,000 people travel to from Fort Lauderdale for employment purposes. Future travel volumes in 2013 are estimated at nearly 100,000 person trips for employment purposes. Currently only 2.4 percent utilize public transit. With implementation of the WAVE Streetcar, the transit share will double to 5 percent by 2014 and reach almost 10 percent of the projected 120,000 work trips. Transit service from the region to Downtown Fort Lauderdale is currently provided by numerous routes operating at 15- to 60-minute headways. These routes force a transfer at the Broward County Transit Terminal to reach most of the major employment centers in Downtown Fort Lauderdale. While a transfer will still be required when the system is in place, the proposed streetcar would provide faster service (7.5-minute headways) and direct access to areas not served currently, such as the Downtown Fort Lauderdale core, Colleges and Universities, and the Broward General Medical Center at the southern tip of the study area. The proposed improvements will address the current service gaps, provide seamless connection between the northern and southern sections of Downtown, and increase frequency of service.

Encourage sustainable neighborhood revitalization
Increasing local employment opportunities will benefit the project area's existing residents in addition to its future ones, providing equitable benefits and contributing toward a more economically sound community overall. The northern end of the project area is home to older, established neighborhoods in the Sistrunk/Flagler Village Community Redevelopment Area, which

has become a popular residential destination for young urban professionals and local artists, primarily because of its proximity to regional cultural attractions located in Downtown Fort Lauderdale. Blended with the traditional base of low to moderate-income households, these neighborhoods are emerging as diverse and eclectic communities, with a variety of community, business, and mobility needs.

These neighborhoods will benefit from the revitalization and economic development effects of The WAVE Streetcar. A primary mission of the local Community Redevelopment Agency, a primary supporter of the WAVE Streetcar, is to redevelop the area, and improve connectivity among neighborhoods, using a fixed transit investment as a catalyst. Transit-based revitalization of areas like Sistrunk is an important element toward achieving a more sustainable Fort Lauderdale.

Achieve federal Livable Communities goals

In addition to exemplifying local goals, the WAVE Streetcar also embodies the goals of the federal HUD/DOT/EPA Partnership for Livable Communities program. Both within and immediately adjacent to the project area are neighborhoods with regionally disproportionately high percentages of disadvantaged populations, whether measured in terms of job opportunities, income, or accessibility to regional transportation. The WAVE Streetcar would improve the accessibility of these populations to job opportunities both by the job creation and economic development effects of the system as well as by improved access to the wider regional transportation network.

Focus growth in urban core that will revitalize public investments and encourage private investments

The City and County have invested substantial resources in facilities and infrastructure in the project area. Focusing growth in the urban core will decrease the incremental cost of providing government services; increase the use of the facilities; revitalize the public investment; and encourage additional private investment. The development potential for the project area is very strong, and includes an additional 22,600 residential units and an increase of a 9.4 million square feet of development. The added investment projected for the study area could triple annual tax revenues by 2025.

Stimulate and support increased residential and commercial development

The WAVE Streetcar is expected to increase economic development and property values for existing and new residential and commercial properties in the project area. The WAVE Streetcar is the central tool for realizing the growth expectations and development forecasts in local and regional plans, and assuring that this development conforms to a transit and pedestrian supportive pattern, rather than spreading out. By 2030, the land use plans and the WAVE Streetcar will combine to induce an additional 22,600 residential units and approximately 9.4 million square feet of commercial development.

7.0 Risk

One potential constraint of the project is the current ceiling on housing units Downtown set by the Broward County Land Use Plan. In the Downtown Regional Activity Center, of the 11,060 approved and flex units, 6,464 total housing units are available for development. The South Regional Activity Center contains 936 units approved for development, and another 475 flex units, with a cap of 1,411 residential units. This cap has been increased though requests by the City on the basis that Downtown infrastructure can support additional units. This current housing

unit cap is lower than the innate holding capacity of the vacant and underdeveloped sites implied by existing zoning. Funding and completion of the WAVE Streetcar and other transit infrastructure would support the further lifting of this ceiling towards the inherent capacity of the land under the existing zoning.

8.0 Summary

While Fort Lauderdale is beginning to achieve the vision set out in its land use plans and development forecasts, the WAVE Streetcar remains the missing link to fully achieving the Fort Lauderdale of the future. Transit investment will be a driving factor in achieving the desired density capacity of local land use plans.

The purpose of the Fort Lauderdale WAVE Streetcar is to realize the growth and development patterns prescribed in local land use plans, to improve mobility, to connect major activity centers and neighborhoods, and to improve transit service. The future growth of Downtown Fort Lauderdale will be severely constrained without the implementation of a major transit investment that provides a high level of transportation mobility in the project area. The WAVE Streetcar will provide a sustainable and permanent transportation investment that is strongly supported by local land use plans and eagerly awaited by the Fort Lauderdale community.

Small Starts Fact Sheet

FEBRUARY 9, 2011

A SECTION 5309 CAPITAL INVESTMENT GRANT PROGRAM

The Federal Transit Administration (FTA) administers the Section 5309 Capital Investment Grant program, which provides capital funds for major transit investment projects. The Section 5309 Capital Investment Grants program now includes a new project category called "Small Starts." These projects are low cost projects that qualify for a highly simplified project evaluation and rating process by FTA.

Does your project qualify as a Small Start?

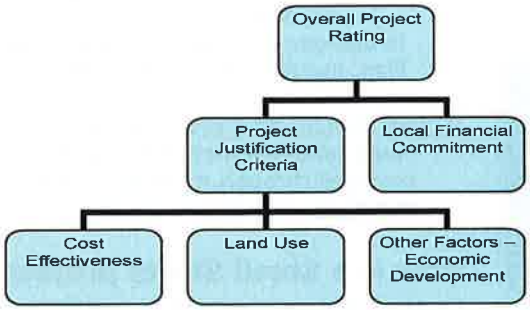
In order to qualify as a Small Start, the total project cost must be less than $250 million, with no greater than $75 million in requested Section 5309 Capital Investment Grant funding. In addition, a project must meet one of the following guideway criteria:

1. Be a fixed guideway for at least 50% of the project length in the peak period –
   AND/OR-
2. Be a corridor-based bus project with the following minimum elements:
   - Substantial Transit Stations
   - Signal Priority/Pre-emption (for Bus/LRT)
   - Low Floor / Level Boarding Vehicles
   - Special Branding of Service
   - Frequent Service - 10 min peak/15 min off peak
   - Service offered at least 14 hours per day

This photo represents a substantial and branded transit station with an integrated passenger information system

How are Small Starts projects evaluated?

The following illustrates the project rating process and evaluation criteria for Small Starts projects:



Project Justification Criteria

- Cost Effectiveness – Incremental cost per hour of transportation system user benefits compared to the baseline alternative; using opening year forecast.
- Land Use - 3 categories are evaluated for this criterion: 1) Existing land use patterns 2) Transit supportive plans and policies and 3) the performance and impact of these policies.
- Other Factors - Economic Development benefits and congestion pricing will be considered in this category; applicants are encouraged to provide information on the economic development impacts of the proposed project.

Local Financial Commitment – a project will receive a medium rating if project sponsors are able to demonstrate:

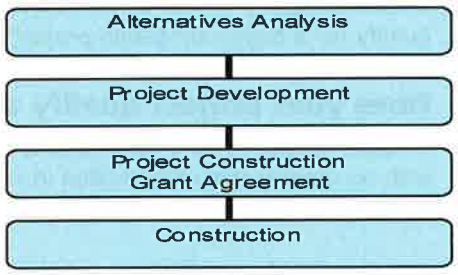
- A reasonable plan to secure funding for the local share of capital costs or sufficient available funds for the local (non Federal) share
- The additional operating and maintenance costs of the project are less than 5% of

the agency's operating budget, and
- The agency is in reasonably good financial condition

What is the project development process for Small Starts?

During the planning and project development process, FTA evaluates the project's justification and local financial commitment and the sponsor addresses any remaining planning, environmental, engineering, and design issues and requirements. FTA is required by law to approve the initiation of project development and to make funding recommendations after project development is complete.

Eugene, OR EMX Busway



Alternatives Analysis

By law, FTA must consider the results of planning and alternatives analysis (AA) when evaluating proposed projects. Small Starts may utilize a very simple AA process, commensurate with the local decision at hand.

Project Development

For Small Starts projects, preliminary engineering and final design work is combined into one phase referred to as Project Development. Below are the criteria that must be met for approval into Project Development:

Project Development Approval Criteria

- Complete Alternatives Analysis
- Adopt Locally Preferred Alternative (LPA)
- LPA included within the MPO's long range plan
- Complete NEPA scoping
- Receive a "Medium" rating or better from FTA

In addition, a project sponsor must develop an acceptable Project Management Plan, including a fair and reasonable project budget and schedule.

Miami-Dade Busway Station

Project Construction Grant Agreement

Financial assistance under Section 5309 for construction of a Small Starts project is provided through a Project Construction Grant Agreement (PCGA) that is negotiated during project development.

How is a Small Starts project recommended for funding?

Small Starts projects that meet the following conditions may be recommended for funding in the President's budget, subject to funding availability:

- The project must have been approved to enter into project development;
- The project must be "ready" to be implemented within the fiscal year the project is proposed for funding; and
- The project must be rated at least "medium."

As with all Section 5309 Capital Investment Grants, the rating process is separate from the budget decisions. Projects that achieve a "medium" or better rating will be eligible to receive Section 5309 Capital Investment Grant funds, but are not guaranteed to receive any funding in the President's Budget.

Exhibit 7

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY

RESOLUTION NO. 11-02

A RESOLUTION OF THE GOVERNING BOARD OF THE SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHOIRITY EXPRESSING SUPPORT FOR THE FORT LAUDERDALE STREETCAR, THE WAVE; SFRTA'S INTENT TO PARTICIPATE AS PROJECT SPONSOR UNDER THE FEDERAL TRANSIT ADMINISTRATION'S (FTA'S) SMALL STARTS DISCRETIONARY GRANT PROGRAM; SFRTA'S INTENT TO MANAGE THE DESIGN, VEHICLE PROCUREMENT, AND CONSTRUCTION OF SAID SYSTEM; AND PROVIDING FOR AN EFFECTIVE DATE.

WHEREAS, by the year 2035 the Southeast Florida population is expected to increase by thirty percent, but reasonable opportunities to expand highway capacity are limited, which will dramatically worsen congestion levels and travel times; and

WHEREAS, the 2000 United States Census found the South Florida region (excluding the Everglades) to be the third most densely populated metropolitan area in the nation; and

WHEREAS, benefits of public transportation include reduced travel time, reduced congestion, enhanced safety, improved access for workers, lower transportation costs, lower business costs, lower cost of living, increased business productivity, lower prices and costs of other goods and services, and promote economic growth; and

WHEREAS, riding public transportation provides significant environmental benefits and has been cited as the best way to lower a household's carbon footprint; and

WHEREAS, investment in public transportation has been found to create more jobs than other commonly recommended economic sectors and strategies; and

WHEREAS, there is a critical need to develop adequate transit service to provide for the mobility and economic needs of Southeast Florida residents; and

WHEREAS, the WAVE is an environmentally friendly streetcar system planned for Downtown Fort Lauderdale; and

WHEREAS, the Downtown Development Authority ("DDA") has organized a coalition of partners to support the WAVE streetcar project including Broward County, the Florida Department of Transportation, the City of Fort Lauderdale, the Broward MPO, and SFRTA, among other agencies (the "Partners"); and

WHEREAS, the WAVE streetcar system could be expanded in the future to provide premium transit access from the Fort Lauderdale/Broward Boulevard Tri-Rail Station into downtown Fort Lauderdale; and

WHEREAS, the WAVE streetcar system could be expanded in the future to provide premium transit access to the Fort Lauderdale/Hollywood International Airport, the Fort Lauderdale/Hollywood Airport Tri-Rail Station, and the South Florida Education Center; and

WHEREAS, economic growth and redevelopment along the WAVE should result in new, compact residential and commercial development, including new residents and office space within walking distance of the South Florida Rail Corridor; and

WHEREAS, the Florida Department of Transportation has committed to fund 20% of the project capital costs when a FTA grant is awarded to the WAVE; and

WHEREAS, the Broward County Commission has committed to own, operate and fund on-going operations and maintenance costs of the WAVE; and

WHEREAS, the City of Fort Lauderdale pledged $10.5M towards the local share of capital costs of the WAVE; and

WHEREAS, the City of Fort Lauderdale has transit supportive land uses in place; and

WHEREAS, the WAVE study has examined a list of transit alternatives or alignments, and a variety of transit vehicles or technologies and conducted extensive public outreach; and

WHEREAS, the SFRTA is a Designated Recipient of FTA funds; and

WHEREAS, the SFRTA has previously been a FTA Project Sponsor of a New Starts Full Funding Grant Award; and

WHEREAS, the SFRTA has procured highly specialized commuter rail locomotives and rolling stock; and

WHEREAS, the SFRTA has managed the planning, environmental review, design and construction of major rail transit improvements; and

WHEREAS, the SFRTA was created on July 1, 2003, by an act of the Florida Legislature (Florida Statutes Chapter 343) to plan, develop, own, operate, maintain, and manage transit systems in the tri-county area of Broward, Miami-Dade and Palm Beach Counties.

WHEREAS, the SFRTA mission is to "Coordinate, develop, implement, in cooperation with other government agencies and the community, a viable transportation system that improves quality of life, and promotes sustainable growth for future generations."

NOW, THEREFORE, BE IT RESOLVED BY THE GOVERNING BOARD OF THE SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY THAT:

SECTION 1. The WAVE streetcar system to be located in the City of Fort Lauderdale, serves the joint purpose of enhanced mobility and economic development.

SECTION 2. SFRTA intends to participate as Project Sponsor under FTA's Small Starts discretionary grant program and administer funds as a Designated Recipient (DR) of FTA Funds.

SECTION 3. SFRTA intends to manage the design, vehicle procurement and construction of said system in conjunction with the other Partners.

SECTION 4. DDA is encouraged to work with SFRTA and other partners to develop an interlocal agreement for approval by their respective Boards within the next six months that defines each partner's roles and responsibilities to the WAVE streetcar system and each other.

SECTION 5. DDA is encouraged to work with local governments to develop financing mechanisms for a portion of capital costs and operation and maintenance.

SECTION 6. DDA is encouraged to work with local governments to develop complementary land use plans and local development regulations that are transit supportive.

SECTION 7. The SFRTA Governing Board hereby authorizes DDA to forward a copy of this resolution to all government agencies involved, including County commissions and officials involved in regional transit planning, the South East Florida Transportation Council, the three Metropolitan Planning Organizations, the Florida League of Cities, municipalities and Chambers of Commerce within the South Florida area, and FDOT Districts 4 and 6.

SECTION 8: Effective Date. This resolution shall become effective immediately upon its passage.

ADOPTED this __25th__ day of February, 2011.

The foregoing resolution was offered by Board Member _____, who moved its adoption. The motion was seconded by Board Member_____, and upon being put to vote, the votes were as follows:

Commissioner Steven L. Abrams -

Commissioner Bruno A. Barreiro, Chair -

Member James Cummings -

Member Marie Horenburger

Commissioner Kristen Jacobs -

Member Felix Lasarte -

Member George Morgan, Jr. -

Member Gus Pego. -

Member F. Martin Perry –

The Chairperson thereupon declared the resolution duly passed and adopted this _25th___ day of February_____, 2011 .

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY (SFRTA)

By:_____

SFRTA Secretary

ATTEST

BY _____
Joseph Giulietti
Executive Director

(SFRTA seal)

South Florida Regional Transportation Authority

BY _____
Commissioner Bruno Barreiro
Chair

Approved as to form and legal sufficiency by:

_____
Teresa J. Moore
General Counsel

Tracking No. 02251114 AGENDA ITEM NO. R4

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: FEBRUARY 25, 2011

AGENDA ITEM REPORT

☐ Consent ☒ Regular

AMENDMENT #5 TO AGREEMENT NO. 09-002 BOOZ ALLEN HAMILTON, INC. TECHNICAL AND PROGRAM SUPPORT RELATED TO THE AUTOMATED FARE COLLECTION SYSTEM

REQUESTED ACTION:

MOTION TO APPROVE Amendment No. 5 to Agreement No. 09-002, between the South Florida Regional Transportation Authority (SFRTA) and Booz Allen Hamilton, Inc (BAH) for technical and program support of the Automated Fare Collection System and Regional EASY Card Program, in the maximum not-to-exceed amount of $672,818.00, increasing the total not to exceed contract amount to $2,144,811.00.

SUMMARY EXPLANATION AND BACKGROUND:

In August 2008, the Governing Board approved Agreement No. 09-002 between SFRTA and BAH for consulting services to support efforts to procure a fare collection system and plan a regional, multi-agency smart card program between SFRTA and Miami Dade Transit (MDT).

On April 24, 2009, the First Amendment to the Agreement was executed to extend the period of performance to twelve months at no additional cost.

On August 10, 2009 a Second Amendment to the Agreement was executed to add scope of services for assistance with interim MDT Easy Card Transition Period, Continuation of Procurement Support and for Participation Agreement Negotiation Support in the not to exceed amount of $24,972.00.

(Continued page 2)

Department: Executive
Project Manager: Renee Matthews

Department Director: Jack Stephens
Procurement Director: Christopher Bross

FISCAL IMPACT: Funding for Amendment No 5. is available in the FY 2010-11 Capital Budget.

EXHIBITS ATTACHED: Exhibit 1 – Amendment No. 5

AMENDMENT #5 TO AGREEMENT NO. 09-002
BOOZ ALLEN HAMILTON, INC.
TECHNICAL AND PROGRAM SUPPORT RELATED TO
THE AUTOMATED FARE COLLECTION SYSTEM

SUMMARY EXPLANATION AND BACKGROUND: (Continued)

The Third Amendment provided additional time for performance of the scope of services for the development of interagency operating procedures, evaluation of fare policy alternatives and development of a communication plan for public outreach efforts to support the establishment of a regional fare card program between SFRTA and MDT. It extended the period of performance to twenty-four months for the not to exceed amount of $449,559.00.

The Fourth Amendment provided additional time for performance of the scope of services for technical support and program management of the automated fare collection project. BAH provides project support to assist in design, inspection, testing, system integration and installation of equipment. The period of performance is nineteen months with a not-to-exceed amount of $623,860.00.

The Fifth Amendment will continue the services of the original scope which included warranty and inspection services and process development for regional integration, operational administration, data management, and audit oversight. The period of performance is twenty-four months with a not-to-exceed amount of $672,818.00.

AMENDMENT #5 AGREEMENT NO. 09-002
BOOZ ALLEN HAMILTON, INC.
TECHNICAL SERVICES AND PROCUREMENT SUPPORT RELATED TO
THE UNIVERSAL AUTOMATED FARE COLLECTION SYSTEM

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Recommended by: _____ Department Director Date 2/17/11 Approved by: _____ Contracts Director Date 2/18/11

Authorized by: _____ Executive Director Date 2-16-11 Approved as to Form by: _____ General Counsel Date 2-18-11

Board Action:

Approved: _____Yes _____No

Vote: _____ Unanimous

Amended Motion:

Commissioner Steven L. Abrams	_____Yes _____ No	Felix M. Lasarte, Esq.	_____Yes _____No
Commissioner Bruno A. Barreiro	_____Yes _____No	George A. Morgan, Jr.	_____Yes_____No
James A. Cummings	_____Yes _____No	Gus Pego, P.E.	_____Yes _____No
Marie Horenburger	_____Yes _____No	F. Martin Perry	_____Yes _____No
Commissioner Kristin Jacobs	_____Yes _____No		

EXHIBIT 1

FIFTH AMENDMENT TO AGREEMENT NO. 09-002

BETWEEN

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY

AND

BOOZ ALLEN HAMILTON, INC.

FOR

TECHNICAL SERVICES AND PROJECT MANAGEMENT RELATED TO THE AUTOMATED FARE COLLECTION SYSTEM

This is a Fifth Amendment to the Agreement for Technical Services and Project Management Related to the Automated Fare Collection System between **SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY,** an agency of the State of Florida, hereinafter referred to as **"SFRTA"** and **BOOZ ALLEN HAMILTON, INC,** hereinafter referred to as **"CONSULTANT".**

WHEREAS, on August 22, 2008, **CONSULTANT** and **SFRTA** entered into a nine month Agreement hereinafter referred to as "Agreement" with a not to exceed price of $373,602.00 for technical services and procurement support; and

WHEREAS, on April 24, 2009, the First Amendment to the Agreement between **CONSULTANT** and **SFRTA** was executed to extend the period of performance to twelve months at no additional cost; and

WHEREAS, on August 10, 2009 the Second Amendment to the Agreement between **CONSULTANT** and **SFRTA** was executed to add scope of services for assistance with interim MDT Easy Card Transition Period, Continuation of Procurement Support and for Participation Agreement Negotiation Support in the not to exceed amount of $24,972.00; and

WHEREAS, on August 28, 2009 the Third Amendment to the Agreement between **CONSULTANT** and **SFRTA** was executed to add scope of services for assistance with Project Management, Inter-Agency Operating Agreements, Strategic Planning and Customer Outreach and to extend the period of performance to twenty-four months for the not to exceed amount of $449,559; and

WHEREAS, on December 11, 2009 the Fourth Amendment to the Agreement between **CONSULTANT** and **SFRTA** was executed to add scope of services for assistance with Program Management, Document Control, Contract Data Requirements Monitoring, Acceptance Testing, Operational Readiness Support, Contract Closeout Support and to extend the period of performance to forty- three months for the not to exceed amount of $623,860; and

WHEREAS, SFRTA now wishes to amend the Agreement to add scope of services as detailed in Attachment A incorporated herein, and to extend the period of performance an additional twenty four (24) months for the not to exceed amount of $672,818.

NOW THEREFORE IN CONSIDERATION of the promises, mutual covenants and obligations herein contained, and subject to the terms and conditions hereinafter stated, the parties hereto agree to amend the Agreement as follows:

1. **NEGOTIATED SCOPE OF SERVICES** to Agreement 09-002, is amended to include the additional services as follows:

   - Scope of Services for Technical Services and Project Management Related to the Automated Fare Collection System which is incorporated herein by reference and attached as Attachment "A".

2. **TERM,** is amended to read as follows:

   - CONSULTANT shall perform the services described in the Scope of Services as directed by the Project Manager. The period of performance shall be ~~Nine Twelve Twenty-Four Forty-Three~~ Sixty Seven Months from Notice to Proceed.

3. **COMPENSATION,** is amended to read as follows:

   - SFRTA agrees to pay the CONSULTANT as specified in the General Terms & Conditions the total not-to-exceed amount of ~~Three Hundred Seventy Three Thousand Six Hundred Two Dollars ($373,602.00) Three Hundred Ninety Eight Thousand Five Hundred Seventy Four Dollars ($398,574.00) Eight Hundred Forty Eight Thousand One Hundred Thirty Three Dollars ($848,133) One Million Four Hundred Seventy One Thousand Nine Hundred Ninety Three Dollars ($1,471,993)~~Two Million One Hundred Forty Four Thousand Eight Hundred Eleven Dollars ($2,144,811).

Except to the extent amended, the Agreement shall remain in full force and effect. In the event of any conflict between the terms of this Fifth Amendment to the Agreement and the Agreement, the parties hereby agree that this document shall control.

IN WITNESS WHEREOF, the parties have made and executed this Agreement on the respective date under each signature: **BOOZ ALLEN HAMILTON, INC.,** signing by and through its _____, duly authorized to execute same and **SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY**, signing by and through its Chair, authorized to execute same by Board action on the _____ day of _____, 2011.

SFRTA

ATTEST:

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY

_____
JOSEPH GIULIETTI
EXECUTIVE DIRECTOR

By_____
COMMISSIONER BRUNO A. BARREIRO., CHAIR

_____ DAY OF _____, 2011

(SFRTA SEAL)

Approved as to form and legal sufficiency:

_____
Procurement Department

_____
Teresa J. Moore, SFRTA General Counsel

ATTEST:

CONSULTANT

_____
WITNESS

_____
BOOZ ALLEN HAMILTON, INC.

(Corporate Seal)

_____ DAY OF _____, 2011

SFRTA Regional Automated Fare Collection
Amendment 5 Scope of Work
Period of Performance
March 1, 2011 to February 28, 2013

1. Equipment Performance and Warranty Management
2. Regional Integration
3. Operations, Audit and Data Management
4. Project Management
5. Special Projects

Task 1 - Equipment Performance and Warranty Management

The AFCS equipment will be evaluated to see that it meets the performance requirements. To this end, Booz Allen(BA) will assist and support the development of a warranty and reliability system to meet the contract requirements including the following:

- Provide analysis, engineering reviews and chart data to show the reliability of the system for mean cycles and time between failure (MCBF, MTBF)
- Prepare additional test checklist for measuring the reliability during the warranty period
- Identify the systems and components requiring improvements and under warranty
- Analyze warranty claims
- Provide options and facilitate improvements to the TVMs and other ACFS equipment based on warranty and reliability data and components
- Evaluation of new software, system configurations, and other system changes and enhancements

Deliverables:

1. ***Warranty and Reliability administration process, procedures and checklist***
2. ***Participation and Attendance at Failure Review Board (FRB) meetings***
3. ***Software and system enhancement evaluations***
4. ***Test methodologies and procedures for new fare products***
5. ***Reliability data and charts***

Task 2 – Regional Integration

SFRTA has a Participation Agreement (PA) in place with MDT and is currently in drafts with a related Service Level Agreement (SLA) with Miami-Dade County (MDC) for maintenance of the network.
BA will provide support for SFRTA by developing forms and writing processes and procedures for the financial reconciliation, customer service and systems management areas of the Participation Agreement.
BA will prepare improved forms and operating procedures identified in the Participation Agreement for:

- Fare Structure changes
- Clearinghouse related models
- Privacy requirements
- Security
- Disaster Recovery

- BA will clarify and document the procedure for refunds and reporting information back to MDT for financial reconciliation

- As required BA will field the industry for working templates for stored value, draw down of float, funds pools and refund policies related to Smart cards.

Deliverables:

1. ***Participation Agreement related forms***
2. ***Participation Agreement related processes and procedures***
3. ***Samples of working models used at other transit agencies***

Task 3 – Operations, Audit and Data Management

BA will support SFRTA in the following areas to get the best return on investment:

- Operating Processes and Procedures
- Evaluation and Audit of Clearinghouse
- Data Management

Operating Procesess and Procedures

BA will support SFRTA in recognizing and restructuring operational processes that may require modifications or enhancements to be compatible with the new AFCS. BA will support SFRTA's efforts for transition from the old to new fare system including:

- Preparing documentation extracted from the business rules that further detail and explains how the AFCS system works.
- Develop functional descriptions of AFCS equipment to help front line staff understand how the AFCS operates
- Updating the discount program, developing new ticket office process and procedures, and new audit procedures for internal business operations.

BA will support SFRTA in transitioning from the old to new transfer policy inlcudiing:

- Providing transition plan and messaging support for implementation of new fare policy
- Reviewing updates to fare tables, testing and procedures used by MDT in the labs prior to live system deployment.
- Evaluating and documenting how MDT are undergoing processes, procedures and methods of quality control

- Updating business rules and Fare Tables to final AFCS Configuration after fare policy implementation

Broward County and Palm Transit Transfer Tickets

BA will provide Business Rules, ticket type requirements and design and customer education information for the Broward County Transit and Palm Tran Transfer Ticket including:

- Develop business rules for transfer tickets specifying the acceptable fare products and travel conditions for passengers eligible to receive transfer ticket
- Support screen flow for how ticket is selected at what stations and method of vending from TVM
- Design concept of ticket for TVM for Broward County Transit and Palm Tran
- Develop procedures for employees, passengers and Bus Operators about the transfer ticket

Evaluation and Audit of Clearinghouse

BA will re-evaluate the Basic Assumptions and Cost Allocations of the Clearinghouse based on actual usage of the EASY Card and will provide a process and support documentation to a 3rd party auditor for audit of the Clearinghouse.

BA will re-evaluate the basic assumptions for Monthly Transactions, TVM and Autoload activity and propose modifications to the Participation Agreement based on actual usage. BA will re-evauate the Cost Allocation model and formulas for Customer Service, Card management, Autoload services, Finanical Management, Security and Systems Management based on actual activity in these areas and recommend modification as necessary to the Clearinghouse model.

BA will develop support documentation to a 3rd party auditor for how the system works and provide technical support for the audit including:

- Developing a guideline and outline for audit
- Compilation of documents for audit team review

This subtask assumes that SFRTA will contract with a third party to perform the actual audit.

As required BA will review and document SFRTA's structure for accountability of revenue and propose changes to process.

Data Management

BA will make concentrated efforts to review the Nextfare reports, provide results for travel patterns and draw correlations between the data and what is actually occurring in the field for current and future planning. BA will perform various analyses of the system to help SFRTA determine elements such as:

- EASY Card Taps and Transaction to SFRTA devices
- Ridership Analysis and Travel Patterns
- Trend data
- EASY Card penetration rates

- Data Management

BA will provide periodic updates on the Smart card industry and advise of methods to improve ridership and use of Smartcard.

Deliverables

1. ***Review of Operational Procedures***
2. ***Functional descriptions on how ACFS works***
3. ***Updates to EASY Card discount program policies and procedures document***
4. ***Updated Business Rules and Fare Table for System Operation and Transfer Policy***
5. ***Prepare additional messaging for new SFRTA and MDT Transfer Policy***
6. ***Evaluation and documentation of MDTs process and procedures for system changes***
7. ***Proposed new and updates to existing operational procedures and policies***
8. ***Evaluations of Clearinghouse Basic Assumptions and Cost Allocation formulas***
9. ***Support documentation for 3rd Party Audit***
10. ***Reports on travel patterns, EASY Card penetration rates, and trends***

Task 4 – Project Management

BA will provide Project Management Support of tasks including the following:

- Project Management support to SFRTA Project Manager
- Perform AFCS Liaison activities between SFRTA departments
- Document Management / Configuration Management
- Preparation and presentation of project and executive briefings to SFRTA Senior Staff and Board
- Coordination of meetings between SFRTA, Booz Allen, Subcontractors and MDT
- Manage, facilitate the development and perform quality control of project task and deliverables including the following:
    - Equipment Performance and Warranty Management
    - Regional Integration
    - Operations, Audit and Data Management
    - Special Projects
- Prepare monthly reports including
    - Goals
    - Completed Tasks
    - Open Issues
    - Remaining budget

Deliverables

1. ***Monthly Progress Reports***
2. ***Executive briefing and presentations***
3. ***Meeting summaries and agendas***
4. ***Updated documentation control system and organization of all documents***
5. ***Change Order documentation***

Task 5 –Special Projects

BA will support SFRTA in response to tasks that arise as a result of meetings with regional agencies, staff and/or executive management, within available funds. Special Projects that may be anticipated include:

- Provide additional field/station platform support to help with Customer Education of the system
- Evaluation of applicability of other open payment systems such as bank card or Near Field Communication (NFC) cell phone payments and their applicability and integration into the SFRTA system
- Provide assessment and impacts to migrate to full smartcard system with and without LU tickets
- BA will also support SFRTA efforts to pursue grant funding to expand the AFCS
- Assessment of methods and impacts of integrating additional transit agencies into EASY Card program

Deliverables

1. ***Field support on platforms for Customer education***
2. ***Analysis for AFCS Modifications and Life cycle cost***
3. ***Justification for additional grant funding***

Tracking No. 02251115 AGENDA ITEM NO. R5

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: FEBRUARY 25, 2011

AGENDA ITEM REPORT

☐ Consent ☒ Regular

CHANGE ORDER #3 TO AGREEMENT NO. 09-004 CUBIC TRANSPORTATION SYSTEMS INC. FOR SOFTWARE CHANGES, STATION PLATFORM VALIDATOR CHANGES AND ADDITIONAL REVENUE COMPONENTS FOR THE AUTOMATED FARE COLLECTION SYSTEM

REQUESTED ACTION:

MOTION TO APPROVE: Change Order No. 3 to Agreement No. 09-004 between the South Florida Regional Transportation Authority (SFRTA) and Cubic Transportation Systems, Inc. (Cubic) to (1) upgrade the software to allow the fare system to dispense a paper bus transfer ticket, (2) relocate or add station platform validators, and (3) procure additional revenue servicing components for the Automated Fare Collection System (AFCS), all in the maximum not-to-exceed amount of $XXX,XXX.

SUMMARY EXPLANATION AND BACKGROUND:

In December 2009, the Governing Board approved a contract between SFRTA and Cubic for the procurement of an Automated Fare Collection System. In October 2010, SFRTA executed Change Order No. 1 at no cost with Cubic Transportation Systems which included revisions to the Marketing Transition Strategy and Communications Services, positioning of station platform validators, rental of storage space for the fare collection system, and enabling the use of the pin pad at the ticket vending machine to navigate through the screen flows.

In November 2010, SFRTA executed Change Order No. 2 at no cost with Cubic Transportation System which included modifications to the functions of the handheld units, modifications to the purchase of stored value fare products, additional photo identification equipment and changes to the required number of specialized reports and Web pages.

(Continued page 2)

Department: Executive
Project Manager: Renee Matthews

Department Director: Jack Stephens
Procurement Director: Christopher Bross

FISCAL IMPACT: Funding for Change Order No 3 is available in the FY 2010-11 Capital Budget.

EXHIBITS ATTACHED: Exhibit 1 – Change Order No.3 (to be provided separately)

CHANGE ORDER #3 TO AGREEMENT NO. 09-004
CUBIC TRANSPORTATION SYSTEMS INC. FOR
SOFTWARE CHANGES, STATION PLATFORM VALIDATOR CHANGES AND
ADDITIONAL REVENUE COMPONENTS FOR THE AUTOMATED
FARE COLLECTION SYSTEM

SUMMARY EXPLANATION AND BACKGROUND (Contd.):

On February 10, 2011, SFRTA completed installation of the new fare collection system at all of its stations with the exception of the Miami Airport Station (only limited equipment is being installed at this station due to the scheduled closing).

As a result of the installation, several issues have arisen that will require a change order to the Cubic contract and they are outlined below.

(1) Paper Transfer Ticket

Prior to installation of the EASY Card equipment, passengers used their paper Tri-Rail tickets to prove eligibility for the transfer fare to Broward County Transit (BCT) and Palm Tran bus systems. The new EASY Card equipment does not currently print a paper ticket. As neither BCT nor Palm Tran currently has the ability to read the EASY card they have requested that the passengers be able to produce a transfer ticket to obtain the transfer fare, A software upgrade will need to be made by Cubic to enable the ticket vending machine to produce the bus transfer ticket.

(2) Relocation of Station Validators

Also, subsequent to initial site preparation, modifications were made to the Cypress Creek and Fort Lauderdale Airport stations which have resulted in the need to add or move station validators to better accommodate passenger access. A station validator at Fort Lauderdale also requires re-orientation to provide improved access. The Miami Airport Station requires the installation of a station validator and ticket office machine to accommodate the EASY card program until it closes.

(3) Procure Additional Revenue Servicing Components

There is a need for Tri-Rail paper ticket stock and spare revenue components to provide revenue servicing for ticket vending machines that will be purchased under this Change Order.

AGENDA ITEM NO. R5

CHANGE ORDER #3 TO AGREEMENT NO. 09-004
CUBIC TRANSPORTATION SYSTEMS INC. FOR
SOFTWARE CHANGES, PLATFORM VALIDATOR CHANGES AND
ADDITIONAL REVENUE COMPONENTS FOR THE AUTOMATED
FARE COLLECTION SYSTEM

Recommended by: _____ 2/18/11 Approved by: _____ 2-18-11
Department Director Date Contracts Director Date

Authorized by: _____ 2/18/11 Approved as to Form by: _____ 2-18-11
Executive Director Date General Counsel Date

Board Action:

Approved: _____Yes _____No

Vote: _____ Unanimous

Amended Motion:

Commissioner Steven L. Abrams	_____Yes _____ No	Felix M. Lasarte, Esq.	_____Yes _____No
Commissioner Bruno A. Barreiro	_____Yes _____No	George A. Morgan, Jr.	_____Yes_____No
James A. Cummings	_____Yes _____No	Gus Pego, P.E.	_____Yes _____No
Marie Horenburger	_____Yes _____No	F. Martin Perry	_____Yes _____No
Commissioner Kristin Jacobs	_____Yes _____No		

Tracking No. 02251116 AGENDA ITEM NO. R6

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: FEBRUARY 25, 2011

AGENDA ITEM REPORT

☐ Consent ☒ Regular

AGREEMENT NO. 10-005
BROOKVILLE EQUIPMENT CORPORATION
PROCUREMENT OF NEW COMMUTER RAIL LOCOMOTIVES

REQUESTED ACTION:

MOTION TO APPROVE: Agreement No. 10-005 between South Florida Regional Transportation Authority (SFRTA) and Brookville Equipment Corporation for the purchase of ten (10) new commuter rail locomotives in the amount of $44,788,000.00 and the option to procure up to an additional seventeen (17) locomotives for the unit price of $3,835,000.00 each.

SUMMARY EXPLANATION AND BACKGROUND:

On February 25, 2010, the SFRTA began advertising an Invitation to Bid (ITB) for the purchase of new commuter rail locomotives. Solicitation documents were made available on March 1, 2010, and a Pre-Bid conference was held on March 18, 2010. On July 7, 2010, SFRTA received two (2) bids. Following a complete review of the technical information submitted by each bidder, and following a review of responses to clarification questions, a determination was reached that both bidders were technically responsive and responsible to the requirements as stated in the solicitation and would have their respective price envelopes opened at a public opening on September 17, 2010. The lowest responsive bid was received from Brookville Equipment Corporation. Staff performed a verification of the bid submittal from Brookville Equipment Corporation and a Pre-Award Survey, as well as a Pre-Award Buy America audit as required by the Federal Transit Administration, and determined Brookville Equipment Corporation to be the lowest responsive and responsible bidder.

Department: Engineering & Construction
Project Manager: Pat McCammon (BAH)

Department Director: Daniel R. Mazza, P.E.
Procurement Director: Christopher Bross

FISCAL IMPACT: Funding is available from a combination of American Recovery and Reinvestment Act (ARRA), Federal, State and capital budget sources, as identified in the approved FY-10-11 Capital Budget.

EXHIBITS ATTACHED: Exhibit 1 – Agreement No. 10-005

AGENDA ITEM NO. R6

AGREEMENT NO. 10-005
BROOKVILLE EQUIPMENT CORPORATION
PROCUREMENT OF NEW COMMUTER RAIL LOCOMOTIVES

Recommended by: _____ 2/18/11
Department Director Date

Approved by: _____ 2/18/11
Contracts Director Date

Authorized by: _____ 2/18/11
Executive Director Date

Approved as to Form by: _____ 2-18-11
General Counsel Date

Board Action:

Approved: _____Yes _____No

Vote: _____ Unanimous

Amended Motion:

Commissioner Steve Abrams	_____Yes ____ No	Felix M. Lasarte	_____Yes _____No
Commissioner Bruno Barreiro	_____Yes _____No	George A. Morgan, Jr.	_____ Yes ____ No
James Cummings	_____Yes _____No	Gus Pego, P.E.	_____Yes _____No
Marie Horenburger	_____Yes _____No	F. Martin Perry	_____Yes _____No
Commissioner Kristin Jacobs	_____Yes _____No		

Exhibit 1

AGREEMENT NO. 10-005

BETWEEN

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY

AND

BROOKVILLE EQUIPMENT CORPORATION

FOR

PROCUREMENT OF NEW LOCOMOTIVES

AGREEMENT NO. 10-005

BETWEEN

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY

AND

BROOKVILLE EQUIPMENT CORPORATION

FOR

PROCUREMENT OF NEW LOCOMOTIVES

This is an Agreement, by and between SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY, hereinafter referred to as "SFRTA",

AND

BROOKVILLE EQUIPMENT CORPORATION, a Pennsylvania Corporation, authorized to and doing business in the State of Florida, hereinafter referred to as "CONTRACTOR".

DEFINITIONS

For the purposes of this Agreement and the various covenants, conditions, terms, and provisions which follow, the definitions and identifications set forth below are assumed to be true and correct and are agreed upon by the parties.

a. Board. The Board and governing body of the SFRTA, created pursuant to Chapter 343, Florida Statutes (2003).

b. Contract Administrator. The Executive Director for SFRTA or appointed designee. In the administration of this Agreement, all parties may rely upon the instructions or determinations made by the Contract Administrator.

c. Contractor. The Contractor selected to perform the services pursuant to this Agreement is Brookville Equipment Corporation.

d. Project. Providing all services described in the Contract Documents.

e. Project Manager. A person supplied by SFRTA and the single point of contact for Contractor with SFRTA.

PREAMBLE

In order to establish the background, context, and frame of reference for this Agreement and to generally express the objectives and intentions of the respective parties herein, the following statements, representations, and explanations shall be accepted as predicated for the undertakings and commitments included within the provisions which follow and may be relied upon by the parties as essential elements of the mutual consideration upon which this Agreement is based.

- An Invitation to Bid was advertised by SFRTA as ITB No. 10-005, for PROCUREMENT OF NEW LOCOMOTIVES, and CONTRACTOR was determined to be the lowest responsive and responsible bidder.

- The South Florida Regional Transportation Authority, during any fiscal year, shall not expend money, incur any liability or enter into any contract which, by its terms, involves the expenditure of money in excess of the amounts budgeted as available for expenditure during such fiscal year. Any contract, verbal or written, made in violation of this subsection is null and void and no money may be paid on such contract.

CONTRACTOR covenants and agrees to perform all obligations of CONTRACTOR set forth in this Agreement and in the documents listed below, which documents are incorporated herein by reference and which documents together with this Agreement are hereinafter called "Contract Documents".

Instructions to Bidders
General Terms and Conditions
Special Terms and Conditions
Technical Specifications
Definition of Terms
Advertisement
All Exhibits and Attachments
Addendum Nos. 1 thru 9
Brookville Equipment Corporation Technical Information Package dated 7/7/2010
Brookville Equipment Corporation Response to Request for Clarification dated 9/1/2010
Bid Form
Bidder's Qualification Certification
Key Employee Certification
Drugfree Workplace Certification
Debarment & Suspension Certification
Declaration of Non-Collusion Certification
Buy America Certification
Transit Vehicle Manufacturer Certification
Schedule of Subcontractors
Certificate of Disbursement of Previous Periodic Payment to Subcontractors
Subcontractors Certificate of Previous Payment
Monthly Subcontractor Utilization Report
Monthly Employee Utilization Report
Release and Affidavit

TERM

The period of performance shall be for a term of Seven Hundred Thirty (730) days from the issuance of a Notice to Proceed.

COMPENSATION

SFRTA agrees to pay the CONTRACTOR compensation as specified in the Contract Documents the total contract amount of FORTY FOUR MILLION SEVEN HUNDRED EIGHTY EIGHT THOUSAND DOLLARS and NO CENTS ($44,788,000.00).

ADDRESSES

CONTRACTOR:

Brookville Equipment Corporation
175 Evans Street
Brookville, PA 15825

Attn: Mr. Larry J. Conrad

SFRTA:

South Florida Regional Transportation Authority (SFRTA)
800 N.W. 33rd Street
Pompano Beach, FL 33064

Attn: Mr. Dan Mazza

IN WITNESS WHEREOF, the parties have made and executed this Agreement on the respective date under each signature: **BROOKVILLE EQUIPMENT CORPORATION,** signing by and through its President, duly authorized to execute same and **SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY**, signing by and through its Chair, authorized to execute same by Board action on the 10th day of December, 2010.

SFRTA

ATTEST:

SOUTH FLORIDA REGIONAL
TRANSPORTATION AUTHORITY

_____
JOSEPH GIULIETTI
EXECUTIVE DIRECTOR

By_____
COMMISSIONER BRUNO A. BARREIRO
CHAIR

_____ DAY OF _____, 2010

(SFRTA SEAL)

Approved as to form by:

_____
CHRISTOPHER BROSS
Director, Procurement

_____
TERESA MOORE
General Counsel, SFRTA

ATTEST:

BROOKVILLE EQUIPMENT CORPORATION

_____
WITNESS

_____
PRESIDENT OR VICE-PRESIDENT

3 DAY OF December, 2010

(Corporate Seal)

Tracking No. 02251117 AGENDA ITEM NO. R7

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: FEBRUARY 25, 2011

AGENDA ITEM REPORT

☐ Consent ☒ Regular

2011 FEDERAL LEGISLATIVE INITIATIVE

REQUESTED ACTION:

MOTION TO APPROVE: FY2011 Federal Reauthorization and Appropriations Submissions

SUMMARY EXPLANATION AND BACKGROUND:

With the expiration of the current Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), the introduction of the new Authorization Bill will be instrumental in moving the South Florida Regional Transportation Authority (SFRTA) forward in the areas of both funding and policy with the assistance and guidance of The C2 Group, LLC and Mr. John Cline.

Federal funding, whether through a stimulus package, the appropriations process or a new authorization bill, is vital to the regional transit projects South Florida's public has demanded. These funds will enable the SFRTA to increase regional mobility and connectivity, provide greater return on investment for the State and Counties, support sustainable economic recovery and growth, enhance quality of life by reducing emissions through cleaner fuel technology and environmentally sound practices, and accelerate transit expansion projects.

South Florida requires federal support of enhanced regional transportation, and transit alternatives, in particular. In light of recent extreme economic conditions, citizens are seeking simpler, reliable, modes of public transportation. Enhanced systems allow school students to attend choice schools without the need for busing or autos, employers to count on a sustainable and available workforce and drivers to experience less congestion and fewer emissions on current highways.

(Continued on page 2)

Department: Executive
Project Manager: Vicki Wooldridge

Department Director: Joseph Giulietti
Procurement Director: N/A

FISCAL IMPACT: N/A

EXHIBITS ATTACHED: Exhibit 1 - FY2011 Federal Agenda

2011 FEDERAL LEGISLATIVE INITIATIVE

SUMMARY EXPLANATION AND BACKGROUND: (Continued)

Federal funding is imperative in enabling the SFRTA to move forward with efforts to plan, develop and implement an efficient regional transportation network, in partnership with the State and Broward, Miami-Dade and Palm Beach Counties. In addition, federal support will be imperative as Florida moves forward with High Speed Rail plans and SFRTA/Tri-Rail plays an important role in connectivity.

As a united region, South Florida can greatly benefit from more fully-funded projects and increased mobility, which is crucial to the area's continued economic vitality and sustained quality of life.

We will work with The C2 Group to arrange an appropriate time for the Governing Board to visit Washington, D.C. for the purpose of advocating for the SFRTA project funding with the South Florida Congressional Delegation and other important members of committees of jurisdiction. As elected officials, local business leaders and agency staff, the Governing Board members are the perfect ambassadors for public transportation in our tri-county region.

We look forward to continuing our work with the White House administration and Congress as we take the SFRTA and Tri-Rail to the next levels of efficiency, growth and customer service.

AGENDA ITEM NO. R7

2011 FEDERAL LEGISLATIVE INITIATIVE

Recommended by: _____
Department Director Date

Approved by: _____
Procurement Director Date

Authorized by: _____
Executive Director Date

Approved as to Form by: _____ 2-15-11
General Counsel Date

Board Action:

Approved: _____Yes _____No

Vote: _____ Unanimous

Amended Motion:

Commissioner Steven L. Abrams	_____Yes ____ No	Felix Lasarte	_____Yes _____No
Commissioner Bruno Barreiro	_____Yes _____No	George A. Morgan, Jr.	_____Yes _____No
James Cummings	_____Yes _____No	Gus Pego	_____Yes _____No
Marie Horenburger	_____Yes _____No	Marty Perry	_____Yes _____No
Commissioner Kristin Jacobs	_____Yes _____No		

Exhibit I

2011 FEDERAL LEGISLATIVE AGENDA ITEMS

REAUTHORIZATION ISSUES

Changes to Rail Mod formula benefitting commuter rail systems;

Authorizations for capital projects including Jupiter Extension and the Florida East Coast Corridor; and

Positive Train Control

APPROPRIATIONS

It is unclear at this time how typically 'earmarked' items will be handled in the Appropriations process. However, we will be supporting the following items as the opportunities arise.

New Starts application for the Broward Light Rail WAVE Project;

Jupiter Extension, Downtown Miami Tri-Rail Extension, Florida East Coast Corridor, other Tri-Rail Service enhancements.

Tracking No. 02251118 AGENDA ITEM NO. R8

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: FEBRUARY 25, 2011

AGENDA ITEM REPORT

☐ Consent ☒ Regular

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
FY 2011 STATE LEGISLATIVE PLAN

REQUESTED ACTION:

MOTION TO APPROVE:

A. South Florida Regional Transportation Authority's (SFRTA) State Legislative Plan for Fiscal Year 2010-11 and direct staff to work with the appropriate agencies and elected local and state representatives to implement the recommended initiatives.

B. Delegate authority to a person to be selected by the SFRTA Governing Board to make changes to the FY 2010-11 State Legislative Plan during the legislative session and to report any changes to the Board at each regularly-scheduled Board meeting.

SUMMARY EXPLANATION AND BACKGROUND:

SFRTA staff, our tri-county and state legislative consultants have developed a State Legislative Plan.

(Continued on page 2)

Department: Executive
Project Manager: Vicki A. Wooldridge

Department Director: Joseph Giulietti
Contracts Director: N/A

FISCAL IMPACT: N/A

EXHIBITS ATTACHED: Exhibit I – FY 2011 State Legislative Agenda

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
FISCAL YEAR 2010-11 STATE LEGISLATIVE PLAN

SUMMARY EXPLANATION AND BACKGROUND: (Continued)

In addition to specific items included in this package, SFRTA will support compatible legislative efforts of Broward, Miami-Dade and Palm Beach Counties, and additional transportation and land use stakeholders in South Florida.

Upon Board approval, staff will continue to coordinate with SFRTA legislative consultants, Broward, Miami-Dade and Palm Beach Counties for successful passage and/or prioritization of the items on Exhibit I. Staff will continue to provide updates of the status of the State Legislative Plan upon commencement of the FY 2010-11 Florida Legislative Session which will occur from March to May, 2011.

If requested, SFRTA staff and Ericks Consultants, Inc. (ECI) will assist in composing a travel schedule to Tallahassee during committee weeks and legislative session to include SFRTA Board Members. ECI will provide to SFRTA staff scheduled meetings with Legislators and decision makers prior to Board Member visits to Tallahassee. County Commissioners will be encouraged to participate along with SFRTA Board Members.

AGENDA ITEM NO. R8

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
FISCAL YEAR 2010-11 STATE LEGISLATIVE PLAN

Recommended by:_____ Approved by:_____
Department Director Date Contracts Director Date

Authorized by:_____ Approved as to Form by:_____ 2-18-11
Executive Director Date General Counsel Date

Board Action:

Approved: _____Yes _____No

Vote: _____ Unanimous

Amended Motion:

Commissioner Steven L. Abrams	_____Yes ____ No	Felix Lasarte	_____Yes _____No
Commissioner Bruno Barreiro	_____Yes _____No	George A. Morgan, Jr.	_____Yes _____No
James Cummings	_____Yes _____No	Gus Pego	_____Yes _____No
Marie Horenburger	_____Yes _____No	F. Martin Perry	_____Yes _____No
Commissioner Kristin Jacobs	_____Yes _____No		

2011 STATE LEGISLATIVE AGENDA ITEMS Exhibit I

SFRTA will be seeking support for the following items:

- Funding for regional transit projects including the Jupiter Tri-Rail Extension, Downtown Miami Tri-Rail Extension, Golden Glades Intermodal Center, Miami River Area Double Tracking and the Broward Light Rail;
- Continued support for the Florida East Coast Corridor project;
- A dedicated source of local funding for at least $50 million annually and the ability to index local fuel prices;
- Change from a super-majority to a simple majority the voting requirement at the County Commission level for providing local funding sources;
- A legislative ban on the use of text devices by public transportation operators; and
- Protecting the Florida Transportation Trust Fund.

The SFRTA will also monitor the following issues in conjunction with other stakeholders including the Florida Public Transportation Association and the Florida League of Cities:

- Transportation Disadvantaged Trust Fund protection;
- Allow the Community and State College system to levy a student transportation fee by vote of the students and Board of Trustees as now allowed by the University System;
- Funding for Medicaid Eligible transportation; and
- Growth management legislation affecting Chapter 163, transportation and land use planning.

Tracking No. 02251119 AGENDA ITEM NO. R9

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: FEBRUARY 25, 2011

AGENDA ITEM REPORT

☐ Consent ☒ Regular

TRANSIT SECURITY GRANT PROGRAM

REQUESTED ACTION:

MOTION TO APPROVE: The Federally-Funded Subgrant Agreement No. 08DS-66-13-00-20 between the South Florida Regional Transportation Authority (SFRTA) and the State of Florida, Division of Emergency Management for financial assistance for Security Initiatives in the amount of $946,462.

SUMMARY EXPLANATION AND BACKGROUND:

The U.S. Department of Homeland Security (DHS) awards grants to agencies for security initiatives, pursuant to its Transit Security Grant Program (TSGP). The goal of the program is the building and sustaining of consistent national preparedness capabilities. The State of Florida, Division of Emergency Management (DEM) is the pass-thru agency for these federal grants and therefore, the Subgrant Agreement is between SFRTA and DEM.

SFRTA was originally awarded the TSGP grant for security training. However, contrary to initial information provided to SFRTA, DHS told SFRTA that the funds could not be utilized to train its contract employees, Wackenhut. After negotiating further with DHS, the scope of work was changed and the funds were reallocated for station cameras, public safety awareness and train cameras.

Staff is requesting the Governing Board approve the Sub-Recipient Agreement between SFRTA and the Department of Emergency Management to secure $946,462 in Transit Security Grant Program funds for the SFRTA project for station cameras, public safety awareness and train cameras (see Exhibit 1).

Department: Finance and IT
Project Manager: Carla D. McKeever

Finance & IT Director: Edward T. Woods
Procurement Director: Christopher Bross

FISCAL IMPACT: This grant will increase the SFRTA's Fiscal Year 2010-2011 Capital Budget by $946,462.

EXHIBITS ATTACHED: Exhibit 1 – State of Florida, Division of Emergency Management Grant Agreement No. 11-DS-61-12-00-20

AGENDA ITEM NO. R9

TRANSIT SECURITY GRANT PROGRAM

Recommended by: E T Woods 2-18-11
Department Director Date

Approved by: _____
Contracts Director Date

Authorized by: _____ 2/4/11
Executive Director Date

Approved as to Form by: _____ 2-18-11
General Counsel Date

Board Action:

Approved: _____Yes _____No

Vote: _____ Unanimous

Amended Motion:

Commissioner Steven L. Abrams	_____Yes _____ No	Felix M. Lasarte, Esq.	_____Yes _____No
Commissioner Bruno A. Barreiro	_____Yes _____No	George A. Morgan, Jr.	_____Yes_____No
James A. Cummings	_____Yes _____No	Gus Pego, P.E.	_____Yes _____No
Marie Horenburger	_____Yes _____No	F. Martin Perry	_____Yes _____No
Commissioner Kristin Jacobs	_____Yes _____No		

Exhibit 1

Contract Number: **11-DS-61-12-00-20**
CFDA Number: **97.075**

FEDERALLY-FUNDED SUBGRANT AGREEMENT

THIS AGREEMENT is entered into by the State of Florida, Division of Emergency Management, with headquarters in Tallahassee, Florida (hereinafter referred to as the "Division"), and **South Florida Regional Transportation Authority**, (hereinafter referred to as the "Recipient").

THIS AGREEMENT IS ENTERED INTO BASED ON THE FOLLOWING REPRESENTATIONS:

A. The Recipient represents that it is fully qualified and eligible to receive these grant funds to provide the services identified herein; and

B. The Division has received these grant funds from the State of Florida, and has the authority to subgrant these funds to the Recipient upon the terms and conditions below; and

C. The Division has statutory authority to disburse the funds under this Agreement.

THEREFORE, the Division and the Recipient agree to the following:

(1) SCOPE OF WORK.

The Recipient shall perform the work in accordance with the Budget and Scope of Work, Attachment A of this Agreement.

(2) INCORPORATION OF LAWS, RULES, REGULATIONS AND POLICIES

The Recipient and the Division shall be governed by applicable State and Federal laws, rules and regulations, including those identified in Attachment B.

(3) PERIOD OF AGREEMENT.

This Agreement shall begin July 1, 2008 and shall end December 31, 2010, unless terminated earlier in accordance with the provisions of Paragraph (12) of this Agreement.

(4) MODIFICATION OF CONTRACT

Either party may request modification of the provisions of this Agreement. Changes which are agreed upon shall be valid only when in writing, signed by each of the parties, and attached to the original of this Agreement.

(5) RECORDKEEPING

(a) As applicable, Recipient's performance under this Agreement shall be subject to the federal OMB Circular No. A-102, Common Rule: Uniform Administrative Requirements for State and Local Governments" or OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations," and either OMB Circular No. A-87, "Cost Principles for State and Local Governments," OMB Circular No. A-21, "Cost Principles for Educational Institutions," or OMB Circular No. A-122, "Cost Principles for Nonprofit Organizations." If this Agreement

is made with a commercial (for-profit) organization on a cost-reimbursement basis, the Recipient shall be subject to Federal Acquisition Regulations 31.2 and 931.2.

(b) The Recipient shall retain sufficient records to show its compliance with the terms of this Agreement, and the compliance of all subcontractors or consultants paid from funds under this Agreement, for a period of five years from the date the audit report is issued, and shall allow the Division or its designee, the State Chief Financial Officer or the State Auditor General access to the records upon request. The Recipient shall ensure that audit working papers are available to them upon request for a period of five years from the date the audit report is issued, unless extended in writing by the Division. The five year period may be extended for the following exceptions:

1. If any litigation, claim or audit is started before the five year period expires, and extends beyond the five year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved.

2. Records for the disposition of non-expendable personal property valued at $5,000 or more at the time it is acquired shall be retained for five years after final disposition.

3. Records relating to real property acquired shall be retained for five years after the closing on the transfer of title.

(c) The Recipient shall maintain all records for the Recipient and for all subcontractors or consultants to be paid from funds provided under this Agreement, including documentation of all program costs, in a form sufficient to determine compliance with the requirements and objectives of the Budget and Scope of Work - Attachment A - and all other applicable laws and regulations.

(d) The Recipient, its employees or agents, including all subcontractors or consultants to be paid from funds provided under this Agreement, shall allow access to its records at reasonable times to the Division, its employees, and agents. "Reasonable" shall ordinarily mean during normal business hours of 8:00 a.m. to 5:00 p.m., local time, on Monday through Friday. "Agents" shall include, but not be limited to, auditors retained by the Division.

(6) <u>AUDIT REQUIREMENTS</u>

(a) The Recipient agrees to maintain financial procedures and support documents, in accordance with generally accepted accounting principles, to account for the receipt and expenditure of funds under this Agreement.

(b) These records shall be available at reasonable times for inspection, review, or audit by state personnel and other personnel authorized by the Department or the Division. "Reasonable" shall ordinarily mean normal business hours of 8:00 a.m. to 5:00 p.m., local time, Monday through Friday.

(c) The Recipient shall provide the Department with the records, reports or financial statements upon request for the purposes of auditing and monitoring the funds awarded under this Agreement.

(d) If the Recipient is a State or local government or a non-profit organization as defined in OMB Circular A-133, as revised, and in the event that the Recipient expends $500,000 or more in Federal awards in its fiscal year, the Recipient must have a single or program-specific audit conducted in accordance with the provisions of OMB Circular A-133, as revised. EXHIBIT 1 to this Agreement shows the Federal resources awarded through the Division by this Agreement. In determining the Federal awards expended in its fiscal year, the Recipient shall consider all sources of Federal awards, including Federal resources received from the Division. The determination of amounts of Federal awards expended should be in accordance with the guidelines established by OMB Circular A-133, as revised. An audit of the Recipient conducted by the Auditor General in accordance with the provisions of OMB Circular A-133, as revised, will meet the requirements of this paragraph.

In connection with the audit requirements addressed in this Paragraph 6 (d) above, the Recipient shall fulfill the requirements for auditee responsibilities as provided in Subpart C of OMB Circular A-133, as revised.

If the Recipient expends less than $500,000 in Federal awards in its fiscal year, an audit conducted in accordance with the provisions of OMB Circular A-133, as revised, is not required. In the event that the Recipient expends less than $500,000 in Federal awards in its fiscal year and chooses to have an audit conducted in accordance with the provisions of OMB Circular A-133, as revised, the cost of the audit must be paid from non-Federal funds.

(e) Send copies of reporting packages for audits conducted in accordance with OMB Circular A-133, as revised, and required by subparagraph (d) above, when required by Section .320 (d), OMB Circular A-133, as revised, by or on behalf of the Recipient to:
The Division at each of the following addresses:

Department of Community Affairs
Office of Audit Services
2555 Shumard Oak Boulevard
Tallahassee, Florida 32399-2100
[also send an electronic copy to aurilla.parrish@dca.state.fl.us]

and

Division of Emergency Management
Finance and Administration
2555 Shumard Oak Boulevard
Tallahassee, Florida 32399-2100

Send the Single Audit reporting package and Form SF-SAC to the Federal Audit Clearinghouse by submission online at

http://harvester.census.gov/fac/collect/ddeindex.html

And to any other Federal agencies and pass-through entities in accordance with Sections .320 (e) and (f), OMB Circular A-133, as revised.

(f) Pursuant to Section .320 (f), OMB Circular A-133, as revised, the Recipient shall send a copy of the reporting package described in Section .320 (c), OMB Circular A-133, as revised, and any management letter issued by the auditor, to the Division at the following addresses:

Department of Community Affairs
Office of Audit Services
2555 Shumard Oak Boulevard
Tallahassee, Florida 32399-2100
[also send an electronic copy to aurilla.parrish@dca.state.fl.us]

and

Division of Emergency Management
Finance and Administration
2555 Shumard Oak Boulevard
Tallahassee, Florida 32399-2100

(g) By the date due, send any reports, management letter, or other information required to be submitted to the Division pursuant to this Agreement in accordance with OMB Circular A-133, Florida Statutes, and Chapters 10.550 (local governmental entities) or 10.650 (nonprofit and for-profit organizations), Rules of the Auditor General, as applicable.

(h) Recipients should state the date that the reporting package was delivered to the Recipient when submitting financial reporting packages to the Division for audits done in accordance with OMB Circular A-133 or Chapters 10.550 (local governmental entities) or 10.650 (nonprofit and for-profit organizations), Rules of the Auditor General,

(i) If the audit shows that all or any portion of the funds disbursed were not spent in accordance with the conditions of this Agreement, the Recipient shall be held liable for reimbursement to the Division of all funds not spent in accordance with these applicable regulations and Agreement provisions within thirty days after the Division has notified the Recipient of such non-compliance.

(j) The Recipient shall have all audits completed by an independent certified public accountant (IPA), either a certified public accountant or a public accountant licensed under Chapter 473, Fla. Stat. The IPA shall state that the audit complied with the applicable provisions noted above. The audit must be received by the Division no later than nine months from the end of the Recipient's fiscal year.

(7) REPORTS

(a) The Recipient shall provide the Division with quarterly reports and a close-out report. These reports shall include the current status and progress by the Recipient and all subrecipients and subcontractors in completing the work described in the Scope of Work and the expenditure of funds under this Agreement, in addition to any other information requested by the Division.

(b) Quarterly reports are due to the Division no later than 30 days after the end of each quarter of the program year and shall be sent each quarter until submission of the administrative close-out report. The ending dates for each quarter of the program year are March 31, June 30, September 30 and December 31.

(c) The close-out report is due 60 days after termination of this Agreement or 60 days after completion of the activities contained in this Agreement, whichever first occurs.

(d) If all required reports and copies are not sent to the Division or are not completed in a manner acceptable to the Division, the Division may withhold further payments until they are completed or may take other action as stated in Paragraph (11) REMEDIES. "Acceptable to the Division" means that the work product was completed in accordance with the Budget and Scope of Work.

(e) The Recipient shall provide additional program updates or information that may be required by the Division.

(f) The Recipient shall provide additional reports and information identified in Attachment D.

(8) MONITORING.

The Recipient shall monitor its performance under this Agreement, as well as that of its subcontractors and/or consultants who are paid from funds provided under this Agreement, to ensure that time schedules are being met, the Schedule of Deliverables and Scope of Work are being accomplished within the specified time periods, and other performance goals are being achieved. A review shall be done for each function or activity in Attachment A to this Agreement, and reported in the quarterly report.

In addition to reviews of audits conducted in accordance with paragraph (6) above, monitoring procedures may include, but not be limited to, on-site visits by Division staff, limited scope audits, and/or other procedures. The Recipient agrees to comply and cooperate with any monitoring procedures/processes deemed appropriate by the Division. In the event that the Division or the Department determines that a limited scope audit of the Recipient is appropriate, the Recipient agrees to comply with any additional instructions provided by the Division or the Department to the Recipient regarding such audit. The Recipient further agrees to comply and cooperate with any inspections, reviews, investigations or audits deemed necessary by the Florida Chief Financial Officer or Auditor General. In addition, the Division will monitor the performance and financial management by the Recipient throughout the contract term to ensure timely completion of all tasks.

(9) LIABILITY

(a) Unless Recipient is a State agency or subdivision, as defined in Section 768.28, Fla. Stat., the Recipient is solely responsible to parties it deals with in carrying out the terms of this Agreement, and shall hold the Division harmless against all claims of whatever nature by third parties arising from the work performance under this Agreement. For purposes of this Agreement, Recipient agrees that it is not an employee or agent of the Division, but is an independent contractor.

(b) Any Recipient which is a state agency or subdivision, as defined in Section 768.28, Fla. Stat., agrees to be fully responsible for its negligent or tortious acts or omissions which result in claims or suits against the Division, and agrees to be liable for any damages proximately caused by the acts or omissions to the extent set forth in Section 768.28, Fla. Stat. Nothing herein is intended to serve as a waiver of sovereign immunity by any Recipient to which sovereign immunity applies. Nothing herein shall be construed as consent by a state agency or subdivision of the State of Florida to be sued by third parties in any matter arising out of any contract.

(10) DEFAULT.

If any of the following events occur ("Events of Default"), all obligations on the part of the Division to make further payment of funds shall, if the Division elects, terminate and the Division has the option to exercise any of its remedies set forth in Paragraph (11). However, the Division may make payments or partial payments after any Events of Default without waiving the right to exercise such remedies, and without becoming liable to make any further payment:

(a) If any warranty or representation made by the Recipient in this Agreement or any previous agreement with the Division is or becomes false or misleading in any respect, or if the Recipient fails to keep or perform any of the obligations, terms or covenants in this Agreement or any previous agreement with the Division and has not cured them in timely fashion, or is unable or unwilling to meet its obligations under this Agreement;

(b) If material adverse changes occur in the financial condition of the Recipient at any time during the term of this Agreement, and the Recipient fails to cure this adverse change within thirty days from the date written notice is sent by the Division.

(c) If any reports required by this Agreement have not been submitted to the Division or have been submitted with incorrect, incomplete or insufficient information;

(d) If the Recipient has failed to perform and complete on time any of its obligations under this Agreement.

(11) REMEDIES.

If an Event of Default occurs, then the Division may, after thirty calendar days written notice to the Recipient and upon the Recipient's failure to cure within those thirty days, exercise any one or more of the following remedies, either concurrently or consecutively:

(a) Terminate this Agreement, provided that the Recipient is given at least thirty days prior written notice of the termination. The notice shall be effective when placed in the United States, first class mail, postage prepaid, by registered or certified mail-return receipt requested, to the address in paragraph (13) herein;

(b) Begin an appropriate legal or equitable action to enforce performance of this Agreement;

(c) Withhold or suspend payment of all or any part of a request for payment;

(d) Require that the Recipient refund to the Division any monies used for ineligible purposes under the laws, rules and regulations governing the use of these funds.

(e) Exercise any corrective or remedial actions, to include but not be limited to:

1. request additional information from the Recipient to determine the reasons for or the extent of non-compliance or lack of performance,

2. issue a written warning to advise that more serious measures may be taken if the situation is not corrected,

3. advise the Recipient to suspend, discontinue or refrain from incurring costs for any activities in question or

4. require the Recipient to reimburse the Division for the amount of costs incurred for any items determined to be ineligible;

(f) Exercise any other rights or remedies which may be available under law.

(g) Pursuing any of the above remedies will not stop the Division from pursuing any other remedies in this Agreement or provided at law or in equity. If the Division waives any right or remedy in this Agreement or fails to insist on strict performance by the Recipient, it will not affect, extend or waive any other right or remedy of the Division, or affect the later exercise of the same right or remedy by the Division for any other default by the Recipient.

(12) TERMINATION.

(a) The Division may terminate this Agreement for cause after thirty days written notice. Cause can include misuse of funds, fraud, lack of compliance with applicable rules, laws and regulations, failure to perform on time, and refusal by the Recipient to permit public access to any document, paper, letter, or other material subject to disclosure under Chapter 119, Fla. Stat., as amended.

(b) The Division may terminate this Agreement for convenience or when it determines, in its sole discretion, that continuing the Agreement would not produce beneficial results in line with the further expenditure of funds, by providing the Recipient with thirty calendar days prior written notice.

(c) The parties may agree to terminate this Agreement for their mutual convenience through a written amendment of this Agreement. The amendment will state the effective date of the termination and the procedures for proper closeout of the Agreement.

(d) In the event that this Agreement is terminated, the Recipient will not incur new obligations for the terminated portion of the Agreement after the Recipient has received the notification of termination. The Recipient will cancel as many outstanding obligations as possible. Costs incurred after receipt of the termination notice will be disallowed. The Recipient shall not be relieved of liability to the Division because of any breach of Agreement by the Recipient. The Division may, to the extent authorized by law, withhold payments to the Recipient for the purpose of set-off until the exact amount of damages due the Division from the Recipient is determined.

(13) NOTICE AND CONTACT.

(a) All notices provided under or pursuant to this Agreement shall be in writing, either by hand delivery, or first class, certified mail, return receipt requested, to the representative named below, at the address below, and this notification attached to the original of this Agreement.

(b) The name and address of the Division contract manager for this Agreement is:

Karen R. Lyons
2555 Shumard Oak Blvd
Tallahassee, Florida 32399-2100
Telephone: (850) 413-9821
Fax: (850) 488-7842
Email: Karen.Richardson@em.myflorida.com

(c) The name and address of the Representative of the Recipient responsible for the administration of this Agreement is:

_____
_____
_____
Telephone:_____
Fax:_____
Email:_____

(d) In the event that different representatives or addresses are designated by either party after execution of this Agreement, notice of the name, title and address of the new representative will be provided as outlined in (13)(a) above.

(14) SUBCONTRACTS

If the Recipient subcontracts any of the work required under this Agreement, a copy of the unsigned subcontract must be forwarded to the Division for review and approval before it is executed by the Recipient. The Recipient agrees to include in the subcontract that (i) the subcontractor is bound by the terms of this Agreement, (ii) the subcontractor is bound by all applicable state and federal laws and regulations, and (iii) the subcontractor shall hold the Division and Recipient harmless against all claims of whatever nature arising out of the subcontractor's performance of work under this Agreement, to the extent allowed and required by law. The Recipient shall document in the quarterly report the subcontractor's progress in performing its work under this Agreement.

For each subcontract, the Recipient shall provide a written statement to the Division as to whether that subcontractor is a minority vendor, as defined in Section 288.703, Fla. Stat.

(15) TERMS AND CONDITIONS

This Agreement contains all the terms and conditions agreed upon by the parties.

(16) ATTACHMENTS

(a) All attachments to this Agreement are incorporated as if set out fully.

(b) In the event of any inconsistencies or conflict between the language of this Agreement and the attachments, the language of the attachments shall control, but only to the extent of the conflict or inconsistency.

(c) This Agreement has the following attachments:

Exhibit 1 - Funding Sources

Attachment A – Budget and Scope of Work

Attachment B – Program Statutes, Regulations and Special Conditions

Attachment C – Justification of Advance

Attachment D– Warranties and Representations

Attachment E – Certification Regarding Debarment

Attachment F – Statement of Assurances

(17) FUNDING/CONSIDERATION

(a) This is a cost-reimbursement Agreement. The Recipient shall be reimbursed for costs incurred in the satisfactory performance of work hereunder in an amount not to exceed **$946,462** subject to the availability of funds.

(b) Any advance payment under this Agreement is subject to Section 216.181(16), Fla.Stat., and is contingent upon the Recipient's acceptance of the rights of the Division under Paragraph (12)(b) of this Agreement. The amount which may be advanced may not exceed the expected cash needs of the Recipient within the first three months of the contract term. For a federally funded contract, any advance payment is also subject to federal OMB Circulars A-87, A-110, A-122 and the Cash Management Improvement Act of 1990. If an advance payment is requested below, the budget data on which the request is based and a justification statement shall be included in this Agreement as Attachment C. Attachment C will specify the amount of advance payment needed and provide an explanation of the necessity for and proposed use of these funds.

_____ An advance payment of $_____ is requested

(c) After the initial advance, if any, payment shall be made on a reimbursement basis as needed. The Recipient agrees to expend funds in accordance with the Budget and Scope of Work, Attachment A of this Agreement.

If the necessary funds are not available to fund this Agreement as a result of action by the United States Congress, the federal Office of Management and Budgeting, the State Chief Financial Officer or under subparagraph (19)(h) of this Agreement, all obligations on the part of the Division to make any further payment of funds shall terminate, and the Recipient shall submit its closeout report within thirty days of receiving notice from the Division.

(18) REPAYMENTS

All refunds or repayments due to the Division under this Agreement are to be made payable to the order of "Department of Community Affairs", and mailed directly to the following address:

Department of Community Affairs
Cashier
Fiscal Management
2555 Shumard Oak Boulevard
Tallahassee FL 32399-2100

In accordance with Section 215.34(2), Fla. Stat., if a check or other draft is returned to the Division for collection, Recipient shall pay the Division a service fee of $15.00 or 5% of the face amount of the returned check or draft, whichever is greater.

(19) MANDATED CONDITIONS

(a) The validity of this Agreement is subject to the truth and accuracy of all the information, representations, and materials submitted or provided by the Recipient in this Agreement, in any later submission or response to a Division request, or in any submission or response to fulfill the requirements of this Agreement. All of said information, representations, and materials is incorporated by reference. Additional special conditions are listed on Attachment B. The inaccuracy of the submissions or any material changes shall, at the option of the Division and with thirty days written notice to the Recipient, cause the termination of this Agreement and the release of the Division from all its obligations to the Recipient.

(b) This Agreement shall be construed under the laws of the State of Florida, and venue for any actions arising out of this Agreement shall be in the Circuit Court of Leon County. If any provision of this Agreement is in conflict with any applicable statute or rule, or is unenforceable, then the provision shall be null and void to the extent of the conflict, and shall be severable, but shall not invalidate any other provision of this Agreement.

(c) Any power of approval or disapproval granted to the Division under the terms of this Agreement shall survive the term of this Agreement.

(d) This Agreement may be executed in any number of counterparts, any one of which may be taken as an original.

(e) The Recipient agrees to comply with the Americans With Disabilities Act (Public Law 101-336, 42 U.S.C. Section 12101 et seq.), which prohibits discrimination by public and private entities on the basis of disability in employment, public accommodations, transportation, State and local government services, and telecommunications.

(f) Those who have been placed on the convicted vendor list following a conviction for a public entity crime or on the discriminatory vendor list may not submit a bid on a contract to provide any goods or services to a public entity, may not submit a bid on a contract with a public entity for the construction or repair of a public building or public work, may not submit bids on leases of real property to a public entity, may not be awarded or perform work as a contractor, supplier, subcontractor, or

consultant under a contract with a public entity, and may not transact business with any public entity in excess of $25,000.00 for a period of 36 months from the date of being placed on the convicted vendor list or on the discriminatory vendor list.

(g) Any Recipient which is not a local government or state agency, and which receives funds under this Agreement from the federal government, certifies, to the best of its knowledge and belief, that it and its principals:

1. are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by a federal department or agency;

2. have not, within a five-year period preceding this proposal been convicted of or had a civil judgment rendered against them for fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

3. are not presently indicted or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any offenses enumerated in paragraph 19(g)2. of this certification; and

4. have not within a five-year period preceding this Agreement had one or more public transactions (federal, state or local) terminated for cause or default.

If the Recipient is unable to certify to any of the statements in this certification, then the Recipient shall attach an explanation to this Agreement.

In addition, the Recipient shall send to the Division (by email or by facsimile transmission) the completed "Certification Regarding Debarment, Suspension, Ineligibility And Voluntary Exclusion" (Attachment E) for each intended subcontractor which Recipient plans to fund under this Agreement. The form must be received by the Division before the Recipient enters into a contract with any subcontractor.

(h) The State of Florida's performance and obligation to pay under this Agreement is contingent upon an annual appropriation by the Legislature, and subject to any modification in accordance with Chapter 216, Fla. Stat. or the Florida Constitution.

(i) All bills for fees or other compensation for services or expenses shall be submitted in detail sufficient for a proper preaudit and postaudit thereof.

(j) Any bills for travel expenses shall be submitted in accordance with Section 112.061, Fla. Stat.

(k) The Division reserves the right to unilaterally cancel this Agreement if the Recipient refuses to allow public access to all documents, papers, letters or other material subject to the provisions of Chapter 119, Fla. Stat., which the Recipient created or received under this Agreement.

(l) If the Recipient is allowed to temporarily invest any advances of funds under this Agreement, any interest income shall either be returned to the Division or be applied against the Division's obligation to pay the contract amount.

(m) The State of Florida will not intentionally award publicly-funded contracts to any contractor who knowingly employs unauthorized alien workers, constituting a violation of the employment provisions contained in 8 U.S.C. Section 1324a(e) [Section 274A(e) of the Immigration and Nationality Act ("INA")]. The Division shall consider the employment by any contractor of unauthorized aliens a violation of Section 274A(e) of the INA. Such violation by the Recipient of the employment provisions contained in Section 274A(e) of the INA shall be grounds for unilateral cancellation of this Agreement by the Division.

(n) The Recipient is subject to Florida's Government in the Sunshine Law (Section 286.011, Fla. Stat.) with respect to the meetings of the Recipient's governing board or the meetings of any subcommittee making recommendations to the governing board. All of these meetings shall be publicly noticed, open to the public, and the minutes of all the meetings shall be public records, available to the public in accordance with Chapter 119, Fla. Stat.

(o) All unmanufactured and manufactured articles, materials and supplies which are acquired for public use under this Agreement must have been produced in the United States as required under 41 U.S.C. 10a, unless it would not be in the public interest or unreasonable in cost.

(20) LOBBYING PROHIBITION

(a) No funds or other resources received from the Division under this Agreement may be used directly or indirectly to influence legislation or any other official action by the Florida Legislature or any state agency.

(b) The Recipient certifies, by its signature to this Agreement, that to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the Recipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan or cooperative agreement.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan or cooperative agreement, the Recipient shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying."

3. The Recipient shall require that this certification be included in the award documents for all subawards (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

(21) COPYRIGHT, PATENT AND TRADEMARK

ANY AND ALL PATENT RIGHTS ACCRUING UNDER OR IN CONNECTION WITH THE PERFORMANCE OF THIS AGREEMENT ARE HEREBY RESERVED TO THE STATE OF FLORIDA. ANY AND ALL COPYRIGHTS ACCRUING UNDER OR IN CONNECTION WITH THE PERFORMANCE OF THIS AGREEMENT ARE HEREBY TRANSFERRED BY THE RECIPIENT TO THE STATE OF FLORIDA.

(a) If the Recipient has a pre-existing patent or copyright, the Recipient shall retain all rights and entitlements to that pre-existing patent or copyright unless the Agreement provides otherwise.

(b) If any discovery or invention is developed in the course of or as a result of work or services performed under this Agreement, or in any way connected with it, the Recipient shall refer the discovery or invention to the Division for a determination whether the State of Florida will seek patent protection in its name. Any patent rights accruing under or in connection with the performance of this Agreement are reserved to the State of Florida. If any books, manuals, films, or other copyrightable material are produced, the Recipient shall notify the Division. Any copyrights accruing under or in connection with the performance under this Agreement are transferred by the Recipient to the State of Florida.

(c) Within thirty days of execution of this Agreement, the Recipient shall disclose all intellectual properties relating to the performance of this Agreement which he or she knows or should know could give rise to a patent or copyright. The Recipient shall retain all rights and entitlements to any pre-existing intellectual property which is disclosed. Failure to disclose will indicate that no such property exists. The Division shall then, under Paragraph (b), have the right to all patents and copyrights which accrue during performance of the Agreement.

(22) LEGAL AUTHORIZATION.

The Recipient certifies that it has the legal authority to receive the funds under this Agreement and that its governing body has authorized the execution and acceptance of this Agreement. The Recipient also certifies that the undersigned person has the authority to legally execute and bind Recipient to the terms of this Agreement.

(23) ASSURANCES.

The Recipient shall comply with any Statement of Assurances incorporated as Attachment F.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement.

RECIPIENT:

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY

By:_____

Name and title: _____

Date:_____

FID# _____

STATE OF FLORIDA

DIVISION OF EMERGENCY MANGEMENT

By:_____

Name and Title: David Halstead, Director

Date:_____

EXHIBIT – 1

THE FOLLOWING FEDERAL RESOURCES ARE AWARDED TO THE RECIPIENT UNDER THIS AGREEMENT:

NOTE: If the resources awarded to the Recipient are from more than one Federal program, provide the same information shown below for each Federal program and show total Federal resources awarded.

Federal Program

Federal agency: **US Department of Homeland Security**

Catalog of Federal Domestic Assistance title and number: **97.075**

Award amount: **$946,462**

THE FOLLOWING COMPLIANCE REQUIREMENTS APPLY TO THE FEDERAL RESOURCES AWARDED UNDER THIS AGREEMENT:

NOTE: If the resources awarded to the Recipient represent more than one Federal program, list applicable compliance requirements for each Federal program in the same manner as shown below.

Federal Program:

List applicable compliance requirements as follows:

1. Recipient is to use funding to perform the following eligible activities as identified in the United States Department of Homeland Security, Federal Emergency Management Agency, National Preparedness Directorate Fiscal Year 2009-10 State Homeland Security Grant Program (SHSGP), consistent with the Department of Homeland Security State Strategy.

2. Recipient is subject to all administrative and financial requirements as set forth in this Agreement, or will be in violation of the terms of the Agreement.

NOTE: Section .400(d) of OMB Circular A-133, as revised, and Section 215.97(5)(a), Florida Statutes, require that the information about Federal Programs and State Projects included in Exhibit 1 be provided to the Recipient.

Attachment A

Budget and Scope of Work

Proposed Program Budget

Below is a general budget which outlines eligible categories and their allocation under this award. The recipient is to utilize the "Proposed Program Budget" as a guide for completing the "Budget Detailed Worksheet" below.

Grant	Recipient Agency	Category	Amount Allocated
FY 2007 IPP-Transit Security Grant Program – Phase 1	South Florida Regional Transportation Authority	Train Cameras	$427,234.10
		Public Security Awareness Training	$100,000.00
		Station Cameras	$419,227.90
Total Award		$946,462.00	

BUDGET DETAILED WORKSHEET

The recipient is required to provide a completed budget detailed worksheet to the Division, which accounts for the total award as described in the Proposed Program Budget".

If any changes need to be made to the Budget Detailed Worksheet after the execution of this agreement, contact the grant manager listed in this agreement via email or letter.

Budget Detail Worksheet – TSGP Eligible Activities			
Allowable Training Costs	**Quantity**	**Unit Cost**	**Total Cost**
Training workshops and conferences			
Certain full or part-time staff or contractors/consultants			
Overtime and backfill costs			
Travel			
Supplies – items that are expended or consumed during the course of the planning and conduct of the training project(s) (e.g., copying paper, gloves, tape, and non-sterile masks)			
Other Items – These costs include rental of space/locations for planning and conducting training, badges, etc.			
Eligible Equipment Acquisition Costs The table below highlights the allowable equipment categories for this award. A comprehensive listing of these allowable equipment categories, and specific equipment eligible under each category, can be found on the web-based Authorized Equipment List at: http://www.rkb.us - Click on "Authorized Equipment List (AEL) - If you wish to purchase a piece of equipment from any category below, then, in the space given below that category, put the "AEL item number" and "title"	**Quantity**	**Unit Cost**	**Total Cost**
Personal protection equipment			
Explosive device mitigation and remediation equipment			
CBRNE operational search and rescue equipment, logistical support equipment, reference materials or incident response vehicles, including response watercraft			
Information technology			
Cyber security enhancement equipment			
Interoperable communications equipment			
Detection equipment			
Decontamination equipment			
Medical supplies and limited pharmaceuticals			

Power equipment			
Terrorism incident prevention equipment			
Physical security enhancement equipment			
		TOTAL	

Scope of Work

I. CATEGORIES AND ELIGIBLE ACTIVITIES

Funding is provided to perform eligible activities as identified in the Domestic Homeland Security-Federal Emergency Management National Preparedness Directorate Fiscal Year 2007 Transit security Grant Program (TSGP), consistent with the Department of Homeland Security State Strategy, the Recipient's risk-based Security and Emergency Preparedness Plan, and Regional Transit Security Strategy.

FY 2007 TSGP allowable costs are for Training and Equipment. The allowable costs have been listed in the Budget Detail Worksheet above.

A. Training

- **Training workshops and conferences:** Training costs may be used to plan and conduct training workshops ort conferences to include costs related to planning, meeting space and the meeting costs, facilitation costs, materials and supplies, travel and training development.
- **Certain full or part-time staff and contractors or consultants:** Full or part-time staff may be hired to support training-related activities. The services of contractors or consultants may also be procured by the State in the design, development, conduct and evaluation of CBRNE training. The applicant's formal written procurement policy or the Federal Acquisition Regulations must be followed.
- **Overtime and backfill costs:** Payment of overtime expenses will be for work performed by award (SAA) or sub-award employees in excess of the established work week (usually 40 hours). Further, overtime payments and backfill costs associated with the sending personnel to training are allowed only to the extent the payment for such services is in accordance with the policies of the State or units of local government and has the approval of the state or awarding agency, whichever is applicable. In no case is dual compensation allowable. That is, an employee of a unit of government AND from an award for a single period of time (e.g. 1:00pm to 5:00 pm) even though such work may benefit for both activities. Fringe benefits on overtime hours are limited to Federal Insurance Contributions Act, Workers' Compensation and Unemployment Compensation.
- **Travel:** Travel costs (e.g., airfare, mileage, per diem, hotel) are allowable as expenses by employees who are on travel status for official business related to the planning and conduct of the training project(s) or for attending DHS-approved courses or DHS-sponsored technical assistance programs. These costs must be in accordance with state law as highlighted in the Office of Grant Operations (OGO) Financial Management Guide. For further information on Federal law pertaining to travel costs, please refer to the OGO Financial Management Guide, available at: http://www.dhs.gov/xlibrary/asststs/Grants_FinancialManagementGuide.pdf.
- **Supplies:** Supplies are items that are expended or consumed during the course of the planning and conduct of the training project(s) (e.g., copying paper, gloves, tape, and non-sterile masks).
- **Other items:** These costs may include the rental of space/locations for planning and conducting training, badges, and similar materials.

B. Equipment Acquisition Costs.

FY07 TSGP funds may be used for the following categories of equipment. A comprehensive listing of allowable equipment categories and types is found on the web-based Authorized Equipment List (AEL) on the Responder Knowledge Base (RKB) at *http://www.rkb.mipt.org/ael.cfm.* These costs include:

- Personal protection equipment
- Explosive device mitigation and remediation equipment
- CBRNE operational search and rescue equipment, logistical support equipment, reference materials or incident response vehicles, including response watercraft
- Information technology
- Cyber security enhancement equipment
- Interoperable communications equipment
- Detection equipment
- Decontamination equipment
- Medical supplies and limited pharmaceuticals
- Power equipment
- Terrorism incident prevention equipment
- Physical security enhancement equipment

Unless otherwise noted, equipment must be certified that it meets required regulatory and/or DHS-adopted standards to be eligible for purchase using these funds. Equipment must comply with the OSHA requirement for certification of electrical equipment by a nationally recognized testing laboratory, and demonstrate compliance with relevant DHS-adopted standards through a supplier's declaration of conformity with appropriate supporting data and documentation per ISO/IEC 17050, parts 1 and 2. In addition, agencies must have all necessary certifications and licenses for the requested equipment, as appropriate prior to the request.

A list of applicable standards is found at the following website: *http://rkb.mipt.org.* DHS adopted standards are found at *http://www.dhs.gov/xfrstresp/standards/ editorial_0420.shtm.*

C. Unauthorized Expenditures

A. Expenditures for items such as general-use software (word processing, spreadsheet, graphics, etc.)
B. General-use computers and related equipment (other than for allowable M&A activities, or otherwise associated preparedness and response functions)
C. General-use vehicles
D. Licensing fees
E. Weapons systems and ammunition
F. Personnel costs (except as detailed above)
G. Activities unrelated to the completion and implementation of the TSGP

II. NATIONAL PREPAREDNESS INITIATIVES

A. National Incident Management System (NIMS)

NIMS provides a consistent nationwide approach for federal, state, territorial, tribal, and local governments to work effectively and efficiently together to prepare for, prevent, respond to, and recover from domestic incidents, regardless of cause, size or complexity.

1. Minimum FY 2007 NIMS Compliance Requirements

- Incorporating NIMS into existing training programs and exercises;
- Ensuring that federal preparedness funding supports NIMS implementation (in accordance with the eligibility and allowable uses of the grants);
- Incorporating NIMS into emergency operations planning;
- Promotion of mutual aid agreements; and,
- Institutionalizing the use of the Incident Command System (ICS).

2. Transit Systems should support NIMS implementation by:

- Having relevant personnel complete the NIMS Awareness Course: "National Incident Management System (NIMS), An Introduction" IS 700. This independent study course is available on-line and will take between forty-five minutes to three hours to complete. The course is available on the Emergency Management Institute web page at: http://training.fema.gov/EMIWeb/IS/is700.asp

- **Formally recognizing NIMS and adopting NIMS principles and policies.** The NIC will provide sample language and templates to assist in formally adopting NIMS through legislative and/or executive/administrative means.

- **Establish a NIMS baseline by determining which NIMS requirements are already satisfied.** The NIC is developing a web-based self-assessment system, the NIMS Capability Assessment Support Tool (NIMCAST) to evaluate their incident response and management capabilities. The NIC is currently piloting the NIMCAST with a limited number of states. Upon completion of the pilot, the NIC will provide all potential future users with voluntary access to the system. Additional information about the NIMCAST tool will be provided later this year.

- **Establishing a timeframe and developing a strategy for full NIMS implementation.** Transit systems are encouraged to achieve full NIMS implementation during FY 2007. To the extent that full implementation is not possible during FY 2007, federal preparedness assistance must be leveraged to complete NIMS implementation in FY 2007.

- **Institutionalizing the use of the ICS.** Transit systems that are not already using ICS, must institutionalize the use of ICS (consistent with the concepts and principles taught by DHS) across the entire response system.

B. National Preparedness System (NPS)

The NPS provides a tool to assist jurisdictions, agencies, and organizations at all levels to plan for, assess, and track capabilities in a shared environment. It integrates various efforts to provide the comprehensive picture of preparedness and progress toward achieving the Goal. Recipients are responsible for/and required to report data into this developing system.

III. REPORTING REQUIREMENTS

A. **Quarterly Programmatic Reporting:**
The Quarterly Programmatic Report is due within 30 days after the end of the reporting periods (March 31, June 30, September 30 and December 31) for the life of this contract. If a report(s) is delinquent, future financial reimbursements will be withheld until the recipient's reporting is current.

Programmatic Reporting Schedule

Reporting Period	Report due to DEM no later than
January 1 through March 31	April 30
April 1 through June 30	July 31
July 1 through September 30	October 31
October 1 through December 31	January 31

Biannual Strategic Implementation Report:
After the end of each reporting period, for the life of the contract unless directed otherwise but the SAA, the recipient will complete their Biannual Strategic Implementation Report in the Grants Reporting Tool (GRT) https://www.reporting.odp.dhs.gov. The reporting periods are January 1-June 30 and July 1-December 31. Data entry is scheduled for December 1 and June 1 respectively. Future awards and reimbursement may be withheld if these reports are delinquent.

B. **Reimbursement Requests:**
A request for reimbursement may be sent to your grant manager for review and approval at anytime during the contract period. The Recipient should include the category's corresponding line item number in the "Detail of Claims" form. This number can be found in the "Proposed Program Budget". A line item number is to be included for every dollar amount listed in the "Detail of Claims" form.

C. **Close-out Programmatic Reporting:**
The Close-out Report is due to the Florida Division of Emergency Management no later than 60 calendar days after the agreement is either completed or the agreement has expired.

II. **Monitoring:**

Florida Division of Emergency Management
US Department of Homeland Security Grants Program
Grant Monitoring Process

Florida has enhanced the state and local capability and capacity to prevent, prepare and respond to terrorist threats since 1999 through various funding sources including federal grant funds. As the steward of the State Homeland Security Grant Program funds, projects and equipment the Florida Division of Emergency Management (FDEM) has a responsibility to track and monitor the status of the grant activity and items purchased.

The monitoring process detailed in this document is designed to assess a recipient agency's compliance with applicable state and federal guidelines. The FDEM is responsible for monitoring the financial, programmatic and capability portion of the grant to include equipment procurement and compliance with applicable SHSGP grant guidance and statutory regulations.

Monitoring is accomplished utilizing various methods including desk monitoring and on-site visits. There are two primary areas reviewed during monitoring activities - financial and programmatic/capability. Financial monitoring is the review of records associated with the purchase and disposition of property, projects and contracts. Capability review is the observation of equipment purchased, protocols and other associated records. Various levels of financial and programmatic review may be accomplished during this process.

Desk monitoring is defined as the review of projects, financial activity and technical assistance between the program office and the applicant via e-mail and telephone. Site visits are defined as actual visits to the recipient agency's location by a team or members of the FDEM or their designee, to actually observe records, procedures and equipment.

Frequency of annual monitoring activity:
Each year the FDEM will identify up to 50% of sub-grantees for site visit monitoring. It is important to note that although a given grant has been closed successfully, it is still subject to either desk or on-site monitoring for a five year period following closure.

Examples of areas that may be examined include:
Management and administrative procedures
Grant folder maintenance
Equipment accountability and sub-hand receipt procedures
Program for obsolescence
Status of equipment purchases
Status of training for purchased equipment
Status and number of response trainings conducted to include number trained
Status and number of exercises
Status of planning activity
Anticipated projected completion
Specific difficulties completing the project.
Agency NIMS/ICS compliance documentation

In certain circumstances, the FDEM may request additional monitoring/information if the activity, or lack there of, on the part of the specific recipient has generated questions from the region, the sponsoring state agency or the FDEM leadership. The method of gathering this information will be determined on a case-by-case basis.

Desk monitoring is an on-going process. Agency recipients will be required to participate in desk top monitoring on an annual basis and as determined by the FDEM. The agency recipients will complete and submit the desk top monitoring within 14 business calendar days of receipt. This contact will provide an opportunity to identify the need for technical assistance (TA) and/or a site visit if the FDEM determines that a recipient is having difficulty completing their project.

As difficulties/deficiencies are identified, the respective region or sponsoring agency will be notified by the program office via email. Information will include the grant recipient agency name, year and project description and the nature of the issue in question. Many of the issues that arise may be resolved at the regional or sponsoring agency level. Issues that require further TA will be referred to the FDEM for assistance. Examples of TA include but are not limited to:

- Equipment selection or available vendors
- Eligibility of items or services
- Coordination and partnership with other agencies within or outside the region or discipline

Site Visits
Site visits will be conducted by the FDEM or designated personnel. Site visits will be scheduled in advance with the recipient agency POC designated in the grant agreement. Monitoring questionnaires will be provided in advance of the visit.

The FDEM will also conduct coordinated financial and grant file monitoring. These monitoring visits will be coordinated with the capability review visits. Subject matter experts from other agencies within the region or state may be called upon to assist in the form of a peer review as needed.

All findings related to the capability review will be documented and maintained within the FDEM.

Site Visit Protocol
The following outlines the monitoring protocol for the FDEM:

The site visits will begin with those grantees that are currently spending or have completed spending for that federal fiscal year (FFY). Site visits may be combined when geographically convenient. There is a site visit checklist to assist in the completion of all required tasks.

Site Visit Preparation
A letter will be sent to the recipient agency Point of Contact (POC) stating the purpose of the site visit at least 30 calendar days before the planned arrival date. FDEM personnel will call within the next 10 calendar days to schedule an appointment to review the grantee's program.

The physical location of any equipment located at an alternate site should be confirmed with a representative from that location and the address should be documented in the grantee folder before the site visit.

The appointment should be confirmed with the grantee in writing (email is acceptable) and documented in the grantee folder.

Any personnel from the FDEM attending the site visit will review the grantee's corresponding folder(s) before the visit. Prior to the visit, individual roles will be identified for the site visit. Copies of applicable documents will be made and distributed to the site visit team at a minimum of five (5) calendar days before the visit. A reminder e-mail should be sent to all team members and the recipient POC one business day in advance of the site visit.

Site Visit
Once FDEM personnel have arrived at the site, an orientation conference will be conducted. During this time, the purpose of the site visit and the items FDEM intends to examine will be identified. If financial monitoring visit will be conducted, they will then explain their objectives and will proceed to perform the financial review.

FDEM personnel will review all files and supporting documentation. Once the supporting documentation has been reviewed, a tour/visual/spot inspection of equipment will be conducted.

Each item should be visually inspected whenever possible. Bigger items (computers, response vehicles, etc.) should have an asset decal (information/serial number) placed in a prominent location on each piece of equipment as per recipient agency requirements. The serial number should correspond with the appropriate receipt to confirm purchase. Photographs should be taken of the equipment (large capitol expenditures in excess of $1,000. per item).

If an item is not available (being used during time of the site visit), the appropriate documentation must be provided to account for that particular piece of equipment. Once the tour/visual/spot inspection of equipment has been completed, the FDEM personnel will then conduct an exit conference with the grantee to review the findings.

Other programmatic issues can be discussed at this time, such as missing quarterly reports, payment voucher/reimbursement, equipment, questions, etc.

Post Site Visit
FDEM personnel will review the site visit worksheet as a team and receive notes from the Financial Review Team, if applicable.

Within 30 calendar days of the site visit, a monitoring report will be generated and sent to the grantee explaining any issues and corrective actions required or recommendations. Should no issues or findings be identified, a monitoring report to that effect will be generated and sent to the grantee. The grantee will submit a Corrective Action Plan within a timeframe as determined by the FDEM. The Site Visit Worksheet, report and photographs will then be included in the grantee's file along with any documents distributed at the site visit by the grantee.

B. Programmatic Point of Contact

Contractual Point of Contact	Programmatic Point of Contact
Karen R. Lyons FDEM 2555 Shumard Oak Blvd. Tallahassee, FL 32399-2100 (850) 413-9821 Karen.Richardson@em.myflorida.com	Andrea Becraft FDEM 2555 Shumard Oak Blvd. Tallahassee, FL 32399-2100 (850) 410-3457 Andrea.Becraft@em.myflorida.com

C. Contractual Responsibilities

- The FDEM shall determine eligibility of projects and approve changes in scope of work.
- The FDEM shall administer the financial processes.

Attachment B

Program Statutes, Regulations and Special Conditions

1) 53 Federal Register 8034
2) Section 1352, Title 31, US Code
3) Chapter 473, Florida Statutes
4) Chapter 215, Florida Statutes
5) E.O. 12372 and Uniform Administrative Requirements for Grants and Cooperative Agreements 28 CFR, Part 66, Common rule,
6) Uniform Relocation Assistance and Real Property Acquisitions Act of 1970
7) Section 102(a) of the Flood Disaster Protection Act of 1973, Public Law 93-234, 87 Stat. 975
8) Section 106 of the National Historic Preservation Act of 1966 as amended (16 USC 470), Executive Order 11593
9) Archeological and Historical Preservation Act of 1966 (16 USC 569a-1 et seq.)
10) Title I of the Omnibus Crime Control and Safe Streets Act of 1968,
11) Juvenile Justice and Delinquency Prevention Act, or the Victims of Crime Act
12) 28 CFR applicable to grants and cooperative agreements
13) Omnibus Crime Control and Safe Streets Act of 1968, as amended,
14) 42 USC 3789(d), or Victims of Crime Act (as appropriate);
15) Title VI of the Civil Rights Act of 1964, as amended;
16) Section 504 of the Rehabilitation Act of 1973, as amended;
17) Subtitle A, Title II of the Americans with Disabilities Act (ADA) (1990);
18) Title IX of the Education Amendments of 1972;
19) Age Discrimination Act of 1975; Department of Justice Non-Discrimination Regulations,
20) 28 CFR Part 42, Subparts C,D,E, and G
21) Department of Justice regulations on disability discrimination, 28 CFR Part 35 and Part 39

Special Conditions

1. The grantee and any subgrantee shall comply with the most recent version of the Administrative Requirements, Cost Principles, and Audit Requirements. A non-exclusive list of regulations commonly applicable to Department of Homeland Security grants are listed below:

   A. Administrative Requirements
   - 44 CFR Part 13, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments
   - 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations (OMB Circular A-110)

   B. Cost Principles
   - 2 CFR Part 225, Cost Principles for State, Local and Indian Tribal Governments (OMB Circular A-87)
   - 2 CFR Part 220, Cost Principles for Educational Institutions (OMB Circular A-21)
   - 2 CFR Part 230, Cost Principles for Non-Profit Organizations (OMB Circular A-122)
   - Federal Acquisition Regulations (FAR), Part 31.2 Contract Cost Principles and Procedures, Contracts with Commercial Organizations

C. Audit Requirements

- OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations

2. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of the enactment, repeal, modification or adoption of any law, regulation or policy, at any level of government, without the express prior written approval of FEMA.

3. The recipient agrees that all allocations and use of funds under this grant will be in accordance with the FY 2009 Homeland Security Grant Program guidance and application kit.

4. The recipient shall not undertake any project having the potential to impact Environmental or Historical Preservation (EHP) resources without the prior approval of FEMA, including but not limited to communications towers, physical security enhancements, new construction, and modifications to buildings, structures and objects that are 50 years old or greater. Recipient must comply with all conditions placed on the project as the result of the EHP review. Any change to the approved project scope of work will require re-evaluation for compliance with these EHP requirements. If ground disturbing activities occur during project implementation, the recipient must ensure monitoring of ground disturbance, and if any potential archeological resources are discovered, the recipient will immediately cease construction in that area and notify FEMA and the appropriate State Historic Preservation Office. Any construction activities that have been initiated without the necessary EHP review and approval will result in a non-compliance finding and will not be eligible for FEMA funding.

5. Each State/UASI jurisdiction will contribute in full any cost share amount as submitted in the Investment Justification.

Attachment C

JUSTIFICATION OF ADVANCE PAYMENT

RECIPIENT:

Indicate by checking one of the boxes below, if you are requesting an advance. If an advance payment is requested, budget data on which the request is based must be submitted. Any advance payment under this Agreement is subject to s. 216.181(16), Florida Statutes. The amount which may be advanced shall not exceed the expected cash needs of the Recipient within the initial three months of the Agreement.

[ ] **NO ADVANCE REQUESTED**

No advance payment is requested. Payment will be solely on a reimbursement basis. No additional information is required.

[ ] **ADVANCE REQUESTED**

Advance payment of $ _____ is requested. Balance of payments will be made on a reimbursement basis. These funds are needed to pay staff, award benefits to clients, duplicate forms and purchase start-up supplies and equipment. We would not be able to operate the program without this advance.

ADVANCE REQUEST WORKSHEET

If you are requesting an advance, complete the following worksheet

	DESCRIPTION	(A) FFY	(B) FFY	(C) FFY	(D) Total
1	INITIAL CONTRACT ALLOCATION				
2	FIRST THREE MONTHS CONTRACT EXPENDITURES[1]				
3	AVERAGE PERCENT EXPENDED IN FIRST THREE MONTHS (Divide line 2 by line 1.)				

[1] First three months expenditures need only be provided for the years in which you requested an advance. If you do not have this information, call your consultant and he or she will assist you.

MAXIMUM ADVANCE ALLOWED CALULATION:

_____ X $ _____ = _____

Cell D3 — DEM Award (Do not include any match) — MAXIMUM ADVANCE

REQUEST FOR WAIVER OF CALCULATED MAXIMUM

[ ] **Recipient has no previous DCA/DEM contract history. Complete Estimated Expenses chart and Explanation of Circumstances below.**

[ ] **Recipient has exceptional circumstances that require an advance greater than the Maximum Advance calculated above.**

Complete Estimated Expenses chart and Explanation of Circumstances below. Attach additional pages if needed.

ESTIMATED EXPENSES

BUDGET CATEGORY	2009-2010 Anticipated Expenditures for First Three Months of Contract
ADMINISTRATIVE COSTS (Include Secondary Administration.)	
PROGRAM EXPENSES	
TOTAL EXPENSES	

Explanation of Circumstances:

Attachment D

Warranties and Representations

Financial Management

Recipient's financial management system must include the following:

(1) Accurate, current and complete disclosure of the financial results of this project or program

(2) Records that identify the source and use of funds for all activities. These records shall contain information pertaining to grant awards, authorizations, obligations, unobligated balances, assets, outlays, income and interest.

(3) Effective control over and accountability for all funds, property and other assets. Recipient shall safeguard all assets and assure that they are used solely for authorized purposes.

(4) Comparison of expenditures with budget amounts for each Request For Payment. Whenever appropriate, financial information should be related to performance and unit cost data.

(5) Written procedures to determine whether costs are allowed and reasonable under the provisions of the applicable OMB cost principles and the terms and conditions of this Agreement.

(6) Cost accounting records that are supported by backup documentation.

Competition

All procurement transactions shall be done in a manner to provide open and free competition. The Recipient shall be alert to conflicts of interest as well as noncompetitive practices among contractors that may restrict or eliminate competition or otherwise restrain trade. In order to ensure excellent contractor performance and eliminate unfair competitive advantage, contractors that develop or draft specifications, requirements, statements of work, invitations for bids and/or requests for proposals shall be excluded from competing for such procurements. Awards shall be made to the bidder or offeror whose bid or offer is responsive to the solicitation and is most advantageous to the Recipient, considering the price, quality and other factors. Solicitations shall clearly set forth all requirements that the bidder or offeror must fulfill in order for the bid or offer to be evaluated by the Recipient. Any and all bids or offers may be rejected when it is in the Recipient's interest to do so.

Codes of conduct

The Recipient shall maintain written standards of conduct governing the performance of its employees engaged in the award and administration of contracts. No employee, officer, or agent shall participate in the selection, award, or administration of a contract supported by public grant funds if a real or apparent conflict of interest would be involved. Such a conflict would arise when the employee, officer, or agent, any member of his or her immediate family, his or her partner, or an organization which employs or is about to employ any of the parties indicated, has a financial or other interest in the firm selected for an award. The officers, employees, and agents of the Recipient shall neither solicit nor accept gratuities, favors, or anything of monetary value from contractors or parties to subcontracts. The standards of conduct shall provide for disciplinary actions to be applied for violations of the standards by officers, employees, or agents of the Recipient.

Business Hours

The Recipient shall have its offices open for business, with the entrance door open to the public, and at least one employee on site, from _____

_____

Licensing and Permitting

All subcontractors or employees hired by the Recipient shall have all current licenses and permits required for all of the particular work for which they are hired by the Recipient.

Attachment E

Certification Regarding
Debarment, Suspension, Ineligibility
And Voluntary Exclusion

Subcontractor Covered Transactions

(1) The prospective subcontractor of the Recipient, _____, certifies, by submission of this document, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the Recipient's subcontractor is unable to certify to the above statement, the prospective subcontractor shall attach an explanation to this form.

SUBCONTRACTOR:

_____

By:_____
Signature

_____
Recipient's Name

_____
Name and Title

_____
DCA Contract Number

_____
Street Address

_____
City, State, Zip

_____
Date

Attachment F

Statement of Assurances

The Recipient hereby assures and certifies compliance with all Federal statutes, regulations, policies, guidelines and requirements, including OMB Circulars No. A-21, A-110, A-122, A-128, A-87; E.O. 12372 and Uniform Administrative Requirements for Grants and Cooperative Agreements 28 CFR, Part 66, Common rule, that govern the application, acceptance and use of Federal funds for this federally-assisted project. Also the Applicant assures and certifies that:

1. It will comply with requirements of the provisions of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (P.L. 91-646) which provides for fair and equitable treatment of persons displaced as a result of Federal and federally-assisted programs.

2. It will comply with provisions of Federal law which limit certain political activities of employees of a State or local unit of government whose principal employment is in connection with an activity financed in whole or in part by Federal grants. (5 USC 1501, et seq.)

3. It will comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act.

4. It will establish safeguards to prohibit employees from using their positions for a purpose that is or gives the appearance of being motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business, or other ties.

5. It will give the sponsoring agency or the Comptroller General, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the grant.

6. It will comply with all requirements imposed by the Federal sponsoring agency concerning special requirements of law, program requirements, and other administrative requirements.

7. It will insure that the facilities under its ownership, lease or supervision which shall be utilized in the accomplishment of the project are not listed on the Environmental Protection Agency's (EPA) list of Violating Facilities and that it will notify the Federal grantor agency of the receipt of any communication from the Director of the EPA Office of Federal Activities indicating that a facility to be used in the project is under consideration for listing by the EPA.

8. It will comply with the flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973, Public Law 93-234, 87 Stat. 975, approved December 31, 1976, Section 102(a) requires, on and after March 2, 1975, the purchase of flood insurance in communities where such insurance is available as a condition for the receipt of any Federal financial assistance for construction or acquisition purposes for use in any area that has been identified by the Secretary of the Department of Housing and Urban Development as an area having special flood hazards. The phrase "Federal financial assistance" includes any form of loan, grant, guaranty, insurance payment, rebate, subsidy, disaster assistance loan or grant, or any other form of direct or indirect Federal assistance.

9. It will assist the Federal grantor agency in its compliance with Section 106 of the National Historic Preservation Act of 1966 as amended (16 USC 470), Executive Order 11593, and the Archeological and Historical Preservation Act of 1966 (16 USC 569a-1 et seq.) by (a) consulting with the State Historic Preservation Officer on the conduct of Investigations, as necessary, to identify properties listed in or eligible for inclusion in the National Register of Historic Places that are subject to adverse effects (see 36 CFR Part 800.8) by the activity, and notifying the Federal grantor agency of the existence of any such properties and by (b) complying with all requirements established by the Federal grantor agency to avoid or mitigate adverse effects upon such properties.

10. It will comply, and assure the compliance of all its subgrantees and contractors, with the applicable provisions of Title I of the Omnibus Crime Control and Safe Streets Act of 1968, as amended, the Juvenile Justice and Delinquency Prevention Act, or the Victims of Crime Act, as appropriate; the provisions of the current edition of the Office of Justice Programs Financial and Administrative Guide for Grants, M7100.1; and all other applicable Federal laws, orders, circulars, or regulations.

11. It will comply with the provisions of 28 CFR applicable to grants and cooperative agreements including Part 18, Administrative Review Procedure; Part 20, Criminal Justice Information Systems; Part 22, Confidentiality of Identifiable Research and Statistical Information; Part 23, Criminal Intelligence Systems Operating Policies; Part 30, Intergovernmental Review of Department of Justice Programs and Activities; Part 42, Nondiscrimination/Equal Employment Opportunity Policies and Procedures; Part 61, Procedures for Implementing the National Environmental Policy Act; Part 63, Floodplain Management and Wetland Protection Procedures; and Federal laws or regulations applicable to Federal Assistance Programs.

12. It will comply, and all its contractors will comply, with the non-discrimination requirements of the Omnibus Crime Control and Safe Streets Act of 1968, as amended, 42 USC 3789(d), or Victims of Crime Act (as appropriate); Title VI of the Civil Rights Act of 1964, as amended; Section 504 of the Rehabilitation Act of 1973, as amended; Subtitle A, Title II of the Americans with Disabilities Act (ADA) (1990); Title IX of the Education Amendments of 1972; the Age Discrimination Act of 1975; Department of Justice Non-Discrimination Regulations, 28 CFR Part 42, Subparts C,D,E, and G; and Department of Justice regulations on disability discrimination, 28 CFR Part 35 and Part 39.

13. In the event a Federal or State court or Federal or State administrative agency makes a finding of discrimination after a due process hearing on the Grounds of race, color, religion, national origin, sex, or disability against a recipient of funds, the recipient will forward a copy of the finding to the Office for Civil Rights, Office of Justice Programs.

14. It will provide an Equal Employment Opportunity Program if required to maintain one, where the application is for $500,000 or more.

15. It will comply with the provisions of the Coastal Barrier Resources Act (P.L. 97-348) dated October 19, 1982 (16 USC 3501 et seq.) which prohibits the expenditure of most new Federal funds within the units of the Coastal Barrier Resources System.

16. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS) As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 67, Subpart F, for grantees, as defined at 28 CFR Part 67 Sections 67.615 and 67.620.

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY

CONSTRUCTION OVERSIGHT COMMITTEE

The Construction Oversight Committee did not meet during the Month of January, 2011.

M I N U T E S
SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
MARKETING COMMITTEE WORKSHOP
January 27, 2011

The Marketing Committee Workshop of the South Florida Regional Transportation Authority Governing Board was held at 12:00 P.M. on Thursday, January 27, 2011 at The Galleria Mall Management Office's Conference Room, 2414 East Sunrise Blvd. Fort Lauderdale, FL 33304.

COMMITTEE MEMBERS PRESENT
Bonnie Arnold, (Vice Chair) SFRTA/Tri-Rail
Phyllis Berry, Broward County Transit
Robyn Chiarelli, (Chair) FDOT
Lili Finke, Palm Tran (dialed in to meeting)

COMMITTEE MEMBERS NOT PRESENT
Nestor Morales, Miami-Dade Transit

ALSO PRESENT
Bobbie Crichton, Miami-Dade Transit
Michael Goodman, Facilitator/Bitner Goodman
Robyn Hankerson, Bitner Goodman
Steve Rosenberg, SFRTA/Tri-Rail

CALL TO ORDER
The meeting was called to order at 12:35 p.m.

ROLL CALL
A quorum was present.

AGENDA APPROVAL

MINUTES APPROVAL

MATTERS BY THE PUBLIC
None

REGULAR AGENDA

INFORMATION / PRESENTATION ITEMS

I1 – SFRTA MARKET COMMITTEE GOALS

Agency Goals

Each member of the committee was asked to share information regarding their goals at their respective agencies.

- Broward County Transit
  - Encourage ridership
  - Provide tools to help offer access to commuters, i.e. brochures, etc.
  - Create better understanding of public transportation
  - Garner support from stakeholders

- Miami-Dade Transit
  - Market new service improvements
  - Promote park and ride
  - Promote 95 Express
  - Encourage advertising revenue

- Palm Tran
  - Increase awareness of public transportation
  - Increase social media access
  - Encourage more revenue
  - Transition from marketing to public information

- Tri-Rail
  - Launch EASY Card and integrate with the MDT system
  - Further the image of regional mobility and connectivity
  - Launch new shuttle programs
  - Pursue national activities and demonstrate industry involvement, i.e. APTA

- FDOT
  - Assist in the marketing efforts of partner agencies, i.e. Express routes
  - Utilize technology, i.e. smart phone apps
  - Increase usage of HOT 3+ lanes
  - Increase usage of park & ride
  - Promote regional connectivity and assist with the fare card

RTA Marketing Committee Goals

Based on the individual goals of committee members, the group concluded that the following goals were shared by each agency, and should, therefore, represent the goals of the RTA Marketing Committee.

- Increase ridership

- Improve image of public transportation and promote its benefits
- Garner support from stakeholders
- Promote regionalism with improved connectivity

Audiences

The Committee identified the following key stakeholders. Those denoted by an asterisk were identified as the primary target audiences for the RTA Marketing Committee.

- Businesses/employers*
- Commuters (single occupancy)*
- Elected officials
- Transit riders
- Internal employees
- Leisure riders
- Seniors
- Multicultural markets
- Visitors

Mission of the RTA Marketing Committee

The committee created a mission statement to better define its purpose and serve as a basis for determining appropriate partnership opportunities.

"The mission of the Regional Transportation Authority Marketing Committee is to promote public transportation in South Florida as a regional service to increase ridership, improve the image of public transportation, garner support from stakeholders and encourage regionalism with improved connectivity."

Strategies

The following strategies were suggested by the committee in an effort to help achieve its newly established goals. The strategy denoted by an asterisk was determined by the committee to be the most effective tool to promote its mission and reach its primary audiences. The remaining strategies, with the exception of the Special Event, were equally effective alternatives to consider in the event that the preferred strategy is not a possibility.

- Advertising Campaign*
- Regional Calendar/Brochure
- Enhance SFRTA website to include links that promote transit connections and regional mobility
- Develop partnerships, i.e. FPTA "I Am" campaign
- Special Event

Recommended Next Steps

- Determine the possibility of creating an Advertising Campaign by considering advertising opportunities to be provided by each transit agency and working with FDOT to determine possible sources of funding.

- Create and assign specific tasks/roles for each committee member to oversee as part of the process of creating the Advertising Campaign.
- Create measurement tools to review the success of the Advertising Campaign.
- Further discuss the findings from this workshop at the next RTA Marketing Committee meeting and begin working towards the newly established goals of the committee.

Survey Results

Prior to the start of the workshop, all committee members were asked to complete an anonymous survey. The following findings demonstrate the opinions of committee members *prior* to the workshop.

- Do you think that the RTA Marketing Committee has a sense of purpose?
    - Yes - 4
    - No - 1
    - Comments
        - Seems like the purpose is to get on the same page with what other agencies are doing, but I think it could be more than that.

- Do you see the role of this committee to convey a unified message to consumers?
    - Yes - 3
    - No - 2
    - Comments
        - The only unified message seems to be Dump the Pump, but there are individual agency issues that hinder unified messages.

- Do you see the committee undertaking more unified efforts like Dump the Pump?
    - Yes - 5
    - No - 0
    - Comments
        - Especially with interoperability of transit cards

- Do you think the committee meets its purpose and does not need a new direction?
    - Yes – 1
    - No – 1
    - Somewhat – 2*
    - No Response – 1
    - Comments
        - I think the committee needs to identify a better sense of purpose
        - Somewhat, but not to the extent it should

- If you were to make recommendations for the committee to be more active, which of the following would you propose?
    - More tangible results
    - Limit focus as a result of time constraints and responsibilities to respective agencies
    - Shared resources in light of budget constraints

- The last thing we should be doing is meeting for the sake of meeting. If we identify short-term and long-term ongoing project(s), it would result in better time utilization and productivity.
- The committee needs to bring more cross marketing ideas, set goals and complete them.

*Please note that "somewhat" was not an option, however, this was written in as the answer of choice by select committee members.

With no further comments, the meeting adjourned at 3:05 p.m.

DRAFT MINUTES
SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
OPERATIONS TECHNICAL COMMITTEE MEETING
January 27, 2011, Thursday, Board Room 10:00 A.M.

The regular Meeting of the South Florida Operations Technical Committee meeting held on Thursday, January 27, 2011 at 10:00 A.M., SFRTA board room, located at 800 NW 33 Street, Pompano, FL 33064.

COMMITTEE MEMBERS PRESENT:
Jim Uvardy, SFCS, Chair
Jim De Vaughn, SFRTA
Gerry Gawaldo, Palm Tran
Steve Alperstein, MDTA
Paul Manger, AMTRAK
Robyn Chiarelli, FDOT
Sabrina Glenn, SFCS/City of Boca
Anthony Iovino, Nova University (alternate)

COMMITTEE MEMBERS /ALTERNATES ABSENT:
Brad Barkman, SFRTA, Vice Chair
Peter Wolz, Broward County, Chair
Scott Aronson, City of Delray Beach
Annette Coates, PBSC
Tom Keane, FDOT
Patricia Zeiler, FTL TMA
Pete Witschen, SFEC
Rail America (Formerly FEC)
Nancy Roberts, CSX

ALSO PRESENT:
Margaret Ferrara, SFRTA
Jim Ericksen, Parsons

CALL TO ORDER
The Chair called the meeting to order at 10:00 a.m.

MATTERS BY THE PUBLIC - Persons wishing to address the Committee are requested to complete an "Appearance Card" and will be limited to three (3) minutes. Please see the Minutes Clerk prior to the meeting.

CONSENT AGENDA
C1- Motion to approve the Minutes of Operations Technical Committee Meeting of September 23, 2010. Motion was moved, seconded and approved.

REGULAR AGENDA
Matters included under the Regular Agenda differ from the Consent Agenda will be voted on individually. In addition, presentations will be made on each motion, if desired.

INFORMATION/PRESENTATION ITEMS
Action not required, provided for information purposes only.

UPDATES/PRESENTATIONS

Note: Teleconference number set up; no one was on the call.

1. Powerline bus diverted at the West Cypress Creek parking lot
    - Discussion having a Broward County route diverted into Tri-Rail Cypress parking area
    - Bus is presently going by – cannot go into the station - there is a island preventing turn
    - Some construction regarding the island/lane
    - Left turn is off Powerline, no lane available still pending

2. Relocation from Miami Airport to Hialeah Market
    - Board item this Friday at SFRTA Board Meeting
    - Relocation possibly end of February or beginning March 2011
    - Jim De Vaughn will call Steve when the board item is approved
    - Complete estimated in two years
    - Tri-Rail schedule will not change
    - Cutting MIA airport - adding 6-9 minutes to dwell time to Hialeah Market
    - Shuttle to and from airport is 6 minutes by train 11 minutes by bus
    - Information is sent to passengers regarding this cut off
    - Airport is served by regular City buses and shuttle
    - Hialeah Market no City buses running to station
    - All connections are to the airport
    - Other option to get off at Metro Rail station
    - Not seamless – other options at MetroRail / Earlington Heights

3. 79th street station - east platform improvements
    - Weekend work only – some improvements cutting off last train
    - Miami Dade adding buses
    - Will continue until the move to Hialeah Market

4. New connections at train stations
    - Lake Worth route Mon-Fri 883 passengers – showing potential
    - Jim De Vaughn will get Gerry a breakdown of passengers/routes for Lake Worth
    - Fort Lauderdale –Broward – TMA to downtown areas
    - Service to Broward General Hospital area
    - New Route – Opa Locka Station going to Board Friday
    - Monday to Friday service only
    - Lake Worth concern with route – designed to service more than a one seat ride only goes to School Board to Station
    - If it goes into Palm Beach State Community College – might be a conflict of interest
    - Route must be monitored closely
    - FDOT – feedback from passengers on TMA routes – seeking Northwest Circulator – time 1 hr 40 minutes – considering cutting some stops – want to keep the Tri-Rail connection

5. Palm Tran Transit Development Plan
    - Agency awareness – will filter through PTAC

6. Articulated Bus Operations at Stations
    - Palm Tran might purchase articulated buses next year
    - Bus stops are already created at WPBIC for articulated buses
    - Exception of WPB – no other stations have articulated bus stops
    - Very few complaints in Miami Dade – there is a large step down from the bus
    - Broward / Sheridan no issues
    - Some routes have issues with articulated buses

- Sheridan Street – could create some modifications
- Golden Glades / BCT – will use access roads

Added Item: Easy card – Palm Tran – Gerry Gawaldo

- Some concerns about Easy pass
- Monthly pass
- Concerns from transit side there is no way to tell if the card is valid or not valid
- Gerry said, that Brad mentioned there is a way but it is cumbersome
- Miami Dade card - passengers will need to show receipt
- Gerry stated that we need to train the trainers
- Could be problematic – no literature from SFRTA to indicate need of receipt to transfer
- Copy of letter that went to EDP monthly from Donna Fortier did not indicate where to get photos taken
- Gerry received a Letter from Property Appraiser's office re: photos taken she was very concerned
- Maggie to take the person's information and have an EDP staff member call her and explain
- Marketing will set up photo stations at all EDP employer offices and at stations
- There is a 30 day waiver
- Gerry stated that Brad said the rollout could be February or March
- Jim De Vaughn said problems are coming up and we had to back up the roll out
- Steve Alperstein asked: is receipt easily falsified – Gerry said yes re: Palm Tran ticket
- The SFRTA Easy card has a chip in it – not easy to duplicate
- Are there FAQ's on the internet – we will find out
- All questions re: Easy cards should be referred to Customer service

UPDATES:

Broward -
Miami Dade – tweaking current schedules
Palm Tran – questions of funds / contributions for continued funding – Boca
FDOT –
SFRTA –
Nova – cameras installed on buses – recording in color/sound – updated signs at each of the Tri-Rail stops with Nextbus number for arrival information
Campus – scrolling LED signs – ridership is up
Amtrak –
School Districts -
Boca – Jim De Vaughn asked about service /ridership standards if they are similar across the board, Sabrina / Steve will send a copy to Jim

Motion to adjourn – so moved.

Next OTC meeting will be held on March 24, 2011.

Engineering & Construction
Monthly Progress Report
February 25, 2011

Hialeah Yard Storage Tracks and Inspection Pit:

Construction of 3300' of storage tracks (4-track configuration) with a 340' inspection pit. Contract was awarded to Gonzalez and Sons Equipment, Inc. on December 10th, 2010. Notice to Proceed was issued on January 7th, 2011. Construction started on start on January 17th, 2011, and will continue through June 2011. The contractor has completed the parking lot demolition, installation of new water main, relocation of fire hydrants, and excavation of inspection pit. The contractor is in the process of installing foundations for the inspection pit, excavating for sub ballast installation, and relocating utility poles. Contract amount: $1,693,000.00

Pompano Beach Station Improvements:

Upgrade of existing Pompano Beach Station to Segment 5 station standards. Improvements consist of widening existing platforms to 25' width, new full-length canopies, solar paneling, pedestrian overpass with stairs and elevators, bus circulation improvements, and parking lot reconfiguration. The design package will include specifications to obtain Silver LEED certification. Development of scope of services for 100% design by SFRTA's GEC presently underway. The 100% design plans will be packaged in a low bid procurement, which is expected to be ready for advertisement December 2011. Estimated construction start is February 2012.

Cab and Trailer Car Procurement:

Procurement of 10 Cab Cars and 14 Trailer Cars. Delivery of the first of two (2) cab cars occurred on January 11, 2011, with the second cab car being delivered by the middle of March 2011. The 4 trailer cars are expected to be delivered by the end of September 2011, and the delivery of the remaining eight (8) cab cars is expected by the end of October 2011. The initial schedule for the delivery of the final ten (10) trailer cars was submitted and indicates delivery of the ten (10) cars in July 2012. Efforts are underway to improve this schedule. Contract amount: $41,189,180.00.

Engineering & Construction
Monthly Progress Report
February 25, 2011

ARRA Locomotive Procurement:

Procurement of 10 locomotives with an option for up to 13 additional locomotives for SFRTA and 4 for Sound Transit. Procurement package was advertised on February 25, 2010. Two bids and one no-bid were received on July 7, 2010. The two (2) Price Bids were opened on September 17, 2010. The contract requires a twenty-six (26) month delivery.

Bike Lockers:

Procurement of 350 new bike lockers, with current installation of 253 (8 already owned) lockers, with each locker holding 2 bikes, at sixteen (16) SFRTA stations. The remaining one hundred five (105) additional lockers were procured for later installation at the remaining stations. Procurement package was advertised on February 22, 2010, with seven (7) bids opened on March 29, 2010. Award for $502,361.00 was approved at the April Board meeting. As a result of completion of construction activities at two stations, a change order for $4,500 was issued in September for the installation of ten (10) lockers at the Cypress Creek and ten (10) lockers at the Ft. Lauderdale Airport Station. All lockers have been installed with the exception of the three (3) lockers planned for Hialeah Market. Those installations have been deferred until after demolition of the existing kiosk and placement of the temporary trailers required as a result of the operational changes due to the MIC project.

AGENDA ITEM NO. B

AGENDA REPORT
SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING
February 25, 2011

JANUARY RIDERSHIP

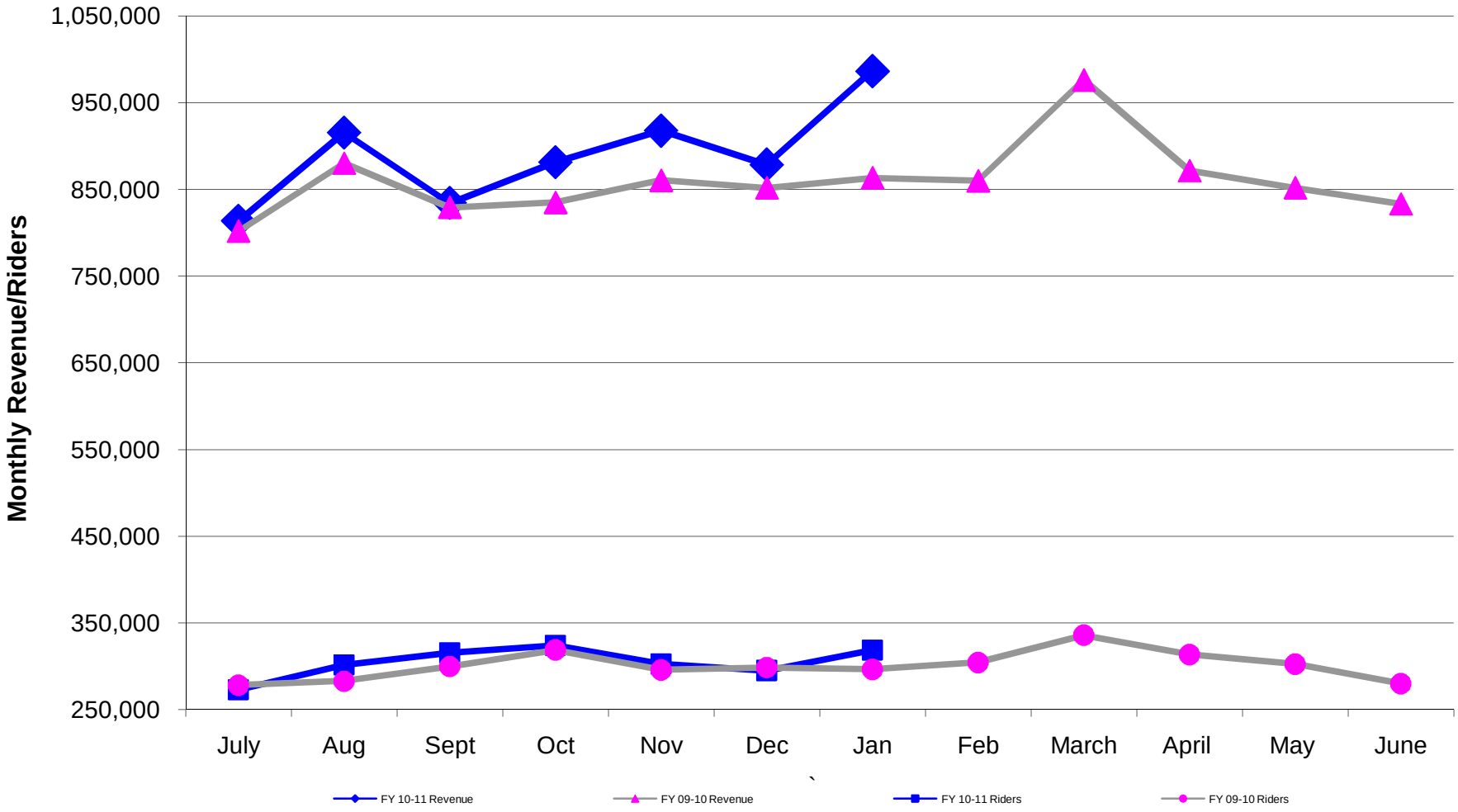
Total monthly ridership for January has increased 7.6 % when compared to January of last year. Weekday ridership has increased by 12.0% for January, while the average weekday ridership in January 2011 was 13,034 per day versus 12,211 per day for 2010. Total weekend ridership has increased by 0.6% when compared to last year. Total Fiscal Year ridership is up by 2.9%.

Revenue is shown in Chart 3. Chart 2 shows ridership month-to-month and Chart 1 combines revenue and ridership month-to-month.

Riders	Actual January 2011	Actual January 2010	January '11 vs.'10 %	FY 11 Rider ship To Date	FY 10 Rider ship To Date	FYTD 11 vs '10 %
M-F	273,718	244,217	12.1%	1,836,617	1,778,235	3.3%
Saturday	20,036	25,799	-22.3%	145,856	143,178	1.9%
Sunday	20,881	22,869	-8.7%	130,496	127,485	2.4%
Holidays	4,181	3,330	25.6%	17,142	20,917	-18.0%
	318,816	296,215	7.6%	2,130,111	2,069,815	2.9%

Note: Ridership figures are based on daily reports from Veolia.

Chart 1 - SFRTA Riders and Revenue Trends



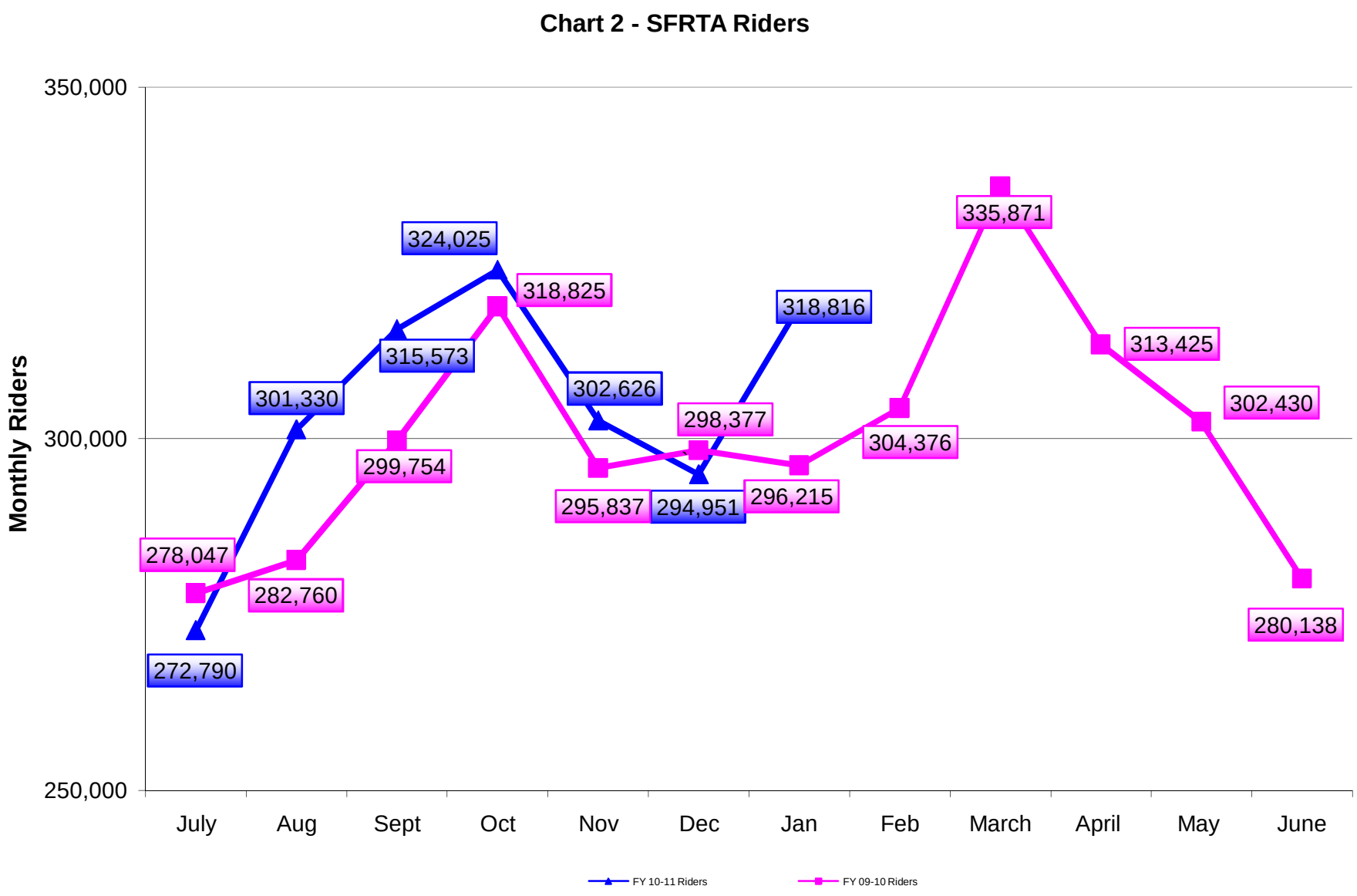
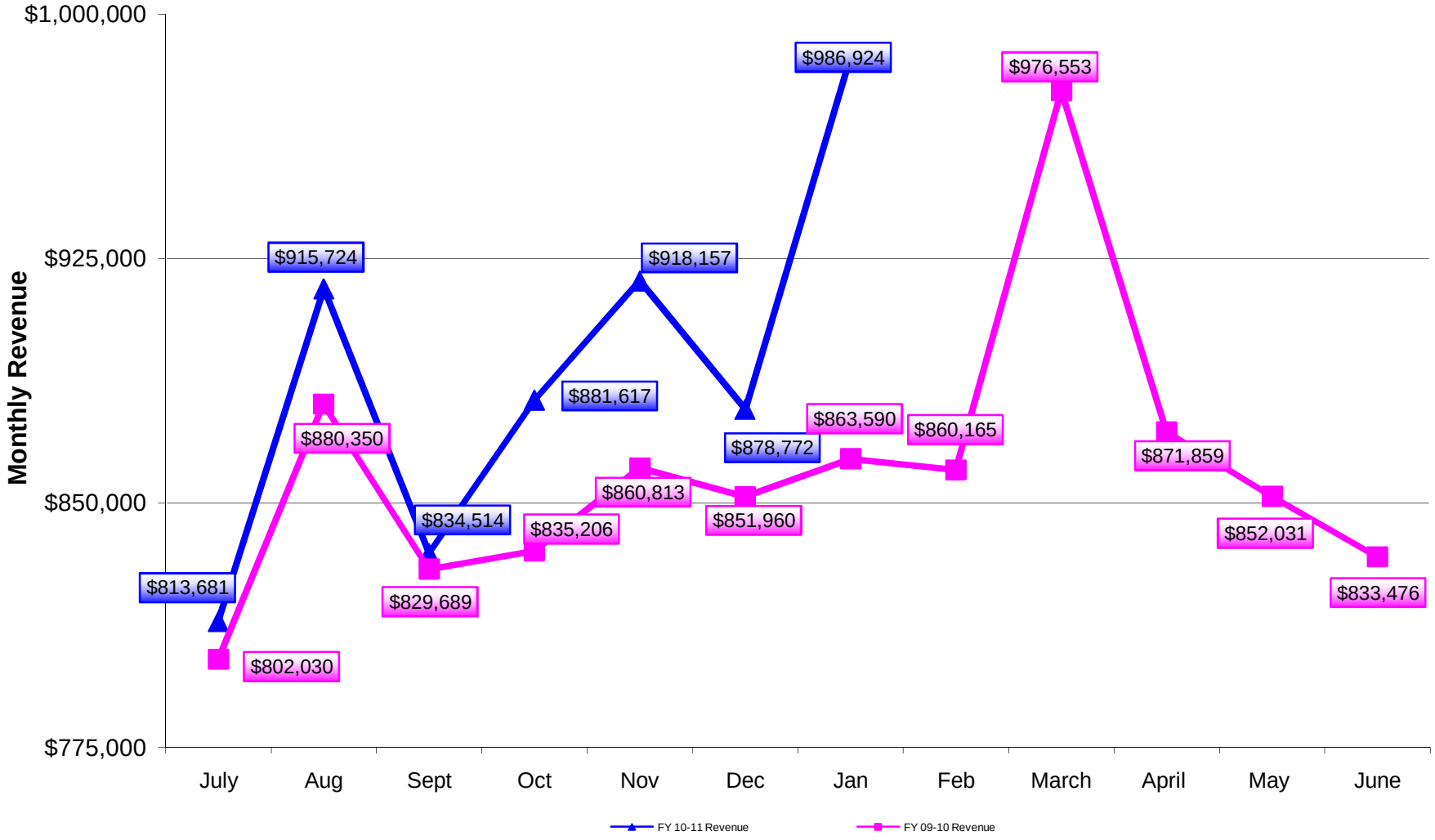


Chart 2 - SFRTA Riders
Monthly Riders
350,000
300,000
250,000
July
Aug
Sept
Oct
Nov
Dec
Jan
Feb
March
April
May
June
272,790
301,330
315,573
324,025
302,626
294,951
318,816
278,047
282,760
299,754
318,825
295,837
298,377
296,215
304,376
335,871
313,425
302,430
280,138
FY 10-11 Riders
FY 09-10 Riders

Chart 3 - SFRTA Revenue

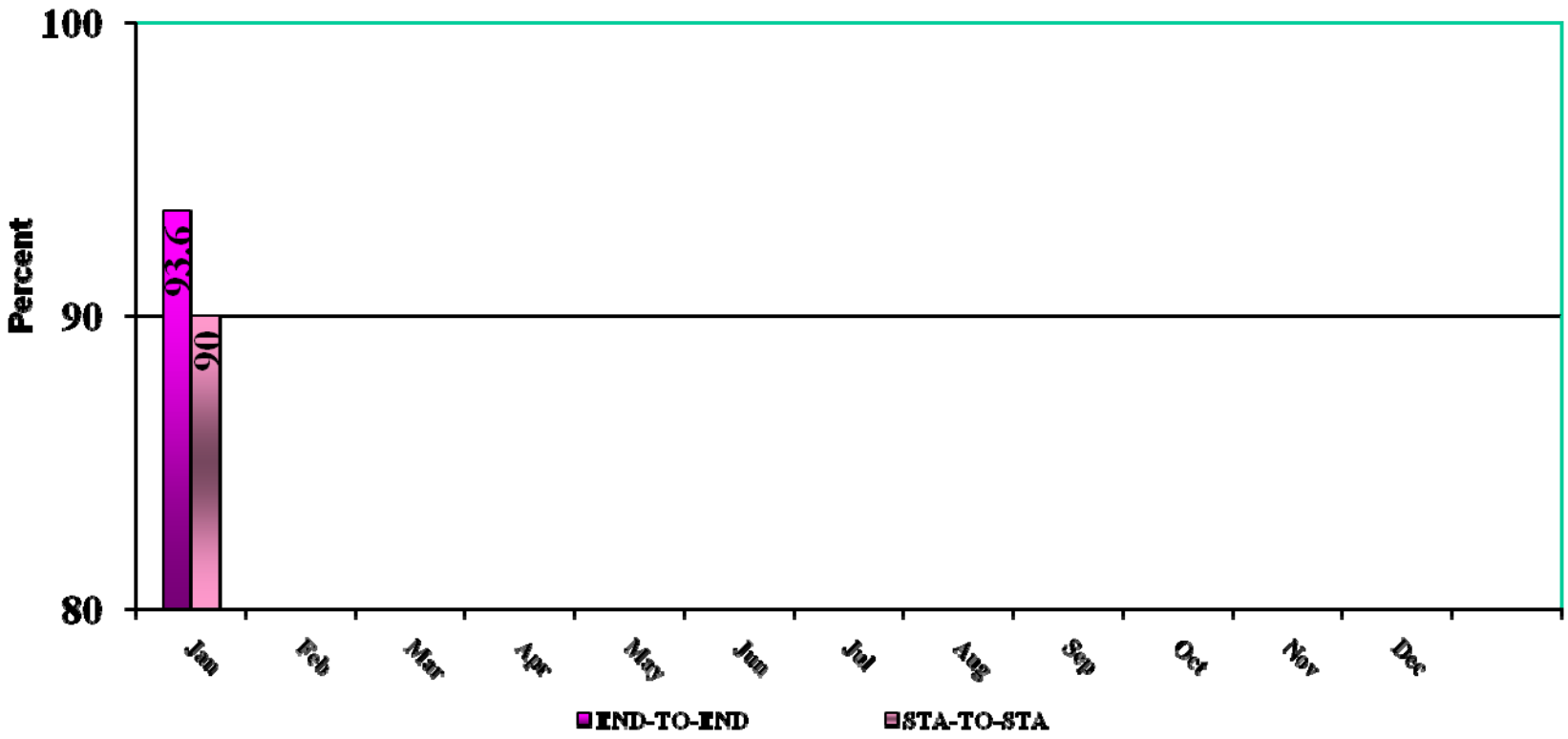


JANUARY 2011 ON TIME PERFORMANCE - CAUSAL ANALYSIS SUMMARY

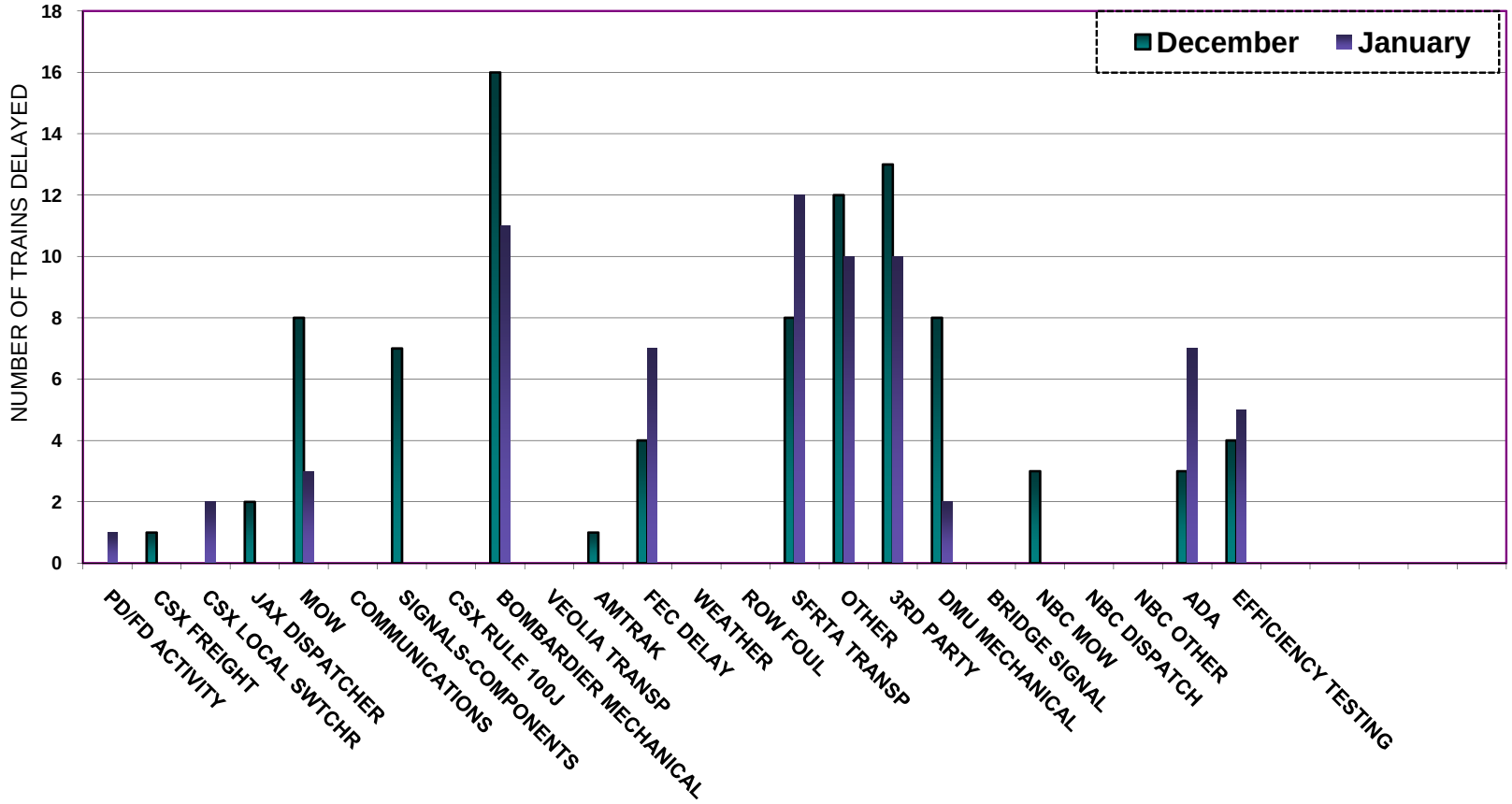
OTP End To End			93.6%
OTP Station To Station			90.0%
DELAY CAUSES	NUMBER OF INCIDENTS	NUMBER OF LATE TRAINS	PERCENT OF TOTAL TRAINS
PD/FD Activity	1	1	0.1%
SUB-TOTAL	1	1	0.1%
CSX AGREEMENT			
CSX FRIEGHT	0	0	0.0%
LOCAL SWITCHER	2	2	0.2%
JAX DISPATCHER	0	0	0.0%
MOW	2	3	0.2%
SUB-TOTAL	4	5	0.4%
OUTSIDE CSX			
COMMUNICATIONS	0	0	0.0%
SIGNALS-COMP.	0	0	0.0%
CSX RULE 100J	0	0	0.0%
SUB-TOTAL	0	0	0.0%
BOMBARDIER MECHANICAL	6	11	0.9%
VEOLIA TRANSPORTATION	0	0	0.0%
AMTRAK	0	0	0.0%
FEC DELAY	7	7	0.6%
WEATHER	0	0	0.0%
ROW FOUL	0	0	0.0%
SFRTA TRANSPORTATION	8	12	1.0%
OTHER	6	10	0.8%
3rd PARTY	4	10	0.8%
DMU MECHANICAL	2	2	0.2%
BRIDGE SIGNAL	0	0	0.0%
NBC MOW	0	0	0.0%
NBC DISPATCHER	0	0	0.0%
NBC OTHER	0	0	0.0%
ADA	5	7	0.6%
EFFICIENCY TESTING	2	5	0.4%
SUB-TOTAL	40	64	5.3%
TRAINS DELAYED		70	5.8%
TERMINATED / ANNULLED		4	0.3%
TRAINS ON TIME		1136	93.9%
TOTAL		1210	100.0%

CSXT JAX Dispatcher & Freight Delays
2011

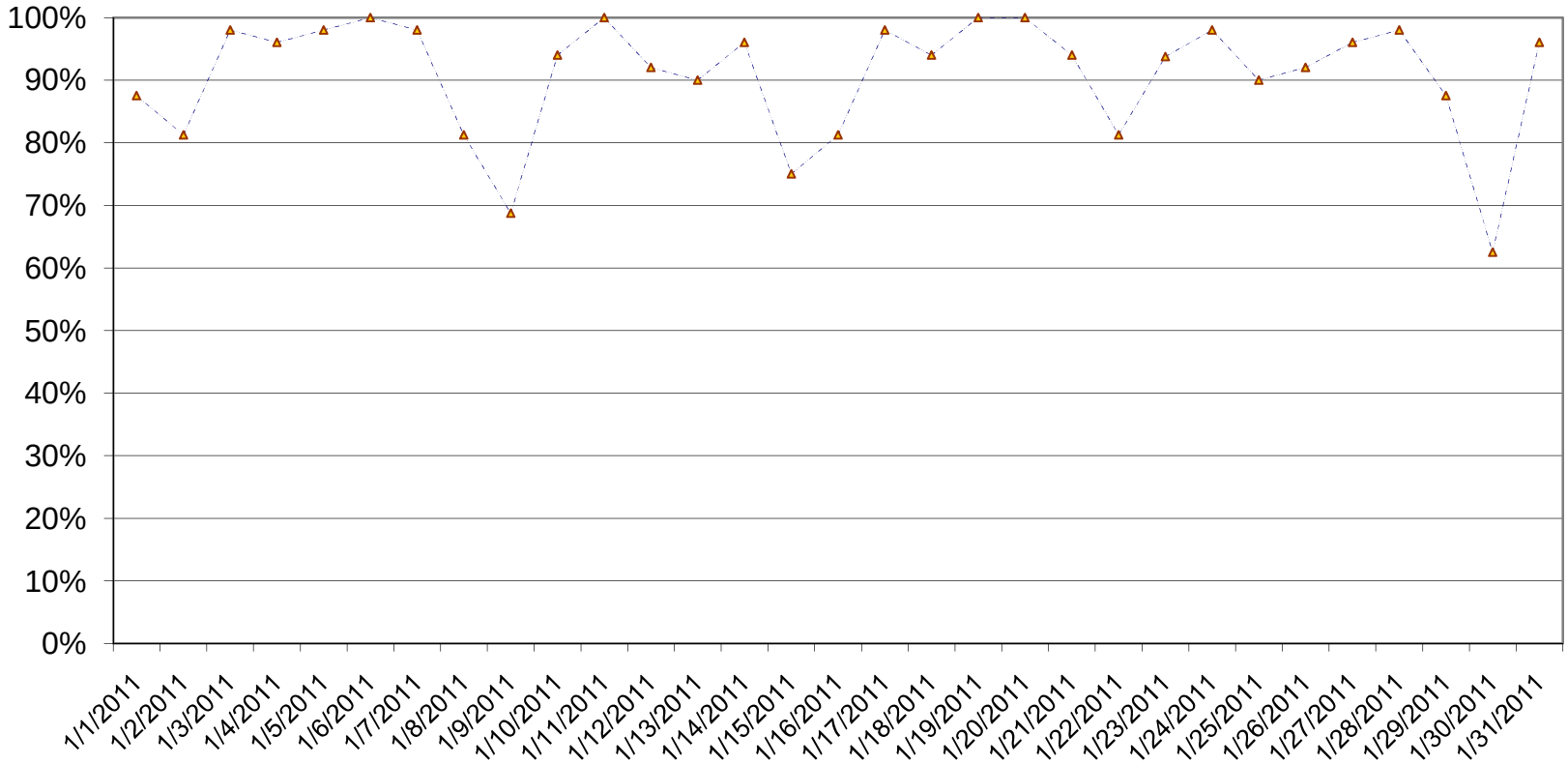
On-Time Performance
Calendar Year 2011



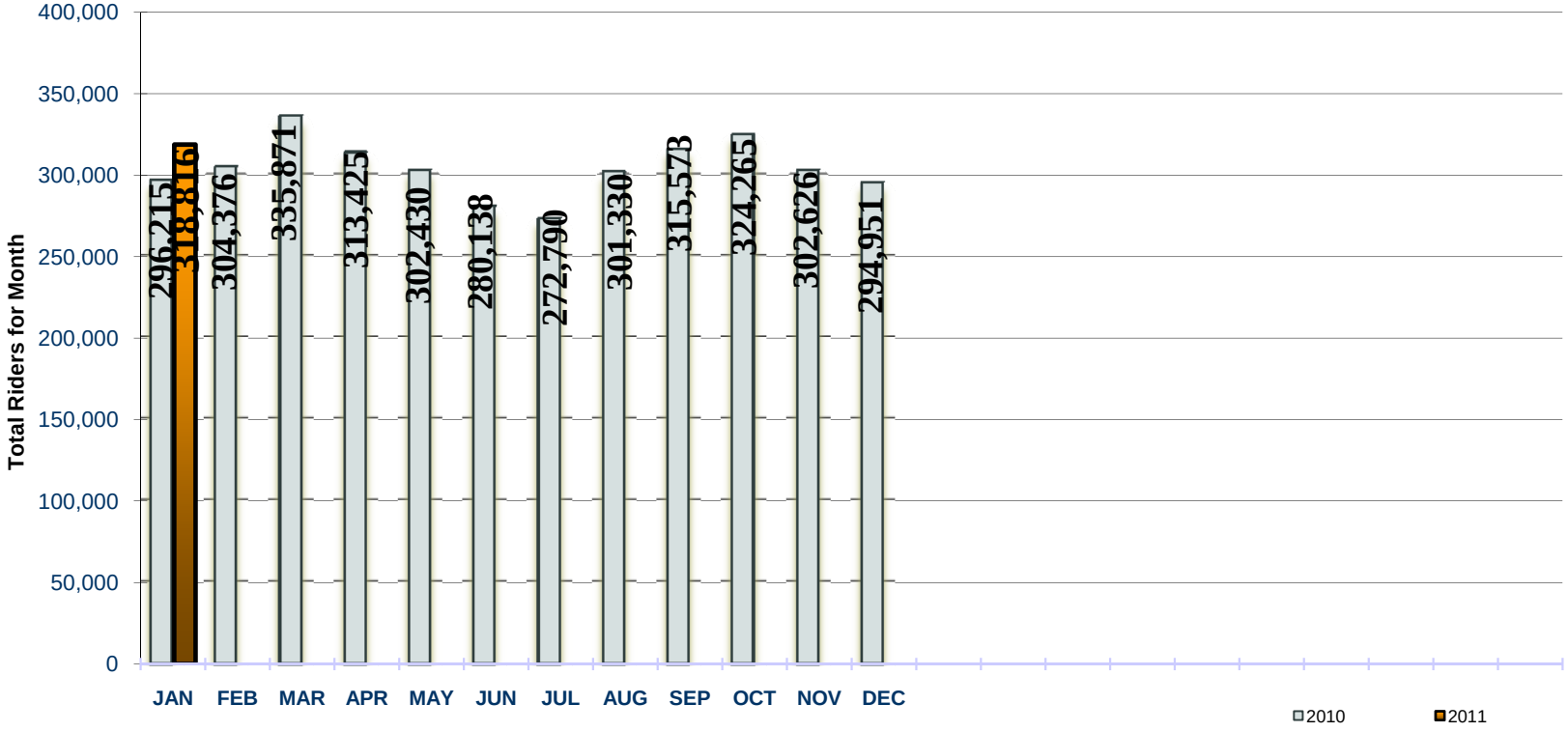
TRAIN DELAYS- 2011



ON TIME PERFORMANCE
END TO END JAN - 2011



SFRTA Tri-Rail Monthly Ridership
2011



AGENDA ITEM D

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY MARKETING DEPARTMENT MONTHLY SUMMARY FOR JANUARY 2011 GOVERNING BOARD MEETING

EMPLOYER DISCOUNT PROGRAM

The Employer Discount Program (EDP) added 18 new employers and 265 new employees during the month of January.
The total number of EDP tickets recorded as sold in January was 3,142 and the total revenue generated was reported as $183,411.70.

The following companies joined the EDP in January:

Employer	Enrollment Date	City
Aircraft Service International Group	1/10/2011	West Palm Beach
Automotive Management Services, Inc.	1/12/2011	West Palm Beach
Davidson Hotel Company	1/31/2011	Doral
Elite Vent Care, Inc.	1/07/2011	Miramar
Embassy Suites – Miami International Airport	1/31/2011	Miami
Faneuil, Inc.	1/27/2011	Boca Raton
JPay, Inc.	1/05/2011	Miami
KS Geisler, Inc.	1/24/2011	Hollywood
Lamlert Enterprise, LLC	1/21/2011	Miami
Life Insurance Settlements, Inc.	1/27/2011	Fort Lauderdale
PBS&J	1/18/2011	Fort Lauderdale
Statutory Fingerprint	1/10/2011	West Palm Beach
Tech A.I.R., Inc.	1/20/2011	Oakland Park
Technisource Engineering Services, Inc.	1/12/2011	Fort Lauderdale
The Bainbridge Companies	1/05/2011	Wellington
U.S. Valuations Globally Corp.	1/19/2011	Boca Raton

EDP SALES MISSIONS

Employer	City
Accurate Tile & Marble, Inc.	Jupiter
Hoffman's Chocolates	West Palm Beach
PBSJ	Fort Lauderdale
Pei-Wei Asian Diner	Plantation
Sprinklermatic	Davie
Terry's Construction	West Palm Beach
The Weitz Company	West Palm Beach

MARKETING DEPARTMENT – JANUARY ACTIVITIES:

EASY CARD

The SFRTA Marketing Department focused all its efforts in preparation for the implementation of the EASY Card System in the month of January. Meetings were scheduled with members of the EASY Card transition team on a daily basis, to coordinate the groundwork necessary to execute the replacement of our entire system's fare collection structure. In addition to the regularly scheduled EASY Card meetings, the following is a list of special meetings specific to the system launch, attended by members of the Marketing staff:

- Automated Fare Collection System Training Session
- CardFive Photo ID Training Workshop
- Customer Service Department EASY Card Training
- Discount Programs Discussion Meeting
- EDP Procedures Meeting
- Government EDP Ticket Meeting
- Marketing/EDP Procedural Collateral Review
- Nextfare/GUI User Training
- Roll-out schedule for EASY Card Marketing Plan
- Station Ticket Kiosk Webcam Testing
- System Launch/Transition Meeting
- Ticket Vending Machine Training
- Wageworks Pre-tax Program Teleconference

DAY ONE

Monday, January 31, 2011, marked the first day of a complete station installation of the new Ticket Vending Machines (TVMs) at the Mangonia Park and West Palm Beach stations. This entailed having SFRTA agency-wide staffing participation at the stations to provide passengers with complimentary tickets to ride while the machines were in the process of being installed. Marketing Department staff was present as Team Leads during this undertaking.

WWRF 1380

Radio station WWRF 1380 AM offered the Marketing Department to appear during a midday on-air community Spanish program geared to Hispanics living in Palm Beach County. The Corporate and Community Relations Liaison took this opportunity to promote the new LW1 Shuttle Bus operating out of the Lake Worth Station. The program host wishes to contact the SFRTA on a monthly basis to receive updates about Tri-Rail happenings.

ONGOING COMMUNITY OUTREACH ACTIVITIES

- Center for Urban Transportation Research – Weekly Webinar
- Broward College – Student Orientation Expo
- EASY Card Transition Team Meetings – Weekly
- Florida Atlantic University – Student Orientation Expo
- SFRTA Marketing Committee Workshop – Monthly

EXECUTIVE SUMMARY
BUDGETED INCOME STATEMENT

January 2011

Revenue:

Train Revenue
For January 2011 year-to-date (YTD) actual revenue increased approximately $308,478 or 5% when compared to the fiscal year (FY) 2011 YTD budgeted revenue. Actual revenue for FY2011 increased by $426,951 or 8% when compared to the FY 2010 YTD actual revenue. This increase can be attributed to an increase in ridership as well as an increase in fuel prices.

Operating Assistance
FY 2011 YTD Florida Department of Transportation (FDOT) Transportation Trust Fund actual revenues have increased by $10,077,388 or 100% when compared to FY 2010 YTD actual because this is the first year contribution from the Transportation fund.

FHWA and FTA revenues for FY 2011 YTD actual are respectively $358,196 and $844,507 or 19% and 34% below budget when compared to the FY 2010 YTD budget. Actual FHWA and FTA revenues for FY 2011YTD have decreased approximately $731,713 and $4,581,002 or 32% and 73% respectively when compared to FY 2010 YTD actual due to timing differences in FY 2011 revenues.

Expenses:
As of January 2011, the SFRTA FY 2011 YTD actual expenses are $2,034,448 or 5% below budget when compared to the FY 2011 YTD budgeted expense. All expenses are well within budget.

Train operations for FY 2011 YTD actual decreased approximately $695,846 or 4% below budget when compared to the FY 2010 YTD budget and increased approximately $1,318,480 or 8% when compared to FY 2010 YTD actual. This increase in FY 2011 can be attributed to higher costs associated with the station maintenance and the train operations contracts.

The major categories within Train Operations include Train Fuel, Security, Insurance and Feeder Service:

- Train fuel expense for FY 2011 YTD actual decreased approximately $138,195 or 4% when compared to the FY 2010 YTD budget, and increased approximately $335,013 or 10% when compared to FY 2010 YTD actual fuel expense. This increase can be attributed to rising fuel prices in our economy.

Expenses (Contd.)

- Feeder bus expense for FY 2011 YTD actual decreased approximately $141,006 or 5% when compared to the FY 2011 YTD budget and decreased approximately $113,170 or 4% when compared to FY 2010 YTD actual.

Personnel Expenses for FY 2011YTD actual decreased approximately $204,868 or 4% when compared to the FY 2011 YTD budget and increased approximately $410,508 or 8% when compared to FY 2010 actual. This increase in FY 2011 can be attributed to an additional legal counsel staff employee as well as the hiring of positions that were previously not filled.

Professional Services for FY 2011 YTD actual increased approximately $17,340 or 3% when compared to the FY 2011 YTD budget and increased approximately $176,858 or 40% when compared to FY 2010 actual due to timing differences in FY 2011 professional services.

General and Administrative Expenses for FY 2011 YTD actual decreased approximately $228,252 or 17% when compared to the FY 2011 YTD budget and increased approximately $110,037 or 11% when compared to FY 2010 actual. Some categories within General and Administrative expense are Business Travel, General Training, and Dues and Subscriptions.

- Business Travel expense for FY 2011 YTD actual decreased approximately $57,443 or 48% when compared to the FY 2011 YTD budget and decreased approximately $2,588 or 4% when compared to FY 2010 actual. This decrease in FY 2011 can be attributed to limited amount of travel at this time of the year.

- General Training expense for FY 2011 YTD actual decreased approximately $42,132 or 60% when compared to the FY 2011 YTD budget and increased approximately $7,274 or 34% when compared to FY 2010 actual. This increase is due to an increase in training in FY 2011.

- Dues and Subscriptions for FY 2011 YTD actual is approximately $4,263 or 4% when compared to the FY 2011 YTD budget and increased approximately $9,138 or 9% when compared to FY 2010 actual. The increase in FY 2011 can be attributed to a slight increase in dues and memberships with outside agencies.

Marketing expenses for FY 2011 YTD actual decreased approximately $59,843 or 9% when compared to the FY 2011 YTD budget and increased approximately $74,302 or 14% when compared to FY 2010 actual. The increase in FY 2011 is mostly due to the Smart Card/Easy Card project which increased by $86,000 when compared to FY 2010. This project will be fully implemented in the next couple of months.

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
BUDGETED INCOME STATEMENT
1/01/11 TO 1/31/11

REVENUE	JANUARY 2011 ACTUAL REVENUES	YTD ACTUAL REVENUES	YTD BUDGETED REVENUES	OVER (UNDER) BUDGET	2010-11 ANNUAL BUDGET	BUDGET AVAILABLE
Train Revenue	$986,924	$6,350,589	$6,042,111	$308,478	$10,426,659	$4,076,070
Interest Income / Other Income	15,255	102,458	100,333	2,125	172,000	69,542
TOTAL TRAIN REVENUE	**$1,002,179**	**$6,453,047**	**$6,142,444**	**$310,603**	**$10,598,659**	**$4,145,612**
OPERATING ASSISTANCE						
FDOT Operating JPA	1,313,962	10,048,358	10,522,075	(473,717)	$12,705,000	2,656,642
FDOT-Transportation Trust Fund	835,750	10,077,388	10,544,849	(467,461)	13,300,000	3,222,612
FDOT Feeder Service JPA	217,500	2,365,441	2,383,842	(18,401)	3,135,150	769,709
FDOT Contracted Dispatch Service	132,700	1,593,496	1,611,230	(17,734)	2,200,000	606,504
FDOT Marketing	2,214	15,074	71,429	(56,355)	250,000	234,926
FHWA	394,750	1,595,137	1,953,333	(358,196)	4,000,000	2,404,863
FTA Assistance	586,900	1,691,335	2,535,842	(844,507)	11,876,001	10,184,666
FTA-Designated Recipient Fees	-	-	-	-	63,700	63,700
FTA-JARC/New Freedom Administration	-	-	-	-	1,084,839	1,084,839
FTA-JARC/New Freedom Match	-	-	-	-	425,425	425,425
Counties Contribution	543,403	1,977,987	2,086,667	(108,680)	4,695,000	2,717,013
Other Local Funding	-	-	-	-	190,000	190,000
TOTAL ASSISTANCE	**4,027,179**	**29,364,216**	**31,709,267**	**(2,345,051)**	**53,925,115**	**24,560,899**
TOTAL REVENUE	**$5,029,358**	**$35,817,263**	**$37,851,711**	**($2,034,448)**	**$64,523,774**	**$28,706,511**

EXPENSES	JANUARY 2011 ACTUAL EXPENSES	YTD ACTUAL EXPENSES	YTD BUDGETED EXPENSES	(OVER) UNDER BUDGET	2010-11 ANNUAL BUDGET	BUDGET AVAILABLE
Train Operations	2,487,786	18,264,212	18,960,058	695,846	30,933,022	12,668,810
Train and Station Maintenance	1,546,313	10,222,408	10,787,739	565,331	19,222,227	8,999,819
Personnel Expenses	753,984	5,496,295	5,701,163	204,868	10,692,849	5,196,554
Professional Fees	83,367	620,391	637,731	17,340	823,737	203,346
General & Administrative Expenses	190,068	1,162,414	1,390,666	228,252	2,340,351	1,177,937
Marketing Expenses	66,240	591,593	651,436	59,843	986,588	394,995
Reserve	-	-	291,668	291,668	500,000	500,000
Expenses Transferred to Capital	(98,400)	(540,050)	(568,750)	(28,700)	(975,000)	(434,950)
TOTAL EXPENSES	**5,029,358**	**35,817,263**	**37,851,711**	**2,034,448**	**$64,523,774**	**$28,706,511**

Expenses (Contd.)

- Feeder bus expense for FY 2011 YTD actual decreased approximately $141,006 or 5% when compared to the FY 2011 YTD budget and decreased approximately $113,170 or 4% when compared to FY 2010 YTD actual.

Personnel Expenses for FY 2011YTD actual decreased approximately $204,868 or 4% when compared to the FY 2011 YTD budget and increased approximately $410,508 or 8% when compared to FY 2010 actual. This increase in FY 2011 can be attributed to an additional legal counsel staff employee as well as the hiring of positions that were previously not filled.

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Marketing expenses for FY 2011 YTD actual decreased approximately $59,843 or 9% when compared to the FY 2011 YTD budget and increased approximately $74,302 or 14% when compared to FY 2010 actual. The increase in FY 2011 is mostly due to the Smart Card/Easy Card project which increased by $86,000 when compared to FY 2010. This project will be fully implemented in the next couple of months.

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
ACTUAL VS BUDGET REPORT
JANUARY 31, 2011 & 2010

	Curent Year						Prior Year Comparison	
	Jan 2011 Actual	**Jan 2011 Budget**	**Variances**	**YTD 2011 Actual**	**YTD Budget**	**Variances**	**YTD 2010 Actual**	**Variances**
Revenues:								
Train Revenue	$986,924	$880,862	$106,062	$6,350,589	$6,042,111	$308,478	$5,923,638	$426,951
Interest/Dividend Income	15,255	14,334	921	102,458	100,333	2,125	92,149	10,309
							-	
Total Train Revenue	**1,002,179**	**895,196**	**106,983**	**6,453,047**	**6,142,444**	**310,603**	**6,015,787**	**437,260**
Operating Assistance:								
FDOT Operating JPA	1,313,962	1,386,025	(72,063)	10,048,358	10,522,075	(473,717)	9,693,161	355,197
FDOT-Transportation Trust Fund	835,750	963,333	(127,583)	10,077,388	10,544,849	(467,461)	-	10,077,388
FDOT Feeder Service JPA	217,500	486,577	(269,077)	2,365,441	2,383,842	(18,401)	2,023,569	341,872
FDOT Contracted Dispatch Service	132,700	258,333	(125,633)	1,593,496	1,611,230	(17,734)	1,963,267	(369,771)
FDOT Marketing	2,214	35,714	(33,500)	15,074	71,429	(56,355)	241,691	(226,617)
FHWA	394,750	558,333	(163,583)	1,595,137	1,953,333	(358,196)	2,326,850	(731,713)
FTA Assistance	586,900	702,469	(115,569)	1,691,335	2,535,842	(844,507)	6,272,337	(4,581,002)
FTA-Designated Recipient Fees	-	-	-	-	-	-	-	-
FTA-JARC/New Freedom Administration	-	-	-	-	-	-	-	-
FTA-JARC/New Freedom Match	-	-	-	-	-	-	-	-
Transfer Fund from Capital	-	-	-	-	-	-	2,002,500	(2,002,500)
Counties Contribution	543,403	652,083	(108,680)	1,977,987	2,086,667	(108,680)	1,173,750	804,237
Other Local Funding	-	-	-	-	-	-	161,719	(161,719)
Total Operating Assistance	**4,027,179**	**5,042,867**	**(1,015,688)**	**29,364,216**	**31,709,267**	**(2,345,051)**	**25,858,844**	**3,505,372**
Total Revenue	**$5,029,358**	**$5,938,063**	**($908,705)**	**$35,817,263**	**$37,851,711**	**($2,034,448)**	**$31,874,631**	**3,942,628**

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
ACTUAL VS BUDGET REPORT
JANUARY 31, 2011 & 2010

	Curent Year						Prior Year Comparison	
	Jan 2011 Actual	Jan 2011 Budget	Variances	YTD 2011 Actual	YTD Budget	Variances	YTD 2010 Actual	Variances
Expenses:								
Train Operations								
Train Operations Contract	$998,727	$1,067,837	(69,110)	$6,548,245	$6,644,480	(96,235)	$5,401,202	$1,147,043
Train Operation - Fuel	572,592	635,269	(62,677)	3,658,689	3,796,884	(138,195)	3,323,676	335,013
Emergency Bus Service	-	4,286	(4,286)	-	17,500	(17,500)	-	-
Security Contract	372,934	455,875	(82,941)	2,829,633	2,996,125	(166,492)	2,897,381	(67,748)
Feeder Bus	319,734	373,051	(53,317)	2,795,348	2,936,354	(141,006)	2,908,518	(113,170)
Station Utilities	51,383	64,016	(12,633)	362,278	422,500	(60,222)	354,266	8,012
EMS Boards	8,381	31,679	(23,298)	77,191	81,667	(4,476)	41,677	35,514
Special Trains	-	514	(514)	-	2,100	(2,100)	-	-
Insurance	156,536	164,090	(7,554)	1,953,437	1,979,610	(26,173)	1,971,738	(18,301)
Toll Free Numbers	7,099	10,130	(3,031)	37,662	56,000	(18,338)	45,428	(7,766)
Alarm System	400	2,839	(2,439)	1,729	12,250	(10,521)	1,846	(117)
APTA Dues	-	2,714	(2,714)	-	14,588	(14,588)	-	-
Total Train Operations	**2,487,786**	**2,812,300**	**(324,514)**	**18,264,212**	**18,960,058**	**(695,846)**	**16,945,732**	**1,318,480**
Train and Station Maintenance								
Train Maintenance	944,799	1,012,994	(68,195)	6,381,263	6,583,847	(202,584)	6,653,704	(272,441)
Station Maintenance	319,221	365,570	(46,349)	2,036,473	2,059,363	(22,890)	-	2,036,473
ROW Maintenance	33,601	45,732	(12,131)	231,037	303,214	(72,177)	146,458	84,579
TVM Maintenance	29,043	46,082	(17,039)	172,218	238,250	(66,032)	145,956	26,262
Dispatch	219,649	237,544	(17,895)	1,401,417	1,603,065	(201,648)	1,343,996	57,421
					-			
Total Train and Station Maintenance	**1,546,313**	**1,707,922**	**(161,609)**	**10,222,408**	**10,787,739**	**(565,331)**	**8,290,114**	**1,932,294**
Personnel Expenses								
Salaries and Wages	554,263	766,558	(212,295)	4,164,632	4,206,625	(41,993)	3,864,259	300,373
Taxes	46,935	61,370	(14,435)	282,431	313,711	(31,280)	274,170	8,261
Group Insurance	90,098	114,036	(23,938)	601,386	678,334	(76,948)	550,115	51,271
Pension	62,688	93,206	(30,518)	447,846	502,493	(54,647)	397,243	50,603
Total Personnel Expenses	**753,984**	**1,035,170**	**(281,186)**	**5,496,295**	**5,701,163**	**(204,868)**	**5,085,787**	**410,508**
Professional Services								
Auditing Services	-	-	-	89,235	89,237	(2)	89,235	-
Legal Services	16,867	22,628	(5,761)	83,281	92,053	(8,772)	102,463	(19,182)
Professional Services	66,500	68,500	(2,000)	447,875	456,441	(8,566)	251,835	196,040
Total Professional Services	**83,367**	**91,128**	**(7,761)**	**620,391**	**637,731**	**(17,340)**	**443,533**	**176,858**

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
ACTUAL VS BUDGET REPORT
JANUARY 31, 2011 & 2010

	Curent Year						Prior Year Comparison	
	Jan 2011 Actual	Jan 2011 Budget	Variances	YTD 2011 Actual	YTD Budget	Variances	YTD 2010 Actual	Variances
General and Administrative Expenses								
Bank & Credits Cards Fees	24,358	28,131	(3,773)	185,010	198,436	(13,426)	194,651	(9,641)
Building Maintenance	6,769	11,594	(4,825)	55,629	90,811	(35,182)	59,539	(3,910)
Business Travel	22,620	23,812	(1,192)	63,524	120,967	(57,443)	66,112	(2,588)
Materials & Supplies	21,245	24,010	(2,765)	67,767	79,375	(11,608)	58,685	9,082
Membership/Dues/Subscriptions	20,274	21,669	(1,395)	114,265	118,528	(4,263)	105,127	9,138
Office Rent	59,135	61,813	(2,678)	414,484	425,152	(10,668)	396,556	17,928
Printing & Advertising	4,083	7,447	(3,364)	26,528	40,922	(14,394)	17,574	8,954
Seminars and Training	10,352	15,764	(5,412)	28,136	70,268	(42,132)	20,862	7,274
Telecommunications	12,408	22,312	(9,904)	167,196	182,188	(14,992)	92,672	74,524
Vehicle Operations & Maintenance	8,773	10,317	(1,544)	35,052	52,907	(17,855)	36,435	(1,383)
Miscellaneous Personnel Expenses	51	2,321	(2,270)	4,823	11,112	(6,289)	4,164	659
Total General and Administrative Exp	**190,068**	**229,190**	**(39,122)**	**1,162,414**	**1,390,666**	**(228,252)**	**1,052,377**	**110,037**
Marketing Expenses								
Advertising	1,467	3,522	(2,055)	19,812	23,332	(3,520)	18,069	1,743
Special Programs	1,553	5,470	(3,917)	15,016	29,168	(14,152)	28,239	(13,223)
Customer Service/Information	4,807	10,087	(5,280)	56,472	69,713	(13,241)	71,815	(15,343)
Marketing Contract	46,500	52,993	(6,493)	386,082	386,668	(586)	359,552	26,530
Promotional Materials	2,811	3,863	(1,052)	10,798	11,668	(870)	14,791	(3,997)
Smart Card/Easy Card Campaign	8,953	24,755	(15,802)	98,167	123,481	(25,314)	12,000	86,167
Marketing Supplies	149	1,245	(1,096)	5,246	7,406	(2,160)	12,821	(7,575)
Total Marketing Expenses	**66,240**	**101,935**	**(35,695)**	**591,593**	**651,436**	**(59,843)**	**517,287**	**74,302**
Reserves and Transfers								
Reserve	-	41,667	(41,667)	-	291,668	(291,668)	-	-
Expenses Transferred to Capital	(98,400)	(81,250)	(17,150)	(540,050)	(568,750)	28,700	(460,199)	(79,851)
					-			
Total Reserves and Transfers	**(98,400)**	**(39,583)**	**(58,817)**	**(540,050)**	**(277,082)**	**(262,968)**	**(460,199)**	**(79,851)**
Total Expenses	**$5,029,358**	**$5,938,063**	**($908,705)**	**$35,817,263**	**37,851,711**	**(2,034,448)**	**31,874,631**	**3,942,628**
Net Income	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**

SFRTA
FARE RECOVERY RATIO

	JANUARY 2011	JANUARY 2011 YEAR TO DATE
TRAIN REVENUE	**$ 986,924**	**$ 6,350,589**
TOTAL EXPENSES*	**5,029,358**	**35,817,263**
LESS:		
FEEDER SERVICE	319,734	2,795,348
DISPATCH	219,649	1,401,417
PLANNING	90,094	485,159
TOTAL PASS-THROUGH EXPENSES	**629,477**	**4,681,924**
TOTAL EXPENSES LESS PASS-THROUGH EXPENSES*	**$ 4,399,881**	**$ 31,135,339**
FARE BOX RECOVERY RATIO **	**22%**	**20%**

*Based on estimates (accruals) and actual figures.
**Based on estimates and actual figures. At year - end, the ratio will be a function of actual expenses

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
STATEMENTS OF NET ASSETS
JANUARY 31, 2011

ASSETS

Current assets:	
Cash and cash equivalents	$ 84,582,643
Accounts receivable:	
State Grants	2,353,876
Federal Grants	2,992,967
Other	648,265
Prepaid expenses	163,256
Deposits	14,499
Total current assets	90,755,506
Noncurrent assets:	
Capital assets (net of accumulated depreciation)	563,056,203
Total noncurrent assets	563,056,203
Total assets	$ 653,811,709

LIABILITIES

Current liabilities:	
Accounts payable	$ 2,356,404
Accruals	6,529,203
Compensated absences	342,279
Deferred revenue	12,239,505
Due to other governmental units	11,505
Total current liabilities	21,478,896
Noncurrent liabilities:	
Compensated absences	513,418
Deposits	1,620,341
Advances from FDOT	2,000,000
Total noncurrent liabilities	4,133,759
Total liabilities	$ 25,612,655

NET ASSETS

Invested in Capital Assets	$ 563,056,203
Reserved for Capital Projects	46,573,869
Unrestricted	18,568,982
Total net assets	628,199,054
Total liabilities and net assets	$ 653,811,709

FINANCE & INFORMATION TECHNOLOGY
EXECUTIVE SUMMARY

INVOICES OVER $2,500

During January 2011, the SFRTA's Accounts Payable division processed 400 invoices totaling $4,309,428.30 and disbursed 202 checks, excluding payroll, totaling $3,853,873.26.

Invoices over $2,500 represent 27.8% (56 checks) of all invoices processed in the month of January, and represent 97.1% of the value ($3,742,048.84) of all checks processed in January 2011.

Accounts Payable processed 57.1% (32 checks) of the checks over $2,500 within the 21-25 days, with 69.6% (39 checks) of the checks over $2,500 processed within 30 days.

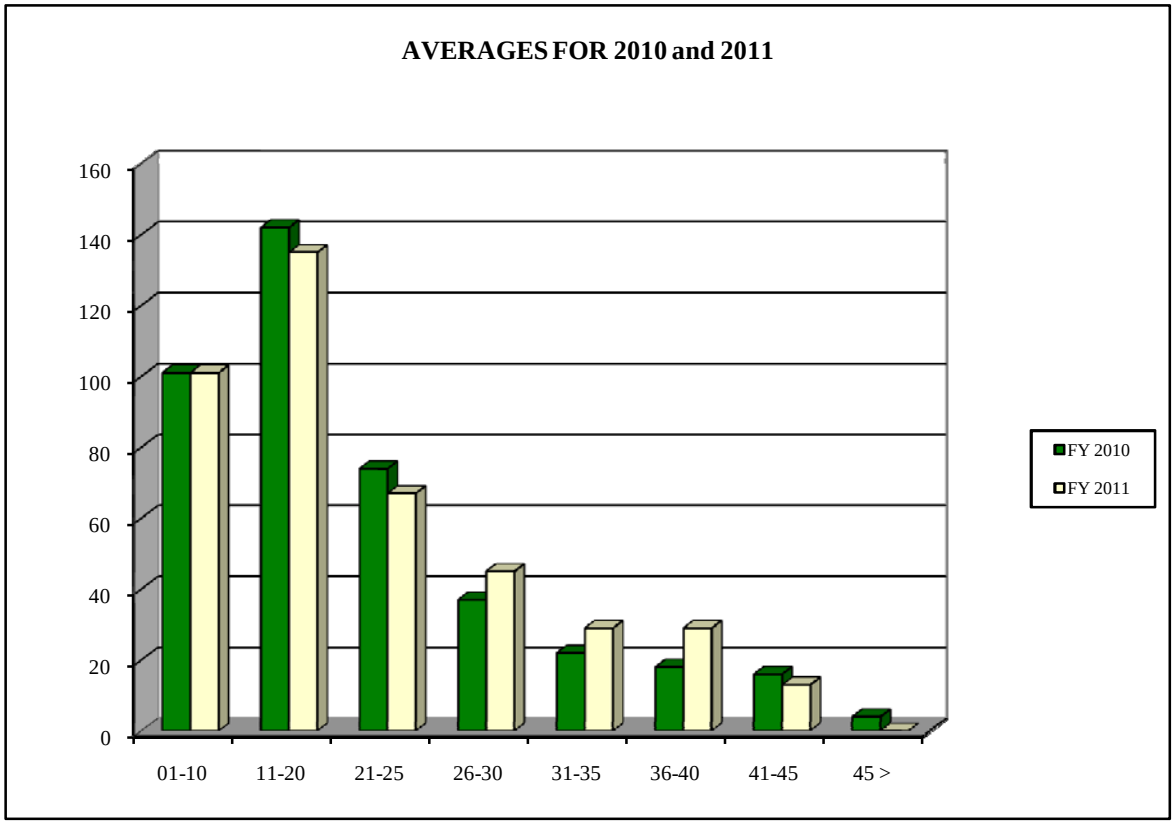
SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: FEBRUARY 25, 2011
INFORMATION ITEM:
SUMMARY OF PAYMENTS OVER $2,500
JANUARY 1, 2011 TO JANUARY 31, 2011

INVOICE CYCLE	NO. CHECKS	PERCENT OF TOTAL	ACCUM %
0-10 days	13	23.2%	23.2%
11-20 days	11	19.6%	42.9%
21-25 days	8	14.3%	57.1%
26-30 days	7	12.5%	69.6%
31-35 days	3	5.4%	75.0%
36-40 days	10	17.9%	92.9%
41-45 days	4	7.1%	100.0%
Over 45 days	0	0.0%	100.0%
TOTAL CHECKS	56	100.0%	

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
PAYMENT CYCLE REPORT - JANUARY 2011

FOR INVOICES $2,500 AND OVER

MONTHLY AVERAGE JULY 2010 TO JUNE 2011			MONTHLY AVERAGE JULY 2009 TO JUNE 2010	
INVOICE CYCLE	**% OF TOTAL**		**INVOICE CYCLE**	**% OF TOTAL**
0 -10 Days	24.1%		0 -10 Days	24.4%
11-20 Days	32.2%		11-20 Days	34.3%
21-25 Days	16.0%		21-25 Days	17.9%
26-30 Days	10.7%		26-30 Days	8.9%
31-35 Days	6.9%		31-35 Days	5.3%
36-40 Days	6.9%		36-40 Days	4.3%
41-45 Days	3.1%		41-45 Days	3.9%
Over 45 Days	0.0%		Over 45 Days	1.0%



SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: FEBRUARY 25, 2011
INFORMATION ITEM: PAYMENTS OVER $2,500
JANUARY 1 THRU JANUARY 31, 2011

RCVD DATE	APPRVD DATE	CHECK DATE	MAILED CHECK	DAYS PROCESS	VENDOR	DESCRIPTION	AMOUNT
1/12/2011	1/12/2011	1/12/2011	1/12/2011	0	NAT'L ASSOC OF ADA COORDINATOR	Nat'l Conf	4,600.00
1/12/2011	1/12/2011	1/12/2011	1/13/2011	1	NORTHWEST RAIL ELECTRIC INC	Impeller, Cable Gland & shielded Cable	2,527.83
1/27/2011	1/27/2011	1/27/2011	1/28/2011	1	PROLOGIS TRUST	Main Office Lease/Rent -02/2011	58,396.26
1/3/2011	1/4/2011	1/5/2011	1/7/2011	4	BOMBARDIER MASS TRANSIT CORPOR	DMU Cleaning -12/01-31/10	5,626.38
1/4/2011	1/4/2011	1/6/2011	1/10/2011	6	FLORIDA POWER & LIGHT	Station Utilities	6,060.85
1/12/2011	1/12/2011	1/12/2011	1/18/2011	6	RAIL-VOLUTION	Partners Contribution -FY11	15,000.00
1/20/2011	1/20/2011	1/21/2011	1/26/2011	6	G4S SECURE SOLUTIONS USA	Wackenhut W/E -1/3/11 - 1/9/11	88,135.13
1/25/2011	1/25/2011	1/27/2011	1/31/2011	6	FLORIDA POWER & LIGHT	Station Utilities	10,974.86
12/30/2010	1/4/2011	1/5/2011	1/7/2011	8	NATIONAL RAILROAD PASSENGER	Base Compensation -12/10	190,954.00
12/31/2010	12/31/2010	1/6/2011	1/10/2011	10	SUNLURE, INC	Teal Blue Lanyards, imprinted with SFRTA logo.	3,121.90
1/3/2011	1/11/2011	1/12/2011	1/13/2011	10	ALL STAR ENGRAVING & SIGN INC	Easy Card Fence Banners	4,500.00
12/29/2010	1/4/2011	1/6/2011	1/10/2011	12	G4S SECURE SOLUTIONS USA	Wackenhut W/E -12/13-19/10, Wackenhut W/E -12/20-26/10	178,550.69
12/28/2010	12/29/2010	1/6/2011	1/10/2011	13	CTM MEDIA GROUP INC.	Brochure Distribution and Display	3,187.66
1/13/2011	1/13/2011	1/21/2011	1/26/2011	13	FLORIDA POWER & LIGHT	Station Utilities	16,648.62
1/7/2011	1/12/2011	1/21/2011	1/21/2011	14	AT&T	Reg Summ -01/01/2011	26,972.85
1/11/2011	1/18/2011	1/21/2011	1/26/2011	15	MERIDIAN MANAGEMENT CORPORATION	Station Maintenance -01/11	14,145.55
12/24/2010	1/4/2011	1/6/2011	1/10/2011	17	MIAMI DADE	"Easy Ticket" Limited Use Smart Card stock for transfers to MDT	12,500.00
1/12/2011	1/27/2011	1/28/2011	1/31/2011	19	AON RISK SERVICES INC	Renewal Railroad Property Insurance, Property Placement Insurance	309,474.00
12/21/2010	1/4/2011	1/6/2011	1/10/2011	20	BITNER GOODMAN INC	Marketing Public Relations and Advertising Services	49,530.71
12/17/2010	12/29/2010	1/5/2011	1/7/2011	21	MACMILLAN OIL COMPANY OF FL	Train Fuel -12/6-12/19/10	224,138.64
1/5/2011	1/18/2011	1/21/2011	1/26/2011	21	G4S SECURE SOLUTIONS USA	Wackenhut W/E -12/27-01/02/2011	107,608.77
1/5/2011	1/14/2011	1/21/2011	1/26/2011	21	RESPECT OF FLORIDA	Janitorial Services -12/01-31/10	5,122.77
1/10/2011	1/26/2011	1/27/2011	1/31/2011	21	C2 GROUP LLC	Fed/Leg Consulting Svcs -12/2010	12,000.00
1/3/2011	1/18/2011	1/19/2011	1/25/2011	22	BOMBARDIER MASS TRANSIT CORPOR	Commuter Rail Fleet Maint -12/01-31/10	788,743.21
1/3/2011	1/18/2011	1/21/2011	1/26/2011	23	VEOLIA TRANSPORTATION SERVICE	Commuter Rail Operations -12/01-31/10	777,416.32
12/30/2010	1/18/2011	1/19/2011	1/25/2011	26	MACMILLAN OIL COMPANY OF FL	Train Fuel -12/20-01/02/11	238,411.18
12/14/2010	12/29/2010	1/6/2011	1/10/2011	27	RITTERS PRINTING	Tri-Fold Schedules -12/10	4,855.92
12/13/2010	12/13/2010	1/6/2011	1/10/2011	28	AT&T	Reg Summ -12/01/2010	26,435.63
1/2/2011	1/10/2011	1/27/2011	1/31/2011	29	DETROIT DIESEL ALLISON	A/C Repair Estimate	4,896.33
12/27/2010	1/18/2010	1/21/2011	1/26/2011	30	LIMOUSINES OF SOUTH FLORIDA	Incentives per Agreement -12/14/10	15,000.00
12/13/2010	1/4/2011	1/12/2011	1/18/2011	36	VEOLIA TRANSPORTATION SERVICE	Commuter Rail Operations -11/01-30/10	19,730.50
12/13/2010	12/13/2010	1/20/2011	1/18/2011	36	COMTO	COMTO Corp Dues -12/31/2010	2,500.00
12/7/2010	12/15/2010	1/12/2011	1/13/2011	37	LIMOUSINES OF SOUTH FLORIDA	Boca Feeder Svc 11/16-30	9,528.75
12/7/2010	12/7/2010	1/12/2011	1/13/2011	37	TYLER WORKS/EDEN DIVISION	HR Web Ext-Software, Broward MPO Maint. Fees, Payroll Refresher Training, Set Up Support	16,090.00
12/20/2010	1/18/2011	1/21/2011	1/26/2011	37	PALMTRAN	Palm Tran BCC Agenda -11/10	18,569.00
12/20/2010	1/18/2011	1/21/2011	1/26/2011	37	SFEC TMA	SFEC TMA Feeder Bus Services -11/10	7,472.60
12/17/2010	1/11/2011	1/21/2011	1/26/2011	40	ACS TRANSPORT SOLUTIONS, INC.	TVM Software Maintenance -12/10	13,855.34
12/17/2010	12/21/2010	1/26/2011	1/27/2011	41	BANK OF AMERICA	Purchasing Cards -12/10	12,356.96
12/15/2010	1/18/2011	1/21/2011	1/26/2011	42	DOWNTOWN FT LAUDERDALE TMA	Bus Services -11/10	18,963.84
12/1/2010	1/12/2011	1/12/2011	1/13/2011	43	DETROIT DIESEL ALLISON	A/C Repair DMU, Air Compressor	7,325.86
				40	**TOTAL OPERATING EXPENDITURES**		**$ 3,331,928.91**

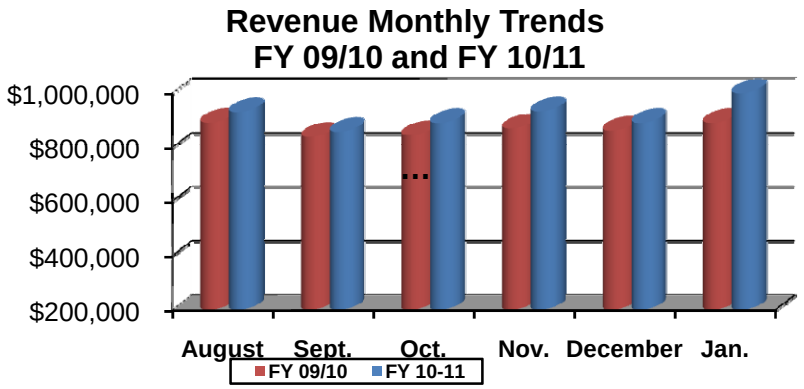
SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
GOVERNING BOARD MEETING: FEBRUARY 25, 2011
INFORMATION ITEM: PAYMENTS OVER $2,500
JANUARY 1 THRU JANUARY 31, 2011

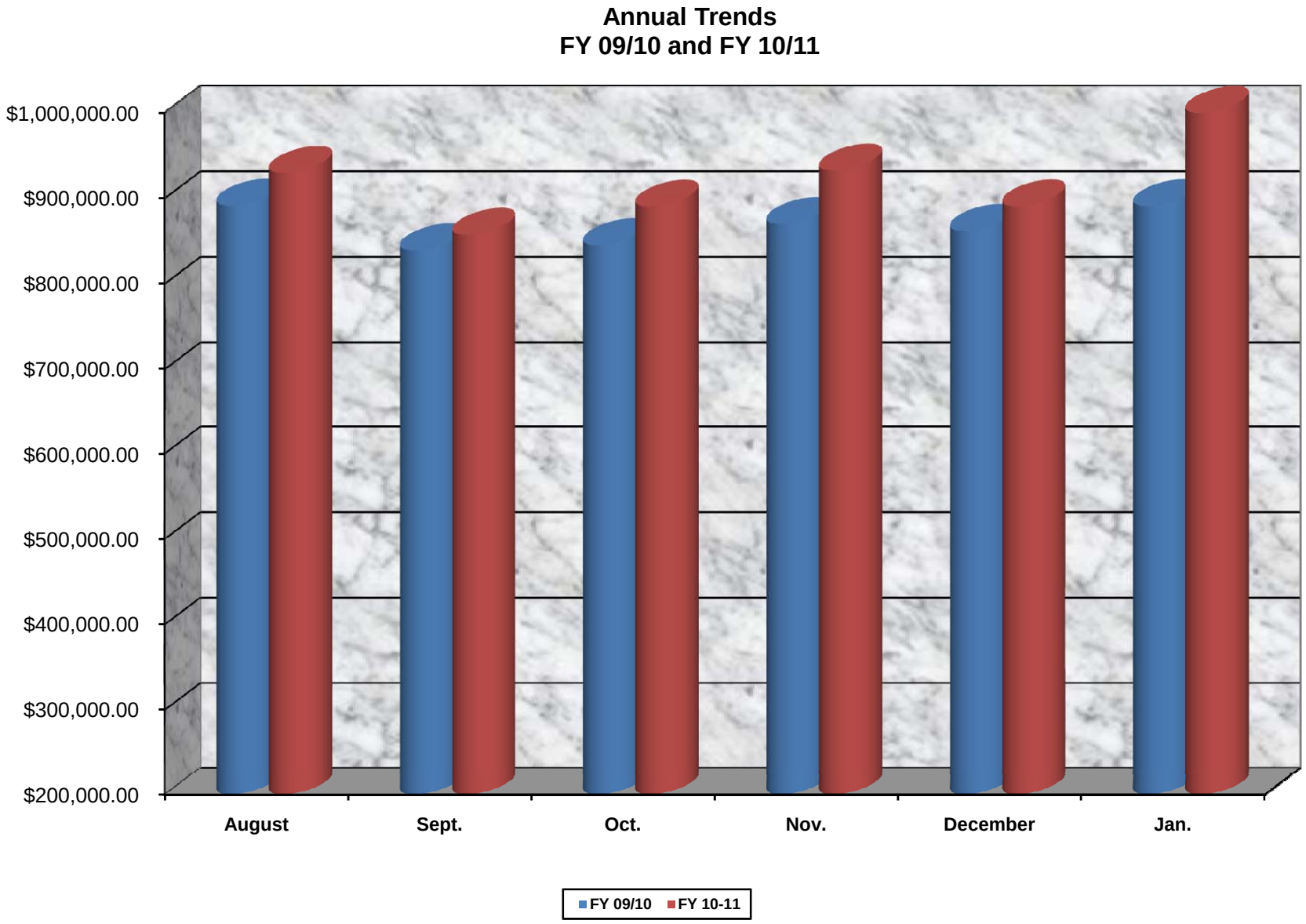
RCVD DATE	APPRVD DATE	CHECK DATE	MAILED CHECK	DAYS PROCESS	VENDOR	DESCRIPTION	AMOUNT
CAPITAL EXPENDITURES							
1/18/2011	1/19/2011	1/19/2011	1/19/2011	1	BOMBARDIER MASS TRANSIT CORPOR	LED Stairwell Light, Installation of Refrigerators	8,334.74
1/3/2011	1/6/2011	1/6/2011	1/6/2011	3	TIGERTAIL LAKE WAREHOUSE	TVM Lease Facility 01/2011-05/2011	9,000.00
12/30/2010	1/4/2011	1/6/2011	1/10/2011	11	COALITION FOR IND LIVING	Reimbursement for 1/2 of Expenses Through JARC/NF Grants for The Month of Oct & Nov 10	2,838.89
1/5/2011	1/19/2011	1/21/2011	1/21/2011	16	BOOZ ALLEN & HAMILTON	Continue technical and program management of the UAFC System	88,976.62
1/12/2011	1/24/2011	1/27/2011	1/31/2011	19	TREASURE COAST REGIONAL PLAN, COUNCIL	Professional Planning Services	25,000.00
1/10/2011	1/19/2011	1/27/2011	1/31/2011	21	LTK ENGINEERING SERVICES	Quality Assurance including Inspection of the Rolling Stock	10,253.01
1/4/2011	1/19/2011	1/27/2011	1/27/2011	23	MBR CONSTRUCTION, INC.	Construction Services for Cypress Creek Station West Parking Lot, Retainage release	78,343.87
12/20/2010	1/10/2011	1/12/2011	1/18/2011	29	LANDSCAPE FORMS	Petosky Bench	6,010.00
12/28/2010	1/18/2011	1/21/2011	1/26/2011	29	BERGMANN ASSOCIATES INC	On-Call/As-Needed Quality Mgmnt Svcs, Hialeah Yard Storage Track Design and Construction.	15,801.09
12/10/2010	12/29/2010	1/6/2011	1/10/2011	31	JACOBS ENGINEERING GROUP INC.	Technical and Logistical Support for the Implementation of Selected Projects	8,581.86
12/10/2010	12/29/2010	1/12/2011	1/13/2011	34	CDW G	Apple iPAD WiFi+3G 32GB (9) Apple In Case Combo Charger (9)	6,760.08
12/23/2010	1/10/2011	1/21/2011	1/26/2011	34	VICTOR STANLEY INC.	Ironsites series litter receptacles, 36-gallon including liner and standard spun steel lid.	4,303.50
12/20/2010	1/18/2011	1/21/2011	1/26/2011	37	HDR ENGINEERING INC	Installation of an additional well, additional testing and preparation	34,777.72
12/17/2010	1/18/2011	1/21/2011	1/26/2011	40	PB AMERICAS, INC	Provide professional planning services	100,781.07
12/22/2010	1/11/2011	1/27/2011	1/31/2011	40	CARTER AND BURGESS INC	Retainage release	4,503.55
12/1/2010	1/12/2011	1/12/2011	1/13/2011	43	DETROIT DIESEL ALLISON	Engine Repairs	5,853.93
				16	**TOTAL CAPITAL EXPENDITURES**		**$ 410,119.93**
		Item Total		**56**	**TOTAL OPERATING EXPENSES AND CAPITAL EXPENDITURES**		**$ 3,742,048.84**

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
REVENUE REPORT- JANUARY 2011

REVENUE - JANUARY 2011

DESCRIPTION	Jan-10	Jan-11	VARIANCE	%
Weekday Sales	686,396	824,611	138,215	20.1%
Weekend Sales	177,194	162,313	(14,881)	-8.4%
Other Income	27,520	15,255	(12,265)	-44.6%
Total Revenue	891,110	1,002,179	111,069	12.5%



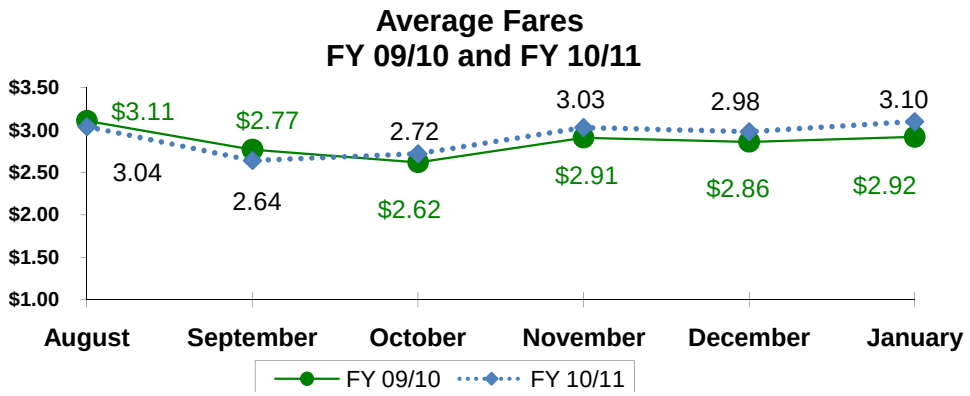

Annual Trends
FY 09/10 and FY 10/11
$1,000,000.00
$900,000.00
$800,000.00
$700,000.00
$600,000.00
$500,000.00
$400,000.00
$300,000.00
$200,000.00
August
Sept.
Oct.
Nov.
December
Jan.
FY 09/10
FY 10-11

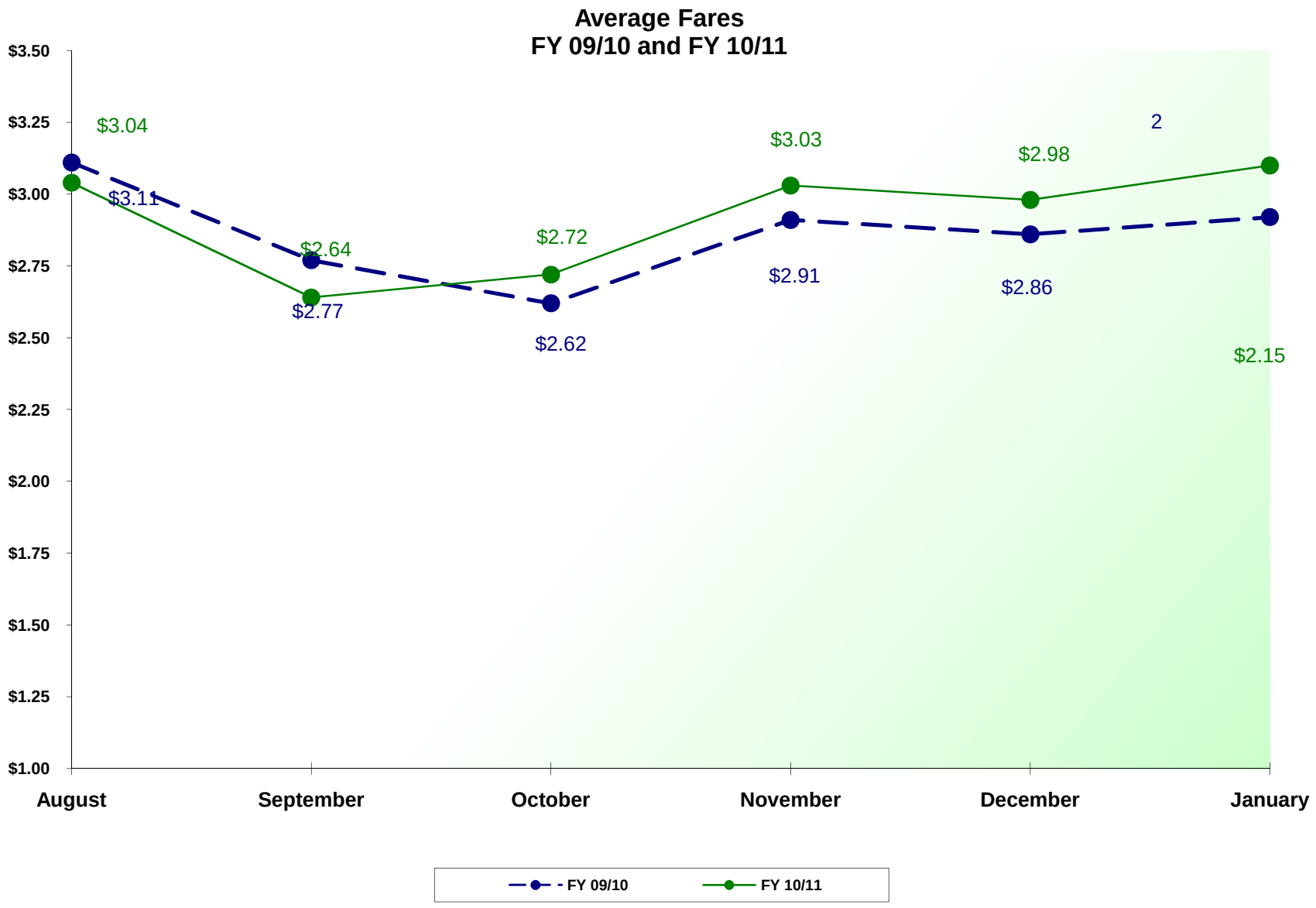
SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
REVENUE REPORT- JANUARY 2011

SALES BY TICKET TYPE	JANUARY 2010		JANUARY 2011		PERCENT (1) CHANGE
Palm Beach Schools	35,000		34,150		-2%
Employer Disc. Program	168,300		183,412		9%
Group Tour Sales	228		604		165.3%
Station Sales:					
One-Way	275,494		305,926		11.0%
Roundtrip	148,734		158,561		6.6%
12 Trips	28,101		37,776		34.4%
Monthly	52,025		66,300		27.4%
One-Way Discount	61,792		69,012		11.7%
Roundtrip Discount	44,664		54,712		22.5%
Monthly Discount	49,253		76,472		55.3%
Total Station Sales	660,062		768,758		
Total Sales	863,590		986,924		14.3%

(1) Percent increase or decrease from previous year

AVERAGE FARE	2.92	3.10



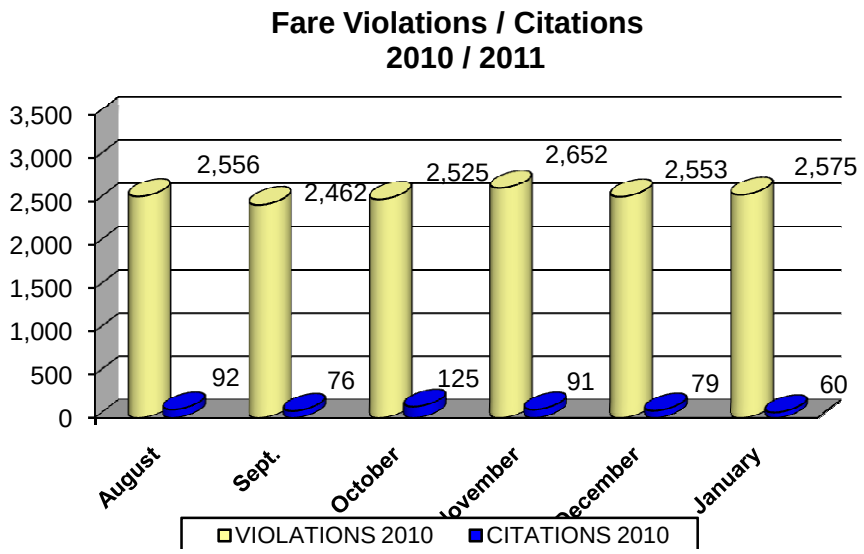

Average Fares
FY 09/10 and FY 10/11
$3.50
$3.25
$3.00
$2.75
$2.50
$2.25
$2.00
$1.75
$1.50
$1.25
$1.00
$3.04
$3.11
$2.64
$2.77
$2.72
$2.62
$3.03
$2.91
$2.98
$2.86
2
$2.15
August
September
October
November
December
January
FY 09/10
FY 10/11

SOUTH FLORIDA REGIONAL TRANSPORTATION AUTHORITY
FARE EVASION REPORT
AUGUST 2010 THROUGH JANUARY 2011

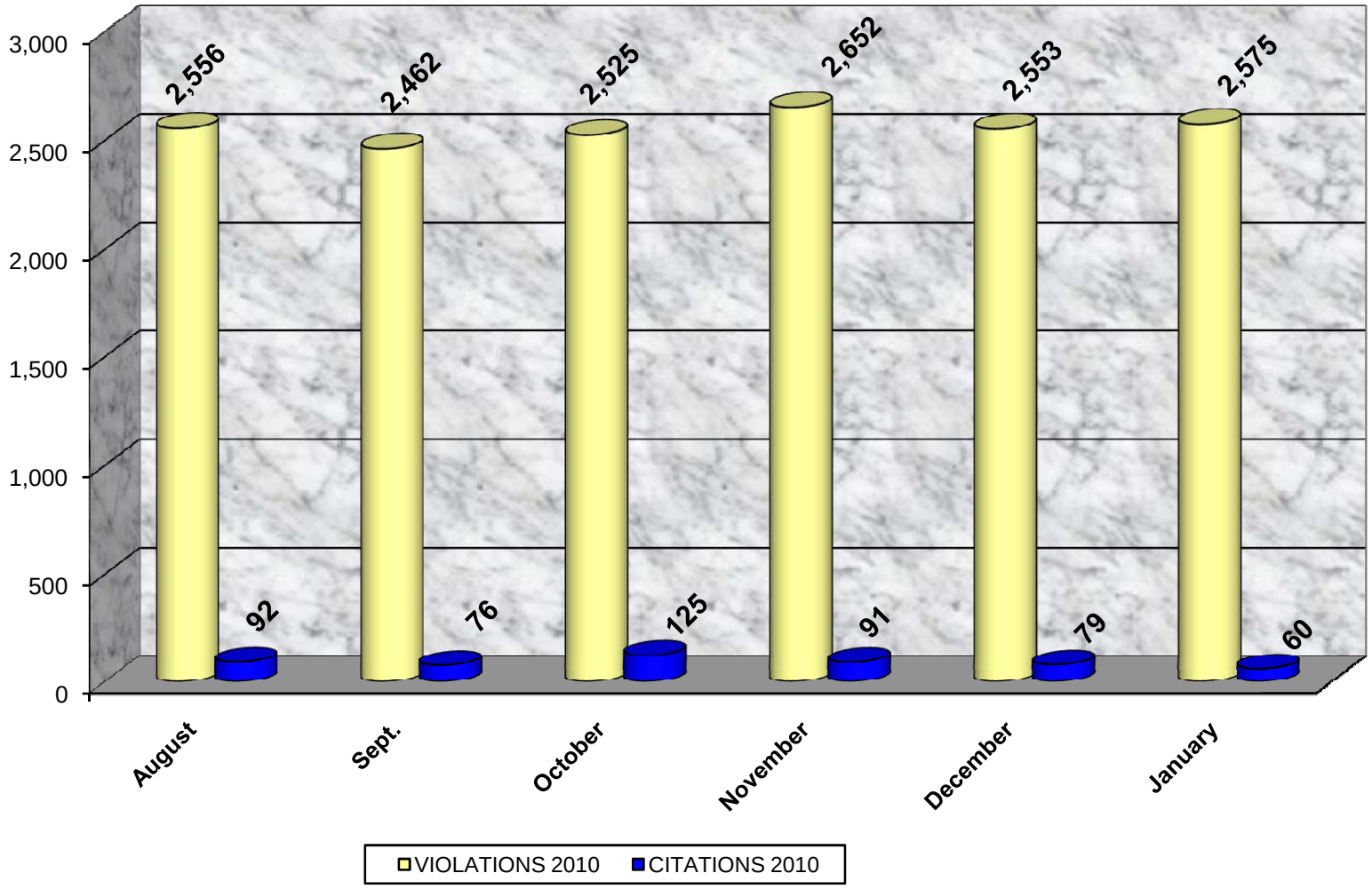
MONTH	TOTAL INSPECTED	TOTAL VIOLATIONS	# OF CITATIONS	# OF WARNINGS	% RIDERS INSPECTED
AUGUST 2010	349,453	2,556	92	2,462	117%
SEPTEMBER 2010	366,391	2,462	76	2,382	116%
OCTOBER 2010	364,612	2,525	125	2,398	112%
NOVEMBER 2010	368,912	2,652	91	2,558	122%
DECEMBER 2010	352,704	2,553	79	2,474	120%
JANUARY 2011	384,149	2,575	60	2,515	120%
AVERAGE	364,370	2,554	87	2,465	118%

FARE EVASION %	**0.67%**

FINES	**$**	**2,533**



Fare Violations / Citations
2010/ 2011



Solicitation Status Report
January 2011

AGENDA ITEM: H

Solicitation Number	Solicitation Type	Description of Services	Advertise Date	Document Available	Pre-Submittal Conference	Due Date Bids/Proposals	Award Contract
10-005	ITB	New Locomotives Contract Admin.: P. McCammon Proj. Mgr.: D. Mazza	2/26/2010	3/1/2010	3/18/2010	7/7/2010	2/25/2011
11-012	ITB	Heavy Station Maintenance and Repairs Contract Admin.: R. Becker Proj. Mgr.: M. Lulo	3/1/2011	3/2/2011	3/10/2011	4/7/2011	4/22/2011

AGENDA ITEM NO: I

Contract Actions Executed
Under The Executive Director's Authority
For The Month of January 2011

Date Signed	Contract /Purchase Order No.	Contract Action	Amount $	Term
	11-000317	Purchase Order	10,000.00	N/A
Contractor	**LIMOUSINES OF SOUTH FLORIDA**			
Project	Emergency Demand Service			
Justification	Extension of service for 1 hr due to rail failure.			
	11-000332	Purchase Order	16,103.40	N/A
Contractor	**GILLESPIE GRAPHICS**			
Project	Graphic Wraps For New SAV's			
Justification	SFRTA TVM on-site installation of 85 wraps. Wraps shall be warranted for 3 years from the time of installation and shall cover deterioration and readily visible color fading as compared to new wrap.			
	11-000345	Purchase Order	15,845.00	N/A
Contractor	**WEST COAST FL ENTERPRISES INC.**			
Project	New Gutters at Ft Lauderdale Airport Station			
Justification	Furnish and install aluminum gutters w/support brackets at Ft Lauderdale Airport station .			

AGENDA ITEM: J

Contract Actions Executed
Under The
Construction Oversight Committee's Authority
For The Month of January 2011

Date Signed	Contract No. 10-002	Contract Action	Amount $	Term
N/A	No Contract Actions were executed by the Construction Oversight Committee For The Month of January, 2011	N/A	N/A	N/A

PROPERTY TASK FORCE
CURRENT PROJECT SCHEDULE

PROJECT/PROPERTY ISSUES	DATE OF DELEGATION BY BOD	ACTION TO BE TAKEN	DELEGATION	BOD MEETING UPDATE
Boca Raton Station Phase II Joint Development - Boca Tri-Rail Center, LLC Proposal - Yamato Road Joint Venture (Atlantic Coast Developers, LLC and LB Jax Development, LLC)	February 22, 2008 June 27, 2008 August 22, 2008 October 24, 2008	Yamato Road Joint Venture was selected as preferred proposer for negotiation of a Term Sheet. Legal Counsel, staff and Board Member Smith to negotiate term sheet. Term Sheet accepted for review/action. Developer to hold public meetings for community input within 60 days. Term Sheet timeline extended.	Proposer to obtain conceptual approval from the City of Boca Raton within 7 months. Extended additional time for conceptual approval from the City.	September 23, 2008 August 22, 2008 August 22, 2008 October 24, 2008
Parking Proposal for the Tri-Rail Hollywood Station	August 22, 2008	PTF to discuss and make recommendations to the SFRTA Governing Board within 60 days.	Staff sent information to the Developer and is waiting response.	October 24, 2008
Riverbend DRI	August 22, 2008	PTF to discuss and make recommendations to the SFRTA Governing Board within 60 days.	Riverbend DRI is in the SFRPC review process.	October 24, 2008
SFRTA Administrative Headquarters Lease	April 23, 2010	PTF to review lease options	1. Staff to research properties that could potentially serve as SFRTA's Administrative Office and request proposals for rent; 2. Staff to start negotiation of the existing lease with Prologis; and 3. All proposals to be evaluated by the Property Task Force and brought back to full Board for discussion.	June 25, 2010

Last Update: February 16, 2011

SOUTH FLORIDA
REGIONAL
TRANSPORTATION
AUTHORITY

MONTHLY CRIME ANALYSIS SUMMARY AND FARE EVASION REPORT

JANUARY 2011

PRESENTED BY

TIM CATES
PROJECT MANAGER

SOUTH FLORIDA REGIONAL
TRANSPORTATION AUTHORITY
MONTHLY CRIME ANALYSIS SUMMARY

JANUARY 2011

During the month of JANUARY 2011, 2965 incidents were reported to, or by G4S Secure Solutions, USA, Custom Protection Officers®, of these, one (1) Auto Thefts, one (1) Battery on a Transit Agent (C.P.O.), one (1) Debris on Tracks, one (1) Fire, one (1) Interfering with Train, and four (4) Thefts. A total of two (2) arrests were made during this month.

MAJOR INCIDENTS

DEERFIELD BEACH STATION

Theft-(bicycle)
Case # 01-11-265
Occurred on 01/04/11, Tuesday, between 0800-0990 hours. Unknown person(s) took the victims bicycle (Men's 26" Schwinn 23 speed, blue in color) from the bike stand in front of the Amtrak Office. No police report.

METRORAIL STATION

Trespass After Warning **Arrest**
Case # 01-11-462
Occurred on 01/06/11, Thursday, at 0703 hours. The subject was found at the station platform after receiving a Trespass Warning on 12/15/10. Hialeah Police Department case # 2011-550.

MANGONIA PARK STATION

THEFT
Case # 01-11-903
Occurred ON 01/11/11, Tuesday, at 1105 hours. The victim was asked for a dollar when he went to give the subject the dollar the subject took his wallet. Palm Beach Sheriff's Office Case # 11-24873.

FT LAUDERDALE AIRPORT STATION GARAGE

Theft (fire extinguisher)
Case # 01-11-996
Occurred on 01/12/11, Wednesday, between 00001-0400 hours. Unknown person(s) damaged the 3rd floor fire extinguisher case and took the fire extinguisher. Broward Sheriff's Office Case # DN 11-01-928.

LAKE WORTH STATION

Auto Theft
Case # 01-11-2579
Occurred on 01/27/11, Thursday, between 0600-1645 hours. Unknown person(s) took the victims vehicle (2000 Green Dodge Caravan) from the east parking lot. Palm Beach Sheriff's Office Case # 11-31236.

FT LAUDERDALE STATION

Battery on Transit Agent (C.P.O.) **Arrest**
Case # 01-11-1598
Occurred on 01/18/11, Tuesday, at 0615 hours. The subject who appeared to be loitering at the station refused to leave and became violent towards the station security officer. The subject then committed a battery (punched the officer in the face). The subject was detained and held for police. Ft Lauderdale Police Department Case # 01-11-6536.

WEST PALM BEACH STATION

Theft (bicycle)
Case # 01-11-2675

Occurred 01/28/11, Friday, between 0855-1745 hours. The victim reported via phone that his bicycle (Silver Vertigo xl2) was stolen from the station bike rack. No police report.

OUTSTANDING JOB PERFORMANCES

JANUARY 17, 2011, C.P.O. Poole, assisted a passenger at the Mangonia Park Station. The passenger arrived at the station by train and was not feeling well. C.P.O. Poole contacted West Palm Fire Rescue and her nephew who came and transported her safely from the station.

JANUARY 26, 2011, C.P.O. Brake heard a man yelling at the Deerfield Beach Station. The man yelling had falling while running to the train that had arrived on the opposite track. C.P.O. Brake asked the passenger several times if he needed medical attention, in which he refused. C.P.O. Brake kept checking on the passenger during his passage to make sure he was okay and the passenger departed the train safely.

MONTHLY FARE EVASION REPORT

MONTH: January 2011

Date	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	%Violators Arrested
1/1/2011	4,181	5,824	34	0	34	0	139%	0.6%	0%	100%	0%
1/2/2011	4078	6146	42	0	42	0	151%	0.7%	0%	100%	0%
1/3/2011	11256	14021	122	3	119	0	125%	0.9%	2%	98%	0%
1/4/2011	13,251	15,815	99	3	96	0	119%	0.6%	3%	97%	0%
1/5/2011	12,903	15,130	91	0	91	0	117%	0.6%	0%	100%	0%
1/6/2011	12,709	13,874	98	3	95	0	109%	0.7%	3%	97%	0%
1/7/2011	12,663	15,817	95	3	92	0	125%	0.6%	3%	97%	0%
1/8/2011	4,485	6,217	35	2	33	0	139%	0.8%	6%	94%	0%
1/9/2011	4,505	6,072	37	0	37	0	135%	0.82%	0%	100%	0%
1/10/2011	13,616	16,605	97	0	97	0	122%	0.58%	0%	100%	0%
1/11/2011	13,788	16,913	112	6	106	0	123%	0.66%	5%	95%	0%
1/12/2011	13,604	14,972	91	2	89	0	110%	0.60%	2%	98%	0%
1/13/2011	13,074	14,837	107	1	106	0	113%	0.72%	1%	99%	0%
1/14/2011	12,934	15,864	109	7	102	0	123%	0.69%	6%	94%	0%
1/15/2011	5,141	6,780	44	0	44	0	132%	0.84%	0%	100%	0%
1/16/2011	4,081	5,881	51	3	48	0	144%	1.25%	6%	94%	0%
1/17/2011	9,307	11,310	130	6	124	0	122%	1.15%	5%	95%	0%
1/18/2011	13,431	16,072	112	3	109	0	120%	0.70%	3%	97%	0%
1/19/2011	13,403	14,605	110	1	109	0	109%	0.75%	1%	99%	0%
1/20/2011	13,274	14,115	86	2	84	0	106%	0.61%	2%	98%	0%
1/21/2011	13,602	15,497	88	2	86	0	114%	0.57%	2%	98%	0%
1/22/2011	4,997	5,973	38	1	37	0	120%	0.64%	3%	97%	0%
1/23/2011	3,864	6,006	40	0	40	0	155%	0.67%	0%	100%	0%
1/24/2011	13,293	16,399	127	5	122	0	123%	0.77%	4%	96%	0%
1/25/2011	13,528	15,866	80	2	78	0	117%	0.50%	3%	98%	0%
1/26/2011	13,704	15,073	95	1	94	0	110%	0.63%	1%	99%	0%
1/27/2011	13,598	15,338	84	2	82	0	113%	0.55%	2%	98%	0%
1/28/2011	13,163	16,837	129	0	129	0	128%	0.77%	0%	100%	0%
1/29/2011	5,413	6,926	38	0	38	0	128%	0.55%	0%	100%	0%
1/30/2011	4,353	6,595	55	0	55	0	152%	0.83%	0%	100%	0%
1/31/2011	13,725	16,769	99	2	97	0	122%	0.59%	2%	98%	0%
Totals	**318,924**	**384,149**	**2,575**	**60**	**2515**	**0**	**120%**	**0.67%**	**2%**	**98%**	**0%**

Weekly/Monthly Fare Inspection Report

Month: January 2011

Week	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	%Violators Arrested
1/01/11-01/02/11	8,259	11,970	76	0	76	0	145%	0.63%	0%	100%	0%
01/03/11-01/09/11	71,772	86,946	577	14	563	0	121%	0.66%	2%	98%	0%
01/10/11-01/16/11	76,238	91,852	611	19	592	0	120%	0.67%	3%	97%	0%
01/17/11-01/23/11	71,878	83,578	604	15	589	0	116%	0.72%	2%	98%	0%
01/24/11-01/30/11	77,052	93,034	608	10	598	0	121%	0.65%	2%	98%	0%
1/31/2011	13,725	16,769	99	2	97	0	116%	1.00%	4%	96%	0%
Totals	318,924	384,149	2,575	60	2,515	0	120%	0.67%	2%	98%	0%

FARE EVASION REPORT
MONTHLY COMPARISON

MONTH	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
April-98	284,380	170,853	541	495	27	19	60%	0.32%	91%	5%	4%
May-98	180,788	118,150	395	350	28	18	65%	0.33%	89%	7%	5%
June-98	167,931	119,333	605	538	39	28	71%	0.51%	89%	6%	5%
July-98	164,028	114,160	753	675	56	22	70%	0.66%	90%	7%	3%
August-98	175,944	126,223	643	598	29	16	72%	0.51%	93%	5%	2%
September-98	169,522	118,346	442	419	17	6	70%	0.37%	95%	4%	1%
October-98	194,241	137,885	636	613	13	10	71%	0.46%	96%	2%	2%
November-98	172,782	123,556	564	540	11	13	72%	0.46%	96%	2%	2%
December-98	177,662	129,428	533	517	6	10	73%	0.41%	97%	1%	2%
January-99	182,432	140,296	531	509	10	12	77%	0.38%	96%	2%	2%
February-99	184,533	137,924	531	518	8	5	75%	0.38%	98%	2%	1%
March-99	206,134	161,833	710	696	6	8	79%	0.44%	98%	1%	1%
April-99	195,182	146,649	565	555	9	1	75%	0.39%	98%	2%	0%
May-99	185,160	138,211	608	594	8	6	75%	0.44%	98%	1%	1%
June-99	165,130	126,263	533	511	21	1	76%	0.42%	96%	4%	0%
July-99	157,020	124,754	470	373	93	4	79%	0.38%	79%	20%	1%
August-99	183,578	140,002	475	447	23	5	76%	0.34%	94%	5%	1%
September-99	170,632	138,267	407	397	6	4	81%	0.29%	98%	1%	1%
October-99	181,774	155,633	508	484	20	4	86%	0.33%	95%	4%	1%
November-99	186,616	147,986	560	549	7	4	79%	0.38%	98%	1%	1%
December-99	182,591	145,524	563	547	11	5	80%	0.39%	97%	2%	1%
January-00	187,154	144,403	529	520	5	4	77%	0.37%	98%	1%	1%
February-00	198,944	158,269	582	573	5	4	80%	0.37%	98%	1%	1%
March-00	210,339	166,800	519	507	9	3	79%	0.31%	98%	2%	1%
April-00	193,414	170,365	509	500	5	4	88%	0.30%	98%	1%	1%
May-00	207,042	180,112	587	572	9	6	87%	0.33%	97%	2%	1%
June-00	173,063	141,554	680	670	7	3	82%	0.48%	99%	1%	0%
July-00	171,438	131,316	622	613	4	5	77%	0.47%	99%	1%	1%
August-00	204,722	153,674	512	497	9	6	75%	0.33%	97%	2%	1%
September-00	207,322	144,675	491	470	11	10	70%	0.34%	96%	2%	2%
*October-00	227,112	156,845	651	216	425	10	69%	0.42%	33%	65%	0%
November-00	219,669	157,151	670	221	437	12	72%	0.43%	33%	65%	2%
December-00	198,383	153,327	626	235	383	8	77%	0.41%	38%	61%	0%
Totals	**6,266,662**	**4,719,767**	**18,551**	**16,519**	**1757**	**276**	**75%**	**0.39%**	**89%**	**9%**	**1%**

Note: Hurricane George Warning on Wednesday, 9/23/98.
Per Tri-Rail ticket checks/citations were stopped at 1200 hrs on 9/23/98
Holiday: 11/26/98, 12/25/98, 1/1/99 - No Train Service.
Note: Hurricane Floyd Warning on Tuesday 09/14/99 and Wednesday, 9/15/99.
* October 2000 MODIFIED FARE EVASION BEGINS

Per Tri-Rail trains were canceled due to hurricane on 9/24/98 & 9/25/98.
Per Tri-Rail ticket checks/citations were started at P646 on 9/26/98.
January 22-24,1999 - Friends Ride Free.
Holiday: 11/25/99, 12/25/99, 1/1/00 - No Train Service.

FARE EVASION REPORT
MONTHLY COMPARISON

MONTH	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
January-01	217,992	158,234	595	201	387	7	73%	0.38%	34%	65%	1%
February-01	218,815	155,774	500	144	351	5	71%	0.32%	29%	70%	1%
March-01	236,192	187,706	546	130	412	4	79%	0.29%	24%	75%	0%
April-01	224,941	205,730	581	129	444	8	91%	0.28%	22%	76%	1%
May-01	227,874	213,360	620	135	485	0	94%	0.29%	22%	78%	0%
June-01	188,375	191,285	664	174	487	3	102%	0.35%	26%	73%	0%
July-01	187,923	193,992	690	219	469	2	103%	0.36%	32%	68%	0%
August-01	220,792	228,613	659	170	488	1	104%	0.29%	26%	74%	0%
September-01	197,084	199,546	557	157	398	2	101%	0.28%	28%	71%	0%
October-01	224,865	226,566	642	221	417	4	101%	0.28%	34%	65%	0%
November-01	196,902	190,162	640	240	400	0	97%	0.34%	38%	63%	0%
December-01	197,396	181,718	666	276	381	9	92%	0.37%	41%	57%	1%
January-02	215,010	199,904	627	208	417	2	93%	0.31%	33%	67%	0%
February-02	209,444	213,042	589	172	414	3	102%	0.28%	29%	70%	1%
March-02	227,971	224,847	650	270	377	3	99%	0.29%	42%	58%	0%
April-02	239,345	225,965	661	238	420	3	94%	0.29%	36%	64%	0%
May-02	231,330	217,200	643	195	445	3	94%	0.30%	30%	69%	0%
June-02	181,749	174,081	658	259	395	4	96%	0.38%	39%	60%	1%
July-02	195,723	195,531	865	38	817	10	100%	0.44%	4%	94%	1%
August-02	225,555	231,376	880	38	841	1	103%	0.38%	4%	96%	0%
September-02	216,671	227,528	883	37	843	3	105%	0.39%	4%	96%	0%
October-02	243,867	250,859	954	48	903	3	103%	0.38%	5%	95%	0%
November-02	221,892	219,181	955	49	903	3	99%	0.44%	5%	95%	0%
December-02	220,225	215,762	995	34	961	0	98%	0.46%	3%	97%	0%
January-03	238,953	239,334	963	28	933	2	100%	0.40%	3%	97%	0%
February-03	233,620	233,517	910	27	881	2	100%	0.39%	3%	97%	0%
March-03	247,918	247,702	801	44	756	1	100%	0.32%	5%	94%	0%
April-03	241,294	251,377	833	36	795	2	104%	0.33%	4%	95%	0%
May-03	239,967	248,639	769	53	714	2	104%	0.31%	7%	93%	0%
June-03	198,394	201,188	794	52	738	4	101%	0.39%	7%	93%	1%
July-03	203,815	211,409	881	46	828	7	104%	0.42%	5%	94%	1%
Page 1 Total	6,266,662	4,719,767	18,551	16,519	1,757	276	75%	0.39%	96%	9%	1%
Totals	13,038,556	11,280,895	41,222	20,587	20257	379	87%	0.37%	50%	49%	1%

* October 2000 MODIFIED FARE EVASION BEGINS

09/11/01 TERRORIST ATTACK

JULY 1,2002-NEW WARNING POLICY-ONE WARNING TO ALL-NEW ENFORCEMENT GUIDE

FARE EVASION REPORT
MONTHLY COMPARISON

MONTH	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
August-03	225,003	233,605	732	27	703	2	104%	0.31%	4%	96%	0%
September-03	231,637	247,620	779	43	736	0	107%	0.31%	6%	94%	0%
October-03	252,722	257,883	1035	64	970	1	102%	0.40%	6%	94%	0%
November-03	216,440	225,123	1014	63	950	1	104%	0.45%	6%	94%	0%
December-03	223,791	222,530	1089	71	1014	4	99%	0.49%	7%	93%	0%
January-04	237,635	233,086	1038	77	958	3	98%	0.45%	7%	92%	0%
February-04	242,576	222,543	1000	73	926	1	92%	0.45%	7%	93%	0%
March-04	261,974	227,616	1006	63	941	2	87%	0.44%	6%	94%	3%
April-04	254,585	229,216	740	37	702	1	90%	0.32%	5%	95%	0%
May-04	248,924	228,334	688	31	657	0	92%	0.30%	5%	95%	0%
June-04	220,646	195,551	1046	38	1007	1	89%	0.53%	4%	96%	0%
July-04	217,550	197,139	968	49	917	2	91%	0.49%	5%	95%	0%
August-04	244,841	215,845	1066	37	1029	0	88%	0.49%	3%	97%	0%
September-04	134,259	127,913	524	18	505	1	95%	0.41%	3%	96%	0%
October-04	250,254	216,532	906	33	871	2	87%	0.42%	4%	96%	0%
November-04	247,676	235,871	947	41	904	2	95%	0.40%	4%	95%	0%
December-04	232,664	231,754	931	58	873	0	100%	0.40%	6%	94%	0%
January-05	233,079	240,361	1244	91	1147	6	103%	0.52%	7%	92%	0%
February-05	234,939	242,323	1149	90	1055	4	103%	0.47%	8%	92%	0%
March-05	271,374	268,833	1230	89	1140	1	99%	0.46%	7%	93%	0%
April-05	261,406	260,144	1500	77	1420	3	100%	0.58%	5%	95%	0%
May-05	249,519	248,817	1421	116	1297	8	100%	0.57%	8%	91%	0%
June-05	200,482	194,500	1360	107	1237	16	97%	0.70%	8%	91%	1%
July-05	186,245	183,463	1295	103	1181	11	99%	0.71%	8%	91%	1%
August-05	207,320	206,156	1224	112	1108	4	99%	0.59%	9%	91%	0%
September-05	227,227	206,899	1213	93	1118	2	91%	0.59%	8%	92%	0%
October-05	161,615	143,769	963	63	898	2	89%	0.67%	7%	93%	0%
November-05	178,032	114,184	745	39	702	4	64%	0.65%	5%	94%	1%
December-05	207,734	172,526	1347	59	1284	4	83%	0.78%	4%	95%	0%
January-06	224,188	175,605	1555	95	1457	3	78%	0.89%	6%	94%	0%
February-06	217,412	173,825	1447	74	1368	5	80%	0.83%	5%	95%	0%
March-06	248,631	199,736	1800	93	1698	9	80%	0.90%	5%	94%	1%
April-06	257,607	192,193	1776	93	1680	3	75%	0.92%	5%	95%	0%
Page 2 Total	13,038,556	11,280,895	41,222	20,587	20,257	379	87%	0.37%	50%	49%	1%
Totals	20,548,543	18,252,390	78,000	22,804	54,710	487	89%	0.43%	29%	70%	1%

LIMITED OR NO TRAIN SERVICE DUE TO HURRICANE FRANCES-SEPTEMBER 2-12, 2004

LIMITED OR NO TRAIN SERVICE DUE TO HURRICANE JEANNE- SEPTEMBER 25-29, 2004

LIMITED OR NO TRAIN SERVICE DUE TO HURRICANE KATRINA -AUGUST 25-28, 2005

NO TRAIN SERVICE SEPTEMBER 20, 2005 HURRICANE RITA

NO TRAIN SERVICE OCTOBER 22-31, 2005 DUE TO HURRICANE WILMA

NO TRAIN SERVICE NOVEMBER 1-3, 2005 DUE TO HURRICANE WILMA

NO TICKET CHECKS NOVEMBER 4-11, 2005 DUE TO HURRICANE WILMA

FARE EVASION REPORT
MONTHLY COMPARISON

MONTH	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
May-06	291,543	208,697	1966	93	1868	5	72%	0.94%	5%	95%	0%
June-06	263,417	188,847	1754	77	1675	2	72%	0.93%	4%	95%	0%
July-06	250,659	178,368	1828	86	1738	4	71%	1.02%	5%	95%	0%
August-06	269,197	197,985	1915	106	1802	7	74%	0.97%	6%	94%	0%
September-06	287,529	212,380	1842	109	1729	4	74%	0.87%	6%	94%	0%
October-06	309,013	232,544	2096	105	1986	5	75%	0.90%	5%	95%	0%
November-06	281,711	219,411	1894	97	1794	3	78%	0.86%	5%	95%	0%
December-06	271,530	220,247	2143	94	2045	4	81%	0.97%	4%	95%	0%
January-07	294,795	250,522	2201	92	2103	6	85%	0.88%	4%	96%	0%
February-07	287,357	247,655	2354	130	2220	4	86%	0.95%	6%	94%	0%
March-07	306,651	316,244	2473	129	2335	9	103%	0.78%	5%	94%	0%
April-07	274,767	316,493	2634	116	2509	9	115%	0.83%	4%	95%	0%
May-07	303,896	353,284	2874	128	2741	5	116%	0.81%	4%	95%	0%
June-07	268,097	308,831	2645	121	2519	5	115%	0.86%	5%	95%	0%
July-07	266,694	308,224	2613	117	2493	3	116%	0.85%	4%	95%	0%
August-07	295,771	331,353	2754	130	2614	10	112%	0.83%	5%	95%	0%
September-07	275,035	309,849	2385	109	2273	3	113%	0.77%	5%	95%	0%
October-07	326,094	360,151	2816	112	2698	7	110%	0.78%	4%	96%	0%
November-07	306,116	325,178	2588	133	2448	7	106%	0.80%	5%	95%	0%
December-07	294,709	308,632	2531	107	2419	5	105%	0.82%	4%	96%	0%
January-08	324,570	342,578	2655	102	2545	8	106%	0.78%	4%	96%	0%
February-08	331,830	336,290	2272	84	2179	9	101%	0.68%	4%	96%	0%
March-08	348,437	357,954	2571	77	2478	16	103%	0.72%	3%	96%	1%
April-08	352,304	374,861	2614	118	2482	14	106%	0.70%	5%	95%	1%
May-08	371,527	385,360	2893	161	2723	9	104%	0.75%	6%	94%	0%
June-08	367,215	384,174	2915	166	2743	6	105%	0.76%	6%	94%	0%
July-08	378,471	387,641	2687	123	2558	6	102%	0.69%	5%	95%	0%
August-08	353,045	367,779	2335	101	2229	5	104%	0.64%	4%	95%	0%
September-08	383,320	430,263	2452	104	2339	9	112%	0.57%	4%	95%	0%
October-08	399,891	446,825	2628	112	2507	9	112%	0.59%	4%	95%	0%
November-08	346,597	361,181	2133	90	2041	2	104%	0.59%	4%	96%	0%
December-08	344,245	363,553	2626	132	2491	3	106%	0.72%	5%	95%	0%
Page 3 Total	20,548,543	18,252,390	78,000	22,804	54,710	487	89%	0.43%	29%	70%	1%
Totals	30,574,576	28,185,744	155,087	26,365	128,034	690	92%	0.55%	17%	83%	0%

08/29/06-08/30/06 No train service due to Tropical Storm Ernesto

3/9/2007 TICKET CHECKS SUSPENDED BY SFRTA FOR THE ENTIRE DAY-MAJOR TRAIN DELAY/TRACK WORK

3/15/2007 SFRTA SUSPENDED TICKET CHECKS DUE TO PASSENGER SURVEY

03/28/07-03/30/07 P605, P609, P630 (3 TRAINS ANNULLED)

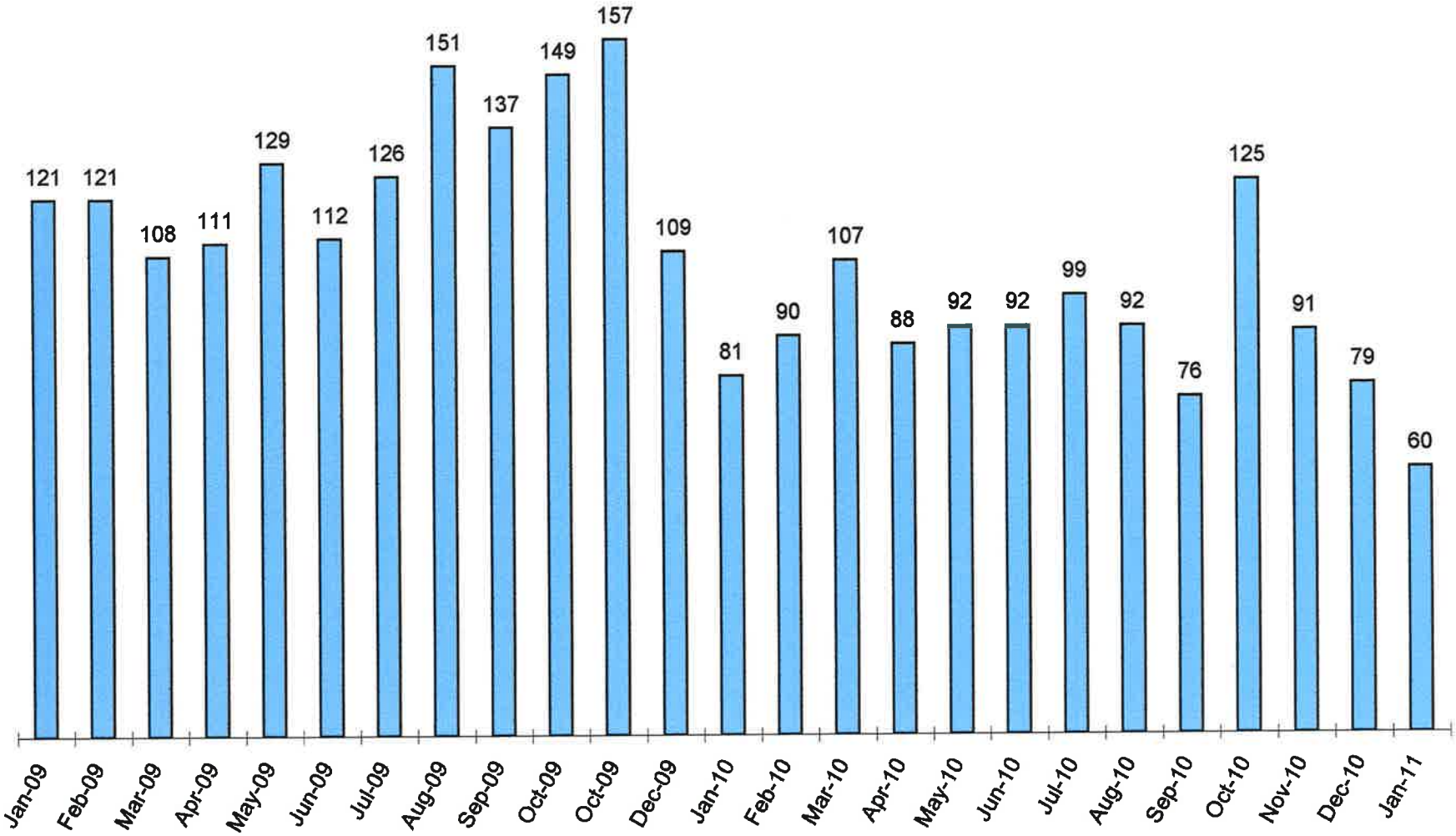
03/28/07 -P614-P619 (6 TRAINS)REDUCED TICKET CHECK DUE TO TRAIN BEING IN EXCESS OF 45 MINUTES LATE

08/18/08 AND 08/19/08 TICKET CHECKS SUSPENDED DUE TO TROPICAL STORM KAY

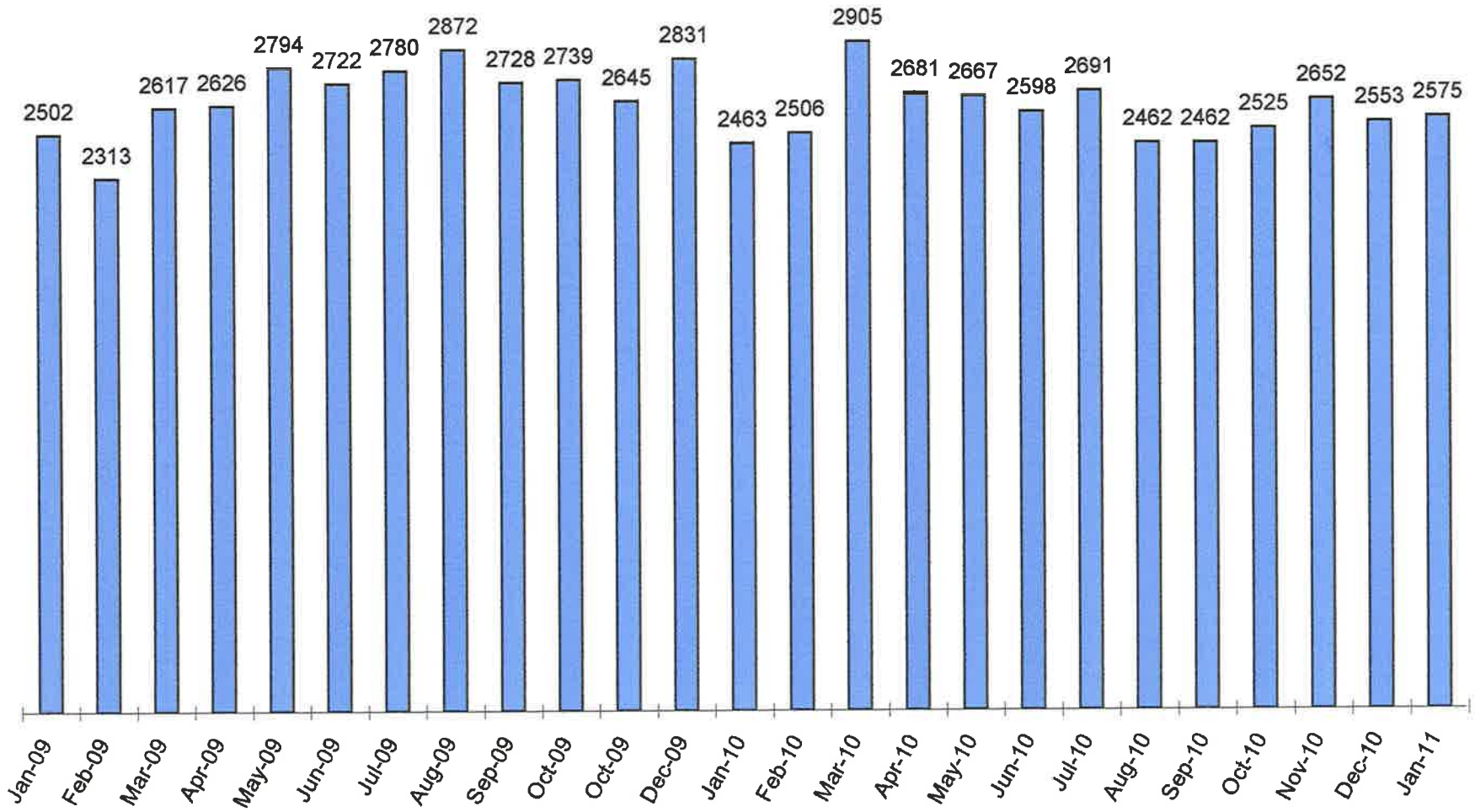
FARE EVASION REPORT
MONTHLY COMPARISON

MONTH	Total Passengers	Total Inspected	Total Violations	Number of Citations	Discretion Warnings	F.S.S. 812.015	% Riders Inspected	% Riders Violation	% Violators Cited	% Violators Warned	% Violators Arrested
January-09	350,903	361,145	2,502	121	2377	4	103%	0.69%	5%	95%	0%
February-09	333,804	366,692	2313	121	2185	7	110%	0.63%	5%	94%	6%
March-09	370,606	418,383	2617	108	2500	9	113%	0.63%	4%	96%	0%
April-09	346,865	383,624	2626	111	2513	2	111%	0.68%	4%	96%	0%
May-09	320,894	383,817	2794	129	2658	7	120%	0.73%	5%	95%	0%
June-09	292,806	342,385	2722	112	2601	9	117%	0.80%	4%	96%	0%
July-09	278,565	329,393	2780	126	2646	8	118%	0.84%	5%	95%	0%
August-09	282,760	345,823	2872	151	2716	5	122%	0.83%	5%	95%	0%
September-09	299,754	363,056	2728	137	2586	5	121%	0.75%	5%	95%	0%
October-09	318,259	386,124	2739	149	2581	9	121%	0.71%	5%	94%	0%
November-09	295,581	347,253	2645	157	2480	8	117%	0.76%	6%	94%	0%
December-09	298,378	347,863	2831	109	2716	6	117%	0.81%	4%	96%	0%
January-10	295,333	336,698	2463	81	2381	1	114%	0.73%	3%	97%	0%
February-10	304,376	338,819	2506	90	2415	1	111%	0.74%	4%	96%	0%
March-10	335,992	385,533	2905	107	2795	3	115%	0.75%	4%	96%	0%
April-10	313,425	371,127	2681	88	2590	3	118%	0.72%	3%	97%	0%
May-10	305,996	349,353	2667	92	2571	4	114%	0.76%	3%	96%	0%
June-10	280,138	324,975	2598	92	2505	1	116%	0.80%	4%	96%	0%
July-10	272,790	304,665	2691	99	2590	2	112%	0.88%	4%	96%	0%
August-10	299,919	349,453	2556	92	2462	2	117%	0.73%	4%	96%	0%
September-10	315,579	366,391	2462	76	2382	4	116%	0.67%	3%	97%	0%
October-10	324,265	364,612	2525	125	2398	2	112%	0.69%	5%	95%	0%
November-10	302,629	368,912	2652	91	2558	3	122%	0.72%	3%	96%	0%
December-10	295,042	352,704	2553	79	2474	0	120%	0.72%	3%	97%	0%
January-11	318,924	384,149	2575	60	2515	0	120%	0.67%	2%	98%	0%
page 4-total	30,574,576	28,185,744	155,087	26,365	128,034	690	92%	0.55%	17%	83%	0%
Totals	38,328,159	37,158,693	221,090	29,068	191,229	795	97%	0.59%	13%	86%	0%

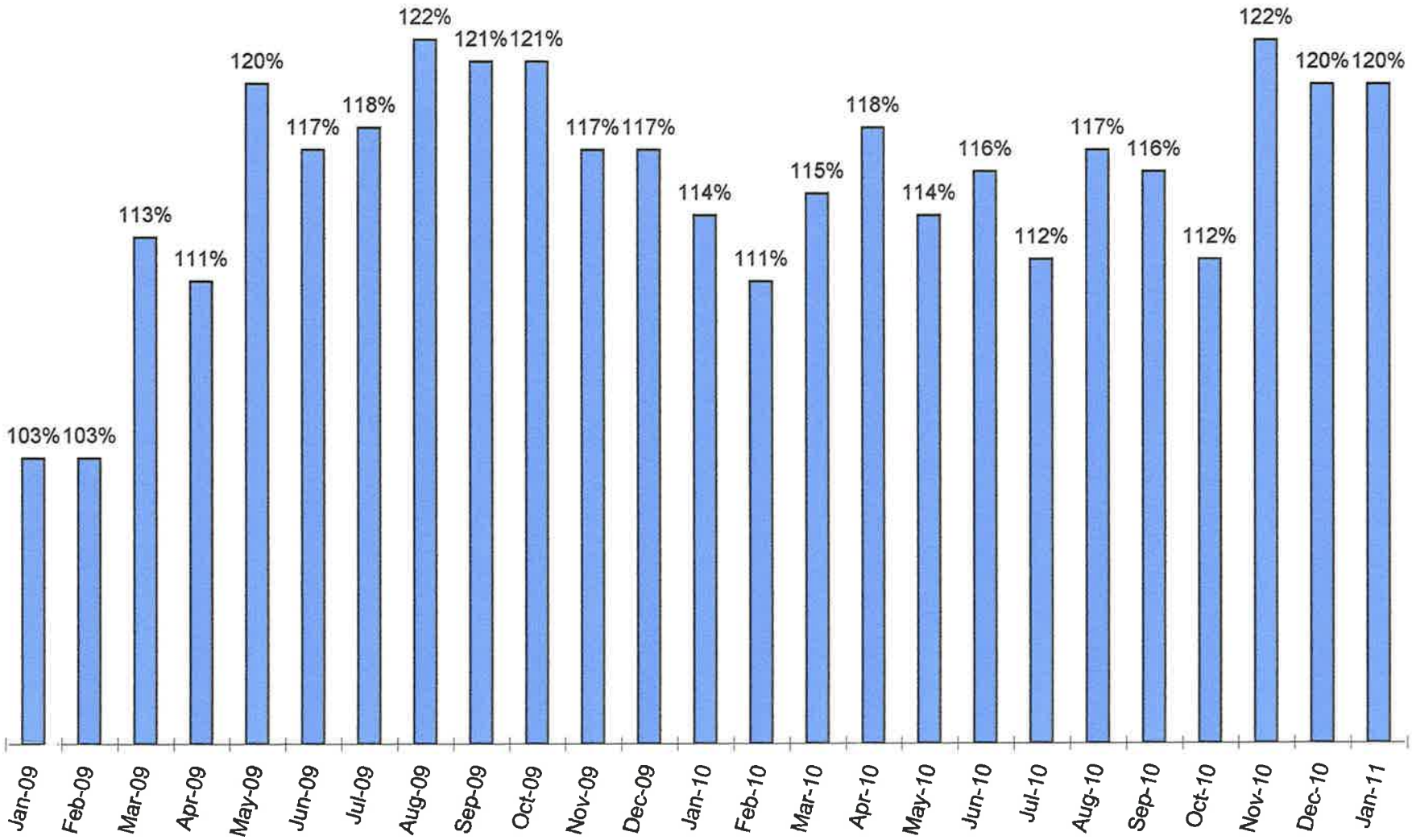
CITATIONS ISSUED



FARE EVASION VIOLATIONS



PERCENTAGE OF RIDERS INSPECTED



MONTHLY BREAKDOWN OF CITATIONS

Month	12 Trip Validation	Comp. Ticket Violation	Ineligible Discount	No Ticket	Zone Override	No Transfer	Out Dated Ticket	EDP Ticket W/O Tri-Rail ID	Total
Apr-98	14	0	259	215	3	0	4	0	495
May-98	12	0	116	174	11	37	0	0	350
Jun-98	14	0	186	259	9	62	8	0	538
Jul-98	13	0	241	316	25	68	12	0	675
Aug-98	9	0	183	293	22	78	13	0	598
Sep-98	4	0	137	211	10	44	13	0	419
Oct-98	13	0	239	270	12	65	14	0	613
Nov-98	4	0	216	253	8	45	14	0	540
Dec-98	4	0	198	257	7	32	19	0	517
Jan-99	7	0	197	212	22	60	11	0	509
Feb-99	6	0	213	208	8	69	14	0	518
Mar-99	5	0	335	273	10	55	18	0	696
Apr-99	3	0	217	280	16	23	16	0	555
May-99	6	0	297	245	17	18	11	0	594
Jun-99	7	0	185	257	25	28	9	0	511
Jul-99	8	0	133	201	15	10	6	0	373
Aug-99	7	0	132	245	21	23	19	0	447
Sep-99	5	0	136	202	21	23	10	0	397
Oct-99	6	0	153	251	25	39	10	0	484
Nov-99	7	0	131	324	16	56	15	0	549
Dec-99	5	0	125	308	23	60	26	0	547
Jan-00	9	0	87	298	16	85	25	0	520
Feb-00	5	0	124	298	28	85	33	0	573
Mar-00	6	0	95	301	18	71	16	0	507
Apr-00	5	0	81	293	36	62	23	0	500
May-00	11	0	116	324	84	12	25	0	572
Jun-00	6	0	184	352	23	87	18	0	670
Jul-00	15	0	177	314	17	85	5	0	613
Aug-00	6	0	117	283	21	66	4	0	497
Sep-00	15	0	132	251	16	51	5	0	470
(A)OCT-00	0	0	3	201	8	4	0	0	216
Nov-00	1	0	2	194	19	2	2	1	221
Dec-00	0	0	6	217	6	2	3	1	235
Jan-01	0	0	3	172	18	3	5	0	201
Feb-01	1	0	4	129	8	2	0	0	144
Mar-01	2	0	2	118	7	0	1	0	130
Apr-01	3	0	2	105	11	6	1	1	129
May-01	2	0	1	126	3	1	2	0	135
Jun-01	0	0	4	157	10	0	2	1	174
Jul-01	1	0	5	196	10	2	5	0	219
Aug-01	1	0	3	160	1	0	5	0	170
Sep-01	3	0	0	152	0	0	2	0	157
Oct-01	3	0	2	195	16	1	4	0	221
Nov-01	3	0	2	184	37	4	10	0	240
Dec-01	1	0	5	228	23	3	16	0	276
Total	**258**	**0**	**5,186**	**10,502**	**762**	**1,529**	**474**	**4**	**18,715**

*Hurricane Georges: No Train Services 9/24/98, 9/25/98, & 9/26/98 (1/2 day).
NO CITATIONS ISSUED ON 11/5/98 DUE TO TROPICAL STORM MITC

*Hurricane Floyd: No Train Services 9/14/99 & 9/15/99.

(A)MODIFIED FARE EVASION BEGINS

MONTHLY BREAKDOWN OF CITATIONS

Month	12 Trip Validation	Comp. Ticket Violation	Ineligible Discount	No Ticket	Zone Override	No Transfer	Out Dated Ticket	EDP Ticket W/O Tri-Rail ID	Total
Jan-02	2	0	2	163	32	5	4	0	208
Feb-02	2	0	7	140	20	2	1	0	172
Mar-02	3	0	5	238	17	5	2	0	270
Apr-02	2	0	3	200	23	3	7	0	238
May-02	2	0	6	154	29	0	4	0	195
Jun-02	6	0	3	212	26	5	7	0	259
(B) JUL-02	0	0	5	24	2	5	2	0	38
Aug-02	1	0	3	27	3	2	2	0	38
Sep-02	1	0	4	29	2	1	0	0	37
Oct-02	4	0	11	27	2	2	2	0	48
Nov-02	4	0	8	28	4	4	1	0	49
Dec-02	2	0	3	23	3	3	0	0	34
Jan-03	0	0	4	20	4	0	0	0	28
Feb-03	2	0	7	13	4	1	0	0	27
Mar-03	1	0	6	31	4	1	1	0	44
Apr-03	0	0	5	26	1	3	1	0	36
May-03	0	0	5	43	3	2	0	0	53
Jun-03	2	0	2	40	7	1	0	0	52
Jul-03	1	0	6	30	3	3	0	3	46
Aug-03	1	0	5	12	3	3	2	1	27
Sep-03	1	0	3	29	6	2	2	0	43
Oct-03	3	0	10	37	6	5	3	0	64
Nov-03	0	0	20	30	7	6	0	0	63
DEC-03	2	0	16	42	3	6	2	0	71
Jan-04	2	0	27	31	4	9	4	0	77
Feb-04	3	0	14	42	3	10	1	0	73
Mar-04	1	0	14	30	2	15	1	0	63
Apr-04	0	0	5	21	6	4	1	0	37
May-04	0	0	3	19	7	2	0	0	31
Jun-04	1	0	7	23	4	1	2	0	38
Jul-04	1	0	13	29	1	4	1	0	49
Aug-04	1	0	7	19	3	3	3	1	37
(C) SEPT-04	3	0	2	8	2	1	2	0	18
Oct-04	2	0	6	13	6	4	1	1	33
NOV-O4	5	0	3	27	2	2	2	0	41
Dec-04	3	0	5	41	3	4	2	0	58
Jan-05	**8**	**0**	**9**	**59**	**5**	**9**	**1**	**0**	**91**
Total	**330**	**0**	**5,450**	**12,482**	**1,024**	**1,667**	**538**	**10**	**21,501**

(A)MODIFIED FARE EVASION BEGINS

(B) NEW WARNING POLICY-ONE WARNING TO ALL-NEW ENFORCEMENT GUIDE

(C)LIMITED OR NO TRAIN SERVICE DUE TO HURRICANE FRANCES-SEPTEMBER 2-12, 2004

LIMITED OR NO TRAIN SERVICE DUE TO HURRICANE JEANNE- SEPTEMBER 25-29,2004

MONTHLY BREAKDOWN OF CITATIONS

Month	12 Trip Validation	Comp. Ticket Violation	Ineligible Discount	No Ticket	Zone Override	No Transfer	Out Dated Ticket	EDP Ticket W/O ID	Total
Feb-05	10	0	9	55	5	9	2	0	90
Mar-05	3	0	8	57	5	12	4	0	89
Apr-05	8	0	11	47	5	5	1	0	77
May-05	6	0	28	71	4	6	1	0	116
Jun-05	6	0	14	70	6	7	3	1	107
Jul-05	9	0	15	52	9	13	4	1	103
(D) AUG-05	4	0	14	63	12	16	3	0	112
(E) SEP-05	7	0	19	48	10	7	2	0	93
(F) OCT-05	3	0	7	42	3	6	2	0	63
(G)NOV-05	1	0	6	24	6	2	0	0	39
Dec-05	4	0	8	35	2	8	2	0	59
Jan-06	2	0	19	52	9	11	1	1	95
Feb-06	4	0	20	40	3	6	1	0	74
Mar-06	3	0	16	56	10	5	2	1	93
Apr-06	4	0	18	50	8	10	2	1	93
May-06	3	0	19	55	5	6	1	4	93
Jun-06	6	0	14	48	2	4	0	3	77
Jul-06	0	0	20	48	8	6	0	4	86
(H) AUG -06	6	0	18	72	2	6	1	1	106
Sep-06	11	0	25	62	5	4	2	0	109
Oct-06	11	0	20	62	3	5	2	2	105
Nov-06	3	0	26	47	3	9	7	2	97
Dec-06	5	0	26	49	7	1	6	0	94
JAN-07	11	0	20	50	2	9	0	0	92
Feb-07	8	0	30	67	4	15	0	6	130
(I)MAR-2007	8	0	34	66	9	9	3	0	129
Apr-07	11	0	22	57	9	15	2	0	116
May-07	8	0	32	65	7	13	2	1	128
Jun-07	8	0	27	61	5	10	4	6	121
Jul-07	10	0	21	56	10	12	4	4	117
Aug-07	8	0	25	73	9	14	0	1	130
Sep-07	6	0	14	63	12	10	3	1	109
Oct-07	5	0	22	66	3	9	5	2	112
Nov-07	10	0	20	75	8	11	8	1	133
Dec-07	6	0	24	57	9	7	3	1	107
Jan-08	8	0	19	46	12	12	3	2	102
Feb-08	9	0	17	46	6	5	1	0	84
TOTAL	**565**	**0**	**6,157**	**14,535**	**1,261**	**1,982**	**625**	**56**	**25,181**

(D) LIMITED OR NO TRAIN SERVICE DU E TO HURRICANE KATRINA AUGUST 25-28, 2005
(E) NO TRAIN SERVICE DUE TO HURRICANE RITA 09/20/05
(F) NO TRAIN SERVICE DUE TO HURRICANE WILMA 10/22/05-10/31/05
(G) NO TRAIN SERVICE DUE TO HURRICANE WILMA 11/01/05-11/03/05
(G) NO TICKET CHECKS DUE TO HURRICANE WILMA 11/04/05-11/11/05

MONTHLY BREAKDOWN OF CITATIONS

Month	12 Trip Validation	Comp. Ticket Violation	Ineligible Discount	No Ticket	Zone Override	No Transfer	Out Dated Ticket	EDP Ticket W/O ID	Total
Mar-08	6	0	16	45	1	3	2	4	77
Apr-08	8	0	29	53	6	11	6	5	118
May-08	7	0	42	97	5	5	3	2	161
Jun-08	12	0	34	93	8	8	6	5	166
Jul-08	4	0	27	71	8	10	2	1	123
(H) AUG-08	3	0	14	71	3	3	6	1	101
Sep-08	3	0	17	68	4	4	7	1	104
Oct-08	5	0	24	74	4	3	1	1	112
Nov-08	1	0	17	61	2	1	8	0	90
Dec-08	2	0	25	77	2	14	10	2	132
Jan-09	6	0	22	64	14	6	7	2	121
Feb-09	4	0	36	65	5	6	3	2	121
Mar-09	10	0	26	51	11	10	0	0	108
Apr-09	8	0	20	62	9	9	2	1	111
May-09	9	0	23	76	10	7	3	1	129
Jun-09	6	0	21	74	6	4	1	0	112
Jul-09	4	0	26	77	9	4	5	1	126
Aug-09	4	0	25	107	9	1	4	1	151
Sep-09	3	0	16	93	12	10	3	0	137
Oct-09	6	0	32	97	4	6	4	0	149
Nov-09	6	0	31	99	6	11	4	0	157
Dec-09	2	0	22	63	11	3	8	0	109
Jan-10	2	0	9	57	5	5	3	0	81
Feb-10	4	0	19	58	8	1	0	0	90
Mar-10	1	0	20	72	11	2	0	1	107
Apr-10	0	0	13	66	7	2	0	0	88
May-10	2	0	21	62	7	0	0	0	92
Jun-10	2	0	14	63	9	2	2	0	92
Jul-10	2	0	21	59	10	6	1	0	99
Aug-10	2	0	9	69	9	1	1	1	92
Sep-10	1	0	8	55	10	2	0	0	76
Oct-10	1	0	18	87	14	3	2	0	125
Nov-10	0	0	8	73	4	3	2	1	91
Dec-10	2	0	11	60	5	1	0	0	79
Jan-11	1	0	3	49	4	2	1	0	60
TOTAL	**703**	**0**	**6,873**	**16,954**	**1,509**	**2,149**	**731**	**89**	**29,008**

(H)08/18/08 AND 08/19/08 TICKET CHECKS SUSPENDED DUE TO TROPICAL STORM FAY

MONTHLY BREAKDOWN OF WARNINGS

Date	12 Trip Validation	Comp. Ticket Violation	Ineligible Discount	No Ticket	Zone Override	No Transfer	Out Dated Ticket	EDP Ticket W/O Tri-Rail ID	Total
Oct-00	27	0	214	8	1	171	0	4	425
Nov-00	33	0	220	5	1	173	1	4	437
Dec-00	31	0	191	8	0	150	0	3	383
Jan-01	40	0	205	6	1	132	2	1	387
Feb-01	27	0	164	13	1	143	0	3	351
Mar-01	51	0	196	15	1	140	0	9	412
Apr-01	42	0	207	1	1	171	0	22	444
May-01	40	0	272	4	0	153	0	16	485
Jun-01	57	0	211	5	2	207	0	5	487
Jul-01	92	0	173	6	0	186	0	12	469
Aug-01	97	0	175	3	0	189	0	24	488
Sep-01	86	0	148	2	4	131	0	27	398
Oct-01	51	0	189	0	0	168	0	9	417
Nov-01	37	0	167	4	0	181	0	11	400
Dec-01	40	0	186	0	0	152	0	3	381
Jan-02	49	0	218	2	0	144	0	4	417
Feb-02	35	0	218	7	0	152	0	2	414
Mar-02	28	0	217	4	0	126	0	2	377
Apr-02	23	0	231	2	1	163	0	0	420
May-02	29	0	263	0	1	148	0	4	445
Jun-02	29	0	215	2	1	143	1	4	395
(A) JUL-02	18	0	206	392	43	134	18	6	817
Aug-02	33	0	234	398	43	104	28	1	841
Sep-02	30	0	204	391	44	148	24	2	843
Oct-02	28	0	280	376	43	155	20	1	903
Nov-02	35	0	287	424	40	93	19	5	903
Dec-02	16	0	282	494	40	117	11	1	961
Jan-03	22	0	289	470	45	93	13	1	933
Feb-03	14	0	310	401	52	84	20	0	881
Mar-03	10	0	252	384	31	68	8	3	756
Apr-03	5	0	224	407	25	124	9	1	795
May-03	5	0	214	382	25	79	9	0	714
Jun-03	6	0	223	386	31	73	14	5	738
Jul-03	4	0	212	436	25	112	17	22	828
Aug-03	6	0	161	370	23	113	21	9	703
Sep-03	10	0	167	382	21	143	7	6	736
Oct-03	11	0	282	478	33	141	24	1	970
Nov-03	9	0	329	422	25	149	16	0	950
DEC-03	8	0	327	473	22	170	14	0	1014
Jan-04	12	0	304	455	23	152	11	1	958
Feb-04	5	0	275	455	22	144	24	1	926
Mar-04	9	0	272	478	24	132	26	0	941
Apr-04	9	0	114	442	24	91	21	1	702
May-04	6	0	134	389	24	89	15	0	657
Jun-04	5	0	232	558	29	156	22	5	1007
Jul-04	3	0	213	520	28	128	24	1	917
SUBTOTAL	**1,263**	**0**	**10,307**	**10,860**	**800**	**6,315**	**439**	**242**	**30,226**

(A) NEW WARNING POLICY-ONE WARNING TO ALL-NEW ENFORCEMENT GUIDE

(A) NEW WARNING POLICY-ONE WARNING TO ALL-NEW ENFORCEMENT GUIDE

MONTHLY BREAKDOWN OF WARNINGS

Date	12 Trip Validation	Comp. Ticket Violation	Ineligible Discount	No Ticket	Zone Override	No Transfer	Out Dated Ticket	EDP Ticket W/O Tri-Rail ID	Total
Aug-04	20	0	250	557	37	143	13	9	1029
(B) SEPT -04	34	0	102	285	16	51	11	6	505
Oct-04	36	0	190	476	26	118	11	14	871
Nov-04	66	0	145	535	29	112	9	8	904
Dec-04	45	0	125	526	22	125	24	6	873
Jan-05	75	0	210	639	25	165	24	9	1147
Feb-05	61	0	168	608	29	154	34	1	1055
Mar-05	36	0	220	681	32	150	20	1	1140
Apr-05	53	0	289	763	47	234	29	5	1420
May-05	48	0	297	681	51	199	17	4	1297
Jun-05	65	0	223	727	47	147	22	6	1237
Jul-05	41	0	250	651	44	155	36	4	1181
(C) AUG-05	38	0	237	584	49	165	32	3	1108
(D) SEPT-05	28	0	236	645	44	143	16	6	1118
(E) OCT-05	32	0	208	502	27	112	12	5	898
(F) NOV-05	26	0	128	442	27	72	6	1	702
Dec-05	28	0	315	731	48	129	24	9	1284
Jan-06	29	0	378	773	37	192	34	14	1457
Feb-06	37	0	340	756	54	142	21	18	1368
Mar-06	54	0	338	1042	49	182	24	9	1698
Apr-06	65	0	451	888	57	175	28	16	1680
May-06	61	0	486	981	53	240	25	22	1868
Jun-06	36	0	471	903	21	208	23	13	1675
Jul-06	57	0	476	988	23	150	29	15	1738
(G) Aug-06	65	0	508	969	28	198	26	8	1802
Sep-06	63	0	468	909	31	214	36	8	1729
Oct-06	76	0	524	1079	36	220	40	11	1986
Nov-06	56	0	461	969	21	235	41	11	1794
Dec-06	62	0	612	1048	37	235	36	15	2045
Jan-07	80	0	637	1009	52	284	21	20	2103
Feb-07	94	0	632	1055	52	316	37	34	2220
(H) MAR-07	105	0	681	1080	60	350	45	14	2335
Apr-07	106	0	749	1164	75	351	42	22	2509
May-07	107	0	849	1218	73	421	53	20	2741
Jun-07	128	0	840	1043	48	388	40	32	2519
Jul-07	93	0	808	1040	74	399	51	28	2493
Aug-07	73	0	819	1240	79	364	23	16	2614
Sep-07	85	0	708	1062	53	318	35	12	2273
Oct-07	97	0	776	1295	83	372	58	16	2697
Nov-07	95	0	690	1215	109	264	57	18	2448
Dec-07	91	0	694	1226	71	261	55	21	2419
SUBTOTAL	**3,810**	**0**	**28,296**	**45,845**	**2,676**	**15,168**	**1,659**	**752**	**98,206**

(B)- LIMITED/NO TRAIN SERVICE -HURRICANE FRANCES-9/2-12/04
LIMITED/NO TRAIN SERVICE-HURRICANE JEANNE-9/25-29/04
(C) LIMITED OR NO TRAIN SERVICE-HURRICANE KATRINA 8/25-28/05
(D) NO TRAIN SERVICE DUE TO HURRICANE RITA 09/20/05
(E) NO TRAIN SERVICE -HURRICANE WILMA 10/22/05-10/31/05
(F) NO TICKET CHECKS-HURRICANE WILMA 11/01/05-11/11/05
(G) NO TRAIN SERVICE -TROPICAL STORM ERNESTO 08/28-08/29/06
(H)3/9/2007 TICKET CHECKS SUSPENDED BY SFRTA -TRACK WORK
03/15/07 SFRTA PASSENGER SURVEY
(H)03/29/07-03/30/07 P605, P609, P630 (3 TRAINS ANNULLED)
(H)03/28/07-P614-P619 (6 TRAINS)REDUCED TICKET CHECK-TRAINS 45 MINUTES LATE OR MORE

MONTHLY BREAKDOWN OF WARNINGS

Date	12 Trip Validation	Comp. Ticket Violation	Ineligible Discount	No Ticket	Zone Override	No Transfer	Out Dated Ticket	EDP Ticket W/O Tri-Rail ID	Total
Jan-08	105	0	846	1229	69	233	44	19	2545
Feb-08	83	0	641	1106	82	209	42	16	2179
Mar-08	98	0	772	1273	62	211	43	19	2478
Apr-08	103	0	823	1230	68	208	35	15	2482
May-08	96	0	908	1400	54	192	54	19	2723
Jun-08	116	0	1025	1270	42	192	62	36	2743
Jul-08	103	0	799	1285	49	240	56	26	2558
(H) AUG-08	62	0	616	1192	55	219	63	22	2229
Sep-08	84	0	518	1360	66	242	53	16	2339
Oct-08	61	0	579	1422	101	241	82	21	2507
Nov-08	50	0	460	1210	71	186	57	7	2041
Dec-08	71	0	618	1376	100	228	88	10	2491
Jan-09	79	0	538	1332	119	249	39	21	2377
Feb-09	76	0	534	1194	99	208	60	14	2185
Mar-09	54	0	633	1392	120	230	57	14	2500
Apr-09	72	0	655	1334	128	239	66	19	2513
May-09	99	0	684	1420	117	266	53	19	2658
Jun-09	80	0	583	1460	131	256	65	26	2601
Jul-09	58	0	563	1608	133	218	48	18	2646
Aug-09	67	0	544	1604	146	269	66	20	2716
Sep-09	62	0	536	1512	141	259	61	15	2586
Oct-09	61	0	560	1499	126	262	60	13	2581
Nov-09	63	0	532	1462	136	206	67	14	2480
Dec-09	62	0	592	1634	132	239	43	14	2716
Jan-10	42	0	459	1505	119	203	44	9	2381
Feb-10	42	0	504	1451	167	196	40	15	2415
Mar-10	52	0	524	1737	172	255	38	17	2795
Apr-10	46	0	516	1629	121	227	41	10	2590
May-10	40	0	542	1646	119	176	33	15	2571
Jun-10	53	2	530	1520	152	185	50	13	2505
Jul-10	45	0	549	1648	139	160	34	15	2590
Aug-10	45	0	475	1626	136	151	20	9	2462
Sep-10	26	0	413	1628	110	166	26	13	2382
Oct-10	35	0	423	1610	145	140	31	14	2398
Nov-10	38	0	414	1745	102	204	49	6	2558
Dec-10	39	0	354	1760	113	164	37	7	2474
Jan-11	23	0	369	1814	97	152	59	1	2515
SUBTOTAL	**6,178**	**2**	**49,558**	**98,154**	**6,618**	**22,897**	**3,466**	**1,328**	**188,201**

(H)08/18/08 AND 08/19/08 TICKET CHECKS SUSPENDED DUE TO TROPICAL STORM FAY

CLASSIFICATIONS BREAKDOWN
JANUARY 2011

CLASSIFICATION		
ABANDONED VEHICLE		1
ALARMS		
ALARMS-TVM		
ALCOHOLIC BEVERAGE		
ARSON		
ASSAULT AGGRAVATED TRANSIT AGENT / CPO		
ASSAULT-AGGRAVATED		
ASSAULT TRANSIT AGENT / CPO		
ASSAULT		
ASSIST PASSENGER		1
ASSIST OTHER AGENCY		
AUTO THEFT		1
AUTO THEFT - ATTEMPT		
AUTO THEFT - RECOVERY		
BATTERY - AGGRAVATED TRANSIT AGENT / CPO		
BATTERY - AGGRAVATED		
BATTERY TRANSIT AGENT / CPO		1
BATTERY		
BICYCLE VIOLATIONS		1
BIOLOGICAL RELEASE		
BOMBING		
BOMB THREAT		
BRUSH FIRE		
BURGLARY		
BURGLARY-AUTO		
BURGLARY-ATTEMPT AUTO		
CHEMICAL RELEASE		
CIVIL DISTURBANCE		
CREDIT CARD FRAUD		
CRIMINAL MISCHIEF	*GRAFFITTI*	2
CROSSING W/ GATE DOWN		
CYBER INCIDENT		
DAMAGED PROPERTY		
DEBRIS ON TRACK		1
DISORDERLY CONDUCT		1
DISTURBANCE		1
DOMESTIC VIOLENCE		
DRUG OFFENSE		
EMS BOARD MALFUNCTION		1
ELEVATOR MALFUNCTION		15
ELEVATOR PHONE MALFUNCTION		
ESCALATOR MALFUNCTION		1
FALSE IDENTIFICATION		
FARE EVASION		

CLASSIFICATIONS BREAKDOWN
JANUARY 2011

CLASSIFICATION	CITATION	WARNED	ARREST	
12 TRIP	1	23	0	**2575**
COMPLIMENTARY TICKET VIOLATION	0	0	0	
INELIGIBLE DISCOUNT	3	369	0	
NO TICKET	49	1814	0	
ZONE OVERRIDE	4	97	0	
NO TRANSFER SLIP	2	152	0	
OUTDATED TICKET	1	59	0	
EDP TICKET	0	1	0	
TOTAL	**60**	**2515**	**0**	

COUNTERFEIT/ALTERED TICKET	
FIRE	**1**
GRADE CROSSING PROBLEM	
HIJACKING	
ILLNESS	**2**
INJURY	**3**
INJURY-CPO	
INTERFERING W/ TRAIN	**1**
INTOXICATED PERSON	
INVESTIGATION	
KIOSK PROBLEM	
LOST/FOUND PROPERTY	**102**
LOUD MUSIC	
LUGGAGE	
MISCELLANEOUS/INFORMATION	**34**
MISSING PERSON	
MISSING PERSON-LOCATED	
MOTOR VEHICLE ACCIDENT	
NUCLEAR RELEASE	
PARKING PROBLEM	**8**
PARKING LOT ACCIDENTS	
PARKING LOT VIOLATIONS	
PAYPHONE MALFUNCTION	
PULLED EMERGENCY STOP	
RECOVERED STOLEN PROPERTY	
RIDING ON OUTSIDE OF TRAIN	
ROBBERY	
ROBBERY-ATTEMPT	
ROBBERY ARMED	
SABOTAGE	
SAFETY HAZARD	**16**
SEXUAL BATTERY	
SEX OFFENSE (OTHER)	
SIGN PROBLEM	
SLIP AND FALL	**4**

CLASSIFICATIONS BREAKDOWN
JANUARY 2011

CLASSIFICATION					
SMOKING ON TRAIN					
SOLICITATION					
STUDENT INCIDENT					1
	BAK MIDDLE SCHOOL				
	DREYFOOS HIGH SCHOOL	1			
	G STAR				
	LAKE WORTH				
	ROOSEVELT MIDDLE SCHOOL				
	BOYNTON BEACH				
	OTHER				
SURFBOARDS ON TRAIN					
SUSPICIOUS INCIDENT					2
SUSPICIOUS PERSON					2
SUSPICIOUS VEHICLE					1
TELEPHONIC THREAT					
THEFT					4
THEFT-ATTEMPTED					
THROWING OBJECT AT TRAIN					
TRAIN VS ANIMAL					
TRAIN VS BICYCLE					
TRAIN VS PEDESTRIAN					
TRAIN VS FIXED OBJECT					
TRAIN VS VEHICLE					
TRESPASS					12
TVM GATE MALFUNCTION					8
TVM MALFUNCTION					133
UNAUTHORIZED ANIMAL					
VALIDATOR MALFUNCTION					29
WEAPON-COMPLAINT					
WEAPON-CONCEALED					
SPECIAL ASSIGNMENT:MONITOR CHECKS					
				TOTAL INCIDENTS	**2965**

SIX MONTH CRIME ANALYSIS
2010-2011

CLASSIFICATION	AUG	SEPT	OCT	NOV	DEC	JAN
ABANDONED VEHICLE	1		1		1	1
ALARMS						
ALARMS-TVM						
ALCOHOLIC BEVERAGE/TRAIN						
ARSON						
ASSAULT-AGGRAVATED TRANSIT AGENT / CPO				1		
ASSAULT-AGGRAVATED						
ASSAULT TRANSIT AGENT / CPO				1		
ASSAULT						
ASSIST PASSENGER	1	5	1	1		1
ASSIST OTHER AGENCY	1					
AUTO THEFT	2	4	3	1		1
AUTO THEFT - ATTEMPT	2	2	2	2		
AUTO THEFT - RECOVERY	1	1		1	1	
BATTERY - AGGRAVATED TRANSIT AGENT/ CPO						
BATTERY - AGGRAVATED						
BATTERY - TRANSIT AGENT / CPO				1		1
BATTERY						
BICYCLE VIOLATIONS					1	1
BIOLOGICAL RELEASE						
BOMBING						
BOMB THREAT						
BRUSH FIRE						
BURGLARY						
BURGLARY-STRUCTURE						
BURGLARY-AUTO	1	3	9	4	1	
BURGLARY-ATTEMPT AUTO						
CHEMICAL RELEASE						
CIVIL DISTURBANCE						
COUNTERFEIT/ALTERED TICKET						
CREDIT CARD FRAUD						
CRIMINAL MISCHIEF	6	8	9	8	14	2
CROSSING W/ GATE DOWN						
CYBER INCIDENT						
DAMAGED PROPERTY	1	3	3		1	
DEBRIS ON TRACK			1			1
DISORDERLY CONDUCT	6	5	4	3	6	1
DISTURBANCE	2	1	5	3	1	1
DRUG OFFENSE			1			
DOMESTIC VIOLENCE						
EMS BOARD MALFUNCTION				1	1	1
ELEVATOR MALFUNCTION	14	15	10	23	21	15
ESCALATOR MALFUNCTION	4	3	3	1		
ELEVATOR PHONE MALFUNCTION	3	3	4	3	3	1
FARE EVASION- CITATIONS	92	76	125	91	79	60
FARE EVASION-WARNINGS	2462	2382	2398	2558	2474	2515
FARE EVASION-ARREST	2	4	2	3	0	0
FALSE IDENTIFICATION						
FIRE	1			1		1
GRADE CROSSING PROBLEM	5	1	3	1	3	
HIJACKING						
ILLNESS	9	4	1	5	6	2
SUB-TOTAL	2616	2520	2585	2713	2613	2605

SIX MONTH CRIME ANALYSIS
2010-2011

CLASSIFICATION	AUG	SEPT	OCT	NOV	DEC	JAN
INJURY				2	1	3
INJURY-CPO						
INTERFERING W/ TRAIN	1				1	1
INTOXICATED PERSON						
INVESTIGATION						
KIOSK PROBLEM				1	1	
LUGGAGE						
LOST/FOUND PROPERTY	92	112	117	103	119	102
LOUD MUSIC						
MISCELLANEOUS/INFORMATION	47	49	47	50	46	34
MISSING PERSON	1			1		
MISSING PERSON-LOCATED		1	1		2	
MOTOR VEHICLE ACCIDENT	1	2	4	2		
PARKING PROBLEM	5	12	28	11	10	8
PARKING LOT ACCIDENTS						
PARKING LOT VIOLATIONS						
PAYPHONE MALFUNCTION						
PULLED EMERGENCY STOP	1		1			
RECOVERED STOLEN PROPERTY						
RIDIDNG ON OUTSIDE OF TRAIN						
ROBBERY						
ROBBERY ARMED						
ROBBERY ATTEMPT						
SAFETY HAZARD	34	25	18	17	15	16
SEXUAL BATTERY						
SEX OFFENSE (OTHER)						
SIGN PROBLEM						
SLIP AND FALL	1	4	2	4	3	4
SMOKING ON TRAIN	7					
SOLICITATION		3	1	1		
STUDENT INCIDENT	2	1	12	3	1	1
SURFBOARDS ON TRAIN						
SUSPICIOUS INCIDENT	7	8	2	8	2	2
SUSPICIOUS PERSON	2	3		1		2
SUSPICIOUS VEHICLE	2	1		1	3	1
TELEPHONIC THREAT						
THEFT		6	5	4	4	4
THEFT-ATTEMPTED					1	
THROWING OBJECT AT TRAIN	1					
TRAIN VS ANIMAL						
TRAIN VS BICYCLE						
TRAIN VS PEDESTRIAN				1		
TRAIN VS VEHICLE	2		2		1	
TRAIN VS FIXED OBJECT						
TRESPASS	10	6	7	10	10	12
TVM GATE MALFUNCTION	4	2	5	2	1	8
TVM MALFUNCTION	50	65	95	100	135	133
UNAUTHORIZED ANIMAL						
VALIDATOR MALFUNCTION	19	24	20	46	27	29
WEAPON CONCEALED						
SUB-TOTAL	2616	2520	2585	2713	2613	2605
TOTAL REPORTS	**2905**	**2844**	**2952**	**3081**	**2996**	**2965**

TWC/SFRTA
FEDERAL (TSA) DIRECTIVES VIOLATIONS
FOR JANUARY 2011

Date	Incident Report #	Location	TSA Directive Violation #	Remarks	CPO ID#

NOTHING REPORTED FOR THE MONTH

TSA Directive #13 "Inspect each passenger rail car for suspicious persons, items, or any unattended items."

TSA Directive #15 "If equipped with locking mechanisms, lock all doors which allow access to the Engineers or Train Operators cab or compartment."

G4S Secure Solutions USA
6499 Powerline Road Suite 300
Fort Lauderdale FL 33309

Telephone: 954.771.5005
Fax: 954.771.5408
www.g4s.com/us

MEMORANDUM

To: Mr. Timothy L. Cates, Project Manager, G4S/SFRTA

From: Richard D. Cannon, Jr. Investigator, ID #276

SUBJECT: MONTHLY ACTIVITY REPORT – JANUARY 2011

Date: Thursday, February 3rd 2011

I was assigned the following tasks for the month of: **JANUARY 2011**

As the Court Liaison Officer: I maintained contact with the Clerk of the Court, North County Regional Courthouse, Deerfield Beach, FL. I also handled the filing, service and return of subpoenas served to Custom Protection Officers assigned to the TWC/SFRTA Project. I attended all court hearings involving SFRTA/Tri-Rail Fare Evasion matters and tracked criminal cases.

As the Citation Coordinator: I managed the review, sorting and filing of Citations. I filed **60** citations at the North County Regional Courthouse. I also processed any voided citations and conducted inquiries as directed by the County Court Judge, related to Fare Evasion Citations. I conducted CPR/AED training for personnel assigned to the TWC/SFRTA Project, as well as entry-level training to newly assigned Transit Agents.

As the Investigator: I investigated or conducted inquiries into **6** incidents:

- **SFRTA IR #: 11-10-1546 – Train vs. Pedestrian-(Pending P.D./M.E. Rpts)**
- **SFRTA IR #: 11-10-2211 – Fraudulent SFRTA Ticket Sales – (Continuing)**

- **SFRTA IR#: 01-11-811 – Lack of Appropriate Action by P.D. (Resolved)**
- **SFRTAIR #: 01-11-1598 – Battery (Transit Agent)-Post Orders Violation (Sustained)**
- **SFRTA IR#: 01-11-1612/1646 – Inconsistent Fare Enforcement – (Sustained)**
- **UNNUMBERED – Special Inquiry – Fare Inspection Policies**

In addition, I obtained various police reports pertaining to incidents involving the SFRTA. Refresher training regarding interpersonal relations and conflict management were conducted. In addition, I conducted CPR/AED Re-Certification Training. Lastly, I continued to provide support, logistical and troubleshooting services to the Safety & Security Administrator, as well as the Project Manager.

rdc/
cc: Mr. Allen R. Yoder

MONTHLY SUBCONTRACTOR UTILIZATION REPORT

INSTRUCTIONS: This form must be filed each month to report actual Subcontractors participation by SFRTA certified firms for work done the proceeding month.

Contract Number: 11-003

Contract Name: Armed Security, Fare Enforcement and Revenue Collection

Reporting Period: 01/01/2011-01/31/2011

Prime Firm: G4S SECURE SOLUTIONS, USA

Contract Amount (as Amended) $ 25,382,285.00

Total Invoiced Amount this period: $0

Total Invoiced to Date: $0.00

Name of Subcontractor	If DBE Minority Group*	Gender M/F	Description of Work	Type of Work*	Starting Date	Completion Date
N/A						

* **Minority Group Enter:** B-Black American I-Asian American H-Hispanic American N-Native American P-Asian Pacific American O-Other

* **Type of Work:** Professional Consultant/Services, Construction, Supplies, Equipment, Other

- If prime firm is a certified DBE firm, the prime firm's quarterly activity must also be reported.
- This form must be completed monthly and submitted with firm's application for payment.

MONTHLY SUBCONTRACTOR UTILIZATION REPORT

Contract Number: 11-003

Contract Name: Armed Security, Fare Enforcement and Revenue Collection

Reporting Period: 01/01/2011-01/31/2011

Prime Firm: G4S SECURE SOLUTIONS, USA

Contract Amount (as Amended) $ 25,382,285.00

Total amount invoiced for Subcontractors this period: $0
Total amount invoiced for DBE subcontractors this period: $0
Total amount invoiced for Subcontractors to Date: $0
Total amount invoiced for DBE Subcontractors to Date: $0

Name of Subcontractor	Sub Contract Amount (As Amended)	Amount Invoiced This Period	Amount Invoiced To Date	Amount Paid This Period	Amount Paid To Date	Percentage Complete
N/A		$0	$0	$0	$0	

*** Minority Group Enter:** B-Black American I-Asian American H-Hispanic American N-Native American P-Asian Pacific American O-Other
*** Type of Work:** Professional Consultant/Services, Construction, Supplies, Equipment, Other

- If prime firm is a certified DBE firm, the prime firm's quarterly activity must also be reported.
- This form must be completed monthly and submitted with firm's application for payment.

INCIDENTS ONLY

JANUARY 2011

NTD INTERNET REPORTING

NON-MAJOR SECURITY
PART I

		Transit Passengers	Transit Facility Occupants	Transit Employees	Other Workers	Trespasser	Other	Total Incidents
ircible Rape								
In Vehicle								0
In Revenue Facility								
Non Revenue Facility								
Right Of Way/Roadway								
bbery								
In Vehicle								
In Revenue Facility								0
Non Revenue Facility								
Right Of Way/Roadway								
gravated Assaults								
In Vehicle								
In Revenue Facility								0
Non Revenue Facility								
Right Of Way/Roadway								
rglary								
In Vehicle								
In Revenue Facility								0
Non Revenue Facility	Structure							
Right Of Way/Roadway								
ceny/Theft Offenses								
In Vehicle								0
In Revenue Facility	Includes Burglary Vehicle	III	I					
Non Revenue Facility								4
Right Of Way/Roadway								0
tor Vehicle Theft								
In Vehicle								0
In Revenue Facility	Includes Attempts	I						
Non Revenue Facility								1
Right Of Way/Roadway								0
on								
In Vehicle								0
In Revenue Facility								
Non Revenue Facility								
Right Of Way/Roadway								0

JANUARY 2011

Part II

	In Vehicle	In Revenue	In Non-Revenue Facility	On Right of Way	Total Incidents
Fare Evasion (citations)	60				60
Other Assaults (arrests)		1			1
Trespassing (arrests)		1			1
Vandalism (arrests)					0

Other Security Issues

	In Vehicle	In Revenue	In Non-Revenue Facility	On Right of Way	Total Incidents
Bomb Threats					0
Bombing					0
Chemical / Biological / Nuclear Release					0
Cyber Incident					0
Hijacking					0
Non-Violent Civil Disturbances					0
Sabotage					0

Total Property Damage ($)

TOTAL ARRESTS (2)

TRESPASSING

BATTERY ON TRANSIT AGENT (CPO)

Expiring Contract Report
S FL Regional Transportation Authority

Expiring Date (1/1/2011) thru (1/1/2012)

Project Manager Conrtact # Contract Administrator	Contract Title Contract Name	Start Date Expiration Date	Contract Duration Renewal
projectmgr			
Contract Class: Payables			
Diane Hernandez Del Cal	LEGAL CONSULTING SERVICES-FEDERAL ISSUES	07/17/2006	5 Year Term
06-515	010364 THOMPSON COBURN LLP	07/31/2011	2 - 1 year option renewals. No options remain.
BOBBY BECKER			
Laura Thezine	PROFESSIONAL AUDITING SERVICES	07/03/2007	4 Year Term
07-723	010878 WATSON RICE LLP	07/02/2011	2-1 year options. One option remaining.
BRYAN KOHLBERG			
Michael Kanefsky	TVM MAINTENANCE AGREEMENT	03/01/2008	3 Year Term
08-002	010033 ACS TRANSPORT SOLUTIONS, INC.	02/28/2011	4-1year renewal options. 2 options remaining.
BOBBY BECKER			
James DeVaughn	SFEC TMA BUS SERVICE	07/01/2010	1 Year Term
10-014	010338 SFEC TMA	06/30/2011	4 - 1 year renewal options. All 4 options remaining.
BOBBY BECKER			